

Bi-Weekly Report as of August 8, 2003
FSA Performance Plan – FY2003



FEDERAL
STUDENT AID

SUMMARY

COMPLETED PROJECTS

- Project 4 (*Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives*) is completed. The COD Financial Controls Review and Post Production Validation of COD documentation has been received.

GREEN LIGHT

- Project 7 (*Implement Form 2000 enhancements needed since original deployment in October 2000*) was completed successfully as scheduled on August 3, 2003. Post-production validation and verification has been performed and the related documentation is being reviewed by FSA management for final approval.
- The internal controls for Project 12 (*Develop and implant proper internal controls to insure the acquisition process supports the business needs and success of FSA*) have been established, but continue to evolve as the Department undertakes a broad rewrite of acquisition directives.
- The overall Data Strategy task order for Project 16 (*Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, access methods, and quality*) is on track. Significant progress has been made and regular updates have been provided to the BIG, FSA Leadership, and the community. A focus group and several presentations are planned for the upcoming Software Developers Conference.

YELLOW LIGHT

- Project 14 (*Develop and/or implement workforce alignment initiatives and actions to support FSA performance goals and One-ED objectives*) is awaiting response from OGC on the career counseling interagency agreement's statement of work. It has been at OGC for two weeks.

RED LIGHT

- Project 2 (*Develop and execute an FY '03 plan to get off the High-Risk List*) reports that although FSA will not get off the High-Risk List in FY '03, we are on track in execution of the FY 2003 plan to get off of the High-Risk List in FY '05.

NOT REPORTED

- There was no update provided for Project 21 (*Define strategy for EDEExpress reengineering*).

DATE CHANGE REQUEST

- Completion date change for the Financial Partner Data Mart Phase 3 has been moved to October 10, 2003, with COO approval. This is a milestone of Project 11 (*Enhance Program Monitoring and Oversight*)

CANCELLED PROJECTS

- No projects were reported under this category for this reporting period.

ACTION PLAN STATUS AT A GLANCE

as of August 8, 2003

FSA No.	Indicator	Status
1	Obtain a clean audit opinion on FSA's financial statements	✓
2	Develop and execute an FY03 plan to get off the GAO High Risk List	Ⓜ
3	Reconcile FSA accounts to Department's general ledger within 30 days after month-end close by 9/30/03	✓
4	Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives	✓
5	Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process	Ⓜ
6	Address material weaknesses and reportable conditions in audits	✓
7	Implement Form 2000 enhancements needed since original deployment in October 2000	Ⓜ
8	Determine the scope of the Debt Management Collection System (DMCS) reengineering	✓
9	Improve school's fund management, reconciliation, and close out processes	Ⓜ
10	Stabilize the Lender Application Process (LAP) and Lender Reporting System(LaRS)	✓
11	Enhance Program Monitoring and Oversight	Ⓜ
12	Develop and standardize an integrated contract management approach that utilizes performance measures that are directly linked to the business case objectives	Ⓜ
13	Create an Enterprise-level dashboard of productivity and performance metrics	✓
14	Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives	Ⓜ
15	Implement integrated project management oversight for FSA's system integration initiatives	✓
16	Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality	Ⓜ
17	Analyze Personal Identification Number (PIN) issues related to enterprise wide management/architecture strategy	Ⓜ
18	Create an overall FSA integrated security and privacy architecture	Ⓜ
19	Develop and implement initial phases of an enterprise-wide customer service solution that incorporates best-in-business technology and enhanced business processes	Cancelled
20	Improve the management of student aid through data sharing and consolidating common borrower services and functions	✓
21	Define strategy for EDEExpress reengineering	Ⓜ
22	Develop an outreach strategy for Aid Awareness in support of OPE and tied to "No Child Left Behind"	Ⓜ
23	Upgrade technology and integrate CPS across the enterprise	Ⓜ
24	Conduct NSLDS Analysis and begin phased implementation of solution	Cancelled
25	Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002	Ⓜ
26	Evaluate our participation in all events	Ⓜ
27	Implement Master Promissory Note for PLUS	✓
28	Enhance our cash transaction process to accommodate OCFO procedures	✓
29	Augment Leveraging Educational Assistance Partnerships (LEAP)/Supplemental Leveraging Educational Assistance Partnership (SLEAP) module in FMS to enable direct electronic data exchange with GAPS and enhance the operational abilities	Cancelled
30	Obtain a clean financial opinion for ED through: improving FMS internal processes, programs, controls; supporting reconciliation activities between FSA Operating Partners, FMS, FMSS, GAPS and Treasury; provide integration with FSA reengineered systems; and perform upgrade analysis and implementation of Oracle Federal Financials Release 11i	✓
31	Support ED, customers and business partners by participating in the President's Management Agenda E-Government initiatives	Ⓜ
32	Develop an automated tool to administer budgetary resources for FSA's programs and to provide management with the data to effectively manage those resources	✓

Key:

- On Track
 - Progress Concerns
 - Management Intervention Required
 - ✓ Completed
 - Cancelled
- The project will not be completed in FY 2003 due to a shift in FSA leadership priorities, and therefore, unappropriated resources.

MILESTONE PLAN STATUS AT A GLANCE

as of August 8, 2003

FSA No.	MILESTONE	Status
1	Obtain a clean audit opinion on FSA's financial statements	✓
1.1	Work with the Department to obtain a clean audit opinion	✓
1.2	Work with the Department and auditors to assure full compliance with Federal Financial Managers Improvement Act (FFMIA), Federal Managers Financial Integrity Act (FMFIA), and other laws and regulations	✓
1.3	Work with the Department to correct all material internal control weaknesses identified in the financial statement audit report	✓
1.4	Review internal controls in Financial Management System (FMS) to ensure that data is accurate, reliable, available and timely to maintain adequate stewardship and accountability	✓
1.5	Participate with Budget Services in determining credit reform subsidy estimates	✓
1.6	Action item has been moved to 30.10	
1.7	Assist in the preparation of all Department-wide financial statements	✓
2	Develop and execute an FY03 plan to get off the GAO High Risk List	
2.1	Review GAO Guidance, OMB concerns and FY 2002 High Risk Plan outcomes and status	✓
2.2	Determine necessary action items for FY 2003 and update plan	✓
2.3	Validate plan with FSA Senior Leaders, Department Advisors and share with GAO and OIG	✓
2.4	Finalize plan	✓
2.5	Demonstrate progress in having implemented corrective measures through improved accountability mechanism assuring addresses necessary reporting requirements for Performance Plan, Department's Strategic Plan, MIT and President's Management Agenda	NR
2.6	Develop internal communications infrastructure	NR
2.7	Update plan monthly as necessary	NR
2.8	Meet with and/or inform GAO and OMB on progress and internal monitoring regularly	NR
3	Reconcile FSA accounts to Department's general ledger within 30 days after month-end by 9/30/03	
3.1	Complete FSA reconciliations for FY03 for all Balance Sheet accounts using Standard General Ledger (SGL)	✓
3.2	Transition FSA reconciliations to FSA Accounting Division Staff	✓
3.3	Identify resources and FTE's needed for FY03 reconciliation effort	✓
3.4	Review current reconciliation process to improve audit trail of data from Operating Partners to FMS to Financial Management System Software (FMSS) and from FMS to/from Grant Administration and Payment System (GAPS)	✓
3.5	Develop an automated methodology to reconcile cash transactions in FMS to the Treasury banking system	✓
3.6	Coordinate with OCFO to reconcile all Standard General Ledger (SGL) accounts for FSA appropriations	✓
3.7	Work with FSA system owners and Operating Partners to research and resolve any reconciling differences	✓
3.8	Work with FSA system owners and Operating Partners to resolve any systemic issues	✓
3.9	Enhance FMS to populate all attributes needed for reconciliation	✓
3.10	Enhance FMS to integrate the transaction ID from GAPS	✓
4	Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives	
4.1	Establish board members to include operating and system accountants	Y
4.2	Establish meeting frequency	Y

