

Bi-Weekly Report as of May 16, 2003  
FSA Performance Plan – FY2003



## SUMMARY

### COMPLETED PROJECTS

- No projects were reported under this category for this reporting period.

### GREEN LIGHT

- The task order for Project 21 (*Define strategy for EDEExpress reengineering*) has been approved. The reengineering efforts will modify the current EDEExpress to support full participation in Common Origination and Disbursement (COD), as well as deliver the current suite of EDEExpress functionality over the web.
- A contract was awarded for project management support to eLoans Phase 2, which is included in Project 31 (*Support ED, customers, and business partners by participating in the President's Management Agenda E-Government initiatives*).

### YELLOW LIGHT

- FSA staff met with GAO regarding the Secretary's request that FSA's High Risk designation be reconsidered. FSA is awaiting a formal response from GAO. Various other meetings have been held with OMB/GAO/IG regarding issues that will continue to support efforts to improve program integrity (*Project 2- Develop and execute an FY 03 plan to get off the GAO High Risk List*).
- Five milestones under Project 14 (*Develop and/or implement workforce alignment initiatives and actions to support FSA performance and One-ED objectives*) are reported yellow because the Statement of Objectives (SOO) is currently being revised, as required by the Contracts office. If approved and reissued, a new proposal will be submitted.

### RED LIGHT

- Project 16 (*Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality*) status continues to be red because the Project due date is not consistent with the task order due date of 11-17-03.

### ISSUE RESOLUTION

- Two milestones from Project 10 (*Stabilize LAP/LaRS*) have been moved to Project 11 (*Enhance Program Monitoring and Oversight*) because it is a more appropriate placement based on the milestone definitions (*Milestones 10.4 and 10.5*).

### CLOSED PROJECTS

- No projects were reported under this category for this reporting period.

## ACTION PLAN STATUS AT A GLANCE

as of May 16, 2003

FSA No.	Indicator	Status
1	Obtain a clean audit opinion on FSA's financial statements	✓
2	Develop and execute an FY03 plan to get off the GAO High Risk List	🟡
3	Reconcile FSA accounts to Department's general ledger within 30 days after month-end close by 9/30/03	
4	Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives	
5	Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process	
6	Address material weaknesses and reportable conditions in audits	
7	Implement Form 2000 enhancements needed since original deployment in October 2000	🟢
8	Determine the scope of the Debt Management Collection System (DMCS) reengineering	✓
9	Improve school's fund management, reconciliation, and close out processes	🟢
10	Stabilize the Lender Application Process (LAP) and Lender Reporting System(LaRS)	🟢
11	Enhance Program Monitoring and Oversight	🟡
12	Develop and standardize an integrated contract management approach that utilizes performance measures that are directly linked to the business case objectives	
13	Create an Enterprise-level dashboard of productivity and performance metrics	✓
14	Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives	
15	Implement integrated project management oversight for FSA's system integration initiatives	
16	Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality	🔴
17	Analyze Personal Identification Number (PIN) issues related to enterprise wide management/architecture strategy	
18	Create an overall FSA integrated security and privacy architecture	
19	Develop and implement initial phases of an enterprise-wide customer service solution that incorporates best-in-business technology and enhanced business processes	CLOSED
20	Improve the management of student aid through data sharing and consolidating common borrower services and functions	
21	Define strategy for EDExpress reengineering	🟢
22	Develop an outreach strategy for Aid Awareness in support of OPE and tied to "No Child Left Behind"	
23	Upgrade technology and integrate CPS across the enterprise	
24	Conduct NSLDS Analysis and begin phased implementation of solution	CLOSED
25	Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002	
26	Evaluate our participation in all events	
27	Implement Master Promissory Note for PLUS	✓
28	Enhance our cash transaction process to accommodate OCFO procedures	
29	Augment Leveraging Educational Assistance Partnerships (LEAP)/Supplemental Leveraging Educational Assistance Partnership (SLEAP) module in FMS to enable direct electronic data exchange with GAPS and enhance the operational abilities	
30	Obtain a clean financial opinion for ED through: improving FMS internal processes, programs, controls; supporting reconciliation activities between FSA Operating Partners, FMS, FMSS, GAPS and Treasury; provide integration with FSA reengineered systems; and perform upgrade analysis and implementation of Oracle Federal Financials Release 11i	
31	Support ED, customers and business partners by participating in the President's Management Agenda E-Government initiatives	🟢
32	Develop an automated tool to administer budgetary resources for FSA's programs and to provide management with the data to effectively manage those resources	

Key:

🟢	On Track
🟡	Progress Concerns
🔴	Management Intervention Required
✓	Completed

## MILESTONE PLAN STATUS AT A GLANCE

*as of May 16, 2003*

FSA No.	MILESTONE	Status
<b>1</b>	<b>Obtain a clean audit opinion on FSA's financial statements</b>	✓
1.1	Work with the Department to obtain a clean audit opinion	✓
1.2	Work with the Department and auditors to assure full compliance with Federal Financial Managers Improvement Act (FFMIA), Federal Managers Financial Integrity Act (FMFIA), and other laws and regulations	✓
1.3	Work with the Department to correct all material internal control weaknesses identified in the financial statement audit report	✓
1.4	Review internal controls in Financial Management System (FMS) to ensure that data is accurate, reliable, available and timely to maintain adequate stewardship and accountability	✓
1.5	Participate with Budget Services in determining credit reform subsidy estimates	✓
1.6	Enhance 'Splitter' process in Financial Management System (FMS). Review FMS and Accounting Division Operations processing	✓
1.7	Assist in the preparation of all Department-wide financial statements	✓
<b>2</b>	<b>Develop and execute an FY03 plan to get off the GAO High Risk List</b>	
2.1	Review GAO Guidance, OMB concerns and FY 2002 High Risk Plan outcomes and status	✓
2.2	Determine necessary action items for FY 2003 and update plan	✓
2.3	Validate plan with FSA Senior Leaders, Department Advisors and share with GAO and OIG	✓
2.4	Finalize plan	✓
2.5	Demonstrate progress in having implemented corrective measures through improved accountability mechanism assuring addresses necessary reporting requirements for Performance Plan, Department's Strategic Plan, MIT and President's Management Agenda	
2.6	Develop internal communications infrastructure	
2.7	Update plan monthly as necessary	
2.8	Meet with and/or inform GAO and OMB on progress and internal monitoring regularly	
<b>3</b>	<b>Reconcile FSA accounts to Department's general ledger within 30 days after month-end by 9/30/03</b>	
3.1	Complete FSA reconciliations for FY03 for all Balance Sheet accounts using Standard General Ledger (SGL)	✓
3.2	Transition FSA reconciliations to FSA Accounting Division Staff	✓
3.3	Identify resources and FTE's needed for FY03 reconciliation effort	✓
3.4	Review current reconciliation process to improve audit trail of data from Operating Partners to FMS to Financial Management System Software (FMSS) and from FMS to/from Grant Administration and Payment System (GAPS)	✓
3.5	Develop an automated methodology to reconcile cash transactions in FMS to the Treasury banking system	✓
3.6	Coordinate with OCFO to reconcile all Standard General Ledger (SGL) accounts for FSA appropriations	✓
3.7	Work with FSA system owners and Operating Partners to research and resolve any reconciling differences	✓
3.8	Work with FSA system owners and Operating Partners to resolve any systemic issues	✓
3.9	Enhance FMS to populate all attributes needed for reconciliation	✓
3.10	Enhance FMS to integrate the transaction ID from GAPS	
<b>4</b>	<b>Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives</b>	

4.1	Establish board members to include operating and system accountants	
4.2	Establish meeting frequency	
4.3	Develop account validation framework for system development and ongoing certification of accounting and program systems providing financial management data	
4.4	Review all accounting treatment to ensure compliance with Standard General Ledger (SGL) accounting	✓
4.5	Develop framework for measuring whether the accounting validation is working by performing independent post production validation	
<b>5</b>	<b>Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process</b>	
5.1	Establish a system development workgroup, including ED-OCFO, FSA-CFO, FSA Management and Program Area, Operating Partners, to develop detail requirements specific to each program area	✓
5.1.1	Review and document each operating system (providing for consistency among and within systems)	
5.2	Develop chart of accounts for each operating partner (sub-accounts is prerequisite) in FMS	
5.2.1	Develop Trial Balance at each Operating Partner	
5.3	Utilize an automated tool to balance and reconcile all financial transactions received from Operating Partners	
5.4	Work with Operating Partner to balance and reconcile all financial transactions	
5.5	Roll up support balances in Financial Statements	✓
5.6	Debt Management Collection System (DMCS) complies with FMS subsidiary ledger reconciliation requirements	Y
<b>6</b>	<b>Address material weaknesses and reportable conditions in audits</b>	
6.1	Strengthen financial reporting to ensure full compliance with OMB Circular A-123, Management Accountability and Control, and Credit Reform guidance	✓
6.1.1	Ensure that the newly implemented financial management system supporting FSA's financial management and reporting needs is working effectively and adequately integrated with the Department's general ledger	✓
6.1.2	Use FMSS to produce a general ledger trial balance at the reporting group level or at a consolidated level	✓
6.1.3	Identify, research, and correct account differences and validate proper posting	✓
6.1.4	Minimize use of manual adjustments to correct discrepancies, and maintain sufficient documentation to support accuracy and completeness of adjustments, including their preparation, review and approval	✓
6.2	Prepare/review quarterly and annual financial statements in accordance with OMB form and content guidance 01-09	✓
6.2.1	Follow established policies and procedures for preparation of quarterly financial statements, quarterly analysis of trial balance accounts and quarterly reconciliation of feeder systems to FMS and from FMS to FMSS	✓
6.2.2	Define approaches to corroborate account balances for all significant accounts on a monthly or more frequent basis, as appropriate. Ensure the accuracy of reported account balances	✓
6.2.3	Maintain sufficient documentation to support differences in the subsidiary records and the general ledger records	✓
6.2.4	Review trial balances and financial statements for follow up on unnatural balances in financial statements	G
6.2.5	Perform proper and timely reconciliation's of financial accounting records. Identify, research, resolve and document reconciliation differences of all significant accounts, both proprietary and budgetary, general ledger (GL) and subsidiary, in a timely manner	✓

6.2.6	Verify accounting processes for FMS' new Lender Reporting System (LaRS)	✓
6.2.7	Prepare quarterly Schedule 9 reports for loans receivable	✓
6.2.8	Use data mining and other approaches to search for duplicate payments and research improper payments that are identified and refine internal controls in response to such efforts	✓
6.3	With the implementation of Common Origination and Disbursement (COD), remind schools that report Pell and DL disbursement data of the requirement to complete verification of selected students	✓
6.4	Improve monitoring mechanism to reduce the repeat of prior material weaknesses and reportable conditions	
6.4.1	Review auditor's report on financial statements and participate in the development of plans and actions and milestones to address any outstanding reportable conditions and Management Letter recommendations	✓
6.4.2	Hold regular meetings to review and discuss status of current actions developed to respond to audit report recommendations	✓
<b>7</b>	<b>Implement Form 2000 enhancements needed since original deployment in October 2000</b>	
7.1	Gather user comments and requirements	✓
7.2	Validate and prioritize business requirements/enhancements	✓
7.3	Separate operational corrective actions identified in 2001 and 2002 from enhancements	✓
7.4	Define and compile operational and enhancement requirements	✓
7.5	Add electronic corrections ability	G
7.6	Validate processing outcomes through user testing and accounting treatment verification	G
7.7	Begin to integrate enhancements in Data Mart Release III build to create integrated view for scorecard and submission reporting	G
7.8	Manage work group and Steering Committee for business process corroboration	
<b>8</b>	<b>Determine the scope of the Debt Management Collection System (DMCS) reengineering</b>	✓
8.1	Validate whether to recompute the contract with limited reengineering or pursue increased reengineering through FSA system integration and new technology solution initiatives	✓
8.2	Commence either a contract recompute or fully reengineering project to redeploy the system	✓
<b>9</b>	<b>Improve school's fund management, reconciliation, and close out processes</b>	
9.1	Reduce time to deliver funds to schools	G
9.2	Identify improvements and fill gaps in current operational procedures related to reconciliation	G
9.3	Implement systematic notifications and operational procedures related to disbursement to drawdown ratios	✓
9.4	Retire Pell's Recipient Financial Management System (RFMS) and the Direct Loan Origination System (DLOS)	G
<b>10</b>	<b>Stabilize the Lender Application Process (LAP) and Lender Reporting System(LaRS)</b>	
10.1	Validate submissions and financial processing through regression testing	
10.2	Verify the full performance functionality of the extensions built in Oracle Financial Management System (FMS)	✓
10.3	Establish a CFO/Financial Partners operational management and integration work group	
<b>11</b>	<b>Enhance Program Monitoring and Oversight</b>	
11.1	Develop and deliver an Enterprise-wide Program Monitoring Plan	✓
	<b>Student Eligibility</b>	
11.2	<b>Student Applicant and Disbursement Data</b>	