4.3	Develop account validation framework for system development and ongoing certification of accounting and program systems providing financial management data	✓
4.4	Review all accounting treatment to ensure compliance with Standard General Ledger (SGL) accounting	✓
4.5	Develop framework for measuring whether the accounting validation is working by performing independent post production validation	✓
5	Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process	
5.1	Establish a system development workgroup, including ED-OCFO, FSA-CFO, FSA Management and Program Area, Operating Partners, to develop detail requirements specific to each program area	✓
5.1.1	Review and document each operating system (providing for consistency among and within systems)	✓
5.2	Develop chart of accounts for each operating partner (sub-accounts is pre-requisite) in FMS	✓
5.2.1	Develop Trial Balance at each Operating Partner	G
5.3	Utilize an automated tool to balance and reconcile all financial transactions received from Operating Partners	G
5.4	Work with Operating Partner to balance and reconcile all financial transactions	✓
5.5	Roll up support balances in Financial Statements	✓
5.6	Debt Management Collection System (DMCS) complies with FMS subsidiary ledger reconciliation requirements	G
6	Address material weaknesses and reportable conditions in audits	
6.1	Strengthen financial reporting to ensure full compliance with OMB Circular A-123, Management Accountability and Control, and Credit Reform guidance	✓
6.1.1	Ensure that the newly implemented financial management system supporting FSA's financial management and reporting needs is working effectively and adequately integrated with the Department's general ledger	✓
6.1.2	Use FMSS to produce a general ledger trial balance at the reporting group level or at a consolidated level	✓
6.1.3	Identify, research, and correct account differences and validate proper posting	✓
6.1.4	Minimize use of manual adjustments to correct discrepancies, and maintain sufficient documentation to support accuracy and completeness of adjustments, including their preparation, review and approval	✓
6.2	Prepare/review quarterly and annual financial statements in accordance with OMB form and content guidance 01-09	✓
6.2.1	Follow established policies and procedures for preparation of quarterly financial statements, quarterly analysis of trial balance accounts and quarterly reconciliation of feeder systems to FMS and from FMS to FMSS	✓
6.2.2	Define approaches to corroborate account balances for all significant accounts on a monthly or more frequent basis, as appropriate. Ensure the accuracy of reported account balances	✓
6.2.3	Maintain sufficient documentation to support differences in the subsidiary records and the general ledger records	✓
6.2.4	Review trial balances and financial statements for follow up on unnatural balances in financial statements	✓
6.2.5	Perform proper and timely reconciliation's of financial accounting records. Identify, research, resolve and document reconciliation differences of all significant accounts, both proprietary and budgetary, general ledger (GL) and subsidiary, in a timely manner	✓
6.2.6	Verify accounting processes for FMS' new Lender Reporting System (LaRS)	✓
6.2.7	Prepare quarterly Schedule 9 reports for loans receivable	✓
6.2.8	Use data mining and other approaches to search for duplicate payments and research improper payments that are identified and refine internal controls in response to such efforts	✓

6.3	With the implementation of Common Origination and Disbursement (COD), remind schools that report Pell and DL disbursement data of the requirement to complete verification of selected students	✓
6.4	Improve monitoring mechanism to reduce the repeat of prior material weaknesses and reportable conditions	✓
6.4.1	Review auditor's report on financial statements and participate in the development of plans and actions and milestones to address any outstanding reportable conditions and Management Letter recommendations	✓
6.4.2	Hold regular meetings to review and discuss status of current actions developed to respond to audit report recommendations	✓
7	Implement Form 2000 enhancements needed since original deployment in October 2000	
7.1	Gather user comments and requirements	✓
7.2	Validate and prioritize business requirements/enhancements	✓
7.3	Separate operational corrective actions identified in 2001 and 2002 from enhancements	✓
7.4	Define and compile operational and enhancement requirements	✓
7.5	Add electronic corrections ability	✓
7.6	Validate processing outcomes through user testing and accounting treatment verification	✓
7.7	Begin to integrate enhancements in Data Mart Release III build to create integrated view for scorecard and submission reporting	✓
7.8	Manage work group and Steering Committee for business process corroboration	Cancelled
8	Determine the scope of the Debt Management Collection System (DMCS) reengineering	✓
8.1	Validate whether to recompetete the contract with limited reengineering or pursue increased reengineering through FSA system integration and new technology solution initiatives	✓
8.2	Commence either a contract recompetete or fully reengineering project to redeploy the system	✓
9	Improve school's fund management, reconciliation, and close out processes	
9.1	Reduce time to deliver funds to schools	G
9.2	Identify improvements and fill gaps in current operational procedures related to reconciliation	Y
9.3	Implement systematic notifications and operational procedures related to disbursement to drawdown ratios	✓
9.4	Retire Pell's Recipient Financial Management System (RFMS) and the Direct Loan Origination System (DLOS)	G
10	Stabilize the Lender Application Process (LAP) and Lender Reporting System(LaRS)	
10.1	Validate submissions and financial processing through regression testing	✓
10.2	Verify the full performance functionality of the extensions built in Oracle Financial Management System (FMS)	✓
10.3	Establish a CFO/Financial Partners operational management and integration work group	✓
11	Enhance Program Monitoring and Oversight	
11.1	Develop and deliver an Enterprise-wide Program Monitoring Plan	✓
	Student Eligibility	
11.2	Student Applicant and Disbursement Data	
11.2.1	Develop a plan to continue to identify, measure and monitor applicant and payment error	✓
11.2.2	Implement Phase I of the plan	G
11.2.3	Develop and deliver training to staff on needs analysis, verification and conflicting documentation	G
	School Oversight	
11.3	Integrate School Information Systems	
11.3.1	Reengineer and streamline Case Management and Oversight (CMO) business processes to reduce decision making timeframe and achieve increased consistency of outcomes	G

11.3.1.1	Implement electronic audits and financial statements	✓
11.3.1.2	Define requirements and conceptual design for workflow tool to facilitate processes (most likely Postsecondary Education Participation System (PEPS) reengineering)	G
11.3.2	Define measures for compliance/ oversight activities to demonstrate the effectiveness of case management including technical assistance	✓
11.3.3	External Training	G
11.3.3.1	Plan, design and build a "100 Series" of basic training for schools	G
11.3.3.2	Develop and deliver a program integrity training for schools through a combination of videoconferences , web-based training, and classroom experiences	G
11.4	Partner Oversight	
11.4.1	Enhance the use of available financial partner data by implementing Phase III of the Financial Partners Data Mart	G
11.4.2	Continue enhanced oversight of lenders/servicers and guarantee agencies through improved consistency and risk management tools	G
11.4.3	Check and analyze the quarterly submissions for all quarters in FY03 for accuracy and proper accounting treatment and funding transactions	G
11.4.4	Coordinate submissions, outcomes, remittance processing, and data quality with regional reviews initiative scheduled for FY03	G
11.5	Risk Management and Default Prevention Strategies	
11.5.1	Demonstrate improved risk management and default prevention strategies	✓
12	Develop and implement proper internal controls to insure the acquisition process supports the business needs and success of FSA	
12.1	Document internal controls and procedures	✓
12.1.1	Align internal controls and procedures with investment management practices	✓
12.1.2	Provide training and support to all stakeholders on internal controls and procedures	✓
12.1.3	Implement internal controls and procedures across all projects	✓
12.1.4	Continuously monitor adherence and appropriateness of internal controls and procedures	✓
12.2	Develop a comprehensive Procurement Plan which enumerates: -Business needs -Existing programs needs -Contracts supporting each program need -The interplay of both programs and contracts -Expiration dates of contracts -Sequence under which contracts must be renewed to support program needs -Vendors and technologies best suited to meet FSA program needs -Business reengineering efforts underway or contemplated, which may impact existing programs	G
12.3	Develop Acquisition human resources	Y
12.3.1	Identify Acquisition staffing requirements	✓
12.3.2	Conduct training needed to develop FSA acquisition managers	R
12.3.3	Establish Pre-award Acquisition Expert Teams to support program managers pursuing new procurements	Y
12.4	Establish an Enterprise-wide contract performance and property monitoring process for FSA	G
13	Create an Enterprise-level dashboard of productivity and performance metrics	✓
13.1	Develop a plan to identify metrics that are auditable, repeatable, can measure the quality of business services and delivery at the aggregate and service delivery level, and are compliant with the Statement of Federal Finance Accounting Concepts and Standards (SFFACS), where appropriate	✓
13.2	Implement Phase 1 of this plan	✓
14	Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives	
14.1	Begin to align with/participate in One-ED	Y