11.2.1	Develop a plan to continue to identify, measure and monitor applicant and payment error	
11.2.2	Implement Phase I of the plan	
11.2.3	Develop and deliver training to staff on needs analysis, verification and conflicting documentation	
	<b>School Oversight</b>	
11.3	<b>Integrate School Information Systems</b>	
11.3.1	Reengineer and streamline Case Management and Oversight (CMO) business processes to reduce decision making timeframe and achieve increased consistency of outcomes	
11.3.1.1	Implement electronic audits and financial statements	
11.3.1.2	Define requirements and conceptual design for workflow tool to facilitate processes (most likely Postsecondary Education Participation System (PEPS) reengineering)	
11.3.2	Define measures for compliance/ oversight activities to demonstrate the effectiveness of case management including technical assistance	
11.3.3	External Training	
11.3.3.1	Plan, design and build a "100 Series" of basic training for schools	
11.3.3.2	Develop and deliver a program integrity training for schools through a combination of videoconferences , web-based training, and classroom experiences	
11.4	<b>Partner Oversight</b>	
11.4.1	Enhance the use of available financial partner data by implementing Phase III of the Financial Partners Data Mart	
11.4.2	Continue enhanced oversight of lenders/servicers and guarantee agencies through improved consistency and risk management tools	
11.4.3	Check and analyze the quarterly submissions for all quarters in FY03 for accuracy and proper accounting treatment and funding transactions	
11.4.4	Coordinate submissions, outcomes, remittance processing, and data quality with regional reviews initiative scheduled for FY03	
11.5	<b>Risk Management and Default Prevention Strategies</b>	
11.5.1	Demonstrate improved risk management and default prevention strategies	
<b>12</b>	<b>Develop and standardize an integrated contract management approach that utilizes performance measures that are directly linked to the business case objectives</b>	
12.1	Standardize approach and processes	
12.2	Provide training and support to all project managers (PMs), Contracting Officer Representatives (CORs), all stakeholders and accountable contract entities on the new process and performance measures that monitor business case expectations/ outcomes against achieved results	
12.3	Pilot process with one project and refine process based upon pilot	
12.4	Implement new process across all projects	
12.5	Establish outcome-based performance measures and framework for FSA and partners to manage performance	
12.5.1	Convene Performance Measures Working Group	
12.5.2	Develop industry best practice framework to manage performance	
12.5.3	Pilot proposed framework on measuring customer interaction	
<b>13</b>	<b>Create an Enterprise-level dashboard of productivity and performance metrics</b>	
13.1	Develop a plan to identify metrics that are auditable, repeatable, can measure the quality of business services and delivery at the aggregate and service delivery level, and are compliant with the Statement of Federal Finance Accounting Concepts and Standards (SFFACS), where appropriate	
13.2	Implement Phase 1 of this plan	
<b>14</b>	<b>Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives</b>	



14.1	Begin to align with/participate in One-ED	Y
14.2	Establish organization alignment teams to work across channels to determine/execute the to-be-state	
14.3	Define HR systems requirements and work with ED (Time and Attendance, Retirement)	
14.4	Align functions, unit configuration, and work responsibilities with new system integration and technology solutions	G
14.5	Expand Career Zone (CZ) offerings to include new system and technology solutions	G
14.5.1	Expand Career Zone offering to include Title IV basics - programs, delivery system, negotiated rulemaking. Build and deliver "Partnering for Performance" sessions	G
14.6	Review, update and use the FSA skills catalog	G
14.6.1	Review and update FSA skills catalog	G
14.6.2	Conduct gap analyses based on skills catalog (business units TBD)	G
14.6.3	Provide learning opportunities to fill skills gaps	G
14.7	Develop learning track for case management teams	Y
14.7.1	Conduct research and analysis of skills needed to perform specific jobs	Y
14.7.2	Create the curriculum, focusing on "data driven" approach	Y
14.7.3	Conduct skills assessment	Y
14.7.4	Provide learning options to fill gaps	Y
14.8	Align Manager Excellence Training to focus on Performance and Accountability	Y
14.9	Assess the feasibility of implementing web-based Performance Management Process (PMP) that incorporates Education Department Performance Appraisal System (EDPAS) and Individual Develop Plan (IDP)	
14.10	Add the career counseling component to FSA Career Zone	
14.10.1	Obtain adequate funding	✓
14.10.2	Determine the order of magnitude of the impacted employees	
14.10.3	Identify relevant employee support needs	
14.10.4	Work with Acquisitions and Contract Performance (ACP) to develop Statement of Work (SOW) and the feasibility of interagency agreements.	
14.10.5	Award contract	
14.10.6	Develop/execute communications plan	
14.11	Ensure availability of physical space for service providers	
<b>15</b>	<b>Implement integrated project management oversight for FSA's system integration initiatives</b>	
15.1	Provide program management support for FSA system integration and new technology solution initiatives	✓
15.1.1	Issue a task order under the Modernization Partner Contract to ensure continued support for integration, leadership, direction setting, reporting and contract/subcontract management	
15.2	Formalize FSA Integration Group	✓
<b>16</b>	<b>Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality</b>	
16.1	Identify the strategic focus areas necessary to develop a cohesive enterprise-wide data strategy	✓
16.2	Collaborate with all internal Department stakeholders and external stakeholders to identify business needs and requirements with respect to the data provided to FSA by others, provided by FSA to others, and managed by FSA	R Y
16.2.1	Map the current state and future state business flow of data, as applicable, across the enterprise	R R
16.2.1.1	Inventory legacy/operational data, including different databases and data stores, to identify redundancy	R R

R R

16.2.1.2	Determine where business data should be integrated	R R
16.2.2	Develop requirements and initial design for Common Identifiers for School and Students.	G
16.3	Determine Current Data Quality and Establish Target State Plan and Quality Assurance Process	R
16.3.1	Evaluate and identify data quality problems and identify correct source of data	R
16.3.2	Develop a data cleansing plan based on cost, value and urgency	R
16.3.3	Develop and administer a data quality process which ensures the FSA projects implement enterprise data standards and quality standards	R
16.3.4	Establish an FSA data quality committee comprised of both business and technical subject matter experts to ensure that enterprise data standards are addressed within each project	R
16.4	Develop and enterprise-wide extensible markup language (XML) Technical Architecture Framework to enhance data sharing and standardization with our external customers	R
16.4.1	Develop an XML framework including: and FSA XML Vision, XML standards, governance process, methodology, XML technical core components, sector libraries, and schemas	R
16.4.2	Develop and integrated sequencing plan that identifies when and how each initiative will implement the XML framework as part of an overall enterprise data integration plan	R
16.4.3	Establish Common Record XML format	R
16.4.4	Identify initial requirements and initial design for XML Institutional Student Information Report (ISIR)	G
16.4.5	Complete development activities to implement XML for ISIR for 2004-05	
16.5	Develop integrated Data warehouse and data mart strategy	R
16.5.1	Develop Data Warehouse and Data Mart Vision	R
16.5.2	Develop Data Warehouse and Data Mart Framework	R
16.5.3	Develop technical standards and guidelines	R
16.5.4	Develop a strategy for enterprise wide data sharing and distribution (data storage, management and access rights)	Y
16.6	Develop technical standards, conventions, and data management guidelines	R
16.7	Review Security and Privacy Procedures and revise as necessary	R
16.8	Develop an enterprise web services/portal strategy	R
<b>17</b>	<b>Analyze Personal Identification Number (PIN) issues related to enterprise wide management/architecture strategy</b>	
<b>18</b>	<b>Create an overall FSA integrated security and privacy architecture</b>	
18.1	Convene FSA security and privacy architecture working group and provide support for meetings and deliberations	
18.2	Review past work plan and update the plan with the latest business and technical developments that are applicable	
18.3	Integrate FSA needs with Departmental and government wide initiatives (e.g. Critical Infrastructure Protection, Enterprise Architecture, eAuthentication, etc.)	
18.4	Recommend technical approaches to each of the security services (e.g., encryption, authentication, etc.) required by FSA (and partner organizations)	
18.5	Identify and estimate the cost of all FSA projects that will require modification to fit within the proposed enterprise security/privacy standards	
18.6	Implement one or more proof-of-concept infrastructure improvements, with specific intention to develop an identity management infrastructure (enrollment, use of school or lender XML identity credentials, Single Sign-On) to support business-facing (school/lender/GA, not Personal Identification Number-based (PIN-based) student/borrower) applications	
<b>19</b>	<b>Develop and implement initial phases of an enterprise-wide customer service solution that incorporates best-in-business technology and enhanced business processes</b>	
19.1	Develop a common platform to manage customer interactions	closed

19.2	Link the common platform to pre-attending, attending, and post-attending	closed
19.3	Implement an integrated customer view	closed
19.4	Implement a process to continuously improve products and services based on	closed
19.5	Develop and implement a workforce transformation strategy aligned with overall	closed
19.6	Develop an enterprise-wide knowledge management tool for Operating Partners,	closed
19.7	Ensure common platform conforms to security and privacy policies	closed
19.8	Move system of record ownership for student demographic data from legacy	closed
19.9	Develop Customer Service/Interaction business processes and data model, and	closed
19.10	Link the common platform to schools and financial partner customers, which	closed
19.11	Develop a standard process to manage both control and non-control mail to	closed
19.12	Customer interaction analysis	closed
19.13	Improve Customer Service for Schools	closed
19.13.1	Implement New Tool for Customer Service Call Center (CSCC)	closed
19.13.2	Recommendations for consolidated customer service functions	closed
19.13.3	Implement transfer capability between key schools channel delivery call centers	closed
19.13.4	Begin to implement enhanced functional interactive voice response (IVR) routing	closed
19.13.5	Use data to identify trends from back-end systems and customer service	closed
19.13.6	Perform analysis of work already complete towards knowledge repository (e.g:	closed
19.13.7	Implement enhancement to schools portal through integrated views & business	closed
19.14	Complete & make operational the Program Development Division's (PDD's)	closed
19.14.1	Contract for imaging services	closed
19.14.2	Implement plan for profiling of imaged documents	closed
19.14.3	Deploy Knowledge Data Base	closed
<b>20</b>	<b>Improve the management of student aid through data sharing &amp; consolidating common borrower services &amp; functions</b>	
20.1	Identify common functions in Servicing, Consolidation, & Collections	✓
20.2	Identify interdependencies & constraints for integration of common services	✓
20.3	Implement quick hits	✓
<b>21</b>	<b>Define strategy for EDEXpress reengineering</b>	
21.1	Define Strategy for EDEXpress reengineering	G
<b>22</b>	<b>Develop an outreach strategy for Aid Awareness in support of OPE &amp; tied to "No Child Left Behind"</b>	
22.1	Analyze current Student Aid Awareness pubs & costs, & validate strategy	
22.2	Determine additional portal functionality	
22.3	Design & build Release 3 of Students Portal to incorporate revised publications &	
<b>23</b>	<b>Upgrade technology &amp; integrate CPS across the enterprise</b>	
23.1	Develop a plan for upgrading CPS	
23.2	Requirements & design for upgrading CPS	
<b>24</b>	<b>Conduct NSLDS Analysis &amp; begin phased implementation of solution</b>	
24.1	Deliver NSLDS Action Plan	closed
24.2	Begin to implement the detailed technical hardware & software design of the	closed
24.3	CIO will begin the effort to re-platform the NSLDS	closed
24.4	Complete the technical hardware & software re-platform	closed
<b>25</b>	<b>Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002</b>	
25.1	Implement the OIG recommendations to strengthen the linkages between	
25.2	Continue to populate, validate the information in the enterprise architecture	
25.3	Procure additional EA tool licenses, tool maintenance	G
25.4	Develop a process flow to manage the information in the FSA enterprise	G
25.5	Develop the requirements & technical product to export the FSA enterprise	G
<b>26</b>	<b>Evaluate our participation in all events</b>	
<b>27</b>	<b>Implement Master Promissory Note for PLUS</b>	
27.1	Implement PLUS electronic Master Promissory Note (eMPN) for DL	✓
<b>28</b>	<b>Enhance our cash transaction process to accommodate OCFO procedures</b>	
28.1	Work with Operating Partners, Contractors & OCFO to establish transaction-	✓
28.2	Work with Operating Partners & Financial Institutions to identify & correct systemic	✓
28.3	Enable FSA to identify differences before month-end & reduce Treasury	✓
28.4	Develop & automated methodology to reconcile cash transactions in FMS to the	✓

<b>29</b>	<b>Augment LEAP/SLEAP module in FMS to enable direct electronic data exchange with GAPS &amp; enhance the operational abilities</b>	
29.1	Solicit & compile community/user requirements	
29.2	Meet & define Financial Management System (FMS) to GAPS connectivity &	
29.3	Test & validate the processing stream & edits with internal & external participants	
29.4	Define an operational work group comprised of FSA CFO, Financial Partners,	
29.5	Define strategy to enable additional reporting capabilities	
29.6	Provide feeders to Financial Partners Data Mart in conjunction with Release III	
<b>30</b>	<b>Obtain a clean financial opinion for ED through: improving FMS internal processes, programs, controls; supporting reconciliation activities between FSA Operating Partners, FMS, FMSS, GAPS &amp; Treasury; provide integration with FSA reengineered systems; &amp; perform upgrade analysis &amp; implementation of Oracle Federal Financials Release 11i</b>	
30.1	Upgrade to Oracle Database 11i	✓
30.2	Integrate the transaction ID from GAPS	✓
30.3	Enhance FMS Operations processing	✓
30.4	Implement Federal Administrator	unfunded
30.5	Implement Guaranty Agency Forms 2000 Enhancements	
30.6	Implement Program Change Requests	✓
30.7	Implement FSA/Title IV Web-based (Draw-down) Capability & Award Processes	unfunded
30.8	Integrate & interface with Debt Management & Collection System (DMCS)	unfunded
30.9	Complete Tier 2 & Tier 3 of Headquarters' Oracle Implementation Approach	unfunded
<b>31</b>	<b>Support ED, customers &amp; business partners by participating in the President's Management Agenda E-Government initiatives</b>	
31.1	E-Gov Support: Implement & participate in cross-gov initiatives including eLoans	Ⓞ
31.2	Cross Agency Web Support: Support operations & usability of existing interagency	Ⓞ
31.3	New R&D: Provide support for pilot tests, proofs of concept, research, white	unfunded
<b>32</b>	<b>Develop an automated tool to administer budgetary resources for FSA's programs &amp; to provide management with the data to effectively manage those resources</b>	
32.1	Determine requirements for program fund management that are consistent with	✓
32.2	Investigate options that are compatible with Oracle financials, will integrate with	✓
32.3	Select an option that meets reqmnts, test for ability to meet reqmnts & decide	✓
32.4	Implement pilot based on decision	

Key:

-  On Track
-  Progress Concerns
-  Management Intervention Required
-  Completed

# Appendix A - Project Status Report

Priority#	Channel	Action Item	Status Date	Progress Summary	% Complete
1	CFO	Obtain a clean audit opinion on FSA's financial statements			
		<b>Green</b>			
			4/18/2003	COMPLETED	100
			3/21/2003	CFO is finalizing our audit plan for FY03 and will meet with OCFO next week to coordinate the audit plans and PBC (Provided by Client) lists for the Department and FSA. We will not have a final PBC listing until the new auditors are selected and deliver it to us. However, CFO held preliminary meetings with PBC owners in the Channels to coordinate the production of known PBCs.	11
			3/7/2003	The financial statements for the first quarter of FY03 were submitted timely by OCFO. FSA did not receive them until after transmission to OMB. Therefore, no analysis was completed. We will work with OCFO to receive and properly analyze the second quarter financial statements. Work has started to refine and consolidate schedules and queries which are likely to be requested by the auditors for FY03.	10
			2/11/2003	Both the Department and FSA received a clean opinion on the FY'02 audit. Multiple action plans and efforts will be put into place during FY'03 to improve our processes, analysis and reconciliations to enhance our probability of receiving a clean audit in FY'03.	100
			2/11/2003	Corrective action plans for findings of material weaknesses and internal control issues are due in 60 days.	100
				First quarter financial statements are due to CFO Monday, February for review and analysis. These statements are due to OMB by February 15th.	
			1/27/2003	Clean audit opinion was rendered on 1/27/03	100
			1/10/2003	Currently working with OCFO to achieve clean audit opinion. Ernest & Young (auditors) has delivered the official draft reports to the Office of Inspector General (OIG) for review. OIG will deliver the official draft reports to OCFO/FSA January 10th. ED's consolidated written comments are due to OIG/E&Y by January 16th.	95
			12/27/2002	Currently working with OCFO to achieve clean audit status	90

2 COO Develop and execute an FY03 plan to get off the GAO High Risk List

**Yellow**

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			4/18/2003	Secretary letter to GAO requesting reconsideration of SFA program high-risk designation finalized and in clearance process. Status will remain yellow until a response is received from GAO regarding the reconsideration. As a result of a meeting with OMB, developed a reporting document (7104 Plan) to support OMB's monitoring of the status of the President Management Agenda SFA Program specific initiative. Auditor selected for Financial Statement audit and milestones established to provide auditors with interim March 31, 2003 financial statements with supporting reconciliations and analysis of significant account balances. Enterprise Architecture briefing to be held for the IG, similar to the briefings held for OMB and GAO, and the Data Strategy Framework Kick-off meeting was held. Default Management and Prevention Strategies project on track to inform Five Year Performance Plan, and meeting scheduled with the IG to discuss the risk in the SFA programs.	50
			4/4/2003	Hold	30
			3/21/2003	Discussions, validation and refining of strategy held between COO and Deputy Secretary. Briefings with GAO to be held on areas raised in GAO's January 2003 report to demonstrate FSA/Department have addressed or have plans and management in place to address. Issues deal with financial management, enterprise architecture, systems modernization, maintaining program integrity, the prevention and collection of defaulted loans and human capital management issues. The first briefing was held March 17, 2002 covering the Department's Enterprise Architecture. The joint presentation by ED-OCIO and FSA-CIO was well received and well attended by FSA and Department management representatives.	30
			3/7/2003	Letter for the Secretary's signature requesting reconsideration provided to participants at Performance Meeting and to the Channel Heads for comment. The letter was also provided to MIT, the DepSec's and Legislation as a head's up and in support of 3/12/2003 Hoeksra Hearing proposed actions to address the high-risk designation. The updating of the FSA Five Year Plan (to include the FY 2003 Annual Plan), the timely submission of the FY 2002 Annual Report (to include the list of accomplishments against all the FSA projects in the FY 2002 plan), the continued improvements to the FSA financial management infrastructure and processes and procedures, finalization of the Default Management Strategies, continued/enhanced emphasis on program monitoring, timely development of the FSA Consistent Data Framework, meeting modernization/integration/enterprise architecture requirements/goals and assuring that FSA is fully engaged in One-ED and addressing Human Capital future needs is key to success of this effort.	25
			2/7/2003	FSA was on GAO's High Risk List for FY 2003, issued January 30, 2003, supported by work ending and ongoing through December 2002. In January, FSA received a clean opinion on its FY 2003 financial statement audit - thus the major obstacle for being removed from the GAO High Risk List has been overcome, although not timely enough to affect the January 2003 GAO High Risk designation. However, the FSA is requesting a reconsideration of the designation in FY 2003, in consideration of the clean audit, the plans for continued financial management improvement, and the commitment by management to address the issues, as demonstrated in the FSA and Department Annual Plans, the Department's Strategic Plan and other more detailed plans. This item will remain yellow until we have assurance that GAO will provide us with an interim assessment. It will turn red if GAO decides not to provide us with an interim assessment. At this time the COO of GAO knows that there will be a formal request and is open to discussing the request internally.	25
			1/24/2003	Although the draft financial statement audit report indicates that FSA is going to receive a clean opinion (thus the major obstacle for getting off of the GAO High Risk List will have been overcome), until we have assurance that GAO will provide us with an interim assessment prior to the end of the fiscal year the project will remain yellow.	10

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
3	CFO	Reconcile FSA accounts to Department's general ledger within 30 days after month-end close by 9/30/03			
		<i>Yellow</i>			
			4/18/2003	Reconciliations for the month of March have begun and should be completed and approved within metric.	50
			4/4/2003	Reconciliations for the month of March have begun and should be completed and approved within metric.	50
			3.1		
			3/21/2003	FSA and OCFO are finalizing the closing schedule so that FSA can meet the metric of reconciling 30 days after month-end. The FSA Operating Partner to FMS to FMSS reconciliations has been completed for the December period.	55
			3/7/2003	Reconciliations (including analyzing and resolving differences) for the month of December are behind schedule due to delay in closing FMSS. The timely closing of FMSS is critical to FSA meeting target dates for completion of reconciliations. FMSS has not yet closed month of December	50
			2/11/2003	November reconciliations were completed in a timely manner. The FMSS preliminary trial balances have been received by FSA during the week of February 3rd. The December period reconciliaiton process has begun.	50
			1/27/2003	Reconciliations (including analyzing and resolving differences) for the month of November are on schedule to be completed and approved within the 40-day goal of month-end close for the first quarter reconciliations. More specifically, 1) Initial work has begun on new methodology to reconcile the Direct Loan advance account. Coordinating with the Schools channel to reconcile from School (I.e., SAS, 732 report etc). 2) Forms 2000 November reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. 3) FMS (pre) to FMS (post) reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. This includes Direct Loan financing fund (x4253), FFELP liquidating fund (x0230) and financing fund (x4251). 4. FMS (post) to FMSS reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. This includes Direct Loan financing fund (x4253), FFELP liquidating fund (x0230) and financing fund (x4251). 5. Initial work has begun on a new methodology to reconcile FSA grant funds for the FMS (pre) to FMS (post) and FMS (post) to/from GAPS and to FMSS. FMS (post) to FMSS reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. This includes Direct Loan financing fund (x4253), FFELP liquidating fund (x0230) and financing fund (x4251). 7. Support provided to ED to complete November DCMS reconciliations, which have been forwarded to management for review and approval. 8. Initial planning has begun to address the beginning balance differences as	25
			1/10/2003	Reconciliations for the month of October have been performed and approved timely (within 45 days of month-end for first quarter reconciliations). All differences have been identified. These differences are being researched for resolution. The reconciliations for the month of November are in progress and are expected to be completed on time.	100

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			12/27/2002	A detailed project plan is being developed and is expected to be finalized the week of January 3rd.	00
4	CFO	<i>Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives</i>			
		<i>Green</i>			
			1/27/2003	A new team, "Program and System Development" will be formed and staffed within the CFO organization to focus primarily on meeting this initiative.	80
			1/10/2003	Project plan is being expanded and will be finalized by 1/13/03. A new team "Program and system Development" will be formed and staffed within the CFO organization to focus primarily on meeting this initiative.	80
			12/27/2002	A detailed project plan is being developed and is expected to be finalized the week of January 3rd.	00
5	CFO	<i>Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process</i>			
		<i>Green</i>			
			3/21/2003	CFO is drafting the project plan and report requirements for the operating partners. The requirements will be presented to OCFO next week. We will meet with the operating partners during the last week of March to present the requirements, answer their questions, and request proposals for the work.	10
			3/7/2003	CFO will meet with OCFO next week to begin the work on this initiative.	00
			1/27/2003	NO CHANGE	00
			1/10/2003	Dependent on issues resolution in other tasks including defining sub-accounts for FSA activity. The project plan for developing trial balances will be prepared mid-February.	00
			12/27/2002	CFO is in the process of finalizing a detailed project plan to develop and implement trial balance reporting capability for each operating partner. Draft plan expected 1/31/03.	00
6	CFO	<i>Address material weaknesses and reportable conditions in audits</i>			
		<i>Green</i>			

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			4/18/2003	All material weakness and reportable conditions are being addressed and corrected.	65
			3/7/2003	For FY02 a corrective action plan has not yet been finalized. For FY00, 17 of 18 recommendations are closed. For FY01, 11 of 12 recommendations are closed.  Open Items: FY00 3.4.1 Work with the Department to complete corrective actions on IG Audit CAN 1190013-Review of Security Policies and Plans as referenced in the 2000 FMFIA report.  FY01 - 3.1.1 Implement agreed to corrective actions that address issues identified in the three audits referenced in finding (ED-OIG/A11-B0007, ED-OIG/A11-0009, GAO-01-1067).	90
			2/5/2003	OIG and Ernest & Young have issued an unqualified opinion on the FY 02 FSA financial statements. All financial audit related corrective actions have been completed.	50
			1/27/2003	All corrective actions have been completed by 12/31/02 and closed in FSA's corrective action system. OCFO and OIG have concurred. Final draft of the financial statements and related notes were submitted on 12/31/02. Audit field work was completed on 01/02/03. FSA received the DRAFT audit opinion on 1/24/03.	50
			1/10/2003	All corrective actions have been completed by 12/31/02 and closed in FSA's corrective action system. OCFO and OIG concurrence is pending.  Final draft of the financial statements and related notes were submitted on 12/31/02. Audit field work was completed on 01/02/03. FSA is waiting DRAFT auditor's opinion and internal control report which is due 01/10/03. FSA will provide a response to both the opinion and internal control review by	50
			12/27/2002	12 of the 13 audit (FY 2001 Financial Statement Audit) recommendations are scheduled to be completed by 12/31/02.	50

7 FP *Implement Form 2000 enhancements needed since original deployment in October 2000*

**Green**

			5/16/2003	The Technical Design phase and Testing Scenarios have been documented and completed on schedule. The build phase for the project has begun and is on schedule.	40
			5/2/2003	The first deliverable, which includes completion of Functional Design and associated documentation, was completed successfully and according to the project schedule. The project has entered the Technical Design phase and continues to progress on schedule.	28
			4/18/2003	Drafts of the Functional Design documents were distributed for review and feedback on 4.15.2003. The project is on schedule and will complete the first deliverable on 4.18.2003.	25