14.2	Establish organization alignment teams to work across channels to determine/execute the to-be-state	NR
14.3	Define HR systems requirements and work with ED (Time and Attendance, Retirement)	NR
14.4	Align functions, unit configuration, and work responsibilities with new system integration and technology solutions	G
14.5	Expand Career Zone (CZ) offerings to include new system and technology solutions	G
14.5.1	Expand Career Zone offering to include Title IV basics - programs, delivery system, negotiated rulemaking. Build and deliver "Partnering for Performance" sessions	G
14.6	Review, update and use the FSA skills catalog	G
14.6.1	Review and update FSA skills catalog	G
14.6.2	Conduct gap analyses based on skills catalog (business units TBD)	G
14.6.3	Provide learning opportunities to fill skills gaps	G
14.7	Develop learning track for case management teams	G
14.7.1	Conduct research and analysis of skills needed to perform specific jobs	G
14.7.2	Create the curriculum, focusing on "data driven" approach	G
14.7.3	Conduct skills assessment	G
14.7.4	Provide learning options to fill gaps	Y
14.8	Align Manager Excellence Training to focus on Performance and Accountability	✓
14.9	Assess the feasibility of implementing web-based Performance Management Process (PMP) that incorporates Education Department Performance Appraisal System (EDPAS) and Individual Develop Plan (IDP)	✓
14.10	Add the career counseling component to FSA Career Zone	NR
14.10.1	Obtain adequate funding	✓
14.10.2	Determine the order of magnitude of the impacted employees	NR
14.10.3	Identify relevant employee support needs	NR
14.10.4	Work with Acquisitions and Contract Performance (ACP) to develop Statement of Work (SOW) and the feasibility of interagency agreements.	NR
14.10.5	Award contract	NR
14.10.6	Develop/execute communications plan	NR
14.11	Ensure availability of physical space for service providers	NR
15	Implement integrated project management oversight for FSA's system integration initiatives	
15.1	Provide program management support for FSA system integration and new technology solution initiatives	✓
15.1.1	Issue a task order under the Modernization Partner Contract to ensure continued support for integration, leadership, direction setting, reporting and contract/subcontract management	✓
15.2	Formalize FSA Integration Group	✓
16	Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality	
16.1	Identify the strategic focus areas necessary to develop a cohesive enterprise-wide data strategy	✓
16.2	Collaborate with all internal Department stakeholders and external stakeholders to identify business needs and requirements with respect to the data provided to FSA by others, provided by FSA to others, and managed by FSA	G G
16.2.1	Map the current state and future state business flow of data, as applicable, across the enterprise	G G
16.2.1.1	Inventory legacy/operational data, including different databases and data stores, to identify redundancy	G G
16.2.1.2	Determine where business data should be integrated	G G
16.2.2	Develop requirements and initial design for Common Identifiers for School and Students.	G G
16.3	Determine Current Data Quality and Establish Target State Plan and Quality Assurance Process	G

16.3.1	Evaluate and identify data quality problems and identify correct source of data	G
16.3.2	Develop a data cleansing plan based on cost, value and urgency	G
16.3.3	Develop and administer a data quality process which ensures the FSA projects implement enterprise data standards and quality standards	G
16.3.4	Establish an FSA data quality committee comprised of both business and technical subject matter experts to ensure that enterprise data standards are addressed within each project	G
16.4	Develop and enterprise-wide extensible markup language (XML) Technical Architecture Framework to enhance data sharing and standardization with our external customers	G
16.4.1	Develop an XML framework including: and FSA XML Vision, XML standards, governance process, methodology, XML technical core components, sector libraries, and schemas	G
16.4.2	Develop and integrated sequencing plan that identifies when and how each initiative will implement the XML framework as part of an overall enterprise data integration plan	G
16.4.3	Establish Common Record XML format	G
16.4.4	Identify initial requirements and initial design for XML Institutional Student Information Report (ISIR)	✓
16.4.5	Complete development activities to implement XML for ISIR for 2004-05	Y
16.5	Develop integrated Data warehouse and data mart strategy	G
16.5.1	Develop Data Warehouse and Data Mart Vision	G
16.5.2	Develop Data Warehouse and Data Mart Framework	G
16.5.3	Develop technical standards and guidelines	G
16.5.4	Develop a strategy for enterprise wide data sharing and distribution (data storage, management and access rights)	G
16.6	Develop technical standards, conventions, and data management guidelines	G
16.7	Review Security and Privacy Procedures and revise as necessary	G
16.8	Develop an enterprise web services/portal strategy	G
17	Analyze Personal Identification Number (PIN) issues related to enterprise wide management/architecture strategy	
18	Create an overall FSA integrated security and privacy architecture	
18.1	Convene FSA security and privacy architecture working group and provide support for meetings and deliberations	✓
18.2	Review past work plan and update the plan with the latest business and technical developments that are applicable	NR
18.3	Integrate FSA needs with Departmental and government wide initiatives (e.g. Critical Infrastructure Protection, Enterprise Architecture, eAuthentication, etc.)	R
18.4	Recommend technical approaches to each of the security services (e.g., encryption, authentication, etc.) required by FSA (and partner organizations)	✓
18.5	Identify and estimate the cost of all FSA projects that will require modification to fit within the proposed enterprise security/privacy standards	✓
18.6	Implement one or more proof-of-concept infrastructure improvements, with specific intention to develop an identity management infrastructure (enrollment, use of school or lender XML identity credentials, Single Sign-On) to support business-facing (school/lender/GA, not Personal Identification Number-based (PIN-based) student/borrower) applications	NR
19	Develop and implement initial phases of an enterprise-wide customer service solution that incorporates best-in-business technology and enhanced business processes	
19.1	Develop a common platform to manage customer interactions	Cancelled
19.2	Link the common platform to pre-attending, attending, and post-attending	Cancelled
19.3	Implement an integrated customer view	Cancelled
19.4	Implement a process to continuously improve products and services based on	Cancelled
19.5	Develop and implement a workforce transformation strategy aligned with overall	Cancelled
19.6	Develop an enterprise-wide knowledge management tool for Operating Partners,	Cancelled
19.7	Ensure common platform conforms to security and privacy policies	Cancelled
19.8	Move system of record ownership for student demographic data from legacy	Cancelled
19.9	Develop Customer Service/Interaction business processes and data model, and	Cancelled
19.10	Link the common platform to schools and financial partner customers, which	Cancelled

19.11	Develop a standard process to manage both control and non-control mail to	Cancelled
19.12	Customer interaction analysis	Cancelled
19.13	Improve Customer Service for Schools	Cancelled
19.13.1	Implement New Tool for Customer Service Call Center (CSCC)	Cancelled
19.13.2	Recommendations for consolidated customer service functions	Cancelled
19.13.3	Implement transfer capability between key schools channel delivery call centers	Cancelled
19.13.4	Begin to implement enhanced functional interactive voice response (IVR)	Cancelled
19.13.5	Use data to identify trends from back-end systems and customer service	Cancelled
19.13.6	Perform analysis of work already complete towards knowledge repository (e.g.	Cancelled
19.13.7	Implement enhancement to schools portal through integrated views & business	Cancelled
19.14	Complete & make operational the Program Development Division's (PDD's)	Cancelled
19.14.1	Contract for imaging services	Cancelled
19.14.2	Implement plan for profiling of imaged documents	Cancelled
19.14.3	Deploy Knowledge Data Base	Cancelled
20	Improve the management of student aid through data sharing & consolidating common borrower services & functions	
20.1	Identify common functions in Servicing, Consolidation, & Collections	✓
20.2	Identify interdependencies & constraints for integration of common services	✓
20.3	Implement quick hits	✓
21	Define strategy for EDEExpress reengineering	
21.1	Define Strategy for EDEExpress reengineering	G
22	Develop an outreach strategy for Aid Awareness in support of OPE & tied to "No Child Left Behind"	
22.1	Analyze current Student Aid Awareness pubs & costs, & validate strategy	G
22.2	Determine additional portal functionality	Y
22.3	Design & build Release 3 of Students Portal to incorporate revised publications &	Y
23	Upgrade technology & integrate CPS across the enterprise	
23.1	Develop a plan for upgrading CPS	✓
23.2	Requirements & design for upgrading CPS	G
24	Conduct NSLDS Analysis & begin phased implementation of solution	
24.1	Deliver NSLDS Action Plan	Cancelled
24.2	Begin to implement the detailed technical hardware & software design of the	Cancelled
24.3	CIO will begin the effort to re-platform the NSLDS	Cancelled
24.4	Complete the technical hardware & software re-platform	Cancelled
25	Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002	
25.1	Implement the OIG recommendations to strengthen the linkages between	G
25.2	Continue to populate, validate the information in the enterprise architecture	G
25.3	Procure additional EA tool licenses, tool maintenance	G
25.4	Develop a process flow to manage the information in the FSA enterprise	G
25.5	Develop the requirements & technical product to export the FSA enterprise	G
26	Evaluate our participation in all events	
27	Implement Master Promissory Note for PLUS	
27.1	Implement PLUS electronic Master Promissory Note (eMPN) for DL	✓
28	Enhance our cash transaction process to accommodate OCFO procedures	
28.1	Work with Operating Partners, Contractors & OCFO to establish transaction-	✓
28.2	Work with Operating Partners & Financial Institutions to identify & correct	✓
28.3	Enable FSA to identify differences before month-end & reduce Treasury	✓
28.4	Develop & automated methodology to reconcile cash transactions in FMS to the	✓
29	Augment LEAP/SLEAP module in FMS to enable direct electronic data exchange with GAPS & enhance the operational abilities	
29.1	Solicit & compile community/user requirements	Cancelled
29.2	Meet & define Financial Management System (FMS) to GAPS connectivity &	Cancelled
29.3	Test & validate the processing stream & edits with internal & external participants	Cancelled
29.4	Define an operational work group comprised of FSA CFO, Financial Partners,	Cancelled
29.5	Define strategy to enable additional reporting capabilities	Cancelled
29.6	Provide feeders to Financial Partners Data Mart in conjunction with Release III	Cancelled
30	Obtain a clean financial opinion for ED through: improving FMS internal processes, programs, controls; supporting reconciliation activities between FSA Operating Partners, FMS, FMSS, GAPS & Treasury; provide integration with FSA reengineered systems; & perform upgrade analysis & implementation of Oracle Federal Financials Release 11i	

30.1	Upgrade to Oracle Database 11i	✓
30.2	Integrate the transaction ID from GAPS	✓
30.3	Enhance FMS Operations processing	✓
30.4	Implement Federal Administrator	Cancelled
30.5	Implement Guaranty Agency Forms 2000 Enhancements	✓
30.6	Implement Program Change Requests	✓
30.7	Implement FSA/Title IV Web-based (Draw-down) Capability & Award Processes	Cancelled
30.8	Integrate & interface with Debt Management & Collection System (DMCS)	Cancelled
30.9	Complete Tier 2 & Tier 3 of Headquarters' Oracle Implementation Approach	Cancelled
30.10	Enhance 'Splitter' process in Financial Management System (FMS). Review FMS and Accounting Division Operations processing	✓
31	Support ED, customers & business partners by participating in the President's Management Agenda E-Government initiatives	
31.1	E-Gov Support: Implement & participate in cross-gov initiatives including eLoans	Ⓞ
31.2	Cross Agency Web Support: Support operations & usability of existing interagency	Ⓞ
31.3	New R&D: Provide support for pilot tests, proofs of concept, research, white	Cancelled
32	Develop an automated tool to administer budgetary resources for FSA's programs & to provide management with the data to effectively manage those resources	
32.1	Determine requirements for program fund management that are consistent with	✓
32.2	Investigate options that are compatible with Oracle financials, will integrate with	✓
32.3	Select an option that meets reqmnts, test for ability to meet reqmnts & decide	✓
32.4	Implement pilot based on decision	✓

Key:

-  On Track
-  Progress Concerns
-  Management Intervention Required
-  Completed

Cancelled The project will not be completed in FY 2003 due to a shift in FSA leadership priorities, and therefore, unappropriated resources.

Appendix A - Project Status Report

Priority#	Channel	Action Item	Status Date	Progress Summary	% Complete
1	CFO	Obtain a clean audit opinion on FSA's financial statements			
Green					
			4/18/2003	COMPLETED	100
			2/11/2003	Both the Department and FSA received a clean opinion on the FY'02 audit. Multiple action plans and efforts will be put into place during FY'03 to improve our processes, analysis and reconciliations to enhance our probability of receiving a clean audit in FY'03.	100
			2/11/2003	Corrective action plans for findings of material weaknesses and internal control issues are due in 60 days.	100
				First quarter financial statements are due to CFO Monday, February for review and analysis. These statements are due to OMB by February 15th.	
			1/27/2003	Clean audit opinion was rendered on 1/27/03	100
			1/10/2003	Currently working with OCFO to achieve clean audit opinion. Ernest & Young (auditors) has delivered the official draft reports to the Office of Inspector General (OIG) for review. OIG will deliver the official draft reports to OCFO/FSA January 10th. ED's consolidated written comments are due to OIG/E&Y by January 16th.	95
			12/27/2002	Currently working with OCFO to achieve clean audit status	90
2	COO	Develop and execute an FY03 plan to get off the GAO High Risk List			
Red					
			8/8/2003	Will not meet goal of getting off of the high-risk plan in FY 2003, because of GAO's desire for the Department to demonstrate sustainability of the financial management improvements, BUT are on track in execution of the FY 2003 plan to get off of the GAO High Risk List. Addressing financial management, systems integration, customer satisfaction, program integrity issues. The FSA Consistent Data Strategy Framework is on track for its November 17, 2003 deliverables which are key to the project.	80

Priority#	Channel	Action Item	Status Date	Progress Summary	% Complete
			6/28/2003	<p>Although a plan has been developed to get off of the GAO High Risk List, this item is being considered red because the goal of getting of the list in FY 2003 cannot be accomplished because of GAO not agreeing to reconsider the January 2003 designation.</p> <p>However, the high risk plan is being executed. Improvements, monitoring and reporting of financial management, systems integration, customer satisfaction and program integrity are continuing. One item of concern in the current performance plan is that the dates for the finalization of the FSA Consistent Data Strategies are going to be missed and revised dates have not yet been agreed to by management. This project is particularly important to the high risk plan because of its implications for systems integration as a whole, and because of its particular focus on an agreed to data quality framework for FSA as an enterprise.</p>	75
			6/13/2003	<p>Will not meet goal of removing the SFA programs from the GAO High Risk List in FY 2003.</p> <p>June 9, 2003 Letter from David Walker, GAO, stated that they decline to commit to reconsider their January 2003 decision to classify the SFA programs as high risk.</p> <p>--Is not their policy to address high-risk designations out of cycle [provide reports to Congress every two years Jan 2001, Jan 2003, etc.] -----Key reason is because it allows time between assessments to demonstrate the sustainability of corrective measures -----Worried about precedent it would set and possible significant unplanned use of resources that would adversely affect their ability to meet congressional mandates and requests on time --Largely because of the remaining financial management issues, believed that in their independent and professional judgment that as of January 2003, the SFA programs did not fully satisfy the criteria for removal from the high-risk list. However, will continue to use Project and Performance Measurement System to measure progress on addressing issues important to the designation: --Meeting Financial Management PMA goals and sustaining a clean opinion. --Continuing the good work started and meeting Human Resources and Information Technology and other PMA goals --Sustaining and even making more improvements in FSA to have a balanced management approach as it is modernizing and integrating its systems and processes. --Continuing open dialog with GAO and providing the PMA Initiative updates quarterly and providing FSA specific information frequently and other briefings as appropriate [GAO accepted our offer for briefings].</p>	70
			5/30/2003	<p>Item remains yellow until the Department has assurance that GAO will reconsider the January 2003 SFA Programs high-risk designation. GAO stated they should provide a response by 6/6/03. If receive a positive response, anticipate briefings on One-ED and Financial Performance will be held in June. Discussions continuing with OMB regarding the Pell erroneous payments reduction % - OMB requesting move to under 2.5% for FY 2004, Department holding to 3.1% as outlined in Performance Plans (significant reduction not on the horizon until the IRS Tax Code is revised to allow the appropriate match of income information).</p>	65
			5/16/2003	<p>During this period GAO met internally regarding the Secretary's May 2, 2003, request that the SFA Program high-risk designation be reconsidered. A formal response is being drafted by GAO. Discussions are ongoing regarding the OMB reporting document to support OMB's monitoring of status on the PMA SFA program specific initiative through July 1, 2004. OMB and the Department continue to be work the proposed legislative changes to the IRS Tax Code to assist in an income verification match. A meeting with OMB was held where OMB requested the schedule for a data strategy framework dependent item (single number). Various other meetings have been held with OMB/GAO/IG regarding issues that will continue to support efforts to improve program integrity. This item will remain yellow until the Department has assurance that GAO will provide an interim assessment prior to the end of the fiscal year.</p>	60