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			4/4/2003	The requirements phase of the project has been completed on schedule. The functional design phase began on 3.24.2003 and is currently on target to be reviewed and completed by 4.18.2003. Due to reprioritization of specific requirements of this project, which were driven by the need to maintain a clean audit for FY03, the project has changed scope/focus and some of the action items originally planned no longer apply. Therefore, action items 7.3 through 7.8 will be reviewed and re-aligned to fit the current project effort. The corrections to these action items will be submitted for review and	15
			3/21/2003	Draft technical proposal has been reviewed and finalized. First deliverable has been awarded and has a scheduled begin date of 3.10.2003. Project has begun and is on schedule.	00
			3/7/2003	Draft technical proposal has been reviewed and finalized. First deliverable has been awarded and has a scheduled begin date of 3.10.2003.	00
			2/21/2003	Draft task order has been distributed for review and comment.	00
			2/7/2003	Project Team is assembled. Team is working on business case for IRB and preparing draft task order.	00
			1/24/2003	Business case approved by DSG and IPC. Pending IRB review and approval.	00
			1/10/2003	DSG review has been rescheduled for Tuesday, 1.7.2003.	00
			12/27/2002	Business case submitted to DSG. Scheduled for review on Tuesday, 12.31.2002.	00
			12/13/2002	Business case justification proceeding through internal review for presentation to DSG.	00

8 STU *Determine the scope of the Debt Management Collection System (DMCS) reengineering*

*Green*

			3/21/2003	The project has been 100% completed. The final Milestone statuses are listed below: Received approval from the COO and Director of Acquisition and Contracts to extend the current contract for DMCS for a period of 12 months with a 3 month option. The decision to recompetete under the Common Services for Borrowers window has been approved and the current DMCS contract has been approved for extension	100
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<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			3/7/2003	The decision not to re-compete the DMCS contract as a stand alone was made. The re-engineering of DMCS will be rolled into the CSB initiative. Preliminary Market Research has been conducted for CSB and a report will be provided the week 3/10/03 to the COO, GM for Students and Glenn Perry. Dan Hayward has been selected to be the project manager for this effort. Michael J Murry has been detailed as Acting Manager of Direct Loan Servicing. We have received funding for CSB project and # 20 under "unfunded" is now combined with # 8 "funded". No further reporting on # 8 as it relates to DMCS only. Future reporting will support the CSB actions and	90
			2/7/2003	The decision not to re-compete the DMCS contract as a stand alone was made. The re-engineering of DMCS will be rolled into the CSB initiative.  With the final decision made relating to DMCS re-engineering as a separate initiative, work has begun for market research for an integrated solution for Common Services for Borrowers (CSB). The request for market research information is scheduled to be distributed no later than 2/28/03	90
			1/24/2003	Decision will be made no later than 1/31/03. Discussions within Student Credit Management are occurring weekly.	80

9 SCH *Improve school's fund management, reconciliation, and close out processes*

*Green*

			4/18/2003	Steady progress is being made toward the overall project goal. (Last week's % complete was reported incorrectly and should have read 43%.)	47
			4/4/2003	Although milestone 9.3 closes out this period, the project-level Percent Complete continues to be tied to the most general milestone (9.1) and the single largest milestone (9.4), both of which are expected to be complete near fiscal year end.	38
			3/21/2003	Steady progress is being made toward the overall project goal.	38
			3/7/2003	Steady progress is being made toward the overall project goal.	33
			2/21/2003	Steady progress is being made toward the overall project goal.	29
			2/7/2003	Steady progress is being made toward the project goal as a whole.	25
			1/27/2003	Overall project Percent Complete is at this point in the year still a straight proration (days elapsed / days in total period), because steady progress is being made toward the project goal as a whole.	21
			12/13/2002	One milestone (9.2) is currently making this project yellow. We think the project is on track and ask that 9.2's completion date be pushed out to 6/30/03. Thanks for your consideration.	07

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
10	FP	Stabilize the Lender Application Process (LAP) and Lender Reporting System(LaRS)			
		<i>Green</i>			
			5/16/2003	No input for this reporting period.	99
			5/2/2003	Assmebling analysis results for validation.	99
			4/19/2003	Awaiting approval of recommendation to remove Milestones 10.4 and 10.5 from this project.	99
			4/4/2003	The first and second deliverable were awarded and began 10.01.2002. The Task Order was delivered in phases 12.6.2003 and 1.7.2003 and accepted 2.6.2003. The project changed scope/focus and some of the action items originally planned were not contained in the Task Order. Therefore, action items 10.4 through 10.5 will be addressed outside the scope of this Task Order. Approval will be obtained to document management concurrence.	90
			3/21/2003	No change for this reporting period.	30
			3/7/2003	Milestones 10.1 and 10.2 are completed. Meetings will be scheduled to discuss approach for ongoing reviews and monitoring.	30
			2/21/2003	All deliverables have been reviewed and accepted. Will provide close-out status for subtasks by next reporting period.	05
			2/7/2003	Accenture deliverable was reviewed and accepted.	05
			1/24/2003	Pending deliverable approval by business unit (FPC).	05
			1/10/2003	NO CHANGE	05
			12/27/2002	Approval received from DSG, IPC and Deputy Secretary Hansen.	05
			12/13/2002	Funding approved by IPC as of 12.10.2002.	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
11	SCH	<i>Enhance Program Monitoring and Oversight</i>			
		<i>Yellow</i>			
			5/7/2003	The business channels have indicated that the majority of their milestones are end-loaded, and are therefore reporting them as green although the percents complete are still low relative to where we are in the fiscal year. The end-loading increases the risk of non-completion associated with project #11 overall, although the channel reporters feel that the risks associated with each milestone are being managed adequately. The one exception to this trend is milestone 4.1, financial partners data mart phase III implementation, which has been subject to delays in obtaining approvals and is now anticipated to start during May. This milestone is reading 0% complete, yellow, as one would expect.	36
			4/23/2003	A methodology for reporting this project fairly and accurately is currently under review by the enterprise owners. Full reporting will begin May 7.	00
12	ACP	<i>Develop and standardize an integrated contract management approach that utilizes performance measures that are directly linked to the business case objectives</i>			
		<i>Green</i>			
			1/10/2003	NO CHANGE	10
			12/13/2002	We currently examining best-in-class contract performance management in Government as well as setting up meeting with other Government agencies to discuss their approach.	10
13	ANAL	<i>Create an Enterprise-level dashboard of productivity and performance metrics</i>			
		<i>Green</i>			
			3/7/2003	The first edition of the FSA executive dashboard was provided to all FSA managers on Feb 24, 2003. The dashboard provides high level measure of FSA operations. It will be issued weekly by noon on Thursdays.	100
			2/21/2003	Dashboard has been revised and presented to the Sr. Leadership. We should start producing a regular report the week 2/24.	50
			2/7/2003	We met with COO to present the executive dashboard on 2/6/02. We've made some revision and hope to present it to management council shortly.	35

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			1/24/2003	We have developed a straw man of the executive dashboard and will be finalizing it shortly. We are receiving data for a large portion of the "operational metrics" section and are working to secure "task order monitoring" and monthly budget data.	33
				We have developed and presented a straw man that will capture more detailed performance data to the Students, Schools, FP and CIO channels. Their staff are inventorying performance data as a first step in coming up with a final design.	
			12/13/2002	The scope of the project has been defined and meetings to identify and collect the data for the measures will occur in January 2003.	05
14	HR	<i>Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives</i>			
		<i>Green</i>			
			4/18/2003	Statement of Work done and sent to Acquisitions. To be awarded through MOBIS	60
			3/27/2003	Working on the SOO that will procure the resources that will support this initiative.	50
			1/24/2003	Completed business case for workforce alignment team. Will present to DSG on 1/30/03.	50
15	COO	<i>Implement integrated project management oversight for FSA's system integration initiatives</i>			
		<i>Green</i>			
			3/7/2003	Need to review Accenture's new monthly Program Leadership and Administration Achievement Report for January and February, 2003. Contractually required to provide feedback to Accenture regarding new report by March 20, 2003. Per Task Order 125, John Fare is designated as person to provide feedback to Accenture.	95
			1/24/2003	Still working to redefine Accenture's and FSA's roles and responsibilities reach agreement on level of effort and price	33
			1/10/2003	Need to reshape relationship with Accenture for Integration Leadership, Program Management and Contract Administration.	30
16	CIO	<i>Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality</i>			
		<i>Red</i>			

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
				5/16/2003	Additional meetings with key Business Process experts and System Owners have started and will continue through May - June to gather overall data strategy business objective. Deliverable 123.1.1 Data Strategy Statement of Strategic Data Focus Areas has been submitted for review (4/30/03 - on time). This item remains red because the due date needs to be updated to reflect the task order due date of 11/17/2003.	14
				4/18/2003	Change request for overall project completion date to November 17, 2003. The Data Strategy Task Order has been signed with optional deliverables awarded. The Data Strategy Framework team had a kickoff meeting on April 14. The Technical Strategies team had it's kickoff meeting on 4/15. Data Gathering meetings have begun with DLSS, DLCS, DCS. Deliverable 123.1.1 Statement of Strategic Data Focus Areas is due 4/30/03.	08
				4/4/2003	The APT for the Data Strategy expired on Friday, March 28th. FSA has approved(w/ minor modifications) the Business and Technical proposals. ED Contracts office is currently reviewing the Task Order. DSG and IPC recently approved a the Enrollment and Access Management business justification for \$1.16 million. The Data Strategy team leaders are concerned that multiple contract competitions are occurring during the same periods of time which will take away from well thoughtout solutions for the project.	07
				3/24/2003	Under Holly Hyland's leadership, FSA (on behalf of PESC) completed final tasks and delivered the draft CommonRecord FFEL and Alternative Loans Schemas to the NCHelp Electronics Standards Council (ESC) yesterday. Steve Margenau, technical resource for ESC and editor of the PESC Technical Specification called the schemas "beautiful." While there are sure to be comments and changes, additional resources (outside of FSA) have been identified to handle these modifications. However, FSA will stay connected with this review process to ensure a smooth delivery of the final schemas. The first draft of the Common Student ID solution will be presented to the Business Integration Group (BIG) on Thursday, March 27, 2003 9:00 to 4 p.m. The Technology Strategies team will be conducting their kickoff meeting on Monday, April 1, 10 a.m. The data strategy team has delivered a new business case, Enrollment and Access Management, to be presented to the DSG and IPC for review.	06
				3/7/2003	Overall Data Strategy Project is behind schedule but continuing to make progress on some of the teams. The first draft of the Common Record ISIR was completed and posted to the public March 3rd as scheduled. In addition, the Department continues to work with PESC and the FFEL community surrounding the Common Record FFEL and Alternative Loans. We had a successful series of Data Strategy presentations at the Software Developers Conference. We received input for PESC, NCHelp, and the software vendors on FSA's Data Strategy Framework. A number of people requested to participate on our teams to assist with our efforts.  We are currently working on negotiating the details of the technical proposal for the Data Strategy task order. Several meetings have occurred in the past two weeks.	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			2/12/2003	<p>Keith Wilson was recently appointed as the Project Manager for the Enterprise-Wide Data Strategy Workgroup. FSA team leaders are as follows: Overall Data Strategy - Keith Wilson, Paul Hill - 2nd chair, XML Framework - Holly Hyland, Technical Strategies - Denise Hill, Ganesh Reddy - 2nd chair, XML ISIR - Jeanne Saunders, Common Student ID - Jeanne Saunders, Routing ID - Paul Hill, SAIG Analysis - Keith Wilson. Team Leaders are currently recruiting team members from various areas of FSA to participate on their team.</p> <p>The Data Strategy Kickoff Meeting is scheduled for Wednesday, February 19th @ 10:30 a.m. Accenture Conference Rm 221 A&amp;B (CNN Building side) with all FSA/Accenture team leads and members.</p> <p>2/06/03: Business Integration Group requested a presentation from the Data Strategy team to explain the scope and business objectives related to data work at a high level.</p> <p>This project is designated as red because several milestones are behind schedule and will not meet assigned deadlines.</p>	05
			1/24/2003	<p>Project remains on hold pending senior management discussion and direction. One single task order for entire data strategy initiative still to be awarded.</p>	05
			1/10/2003	<p>Proposed Business Justification (BJ) which covers a majority of the action items under this item was approved by the IPC on 12/18.</p>	05
			2/13/2003 2	<p>A Proposed Business Justification (BJ) which covers a majority of the action items under this item was completed and presented to DSG on 11/26. BJ provided to channel managers and system integration group members. It is anticipated that the BJ will be presented to the IPC at upcoming meeting. However, once IPC approval is granted, this initiative will require completion and approval of a department business case. This process will be time consuming and is expected to negatively impact upon established action item completion dates. Therefore, the status during this reporting period is</p>	00
17	STU	<i>Analyze Personal Identification Number (PIN) issues related to enterprise wide management/architecture strategy</i>			
				<i>Green</i>	
			3/21/2003	<p>Task order has been approved and sent to contracts. We are planning on a 1 1/2 day meeting on April 3-4, 2003 to start looking at the current PIN Process.</p>	07
			2/7/2003	<p>1/29/03 Statement of Objectives were reviewed and forwarded back with comments. Comments were added to the statement of objects which were approved and sent forward.</p>	07
			1/24/2003	<p>Business Case Justificaiton was presented to the DSG and IPC on 1/21 and 1/22. Approval was given by the IPC to conduct the ED PIN Re-Engineering Analysis. Statement of Objectives have been received and are currently being reviewed.</p>	15
			1/10/2003	<p>NO CHANGE</p>	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
				12/27/2002	A business case is being developed - second draft is currently being reviewed.	05
18	CIO	<i>Create an overall FSA integrated security and privacy architecture</i>				
		<i>Green</i>				
				5/2/2003	Agreement on a security and privacy architecture/standards document, including review and approval by the by March Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture. Implementation part of this plan has not had a task order awarded. A task order has been awarded to complete the Technical Security and Privacy Achitectual framework. The Business Integration Working Group will be briefed on April 1. The Interim Security and Privacy Report for the Architecture Framework was provided to FSA by Accenture on April 4, 2003. Met with B.I.G. and System Security Officers on 4/7.	10
				4/4/2003	Agreement on a security and privacy architecture/standards document, including review and approval by March Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture. Implementation part of this plan has not had a task order awarded. A task order has been awarded to complete the Technical Security and Privacy Achitectual framework. The Business Integration Working Group will be briefed on April 1. The date of completetion should be changed to 5/30/03.	30
				3/25/2003	This activity will start after the completion of the Security Architecture Framework	00
				1/24/2003	Agreement on a security and privacy architecture/standards document, including review and approval by the Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture by Sept. 2003.	05
				1/10/2003	Work continues on still yet awarded Security Architecture task order.	05
				1/3/2003	Task order not yet awarded. Still trying to find consultant with experience in security architectures and solutions common in commercial financial services industries (banking, insurance, loan servicing). Planning on using FSA Integration Group for security service requirements, instead of convening separate security group.	00
				12/13/2002	The current plan is to avoid a separate "FSA Security & Privacy Architecture Working Group" and make this a task of the "Integration Group". The central task will be developing a security/technology baseline -- what systems (hw/sw) are located where and how are they connected/protected. Providing a definition of security architecture framework which will explicitly include Critical Infrastructure Protection and eGov/eAuth components will also be essential. CIO has requested several milestone completion dates for this item be changed since the anticipated start date of this action is 1/2/03 and several completion dates where targeted for 12/31/02. Therefore, the status of this item is being listed as yellow until clarification on whether or not requested date changes are accepted.	00