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			4/18/2003	Secretary letter to GAO requesting reconsideration of SFA program high-risk designation finalized and in clearance process. Status will remain yellow until a response is received from GAO regarding the reconsideration. As a result of a meeting with OMB, developed a reporting document (7104 Plan) to support OMB's monitoring of the status of the President Management Agenda SFA Program specific initiative. Auditor selected for Financial Statement audit and milestones established to provide auditors with interim March 31, 2003 financial statements with supporting reconciliations and analysis of significant account balances. Enterprise Architecture briefing to be held for the IG, similar to the briefings held for OMB and GAO, and the Data Strategy Framework Kick-off meeting was held. Default Management and Prevention Strategies project on track to inform Five Year Performance Plan, and meeting scheduled with the IG to discuss the risk in the SFA programs.	50
			4/4/2003	Hold	30
			3/21/2003	Discussions, validation and refining of strategy held between COO and Deputy Secretary. Briefings with GAO to be held on areas raised in GAO's January 2003 report to demonstrate FSA/Department have addressed or have plans and management in place to address. Issues deal with financial management, enterprise architecture, systems modernization, maintaining program integrity, the prevention and collection of defaulted loans and human capital management issues. The first briefing was held March 17, 2002 covering the Department's Enterprise Architecture. The joint presentation by ED-OCIO and FSA-CIO was well received and well attended by FSA and Department management representatives.	30
			3/7/2003	Letter for the Secretary's signature requesting reconsideration provided to participants at Performance Meeting and to the Channel Heads for comment. The letter was also provided to MIT, the DepSec's and Legislation as a head's up and in support of 3/12/2003 Hoeksra Hearing proposed actions to address the high-risk designation. The updating of the FSA Five Year Plan (to include the FY 2003 Annual Plan), the timely submission of the FY 2002 Annual Report (to include the list of accomplishments against all the FSA projects in the FY 2002 plan), the continued improvements to the FSA financial management infrastructure and processes and procedures, finalization of the Default Management Strategies, continued/enhanced emphasis on program monitoring, timely development of the FSA Consistent Data Framework, meeting modernization/integration/enterprise architecture requirements/goals and assuring that FSA is fully engaged in One-ED and addressing Human Capital future needs is key to success of this effort.	25
			2/7/2003	FSA was on GAO's High Risk List for FY 2003, issued January 30, 2003, supported by work ending and ongoing through December 2002. In January, FSA received a clean opinion on its FY 2003 financial statement audit - thus the major obstacle for being removed from the GAO High Risk List has been overcome, although not timely enough to affect the January 2003 GAO High Risk designation. However, the FSA is requesting a reconsideration of the designation in FY 2003, in consideration of the clean audit, the plans for continued financial management improvement, and the commitment by management to address the issues, as demonstrated in the FSA and Department Annual Plans, the Department's Strategic Plan and other more detailed plans. This item will remain yellow until we have assurance that GAO will provide us with an interim assessment. It will turn red if GAO decides not to provide us with an interim assessment. At this time the COO of GAO knows that there will be a formal request and is open to discussing the request internally.	25
			1/24/2003	Although the draft financial statement audit report indicates that FSA is going to receive a clean opinion (thus the major obstacle for getting off of the GAO High Risk List will have been overcome), until we have assurance that GAO will provide us with an interim assessment prior to the end of the fiscal year the project will remain yellow.	10

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
3	CFO	Reconcile FSA accounts to Department's general ledger within 30 days after month-end close by 9/30/03			
		<i>Green</i>			
			8/4/2003	All milestones have been completed and documentation to support closure is being assembled and will be provided to the Analysis Division.	100
			7/11/2003	Project is closed. All FSA accounts are being reconciled to the Department's general ledger within 30 days after month-end close.	100
			7/8/2003	All milestones completed.	100
			4/18/2003	Reconciliations for the month of March have begun and should be completed and approved within metric.	50
			4/4/2003	Reconciliations for the month of March have begun and should be completed and approved within metric.	50
			3.1		
			3/21/2003	FSA and OCFO are finalizing the closing schedule so that FSA can meet the metric of reconciling 30 days after month-end. The FSA Operating Partner to FMS to FMSS reconciliations has been completed for the December period.	55
			3/7/2003	Reconciliations (including analyzing and resolving differences) for the month of December are behind schedule due to delay in closing FMSS. The timely closing of FMSS is critical to FSA meeting target dates for completion of reconciliations. FMSS has not yet closed month of December	50
			2/11/2003	November reconciliations were completed in a timely manner. The FMSS preliminary trial balances have been received by FSA during the week of February 3rd. The December period reconciliaiton process has begun.	50

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			1/27/2003	<p>Reconciliations (including analyzing and resolving differences) for the month of November are on schedule to be completed and approved within the 40-day goal of month-end close for the first quarter reconciliations. More specifically,</p> <p>1) Initial work has begun on new methodology to reconcile the Direct Loan advance account. Coordinating with the Schools channel to reconcile from School (i.e., SAS, 732 report etc).</p> <p>2) Forms 2000 November reconciliations are in the review process and will be forwarded to management for approval on 1/27/03.</p> <p>3) FMS (pre) to FMS (post) reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. This includes Direct Loan financing fund (x4253), FFELP liquidating fund (x0230) and financing fund (x4251).</p> <p>4. FMS (post) to FMSS reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. This includes Direct Loan financing fund (x4253), FFELP liquidating fund (x0230) and financing fund (x4251).</p> <p>5. Initial work has begun on a new methodology to reconcile FSA grant funds for the FMS (pre) to FMS (post) and FMS (post) to/from GAPS and to FMSS.</p> <p>FMS (post) to FMSS reconciliations are in the review process and will be forwarded to management for approval on 1/27/03. This includes Direct Loan financing fund (x4253), FFELP liquidating fund (x0230) and financing fund (x4251).</p> <p>7. Support provided to ED to complete November DCMS reconciliations, which have been forwarded to management for review and approval.</p> <p>8. Initial planning has begun to address the beginning balance differences as</p>	25
			1/10/2003	<p>Reconciliations for the month of October have been performed and approved timely (within 45 days of month-end for first quarter reconciliations). All differences have been identified. These differences are being researched for resolution. The reconciliations for the month of November are in progress and are expected to be completed on time.</p>	100
			12/27/2002	<p>A detailed project plan is being developed and is expected to be finalized the week of January 3rd.</p>	00
4	CFO	<i>Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives</i>			
		<i>Green</i>			
			8/5/2003	<p>Project completed - COD Financial Controls Review and PPV of COD documentation will be submitted to the Analysis Division.</p>	100
			6/27/2003	<p>This project is moving along on schedule. Awaiting comments from IBM and Cotton & Company on the progress of the COD ICR and PPV of COD.</p>	97
			1/27/2003	<p>A new team, "Program and System Development" will be formed and staffed within the CFO organization to focus primarily on meeting this initiative.</p>	80
			1/10/2003	<p>Project plan is being expanded and will be finalized by 1/13/03. A new team "Program and system Development" will be formed and staffed within the CFO organization to focus primarily on meeting this initiative.</p>	80

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			12/27/2002	A detailed project plan is being developed and is expected to be finalized the week of January 3rd.	00
5	CFO	<i>Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process</i>			
		<i>Green</i>			
			8/8/2003	Will request approval from the IPC (Wednesday, August 13th) to remove reference of EDS and COD (Tsys) from '03 annual plan and identify in '04 annual plan. CFO does not want to pull resources from COD operational issues to this project and moving EDS to '04 would give ample time for the split of advance accounts.	75
			6/27/2003	Project on schedule. Comments from each Operating Partner are expected by mid July to implement Check Free and have all cash transactions reconciled with FMS and Treasury.	75
			3/21/2003	CFO is drafting the project plan and report requirements for the operating partners. The requirements will be presented to OCFO next week. We will meet with the operating partners during the last week of March to present the requirements, answer their questions, and request proposals for the work.	10
			3/7/2003	CFO will meet with OCFO next week to begin the work on this initiative.	00
			1/27/2003	NO CHANGE	00
			1/10/2003	Dependent on issues resolution in other tasks including defining sub-accounts for FSA activity. The project plan for developing trial balances will be prepared mid-February.	00
			12/27/2002	CFO is in the process of finalizing a detailed project plan to develop and implement trial balance reporting capability for each operating partner. Draft plan expected 1/31/03.	00
6	CFO	<i>Address material weaknesses and reportable conditions in audits</i>			
		<i>Green</i>			
			8/4/2003	All milestone have been completed and documentation to support closure provided to the Analysis Division.	100
			6/27/2003	All milestones completed as of 6/27/03.	100
			4/18/2003	All material weakness and reportable conditions are being addressed and corrected.	65