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
19	STU	<i>Develop and implement initial phases of an enterprise-wide customer service solution that incorporates best-in-business technology and enhanced business processes</i>			
		<i>Red</i>			
			2/7/2003	<p>On Friday, January 17, 2003, Accenture was notified that the current Task Order 77, Work Order 5 would be expiring on January 21st. Pursuant to that notification, Accenture has commenced a shutdown process for the CRM4FSA project and the Release 1 Pilot.</p> <p>Included in the shutdown process are:</p> <ul style="list-style-type: none"> <li>· Notifying the Operating Partners that the Release 1 Pilot will be terminated and formulate a "current state" testing plan.</li> <li>· Returning calls to the current state</li> <li>· Dismantling the Operating Partner PBX and IVR changes specific to Release 1</li> <li>· Testing 800 numbers and Operating Partner IVRs</li> <li>· Shutting down the pilot reporting tool</li> <li>· Terminating subcontract agreements, and negotiating final payments</li> </ul> <p>The Release 1 Pilot was successfully shut down on Saturday, February 1st.</p> <p>While the CRM Target State Solution has been deemed "the right thing to do" by FSA, the estimates provided by Accenture, combined with FSA's limited</p>	00
20	STU	<i>Improve the management of student aid through data sharing and consolidating common borrower services and functions</i>			
		<i>Green</i>			
			5/2/2003	<p>Common functions in Servicing, Consolidations, and Collections have been identified and mapped for duplication.</p> <p>SOO for CSB and L and M schedules have identified interdependencies and constraints for integrating servicing, consolidations and collections.</p>	90
			4/18/2003	<p>Through the development of the requirements for CSB, the common functions, interdependencies, and constraints are being identified.</p>	85
			4/4/2003	<p>Matrix is being finalized identifying high level requirements for Common Services for borrowers (CSB). The matrix will validate common functions previously validated with initial reengineering efforts in Collections, Servicing and Consolidations.</p> <p>The matrix of requirements for CSB (addressed above) will identify the constraints and interdependencies.</p> <p>Reviewed and final approval received not to implement quick hits identified in initial reengineering review</p>	75
			3/21/2003	<p>Funding has been approved for CSB.</p> <p>Funding has been approved for CSB.</p> <p>Market Research was completed for CSB. Approval obtained for COO to continue with project. Funding has been approved by IPC.</p> <p>Interdependencies and constraints: This has been completed as written, however this area needs to be expanded to reflect the scope of the CSB project, since this is now funded.</p> <p>Quick Hits: Scope of project has changed and quick hits will not be implemented</p>	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			3/7/2003	Initial analysis of common functions has been completed.	05
			2/7/2003	Initial analysis of common functions has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions.	00
				Initial analysis of common functions has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions.	
				Our "quick hits" are on hold until approval from IPC	
			1/24/2003	UNFUNDED - 20.1 - Initial analysis of common functions has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions. 20.2 - Initial analysis of interdependencies has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions. Market research will be conducted in February to ascertain best practices and validate findings from internal analysis. 20.3 - On hold until approval from IPC	05
21	STU	<i>Define strategy for EDEExpress reengineering</i>			
		<i>Green</i>			
			5/16/2003	This task order has just been approved and Pearson has been notified that it is funded. Requesting schedule from Pearson	05
			5/2/2003	This project is currently moving through contracts for funding approval.	05
			3/21/2003	The Statement of Objective has been sent to contracts.	05
			3/7/2003	The Business Justification was presented to the Business Integration Group, the Data Strategy Group (DSG) and the IPC. It is awaiting funding. It is our understanding that the IPC approved this initiative and that funding is forthcoming.	05
			2/21/2003	The Business Justification was presented to the Business Integration Group, the Data Strategy Group (DSG) and the IPC. It is awaiting funding.	00
			2/7/2003	The Business Justification for Re-engineering EDEExpress has been written and will be presented to the DSG/IPC in the very near future to request funding to study database solutions, migrated standalone modules to the Web and conduct a Product Registration survey.	00
			1/24/2003	UNFUNDED A business justification is being written to present request to DSG/IPC to request funding to study database solutions and move standalone modules to the Web.	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
22	STU	<i>Develop an outreach strategy for Aid Awareness in support of OPE and tied to "No Child Left Behind"</i>			
23	STU	<i>Upgrade technology and integrate Central Processing System (CPS) across the enterprise</i>			
		<i>Green</i>			
			1/24/2003	UNFUNDED On-going - A CPS Upgrade Analysis was completed and all scheduled test cycles for the FAFSA 7.0/ED PIN performance testing were completed. As of 1/24/03, all 23 planned performance test cycles covering 12 business processes have been executed and 4 additional cycles for Shadow Direct contingency testing have been executed.	05
24	CIO	<i>Conduct NSLDS Analysis and begin phased implementation of solution</i>			
		<i>Green</i>			
			4/11/2003	An NSLDS Technical Re-assessment was performed in December 2002. The short project assembled key resources from Accenture, CSC, Raytheon, IBM, and FSA to assess the mid-tier proposal put forward by the Mod-Partner NSLDS Reengineering Team against a mainframe solution which had not been evaluated in the original proposal. The project team concluded that the original mid-tier solution, which utilized the smaller P660 servers, was more feasible with an upgrade to P690 servers. While this solution is most cost-effective, the mainframe solution presents the most robust environment. Ultimately, the best solution is a combination of the two: a mainframe data warehouse with a mid-tier data mart. FSA leadership has decided to defer for FY03 any implementation of a solution. Therefore, this project should be closed.	100
			4/4/2003	Not yet funded.	00
			4/4/2003	Not yet funded.	00
25	CIO	<i>Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002</i>			
		<i>Green</i>			
			4/18/2003	04/17/03 -- I just received notification that the Task Order was awarded. Will revise the due dates.	10

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			4/4/2003	Entrance meeting - October 24, 2001. On December 31, 2001 the IG sent back questions. Consolidated responses were sent back on January 28, 2002 and February 5, 2002. More architecture documents on January 30, 2002 and held a follow up meeting on March 4, 2002 to focus on Enterprise Architecture. 7/15 Exit conference was held - FSA rated mostly 4 on a scale of 1-5. We are currently reviewing the document with the Department and expect to send comments back to the IG by Aug 31. The joint response was sent on August 28. ED and FSA entered the CAP plan into the system on 10/16. Future status is subject to funding availability. 11/21 - Future status is subject to funding availability. The future status is subject to receiving funding. 12/10 - This item intentionally left yellow. Business Justification submitted 12/6. 12/19 - Currently reworking business case. The future status is subject to receiving funding. The revised Business Case was submitted for DSG review on Jan. 9, 2003. Jan 17 - Prelim funding approved by IPC. The item is no. 25 below the line. Funding is subject to the disposition of items 20 -24. The project is RED and at risk. 3/10 - Task Order is in contracts.	30
26	SCH	<i>Evaluate our participation in all events</i>			
27	SCH	<i>Implement Master Promissory Note for PLUS</i>			
		<i>Green</i>			
			4/18/2003	The COD team was successful in accelerating development and brought the eMPN up with COD 2.0 (and the paper MPN) on April 14th.	100
			4/4/2003	PLUS MPN (paper) is still scheduled for COD 2.0 release, now moved to 4/14/03, and is 93% complete. PLUS e-MPN is still scheduled for 5/31/03 and is 42% complete. Project-level Percent Complete averages those percentages.	67
			3/21/2003	PLUS MPN (paper) is still scheduled for COD 2.0 release on 4/7/03 and is 87% complete. PLUS e-MPN is still scheduled for 5/31/03 and is 28% complete. Project-level Percent Complete averages those percentages.	57
			3/7/2003	PLUS MPN (paper) will go live with COD 2.0, is currently scheduled for 4/7/03, and is 77% complete. PLUS e-MPN implementation is scheduled for 5/31/03 and is 14% complete. Project-level Percent Complete averages those percentages.	45
			2/21/2003	PLUS MPN (paper) is on track for implementation when COD 2.0 goes live. Go-live date is planned for 4/7/03 (percent complete = 67%). PLUS eMPN (electronic), milestone #1, was at 1% complete on 2/21/03 and received funding on 2/26/03. From here forward, the project-level percent complete will average the paper and 'e' PLUS MPN percents complete.	34

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
28	CFO	<i>Enhance our cash transaction process to accommodate OCFO procedures</i>			
		<i>Green</i>			
			4/18/2003	Continue to hold weekly meetings with operating partners to discuss status and resolution of unmatched cash transactions.	60
			3/7/2003	The FSA Cash Team has developed a new accounting treatment to eliminate the current high volume of unmatched transactions associated with 1F010 reporting. This new methodology corrects erroneous postings of payment allocation transactions to FMS and provides for the elimination of timing and permanent differences.	65
			2/11/2003	The FSA Accounting Division is continuing it's weekly meetings with our Operating Partners and FSA Program Offices to identify root causes and solutions to clearing FSA's Fund Balance with Treasury (FBWT) unmatched schedules. Progress on the identification and corrections of our FBWT unmatched schedules is being tracked on individual project plans. Significant progress is being made on these work plans, and the Accounting Division has no concerns in not being able to complete prior to FY'03 fiscal year end.	60
			1/27/2003	In addition to working our various project plans we are complying with OCFO's procedures in submitting manual match corrections to the OCFO Financial Management Operations Division. It is our intention that the volume of manual matched request to OCFO FMO will decrease once many of the business processes and systemic issues are completed in the various Accounting Division has initiated weekly meetings with all Operating Partners to identify all internal control weaknesses associated with cash transactions. FSA Accounting Division and Operating Partners have created individual Project Plans to track the implementation of all proposed cash related business processes and systemic internal control improvements. Operating Partners have begun to implement various internal control improvements for summary deposit and consolidation transactions.	25
			1/10/2003	FSA Accounting Division has initiated weekly meetings with all Operating Partners to identify all internal control weaknesses associated with cash transactions. FSA Accounting Division and Operating Partners have created individual Project Plans to track the implementation of all proposed cash related business processes and systemic internal control improvements.	20
29	FP	<i>Augment Leveraging Educational Assistance Partnerships (LEAP)/Supplemental Leveraging Educational Assistance Partnership (SLEAP) module in the FMS to enable direct electronic data exchange with GAPS and enhance the operational</i>			
30	CFO	<i>Obtain clean financial opinion for ED. Improve FMS internal processes, progs, controls. Support reconcil. b/w FSA Op. Prtnrs, FMS, FMSS, GAPS &amp; Treasury. Integrate w/FSA reengineered systems &amp; perform upgrade analysis &amp; implement Oracle Fed</i>			
		<i>Green</i>			

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			3/21/2003	<p>30.2 Integrate the transaction ID from GAPS - Began end to end testing. Tested Cycle 1 with COD. Waiting for modifications/issue resolution from COD. If COD delay exceeds two weeks this will negatively impact FMS software release date of April 21st. Revised testing schedule from COD- currently on schedule with revised dates. Green - 80% completed.</p> <p>30.3 Enhance FMS Operation processing - funding approved (Reengineering splitter and FMS/FMSS GL data interface process). Deliverable for requirements received from Accenture - comments due by 3/21/03. Waiting for final buy-in from Budget Services/OCFO. Yellow - 25% completed.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - Funding approved. Reviewing functional &amp; technical proposal submitted by Accenture. Reports requirements being developed by FMS Division. Project Manager Matt Fontana. Yellow - 20% completed.</p> <p>30.6 Implement Program Change Request - Meeting weekly with Operating Partners to resolve outstanding issues. Program development and issues being tracked. Possible new reconciliation requirements for Raytheon (DMCS). AD reviewing business needs/requirements for Raytheon. New requirements scheduled to be presented to Raytheon 3/24. Green - 30% completed.</p>	60
			3/7/2003	<p>30.2 Integrate the transaction ID from GAPS - on schedule (TO119). End-to-end testing with Operating Partners started 2/25/03. Green 75% completed</p> <p>30.3 Enhance FMS Operations processing - funding approved. (Reengineering splitter and FMS/FMSS interface process). Requirements have been defined. Approval from the IPC for \$700,000 in funding. Yellow 10% completed.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - funding approved. Finalizing requirements for Phase I &amp; Phase II. Yellow 10% completed.</p> <p>30.6 Implement Program Change Request - Meeting weekly with Operating Partners to resolve outstanding issues. Program developed and issues being tracked. Define trial balance requirements and need sub accounts.</p>	50
			2/11/2003	<p>30.2 Integrate the transaction ID from GAPS - on schedule (TO119) Deliverable 119.1.1 FMS FY'03 Release 2.1 - Approved. Status green 45% completed.</p> <p>30.3 Enhance FMS Operations Processing - funding approved. (Reengineering splitter and FMS/FMSS interface process). Draft technical specifications to be presented to Terri Shaw on Wednesday, February 12th. No firm requirements defined. Yellow 10% completed.</p> <p>30.5 Implemented Guarantee Agency Forms 2000 - funding approved. Meeting to finalize technical proposal. Business case completed. Development in 2 phases. (Phase 1 - yellow 10% completed, Phase 2 - yellow 5% completed).</p> <p>30.6 Implement Program Change Request - Meeting weekly with operating partners to resolve outstanding issues. Program developed and issues logs tracked. Yellow 20% completed.</p>	40
			1/27/2003	<p>30.2 Integrate the transaction ID from GPAS - on schedule (TO119) Deliverable 119.1.1 FMS FY'03 Release 2.1 - Interface Functional Designs delivered and being reviewed for approval. Final sign off due by 1/29. Green 35% completed.</p> <p>30.3 Enhance FMS Operations processing - Funding approved. (Reengineering splitter and FMS/FMSS interface process). Meeting with OCFO Budget to finish defining requirements. Requirements gathering still in process. Requested at ATP to begin work. Yellow 5% completed.</p> <p>30.4 Implemented Federal Administrator - Unfunded - No action.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - Funding approved. Meeting to develop Technical Proposal - Yellow 5% completed.</p> <p>30.6 Implement Program Change Request - Meeting weekly with Operating Partners to resolve outstanding issues. Program developed and issues logs being tracked. Yellow 10% completed.</p> <p>30.7 Implement FSA/Title IV Web-based (Drawdown) capability and award process - Unfunded - no action.</p>	30



<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			1/10/2003	<p>30.1 Oracle 8i Database Upgrade - Completed 01/05/03 - Green 100%</p> <p>30.2 Integrate the transaction ID from GPAS - Funding approved. Task Order 119 awarded to Accenture. Functional detail designs completed (5 interfaces to be modified). On schedule per the project plan. Green, 30% completed.</p> <p>30.3 Enhance FMS Operations processing - Funding approved . Reengineering splitter and FMS/FMSS interface process. Meeting with FMS,OCFO, Budget and A/D to define requirements. Requirements gathering still in process. Yellow, 0% completed.</p> <p>30.4 Implement Federal Administrator - Unfunded - No action.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - Funding approved by DSG. Presented to the IPC. Not approved - outstanding issues to resolve. Status - Resolve issues and present to the IPC 1/14/03. Yellow, 0% completed.</p> <p>30.6 Implement Program Change Requests - Change request (CRs) are being prioritized and worked as resources are available. Have completed/closed 20 CRs since 12/17/02. Currently have 63 open CRs however, many appear to contain obsolete requirements/requests. Green, 60% completed.</p>	30
31	CIO	<i>Support ED, customers and business partners by participating in the President's Management Agenda E-Government initiatives</i>			
		<i>Green</i>			
			5/16/2003	<p>On May 13, Maximus was awarded the eLoans Phase 2 project management support contract. Maximus should be on-board by May 19. On May 9, the Department of Labor's CIO, Pat Pizzella, Assistant Secretary for Management, requested a briefing from the eLoans Gateway team about the Gateway vision, business case, and project plan. The Statement of Work for the eLoans gateway focus groups was advertised this week. The proposals are due by May 23. The vendor will conduct focus groups with loan customers (students, farmers, small business owners, veterans and home buyers) who will navigate through a prototype of the gateway. Focus groups will be conducted in July 2003. On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.</p>	45
			5/2/2003	<p>The eLoans staff submitted an eLoans eGov Fund application for 2003 to OMB this week. The application included a request for the full \$1.985M for the eLoans initiative, based on our original commitment with our partner agencies, as outlined in the PMC briefing on November 7, 2002, also including the cost of each workstream so OMB would have the option to fund portions of the initiative. The Department of Veterans Affairs, as the lead on the pay.gov workstream, has begun working with agencies to complete Treasury's ACT (Agency Configuration Template) document. eLoans staff is working with its Financial Partners/CFO/Students Channel staff to identify resources who can assist with filling out the template (the document will identify common business requirements). On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.</p>	35

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			4/18/2003	On April 9, we met with partner agencies, OMB and Treasury to explore pay.gov as a possible solution to collect lender fees electronically. Treasury gave an overview of the pay.gov system, explained their plan to gather business requirements from the agencies, and offered to work with each agency individually to evaluate their business needs and develop a plan for possible implementation. The Department of Veterans Affairs and Treasury are leading this workstream. On April 15, Bill Leiding signed both the eLoans MOU and the eLoans Gateway MOU. We are now preparing the associated funds transfer documents for approval by ED offices so we can forward all completed documents to our partner agencies for their approval. We met with our partner agency leads on April 15 to discuss project planning and how best to obtain their agencies' approval of the eLoans MOU. On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	30
			4/4/2003	On April 1, we met with partner agency leads and OMB to continue project planning and preparations to move forward once funding is available. The eLoans and Gateway MOUs are still being reviewed by partner agencies (VA, HUD, SBA, USDA, DOL), General Counsel, and procurement staff. On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	45
			3/7/2003	eLoans staff coordinated with partner agencies and submitted revised performance metrics to OMB this week. The performance metrics were requested from all 24 E-Gov initiatives in preparation for Mark Forman's testimony to Congress on March 4. On March 4, we met with partner agency leads and OMB to continue project planning and preparations to move forward once funding is available. On February 20, Innovations/E-Commerce presented a business justification and funding request to the Decision Support Group for the interagency web portals: students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw	10
			1/24/2003	Charlie Coleman, Project Supervisors, and staff are finalizing Microsoft Project Plans for CIO Strategic item 14.	05
32	CFO	<i>Develop an automated tool to administer budgetary resources for FSA's programs and to provide management with the data to effectively manage those resources</i>			
		<i>Green</i>			
			3/7/2003	Automating the interface between FMS and FMSS to enhance budgetary fund management is the last step in the process. To get to this final step FSA must change the funding templates in FMS to correspond to Budget Services's budgetary limitations and implement a "splitter" process for the FFEL program. Both activities are well underway.	50
			2/11/2003	Work on this item is being coordinated with activities under CFO-4. Budget Service has requested that FSA align it's fund control with the Departments and develop the FFEL "splitter" to Budget Services and FSA's mutual satisfaction. These are current works in progress. When these fund management and reporting issues are resolved, we will examine tools to use so the FSA's program funds are entered automatically into FMS.	50
			1/27/2003	Proposed project completion date of 3/31/03.	00

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			1/10/2003	A meeting with Budget Service stakeholders William Graham and LarryKean to determine their concerns, preliminary. Development of 32.1 work plan: "Determine requirement for program fund management that are consistent with legislative and OMB requirements. Proposed project completion date is March 31, 2003. Meeting with accounting and DEVA personnel to establish that opening balances are correct for Program funds - ongoing.	00

# Appendix B - Milestone Status Report

Priority# Channel Action Item

	Milestone#	Action Item	Status	Channel	Status Date	%Complete
1	CFO	Obtain a clean audit opinion on FSA's financial statements				
	1	Work with the Department to obtain a clean audit opinion				
	Green			CFO closed	5/16/2003	100
	2	Work with the Department and auditors to assure full compliance with Federal Financial Managers Improvement Act (FFMIA), Federal Managers Financial Integrity Act (FMFIA), and other laws and regulations				
	Green			CFO closed	5/16/2003	100
	3	Work with the Department to correct all material internal control weaknesses identified in the financial statement audit report				
	Green			CFO closed	5/16/2003	100
	4	Review internal controls in Financial Management System (FMS) to ensure that data is accurate, reliable, available and timely to maintain adequate stewardship and accountability				
	Green			CFO closed	5/16/2003	100
	5	Participate with Budget Services in determining credit reform subsidy estimates				
	Green			CFO closed	5/16/2003	100

**Priority# Channel Action Item**

	<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status</b>	<b>Date</b>	<b>%Complete</b>
	6	Enhance 'Splitter' process in Financial Management System (FMS). Review FMS and Accounting Division Operations processing	CFO	Green	5/16/2003	100
			closed			
	7	Assist in the preparation of all Department-wide financial statements	CFO	Green	5/16/2003	100
			closed			
5	STU	<i>Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process</i>				
	6	Debt Management Collection System (DMCS) complies with FMS subsidiary ledger reconciliation requirements	STU	Yellow	5/16/2003	55
		Continue to have an issue with Raytheon and time frames not met. A meeting was held on Friday, 5/9 with Terri Shaw to discuss with Jack Banks the immediate need for closure on the recons for the DCS system.				
6	CFO	<i>Address material weaknesses and reportable conditions in audits</i>				
	1.1	Ensure that the newly implemented financial management system supporting FSA's financial management and reporting needs is working effectively and adequately integrated with the Department's	CFO	Green	5/19/2003	100
		This action item has been addressed along both dimensions (1) FMS is working effectively and (2) FMS is adequately integrated with the Department's general ledger. First, action item 4 on this plan is to review internal controls for all dataflows that come through FMS. This project is well underway, mapping interface data for the purpose of identifying opportunities to improve the dataflow and strengthen internal controls. Second, plans to better integrate FMS with the Department's general ledger are either complete or well underway. First, FMS recently completed the implementation of the GAPS Transaction ID, linking each transaction in FMS, GAPS, and FMS feeder systems using a unique Transaction ID. Also, as part of the implementation of the Splitter Allocation/Re-allocation implementation FMS has established a unique identifier linking all transactions passing from FMS to				
	2.4	Review trial balances and financial statements for follow up on unnatural balances in financial statements				

**Priority# Channel Action Item**

<i>Milestone#</i>	<i>Action Item</i>	<i>Channel</i>	<i>Status Date</i>	<i>%Complete</i>
Green	CFO		5/19/2003	50
	Working with OCFO completed the review of March 31, 2003 financial statements including a flux analysis for FFEL and Direct Loans. Other analytics for the auditors that were developed included DL and FFEL rollforwards and other downloads.			

7 FP *Implement Form 2000 enhancements needed since original deployment in October 2000*

5 *Add electronic corrections ability*

Green	FP		5/16/2003	40
	The Technical Design phase was completed on schedule for this action item, and the build phase has begun and is on schedule.			