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			3/7/2003	For FY02 a corrective action plan has not yet been finalized. For FY00, 17 of 18 recommendations are closed. For FY01, 11 of 12 recommendations are closed. Open Items: FY00 3.4.1 Work with the Department to complete corrective actions on IG Audit CAN 1190013-Review of Security Policies and Plans as referenced in the 2000 FMFIA report. FY01 - 3.1.1 Implement agreed to corrective actions that address issues identified in the three audits referenced in finding (ED-OIG/A11-B0007, ED-OIG/A11-0009, GAO-01-1067).	90
			2/5/2003	OIG and Ernest & Young have issued an unqualified opinion on the FY 02 FSA financial statements. All financial audit related corrective actions have been completed.	50
			1/27/2003	All corrective actions have been completed by 12/31/02 and closed in FSA's corrective action system. OCFO and OIG have concurred. Final draft of the financial statements and related notes were submitted on 12/31/02. Audit field work was completed on 01/02/03. FSA received the DRAFT audit opinion on 1/24/03.	50
			1/10/2003	All corrective actions have been completed by 12/31/02 and closed in FSA's corrective action system. OCFO and OIG concurrence is pending. Final draft of the financial statements and related notes were submitted on 12/31/02. Audit field work was completed on 01/02/03. FSA is waiting DRAFT auditor's opinion and internal control report which is due 01/10/03. FSA will provide a response to both the opinion and internal control review by	50
			12/27/2002	12 of the 13 audit (FY 2001 Financial Statement Audit) recommendations are scheduled to be completed by 12/31/02.	50

7 **FP** *Implement Form 2000 enhancements needed since original deployment in October 2000*

Green

			8/8/2003	Enhancements for the Forms 2000 project were deployed to Production successfully as scheduled on 08.03.2003. Post-Production Validation and Verification has been performed and the related documentation is being reviewed by FSA management for final approval.	98
			7/25/2003	The Production Readiness Review was completed on 7.17.2003. All open issues have been resolved/closed and the project will be deployed to Production on Sunday, 8.3.2003.	90
			7/11/2003	Integration testing has been successfully completed. The overall project continues to be on schedule for an 8.3.2003 implementation date.	85
			6/27/2003	System testing has been completed successfully. The overall project effort continues to be on schedule.	80
			6/13/2003	The Build and Unit test phase for the project has been completed successfully with no issues to report. System testing is in progress and on schedule.	65

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			5/30/2003	The build phase for the project continues to proceed according to schedule with no issues to report.	50
			5/16/2003	The Technical Design phase and Testing Scenarios have been documented and completed on schedule. The build phase for the project has begun and is on schedule.	40
			5/2/2003	The first deliverable, which includes completion of Functional Design and associated documentation, was completed successfully and according to the project schedule. The project has entered the Technical Design phase and continues to progress on schedule.	28
			4/18/2003	Drafts of the Functional Design documents were distributed for review and feedback on 4.15.2003. The project is on schedule and will complete the first deliverable on 4.18.2003.	25
			4/4/2003	The requirements phase of the project has been completed on schedule. The functional design phase began on 3.24.2003 and is currently on target to be reviewed and completed by 4.18.2003. Due to reprioritization of specific requirements of this project, which were driven by the need to maintain a clean audit for FY03, the project has changed scope/focus and some of the action items originally planned no longer apply. Therefore, action items 7.3 through 7.8 will be reviewed and re-aligned to fit the current project effort. The corrections to these action items will be submitted for review and	15
			3/21/2003	Draft technical proposal has been reviewed and finalized. First deliverable has been awarded and has a scheduled begin date of 3.10.2003. Project has begun and is on schedule.	00
			3/7/2003	Draft technical proposal has been reviewed and finalized. First deliverable has been awarded and has a scheduled begin date of 3.10.2003.	00
			2/21/2003	Draft task order has been distributed for review and comment.	00
			2/7/2003	Project Team is assembled. Team is working on business case for IRB and preparing draft task order.	00
			1/24/2003	Business case approved by DSG and IPC. Pending IRB review and approval.	00
			1/10/2003	DSG review has been rescheduled for Tuesday, 1.7.2003.	00
			12/27/2002	Business case submitted to DSG. Scheduled for review on Tuesday, 12.31.2002.	00
			12/13/2002	Business case justification proceeding through internal review for presentation to DSG.	00

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
8	STU	<i>Determine the scope of the Debt Management Collection System (DMCS) reengineering</i>			
		<i>Green</i>			
			3/21/2003	The project has been 100% completed. The final Milestone statuses are listed below: Received approval from the COO and Director of Acquisition and Contracts to extend the current contract for DMCS for a period of 12 months with a 3 month option. The decision to recompetete under the Common Services for Borrowers window has been approved and the current DMCS contract has been approved for extension	100
			3/7/2003	The decision not to recompetete the DMCS contract as a stand alone was made. The re-engineering of DMCS will be rolled into the CSB initiative. Preliminary Market Research has been conducted for CSB and a report will be provided the week 3/10/03 to the COO, GM for Students and Glenn Perry. Dan Hayward has been selected to be the project manager for this effort. Michael J Murry has been detailed as Acting Manager of Direct Loan Servicing. We have received funding for CSB project and # 20 under "unfunded" is now combined with # 8 "funded". No further reporting on # 8 as it relates to DMCS only. Future reporting will support the CSB actions and status updates.	90
			2/7/2003	The decision not to recompetete the DMCS contract as a stand alone was made. The re-engineering of DMCS will be rolled into the CSB initiative. With the final decision made relating to DMCS re-engineering as a separate initiative,work has begun for market research for an integrated solution for Common Services for Borrowers (CSB). The request for market research information is scheduled to be distributed no later than 2/28/03	90
			1/24/2003	Decision will be made no later than 1/31/03. Discussions within Student Credit Management are occurring weekly.	80
9	SCH	<i>Improve school's fund management, reconciliation, and close out processes</i>			
		<i>Green</i>			
			8/8/2003	9.2 continues to catch up slowly and is yellow this period to denote project risk associated with end-loading. However, the other two open milestones (which have immediate operational implications) are on track.	83
			7/25/2003	Steady progress is being made toward the overall project goal.	80
			7/16/2003	Delivery of first data for joint CFO/Title IV Delivery dashboard measure has been rescheduled for August 1. (Measure: Process 90% of the fund requests so that funds will be available to schools in GAPS within 36 hours from the time COD receives records.) Legacy system retirement activities	74
			6/27/2003	All uncompleted milestones are on track for completion by their scheduled completion dates.	69

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			6/18/2003	The COD and FMS teams are discussing the most feasible way to define and report on the performance plan success measure (Process 90% of the fund requests so that funds will be available to schools in GAPS within 36 hours from the time COD receives records). Once the discussion concludes, this measure will be added to the executive dashboard.	65
			6/4/2003	Steady progress is being made toward the overall project goal.	60
			5/16/2003	Steady progress is being made toward the overall project goal.	56
			4/18/2003	Steady progress is being made toward the overall project goal. (Last week's % complete was reported incorrectly and should have read 43%.)	47
			4/4/2003	Although milestone 9.3 closes out this period, the project-level Percent Complete continues to be tied to the most general milestone (9.1) and the single largest milestone (9.4), both of which are expected to be complete near fiscal year end.	38
			3/21/2003	Steady progress is being made toward the overall project goal.	38
			3/7/2003	Steady progress is being made toward the overall project goal.	33
			2/21/2003	Steady progress is being made toward the overall project goal.	29
			2/7/2003	Steady progress is being made toward the project goal as a whole.	25
			1/27/2003	Overall project Percent Complete is at this point in the year still a straight proration (days elapsed / days in total period), because steady progress is being made toward the project goal as a whole.	21
			12/13/2002	One milestone (9.2) is currently making this project yellow. We think the project is on track and ask that 9.2's completion date be pushed out to 6/30/03. Thanks for your consideration.	07
10	FP	<i>Stabilize the Lender Application Process (LAP) and Lender Reporting System(LaRS)</i>			
		<i>Green</i>			
			6/27/2003	Completed	100