6 *Validate processing outcomes through user testing and accounting treatment verification*

Green	FP		5/16/2003	00
	No change is reflected since this task has not begun.			

7 *Begin to integrate enhancements in Data Mart Release III build to create integrated view for scorecard and submission reporting*

Green	FP		5/16/2003	40
	The Technical Design phase was completed on schedule for this action item, and the build phase has begun and is on schedule.			

9 SCH *Improve school's fund management, reconciliation, and close out processes*

1 *Reduce time to deliver funds to schools*

Green	SCH		5/16/2003	56
	Collaboration with FMS team continues. Current focus is on how manual error resolution timeframes (COD>FMS and FMS>GAPS) affect the overall timeframe (from when COD receives school disbursement records to when funds are available to school in GAPS).			

2 *Identify improvements and fill gaps in current operational procedures related to reconciliation*

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Status</b>	<b>Date</b>	<b>%Complete</b>
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<b>Green</b>	<b>SCH</b>		<b>5/16/2003</b>	<b>57</b>
	We are continuing to search for someone to take over this project.			

**4** **Retire Pell's Recipient Financial Management System (RFMS) and the Direct Loan Origination System (DLOS)**

<b>Green</b>	<b>SCH</b>		<b>5/16/2003</b>	<b>57</b>
	Planning is progressing on schedule.			

**11 STU Enhance Program Monitoring and Oversight**

**2.1** **Develop a plan to continue to identify, measure and monitor applicant and payment error**

<b>Green</b>	<b>STU</b>		<b>5/16/2003</b>	<b>50</b>
	On 4/17/03, APS staff presented a FY04 proposed business case for Improving the Accuracy of Application Data (IAAD) to the Data Strategy Group, and on 4/23/03, the Investment Planning council approved this business case. On May 8, 2003, our operating partner was authorized to begin work on this task order for FY03. We have begun requirements for the initiatives in this business case, in particular the ISIR Data Mart and the Multi-Year Applicant Database (MYAD). We are on schedule to implement both of these systems on January 1, 2004.			

**2.3** **Develop and deliver training to staff on needs analysis, verification and conflicting documentation.**

<b>Green</b>	<b>SCH</b>		<b>5/16/2003</b>	<b>65</b>
	Training of Trainers is on schedule for June 18-20. Letter has been sent to verify staff who will attend TOT. Training materials to be sent for clearance on May 19th. Action Memo has been drafted to obtain OPE clearance of training materials and request to Transmit Training Materials for Program Reviews and Renewed Focus on Verification.			

**3.1** **Reengineer and streamline Case Management and Oversight (CMO) business processes to reduce decision making timeframe and achieve increased consistency of outcomes**

<b>Green</b>	<b>SCH</b>		<b>5/16/2003</b>	<b>30</b>
	Group interviews on the as-is processes were completed May 15. Site visits have been scheduled for San Francisco (5/22), Atlanta (5/29), and Boston (6/4) to review decisionmaking on specific school cases, and to obtain input for target state. Add'l input on target state will be obtained during June.			

**3.1.2** **Define requirements and conceptual design for workflow tool to facilitate processes (most likely Postsecondary Education Participation System (PEPS) reengineering)**

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status Date</b>	<b>%Complete</b>
<b>Green</b>		<b>SCH</b>	<b>5/16/2003</b>	<b>30</b>
	Request to change completion date to 09/30/03, to make it the same as Milestone 11.3.1.			
	<b>3.2 Define measures for compliance/ oversight activities to demonstrate the effectiveness of case management including technical assistance</b>			
<b>Green</b>		<b>SCH</b>	<b>5/16/2003</b>	<b>100</b>
	Identified parameters for program review liabilities. Requested sample data to verify that query is accurate.			
	<b>3.3 External Training</b>			
<b>Green</b>		<b>FSAU</b>	<b>5/16/2003</b>	<b>70</b>
	FSA COACH, Cash Management, and EDEExpress Training are on schedule.			
	<b>3.3.1 Plan, design and build a "100 Series" of basic training for schools</b>			
<b>Green</b>		<b>FSAU</b>	<b>5/16/2003</b>	<b>45</b>
	No change.			
	<b>3.3.2 Develop and deliver a program integrity training for schools through a combination of videoconferences , web-based training, and classroom experiences</b>			
<b>Green</b>		<b>FSAU</b>	<b>5/16/2003</b>	<b>75</b>
	FSA COACH 02-03 is live and available through the Schools Portal and IFAP. The task order was approved and work has begun on updating COACH for 03-04. EDEExpress training is nearly completed. Online sessions through Webex will be announced soon.			
	<b>4.1 Enhance the use of available financial partner data by implementing Phase III of the Financial Partners Data Mart</b>			
<b>Yellow</b>		<b>FP</b>	<b>5/16/2003</b>	<b>00</b>
	FSA has accepted Modernization Partner technical proposal, next step Contract Acquisition will present the proposal to ED Contract Office for final approval.			
	<b>4.2 Continue enhanced oversight of lenders/servicers and guarantee agencies through improved consistency and risk management tools</b>			

**Priority# Channel Action Item**

<i>Milestone#</i>	<i>Action Item</i>	<i>Channel</i>	<i>Status Date</i>	<i>%Complete</i>
Green		FP	5/16/2003	54
	The Missouri, Utah and Michigan GA reviews are completed. The USAF GA and Rhode Island servicer review commenced on 5.5.2003. Upcoming reviews include the New Mexico servicer review on 5.19.03 and Colorado and Nelnet servicer on 6.2.2003. The post review debriefings continue. FP is preparing a Compilation of Findings from the completed GA and Servicer reviews.			
4.3	Check and analyze the quarterly submissions for all quarters in FY03 for accuracy and proper accounting treatment and funding transactions			
Green		FP	5/16/2003	25
	No Input for this reporting period.			
4.4	Coordinate submissions, outcomes, remittance processing, and data quality with regional reviews initiative scheduled for FY03			
Green		FP	5/16/2003	00
	No Input for this reporting period.			
5.1	Demonstrate improved risk management and default prevention strategies			
Green		STU	5/16/2003	90
	Met with Jennifer Douglas to provide her a status update of the Default Strategies Workgroup. Received approval to continue on the the current path. Continue to wait for further drill down of initiatives from Financial Partners.			
14	FSAU	<i>Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives</i>		
	1	Begin to align with/participate in One-ED		
Yellow		FSAU	5/16/2003	80
	The groups that are going to competitive sourcing are awaiting information on the timetable for development of an employee proposal. A Performance Work Statement for the human resources and training functions is currently being written.			
	4	Align functions, unit configuration, and work responsibilities with new system integration and technology solutions		
Green		CIO	5/16/2003	10
	Directors began skill assessment 12/2. Meeting scheduled 1/24/03 to continue discussion. Meeting held 1/28/03 to continue discussion.			

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status Date</b>	<b>%Complete</b>
Green		FP	5/16/2003	00
	Mike Sutphin and Calvin Thomas met on Friday, 5.9.2003 to discuss FP's role in the initiative. Calvin will notify Mike when he is ready for FP input.			
5	Expand Career Zone (CZ) offerings to include new system and technology solutions			
Green		FSAU	5/16/2003	50
	FSAU has revised its Statement of Objectives for professional development training to reflect changes suggested by the Contracts office. The RFP is expected to be issued during the week of			
5.1	Expand Career Zone offering to include Title IV basics - programs, delivery system, negotiated rulemaking. Build and deliver "Partnering for Performance" sessions			
Green		FSAU	5/16/2003	40
	The first Title IV program course is nearly completed, and will be offered in June.			
6	Review, update and use the FSA skills catalog			
Green		FSAU	5/16/2003	20
	Work continues with CFO and the Project Management group to outline the mission-critical skills and update the skills catalogue accordingly.			
6.1	Review and update FSA skills catalog			
Green		FSAU	5/16/2003	20
	See milestone 6.			
6.2	Conduct gap analyses based on skills catalog (business units TBD)			
Green		FSAU	5/16/2003	00
	Start date is still TBD.			
6.3	Provide learning opportunities to fill skills gaps			

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Status</b>	<b>Date</b>	<b>%Complete</b>
<b>Green</b>	<b>FSAU</b> Start date TBD.		<b>5/16/2003</b>	<b>00</b>
<b>7</b>	<b>Develop learning track for case management teams</b>			
<b>Yellow</b>	<b>FSAU</b> Work has stopped on this project. The Statement of Objectives is currently being revised as required by the Contracts office, and will be submitted to Contracts this week. If approved and re-issued, a new proposal will have to be submitted in response to the SOO.		<b>5/16/2003</b>	<b>20</b>
<b>7.1</b>	<b>Conduct research and analysis of skills needed to perform specific jobs</b>			
<b>Yellow</b>	<b>FSAU</b> See milestone 7.		<b>5/16/2003</b>	<b>80</b>
<b>7.2</b>	<b>Create the curriculum, focusing on "data driven" approach</b>			
<b>Yellow</b>	<b>FSAU</b> See milestone 7.		<b>5/16/2003</b>	<b>05</b>
<b>7.3</b>	<b>Conduct skills assessment</b>			
<b>Yellow</b>	<b>FSAU</b> See milestone 7.		<b>5/16/2003</b>	<b>00</b>
<b>7.4</b>	<b>Provide learning options to fill gaps</b>			
<b>Yellow</b>	<b>FSAU</b> See milestone 7.		<b>5/16/2003</b>	<b>00</b>
<b>8</b>	<b>Align Manager Excellence Training to focus on Performance and Accountability</b>			

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status Date</b>	<b>%Complete</b>
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<b>Yellow</b>	<b>FSAU</b>	<b>5/16/2003</b>	<b>50</b>
<p>Delays caused by the need to revise the Statement of Objectives for Leadership Excellence have caused the rescheduling of the first three sessions. As of the date of this report, FSAU is waiting for the contracts office to approve the contractor's proposal in response to the revised SOO that was</p>			

16 CIO *Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality*

1 Identify the strategic focus areas necessary to develop a cohesive enterprise-wide data strategy

<b>Green</b>	<b>CIO</b>	<b>5/16/2003</b>	<b>100</b>
<p>Deliverable 123.1.1 Statement of Strategic Data Focus Areas was completed and accepted on time (submitted 4/30/03, signed 5/14/03 per the task order).</p>			

2 Collaborate with all internal Department stakeholders and external stakeholders to identify business needs and requirements with respect to the data provided to FSA by others, provided by FSA to others, and managed by FSA

<b>Red</b>	<b>CIO</b>	<b>5/16/2003</b>	<b>07</b>
<p>Preliminary meetings to organize and kick-off the Mad Dog effort (123.1.3) have been conducted. However, the delivery date for this effort is likely to be changed to 7/31/03.</p>			

<b>Yellow</b>	<b>FP</b>	<b>5/16/2003</b>	<b>000</b>
<p>As of this reporting period this action item has not begun for FP.</p>			

2.1 Map the current state and future state business flow of data, as applicable, across the enterprise

<b>Red</b>	<b>CIO</b>	<b>5/16/2003</b>	<b>10</b>
<p>Meetings to discuss the As-Is Data flows deliverable (123.1.2) are scheduled with key business and process owners throughout the month of May. The delivery date for 123.1.2 As-Is Data Flows is expected to be changed to 6/30/2003. Deliverable 123.1.1 Statement of Strategic Data Focus Areas was completed and accepted on time (submitted 4/30/03, signed 5/14/03 per the task order). This document is the basis for Deliverable 123.1.4 which will provide the target state assessment for integration of business data. However, the due date per the task order for this deliverable is</p>			

<b>Red</b>	<b>FP</b>	<b>5/16/2003</b>	<b>15</b>
<p>Enterprise Data Strategy Meetings are currently being held to map the current state of FSA's data process flows. These meetings have been very successful in achieving their objectives. Meeting to map current state for FP data mart and portal is scheduled for 05.23.03.</p>			

2.1.1 Inventory legacy/operational data, including different databases and data stores, to identify redundancy

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Status</b>	<b>Date</b>	<b>%Complete</b>
<b>Red</b>	<b>CIO</b>		<b>5/16/2003</b>	<b>05</b>
	The integrated Data Storage, Management, and Access Strategy Vision is part of the overall Technology Vision and Strategic Plan (123.1.12). Part of this Data Storage, Management, and Access Strategy Vision is to capture the current state of the various data stores and pockets that exist within FSA today. Redundancy within these stores is a mission of the Data Quality Mad Dog. Preliminary meetings to organize and kick-off the Mad Dog effort (123.1.3) have been conducted. However, the delivery date for this effort is likely to be changed to 7/31/03. The final delivery date, per the task order, for the Technology Vision and Strategic Plan is 11/17/2003.			
<b>Red</b>	<b>FP</b>		<b>5/16/2003</b>	<b>00</b>
	Reference milestone 16.2 for status update.			
<b>2.1.2 Determine where business data should be integrated</b>				
<b>Red</b>	<b>CIO</b>		<b>5/16/2003</b>	<b>10</b>
	Deliverable 123.1.1 Statement of Strategic Data Focus Areas was completed and has been accepted (5/14/03). This document is the basis for Deliverable 123.1.4 which will provide the target state assessment for integration of business data. However, the due date per the task order for this deliverable is 10/17/2003.			
<b>Red</b>	<b>FP</b>		<b>5/16/2003</b>	<b>00</b>
	Reference milestone 16.2 for status update.			
<b>2.2 Develop requirements and initial design for Common Identifiers for School and Students.</b>				
<b>Green</b>	<b>CIO</b>		<b>5/16/2003</b>	<b>10</b>
	CSID High Level Design document is on target to be delivered 5/30. CSID Implementation Approach deliverable is due 8/30. RID/Access/Enrollment kickoff meeting held 4/30. Currently compiling current state information and high-level requirements necessary for implementation options. Deliverable 'RID Implementation Options' is due 6/30/03.			
<b>3 Determine Current Data Quality and Establish Target State Plan and Quality Assurance Process</b>				
<b>Red</b>	<b>CIO</b>		<b>5/16/2003</b>	<b>07</b>
	Preliminary meetings to organize and kick-off the Mad Dog effort (123.1.3) have been conducted. However, the delivery date for this effort is likely to be changed to 7/31/03. The Mad Dog effort is a major component of the Quality Assurance Plan. This plan for the Quality Assurance process will be delivered as part of deliverable 123.1.5 which is not due, per the task order, until 11/17/2003.			
<b>3.1 Evaluate and identify data quality problems and identify correct source of data</b>				

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status</b>	<b>Date</b>	<b>%Complete</b>
Red		CIO		5/16/2003	07
	Preliminary meetings to organize and kick-off the Mad Dog effort (123.1.3) have been conducted. However, the delivery date for this effort is likely to be changed to 7/31/03. The Mad Dog effort is a major component of the Quality Assurance Plan. This plan for the Quality Assurance process will be delivered as part of deliverable 123.1.5 which is not due, per the task order, until 11/17/2003.				
3.2	Develop a data cleansing plan based on cost, value and urgency				
Red		CIO		5/16/2003	07
	The Mad Dog effort is a major component of the Quality Assurance Plan, which includes suggestions for data cleansing efforts. This plan for the Quality Assurance process will be delivered as part of deliverable 123.1.5 which is not due per the task order, until 11/17/2003.				
3.3	Develop and administer a data quality process which ensures the FSA projects implement enterprise data standards and quality standards				
Red		CIO		5/16/2003	07
	The Mad Dog effort is a major component of the Quality Assurance Plan, which includes suggestions for data cleansing efforts. This plan for the Quality Assurance process will be delivered as part of deliverable 123.1.5 which is not due per the task order, until 11/17/2003. This deliverable will document a plan for implementing standards and processes to maintain quality data, but it is not the execution or administration of this plan.				
3.4	Establish an FSA data quality committee comprised of both business and technical subject matter experts to ensure that enterprise data standards are addressed within each project				
Red		CIO		5/16/2003	07
	The Mad Dog effort is a major component of the Quality Assurance Plan, which includes suggestions for data cleansing efforts. This plan for the Quality Assurance process will be delivered as part of deliverable 123.1.5 which is not due per the task order, until 11/17/2003. This deliverable will document a plan for implementing standards and processes to maintain quality data, but it is not the execution or administration of this plan.				
4	Develop an enterprise-wide extensible markup language (XML) Technical Architecture Framework to enhance data sharing and standardization with our external customers				
Red		CIO		5/16/2003	20
	Meetings and business objective sessions are underway to capture the XML Architecture Framework. The foundation of the XML Framework consists of the XML Strategic Assessment and Enterprise Vision and the XML Technical Reference and Usage Guidelines. These deliverables are currently being drafted. The final delivery date, per the task order, for the Strategic Assessment is 06/30/2003 and for the Technical Reference and Usage Guidelines is 09/30/03. The XML Registry and Repository which is the last component of the framework will be delivered on 10/31/2003.				
4.1	Develop an XML framework including: and FSA XML Vision, XML standards, governance process, methodology, XML technical core components, sector libraries, and schemas				

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status Date</b>	<b>%Complete</b>
<b>Red</b>		<b>CIO</b>	<b>5/16/2003</b>	<b>15</b>
	Meetings and business objective sessions are underway to capture the XML Architecture Framework. The foundation of the XML Framework consists of the XML Strategic Assessment and Enterprise Vision and the XML Technical Reference and Usage Guidelines. These deliverables are currently being drafted. The final delivery date, per the task order, for the Strategic Assessment is 06/30/2003 and for the Technical Reference and Usage Guidelines is 09/30/03. The XML Registry and Repository which is the last component of the framework will be delivered on 10/31/2003.			
<b>4.2</b>	<b>Develop an integrated sequencing plan that identifies when and how each initiative will implement the XML framework as part of an overall enterprise data integration plan</b>			
<b>Red</b>		<b>CIO</b>	<b>5/16/2003</b>	<b>14</b>
	Meetings and business objective sessions are underway to capture the XML Architecture Framework. The foundation of the XML Framework consists of the XML Strategic Assessment and Enterprise Vision and the XML Technical Reference and Usage Guidelines. These deliverables are currently being drafted. The final delivery date, per the task order, for the Strategic Assessment is 06/30/2003 and for the Technical Reference and Usage Guidelines is 09/30/03. The XML Registry and Repository which is the last component of the framework will be delivered on 10/31/2003.			
<b>4.3</b>	<b>Establish Common Record XML format</b>			
<b>Red</b>		<b>CIO</b>	<b>5/16/2003</b>	<b>20</b>
	Meetings and business objective sessions are underway to capture the XML Architecture Framework. The foundation of the XML Framework consists of the XML Strategic Assessment and Enterprise Vision and the XML Technical Reference and Usage Guidelines. These deliverables are currently being drafted. The final delivery date, per the task order, for the Strategic Assessment is 06/30/2003 and for the Technical Reference and Usage Guidelines is 09/30/03. The XML Core Component Dictionaries which establish the Common Record XML format will be delivered on 08/29/2003.			
<b>4.4</b>	<b>Identify initial requirements and initial design for XML Institutional Student Information Report (ISIR)</b>			
<b>Green</b>		<b>CIO</b>	<b>5/16/2003</b>	<b>50</b>
	The Draft XML ISIR Schema was completed and submitted to FSA on 04/11/03. The schema has been posted to IFAP and we are currently waiting for feedback. The schema may also be revised based on preliminary work done for the XML Core Component Dictionaries. The final XML ISIR Schema will be submitted on 07/31/03.			
<b>5</b>	<b>Develop integrated Data warehouse and data mart strategy</b>			
<b>Red</b>		<b>CIO</b>	<b>5/16/2003</b>	<b>05</b>
	Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy components of the Data Strategy. The integrated Data Storage, Management, and Access Strategy (123.1.10) is a key portion of this series of meetings. The final delivery date, per the task order, for the Data Storage, Management, and Access Strategy is 9/30/2003.			

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Channel</b>	<b>Status</b>	<b>Date</b>	<b>%Complete</b>
5.1	Develop Data Warehouse and Data Mart Vision				
Red		CIO		5/16/2003	05
	Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy Vision portion of the overall Data Strategy. The integrated Data Storage, Management, and Access Strategy Vision is part of the overall Technology Vision and Strategic Plan (123.1.12). The final delivery date, per the task order, for the Technology Vision and Strategic Plan is 11/17/2003.				
5.2	Develop Data Warehouse and Data Mart Framework				
Red		CIO		5/16/2003	05
	Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy components of the Data Strategy. The integrated Data Storage, Management, and Access Strategy (123.1.10) is a key portion of this series of meetings. The final delivery date, per the task order, for the Data Storage, Management, and Access Strategy is 9/30/2003.				
5.3	Develop technical standards and guidelines				
Red		CIO		5/16/2003	05
	Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy Vision portion of the overall Data Strategy. The final delivery date, per the task order, for the Technology Vision and Strategic Plan (123.1.12) is				
5.4	Develop a strategy for enterprise wide data sharing and distribution (data storage, management and access rights)				
Yellow		CIO		5/16/2003	05
	Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy components of the Data Strategy. The integrated Data Storage, Management, and Access Strategy (123.1.10) is a key portion of this series of meetings. The final delivery date, per the task order, for the Data Storage, Management, and Access Strategy is 9/30/2003.				
6	Develop technical standards, conventions, and data management guidelines				
Red		CIO		5/16/2003	05
	Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy components of the Data Strategy. The integrated Data Storage, Management, and Access Strategy (123.1.10) is a key portion of this series of meetings. The final delivery date, per the task order, for the Data Storage, Management, and Access Strategy is 9/30/2003.				

**Priority# Channel Action Item**

<b>Milestone#</b>	<b>Action Item</b>	<b>Status</b>	<b>Channel</b>	<b>Status Date</b>	<b>%Complete</b>
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7	Review Security and Privacy Procedures and revise as necessary				
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<b>Red</b>	<b>CIO</b>			<b>5/16/2003</b>	<b>14</b>
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Security and Privacy procedures are an integral part of any Data Strategy effort and attention to Security and Privacy implications will play a part in all of the TO 123 Deliverables. However, 124 TO - Technical Security Architecture, will be the primary TO delivering information to cover this performance plan area. Where applicable, business objectives related to security and security implications, arising from Business Objective gathering sessions being conducted for Overall Data Strategy, Technical Strategies and XML, are being captured and shared with the TO 124 team.

8	Develop an enterprise web services/portal strategy				
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<b>Red</b>	<b>CIO</b>			<b>5/16/2003</b>	<b>05</b>
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Meetings and business objective sessions are underway, and more are scheduled through June, to capture the Technical Architecture Strategy components of the Data Strategy. The Web Services and Web Portals Strategies (123.1.7 and 123.1.8) are key portions of this series of meetings. The final delivery date, per the task order, for the Web Services and Web Portals Strategies is 8/29/2003.

21 STU Define strategy for EDEExpress reengineering

1	Define Strategy for EDEExpress reengineering				
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<b>Green</b>	<b>STU</b>			<b>5/16/2003</b>	<b>05</b>
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This task order has just been approved and Pearson has been notified that it is funded. Requesting schedule from Pearson.

25 CIO Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002

3	Procure additional EA tool licenses, tool maintenance				
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<b>Green</b>	<b>CIO</b>			<b>5/16/2003</b>	<b>10</b>
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5/02 - The task order was awarded. Developing a project plan with revised due dates. We submitted the software to the ED/CIO Technical Review Board and received approval to install the existing licenses on EDNET desktops. The ED/CIO Assistive Technology Group will not allow us to purchase additional licenses until the product is 508 compliant. We continue to work with the Popkin staff and Assistive Technology Group to resolve the outstanding items. We have a project plan and timeline for completing the remaining items. 5/08 - This item is on hold until the 508 issues are resolved. ED/CIO will not allow procurement of additional licenses until Popkin makes significant progress in making their product 508 compliant.

4	Develop a process flow to manage the information in the FSA enterprise architecture				
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**Priority# Channel Action Item**

<i>Milestone#</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>%Complete</i>
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Green	CIO		5/16/2003	10
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5/08 -- Denise is currently revising the project plan and due dates to accomodate the delay in contract award.

5	Develop the requirements and technical product to export the FSA enterprise architecture contents to the Department of Education			
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Green	CIO		5/16/2003	10
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5/08 - This item is on hold until the 508 issues are resolved. ED/CIO will not allow procurement of additional licenses until Popkin makes significant progress in making their product 508 compliant.

31 CIO Support ED, customers and business partners by participating in the President's Management Agenda E-Government initiatives

1	E-Gov Support:Implement & participate in cross-gov initiatives includng eLoans proj mgmt (Pres Mgmt Council E-Gov initiative),participation in E-Authentication & devel e-Commerce solutions for FSA paper processes & promoting standards in student aid			
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Green	CIO		5/16/2003	45
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On May 13, Maximus was awarded the eLoans Phase 2 project management support contract. Maximus should be on-board by May 19. On May 9, the Department of Labor's CIO, Pat Pizzella, Assistant Secretary for Management, requested a briefing from the eLoans Gateway team about the Gateway vision, business case, and project plan. The Statement of Work for the eLoans gateway focus groups was advertised this week. The proposals are due by May 23. The vendor will conduct focus groups with loan customers (students, farmers, small business owners, veterans and home buyers) who will navigate through a prototype of the gateway. Focus groups will be conducted in July

2	Cross Agency Web Support:Support operations & usability of existing interagency web portals inclndg students.gov (student-centered interagency Web portal partnered w/FirstGov.gov) & StudentJobs.gov (student-centered interagency Web portal partnered w/OPM)			
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Green	CIO		5/16/2003	50
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On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03. (no change)