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			6/13/2003	This project to stabilize the LAP/LaRS System (FSA ID #10) was successfully completed on 01.07.2003. This project may now be closed.	100
			5/30/2003	The success measures are being reviewed for successful close out of project.	99
			5/16/2003	No input for this reporting period.	99
			5/2/2003	Assmebling analysis results for validation.	99
			4/19/2003	Awaiting approval of recommendation to remove Milestones 10.4 and 10.5 from this project.	99
			4/4/2003	The first and second deliverable were awarded and began 10.01.2002. The Task Order was delivered in phases 12.6.2003 and 1.7.2003 and accepted 2.6.2003. The project changed scope/focus and some of the action items originally planned were not contained in the Task Order. Therefore, action items 10.4 through 10.5 will be addressed outside the scope of this Task Order. Approval will be obtained to document management concurrence.	90
			3/21/2003	No change for this reporting period.	30
			3/7/2003	Milestones 10.1 and 10.2 are completed. Meetings will be scheduled to discuss approach for ongoing reviews and monitoring.	30
			2/21/2003	All deliverables have been reviewed and accepted. Will provide close-out status for subtasks by next reporting period.	05
			2/7/2003	Accenture deliverable was reviewed and accepted.	05
			1/24/2003	Pending deliverable approval by business unit (FPC).	05
			1/10/2003	NO CHANGE	05
			12/27/2002	Approval received from DSG, IPC and Deputy Secretary Hansen.	05
			12/13/2002	Funding approved by IPC as of 12.10.2002.	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
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11	SCH	Enhance Program Monitoring and Oversight			
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Green

8/8/2003	Completion date change for FP data mart phase 3 has been moved to to 10-10-2003 with COO approval. Other milestones have caught up and #11 is on schedule.	84
7/25/2003	Assuming the anticipated approval of modifications to milestone 4.1 (FP data mart phase III), this project will go to green next period.	75
7/16/2003	Depending on how the requested change to milestone 4.1's dates and success measure is resolved, this project is bearing fruit and may go to green in the next period.	69
7/2/2003	Project is running yellow due to the number of end-loaded milestones that have not yet approached completion. Considerable progress has been made since the last reporting period.	64
6/18/2003	We are at the end of the third quarter, but are still not anywhere near 3/4 complete on the biggest milestones. The fact that most things are end-loaded increases the risk of blowing the Sept. 30 completion target. Milestone owners have agreed that it is reasonable to report the project level as yellow until the milestones catch up. (The alternative would be to run green until Sept. 30 and then go red the next period, should the project not be	55
6/5/2003	Projects are progressing as planned. Reporting has resumed on milestones 11.2.1 and 11.2.2 re applicant and payment error.	52
5/16/2003	Milestones 11.4.3 and 11.4.4 have been moved into project #11 per agreement reached in last week's IPC.	39
5/7/2003	The business channels have indicated that the majority of their milestones are end-loaded, and are therefore reporting them as green although the percents complete are still low relative to where we are in the fiscal year. The end-loading increases the risk of non-completion associated with project #11 overall, although the channel reporters feel that the risks associated with each milestone are being managed adequately. The one exception to this trend is milestone 4.1, financial partners data mart phase III implementation, which has been subject to delays in obtaining approvals and is now anticipated to start during May. This milestone is reading 0% complete, yellow, as one would expect.	36
4/23/2003	A methodology for reporting this project fairly and accurately is currently under review by the enterprise owners. Full reporting will begin May 7.	00

12	ACP	Develop and implement proper internal controls to insure the acquisition process supports the business needs and success of FSA			
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Green

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			8/8/2003	Internal controls have been established but continue to evolve as the Department undertakes a broad rewrite of Acquisition directives.	75
			6/26/2003	No comment.	50
13	ANAL	<i>Create an Enterprise-level dashboard of productivity and performance metrics</i>			
		<i>Green</i>			
			3/7/2003	The first edition of the FSA executive dashboard was provided to all FSA managers on Feb 24, 2003. The dashboard provides high level measure of FSA operations. It will be issued weekly by noon on Thursdays.	100
			2/21/2003	Dashboard has been revised and presented to the Sr. Leadership. We should start producing a regular report the week 2/24.	50
			2/7/2003	We met with COO to present the executive dashboard on 2/6/02. We've made some revision and hope to present it to management council shortly.	35
			1/24/2003	We have developed a straw man of the executive dashboard and will be finalizing it shortly. We are receiving data for a large portion of the "operational metrics" section and are working to secure "task order monitoring" and monthly budget data. We have developed and presented a straw man that will capture more detailed performance data to the Students, Schools, FP and CIO channels. Their staff are inventorying performance data as a first step in coming up with a final design.	33
			12/13/2002	The scope of the project has been defined and meetings to identify and collect the data for the measures will occur in January 2003.	05
14	HR	<i>Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives</i>			
		<i>Yellow</i>			
			8/8/2003	We are waiting for contracts and acquisitions to formally award the contract for the workforce alignment to the selected vendor. In addition, we are waiting for OGC's comments on the interagency agreement statement of work for the career counseling piece. It has been at OGC for over two	65
			6/27/2003	Progress on our human capital initiative is good and further success is contingent upon successfully awarding both the Workforce Alignment contract (which should be done before mid-July) and the completion of an interagency agreement with RCI (which is also progressing satisfactorily).	65
			4/18/2003	Statement of Work done and sent to Acquisitions. To be awarded through MOBIS	60

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			3/27/2003	Working on the SOO that will procure the resources that will support this initiative.	50
			1/24/2003	Completed business case for workforce alignment team. Will present to DSG on 1/30/03.	50
15	COO	<i>Implement integrated project management oversight for FSA's system integration initiatives</i>			
		<i>Green</i>			
			6/27/2003	Task Order 126 was issued in March to provide for integrated project management oversight and leadership across the FSA organization.	100
			3/7/2003	Need to review Accenture's new monthly Program Leadership and Administration Achievement Report for January and February, 2003. Contractually required to provide feedback to Accenture regarding new report by March 20, 2003. Per Task Order 125, John Fare is designated as person to provide feedback to Accenture.	95
			1/24/2003	Still working to redefine Accenture's and FSA's roles and responsibilities reach agreement on level of effort and price	33
			1/10/2003	Need to reshape relationship with Accenture for Integration Leadership, Program Management and Contract Administration.	30
16	CIO	<i>Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality</i>			
		<i>Green</i>			
			8/8/2003	The Overall Data Strategy TO is on track. Significant progress has been made and regular updates have been provided to the BIG, FSA leadership, and the community. A focus group and several presentations are planned for the upcoming Software Developers Conference.	45
			8/4/2003	No change.	20
			7/25/2003	status is GREEN.	20
			7/11/2003	NO CHANGE	20

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			6/27/2003	IPC has approved change request for overall project completion date to November 17, 2003. The Data Strategy Task Order has been signed with optional deliverables awarded. The Data Strategy Framework team had a kickoff meeting on April 14. The Technical Strategies team had it's kickoff meeting on 4/15. Additional meetings with key Business Process experts and System Owners have started and will continue through May - June to gather overall data strategy business objective. Deliverable 123.1.1 Data Strategy Statement of Strategic Data Focus Areas has been submitted. The optional deliverables Enrollment Business Objectives and High Level Requirements 123.1.26 and Access Management Business Objectives and High Level Requirements 123.1.27 are on target to be delivered 6/30. The overall Data Strategy Task Order end date is 11/17/2003.	20
			6/13/2003	Change request for overall project completion date to November 17, 2003. The Data Strategy Task Order has been signed with optional deliverables awarded. The Data Strategy Framework team had a kickoff meeting on April 14. The Technical Strategies team had it's kickoff meeting on 4/15. Additional meetings with key Business Process experts and System Owners have started and will continue through May - June to gather overall data strategy business objective. Deliverable 123.1.1 Data Strategy Statement of Strategic Data Focus Areas has been submitted for review (4/30/03 - on time). This item remains red because the due date needs to be updated to reflect the task order due date of 11/17/2003.	14
			5/30/2003	Change request for overall project completion date to November 17, 2003. The Data Strategy Task Order has been signed with optional deliverables awarded. The Data Strategy Framework team had a kickoff meeting on April 14. The Technical Strategies team had it's kickoff meeting on 4/15. Additional meetings with key Business Process experts and System Owners have started and will continue through May - June to gather overall data strategy business objective. Deliverable 123.1.1 Data Strategy Statement of Strategic Data Focus Areas has been submitted for review (4/30/03 - on time). This item remains red because the due date needs to be updated to reflect the task order due date of 11/17/2003.	14
			5/16/2003	Additional meetings with key Business Process experts and System Owners have started and will continue through May - June to gather overall data strategy business objective. Deliverable 123.1.1 Data Strategy Statement of Strategic Data Focus Areas has been submitted for review (4/30/03 - on time). This item remains red because the due date needs to be updated to reflect the task order due date of 11/17/2003.	14
			4/18/2003	Change request for overall project completion date to November 17, 2003. The Data Strategy Task Order has been signed with optional deliverables awarded. The Data Strategy Framework team had a kickoff meeting on April 14. The Technical Strategies team had it's kickoff meeting on 4/15. Data Gathering meetings have begun with DLSS, DLCS, DCS. Deliverable 123.1.1 Statement of Strategic Data Focus Areas is due 4/30/03.	08
			4/4/2003	The APT for the Data Strategy expired on Friday, March 28th. FSA has approved(w/ minor modifications) the Business and Technical proposals. ED Contracts office is currently reviewing the Task Order. DSG and IPC recently approved a the Enrollment and Access Management business justification for \$1.16 million. The Data Strategy team leaders are concerned that multiple contract competitions are occurring during the same periods of time which will take away from well thoughtout solutions for the project.	07

Priority#	Channel	Action Item	Status Date	Progress Summary	% Complete
			3/24/2003	<p>Under Holly Hyland's leadership, FSA (on behalf of PESC) completed final tasks and delivered the draft CommonRecord FFEL and Alternative Loans Schemas to the NCHelp Electronics Standards Council (ESC) yesterday. Steve Margenau, technical resource for ESC and editor of the PESC Technical Specification called the schemas "beautiful." While there are sure to be comments and changes, additional resources (outside of FSA) have been identified to handle these modifications. However, FSA will stay connected with this review process to ensure a smooth delivery of the final schemas.</p> <p>The first draft of the Common Student ID solution will be presented to the Business Integration Group (BIG) on Thursday, March 27, 2003 9:00 to 4 p.m. The Technology Strategies team will be conducting their kickoff meeting on Monday, April 1, 10 a.m. The data strategy team has delivered a new business case, Enrollment and Access Management, to be presented to the DSG and IPC for review.</p>	06
			3/7/2003	<p>Overall Data Strategy Project is behind schedule but continuing to make progress on some of the teams. The first draft of the Common Record ISIR was completed and posted to the public March 3rd as scheduled. In addition, the Department continues to work with PESC and the FFEL community surrounding the Common Record FFEL and Alternative Loans. We had a successful series of Data Strategy presentations at the Software Developers Conference. We received input for PESC, NCHelp, and the software vendors on FSA's Data Strategy Framework. A number of people requested to participate on our teams to assist with our efforts.</p> <p>We are currently working on negotiating the details of the technical proposal for the Data Strategy task order. Several meetings have occurred in the past two weeks.</p>	05
			2/12/2003	<p>Keith Wilson was recently appointed as the Project Manager for the Enterprise-Wide Data Strategy Workgroup. FSA team leaders are as follows: Overall Data Strategy - Keith Wilson, Paul Hill - 2nd chair, XML Framework - Holly Hyland, Technical Strategies - Denise Hill, Ganesh Reddy - 2nd chair, XML ISIR - Jeanne Saunders, Common Student ID - Jeanne Saunders, Routing ID - Paul Hill, SAIG Analysis - Keith Wilson. Team Leaders are currently recruiting team members from various areas of FSA to participate on their team.</p> <p>The Data Strategy Kickoff Meeting is scheduled for Wednesday, February 19th @ 10:30 a.m. Accenture Conference Rm 221 A&B (CNN Building side) with all FSA/Accenture team leads and members.</p> <p>2/06/03: Business Integration Group requested a presentation from the Data Strategy team to explain the scope and business objectives related to data work at a high level.</p> <p>This project is designated as red because several milestones are behind schedule and will not meet assigned deadlines.</p>	05
			1/24/2003	<p>Project remains on hold pending senior management discussion and direction. One single task order for entire data strategy initiative still to be awarded.</p>	05
			1/10/2003	<p>Proposed Business Justification (BJ) which covers a majority of the action items under this item was approved by the IPC on 12/18.</p>	05
			12/13/2002	<p>A Proposed Business Justification (BJ) which covers a majority of the action items under this item was completed and presented to DSG on 11/26. BJ provided to channel managers and system integration group members. It is anticipated that the BJ will be presented to the IPC at upcoming meeting. However, once IPC approval is granted, this initiative will require completion and approval of a department business case. This process will be time consuming and is expected to negatively impact upon established action item completion dates. Therefore, the status during this reporting period is</p>	00

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
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17	STU	Analyze Personal Identification Number (PIN) issues related to enterprise wide management/architecture strategy			
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Green

			8/8/2003	Continue to make progress on this project. No anticipated delays in implementation.	45
			7/11/2003	Received Deliverable 131.1.1 Draft ED PIN Requirements and Standards on June 20th as planned on the project schedule. Preparing a briefing of the project to the FSA Business Integration Group on July 17th. Work is being conducted on the Technical Architecture upgrade analysis of the PIN Site.	40
			6/27/2003	June 9th, and June 17th Meetings were held with DL Servicing, DL Consolidation, CPS and NSLDS PIN Site clients to review the how each FSA business system does business with the PIN Site. Reviewed new requirements that each system will be putting in place with their annual release and how it would affect business with the PIN Site. June 20th received deliverable 131.1.1 Draft ED PIN Requirements and Standards for review and approval. Once these have been approved they will be sent to all FSA Clients for review. Bi-monthly status meeting will be held on June 26th to go over all activities for the last month.	35
			5/30/2003	Working on scheduling meetings with all PIN Client Business System Owners to discuss (1) PIN Re-Engineering Initiative and (2) Review how they current do business with the PIN System (3) discuss how they plan to do business in the future. This will be a requirements gathering session of all the PIN Clients. The meetings are due to take place the week of June 9th with CPS, FOTW, STAN, NSLDS, ECB, DLSS, DLCS, DLOS, FAA Access online, E-Servicing IVR and CSB.	25
			3/21/2003	Task order has been approved and sent to contracts. We are planning on a 1 1/2 day meeting on April 3-4, 2003 to start looking at the current PIN Process.	07
			2/7/2003	1/29/03 Statement of Objectives were reviewed and forwarded back with comments. Comments were added to the statement of objects which were approved and sent forward.	07
			1/24/2003	Business Case Justificaiton was presented to the DSG and IPC on 1/21 and 1/22. Approval was given by the IPC to conduct the ED PIN Re-Engineering Analysis. Statement of Objectives have been received and are currently being reviewed.	15
			1/10/2003	NO CHANGE	05
			12/27/2002	A business case is being developed - second draft is currently being reviewed.	05

18	CIO	Create an overall FSA integrated security and privacy architecture			
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Green

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			8/8/2003		NO CHANGE	30
			8/4/2003		Met with Barry Goldstein and we're in the process of providing a written response for all phases of this performance milestone.	30
			7/25/2003		Received response that IPC is waiting for additional input concerning the Data Strategy Initiative. A meeting is et up to discuss this issue with Barry Goldstein on 8/1/03.	20
			7/11/2003		Sent 7/7 memo to Cindy Reynolds requesting this requirement be closed and turned green due to the completion of task order work for PP 18. Accenture Task Order 124 had a decreased scope to accommodate other FSA objectives.	20
			6/27/2003	6/27/03 - No Change	6/13/2003 - Agreement on a security and privacy architecture/standards document, including review and approval by the by March Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture. Implementation part of this plan has not had a task order awarded. A task order has been awarded to complete the Technical Security and Privacy Achitectual framework. The Business Integration Working Group will be briefed on April 1. The Interim Security and Privacy Report for the Architecture Framework was provided to FSA by Accenture on April 4, 2003. Met with B.I.G. and System Security Officers on 4/7. The final deliverable for Task Order 120 was submitted on May 30th. The deliverable provided a Security and Privacy Architecture Framework based on FSA business objectives. The deliverable also included a final status report and implementation strategy for the Security and Privacy achitectual vision. A portion of this measure was never accomplished because it was out of the scope for Task Order 124. This was identified to the IPC and they agreed to drop that portion. However, we need a formal memo from Cindy Reynolds to close this measure. The 5/30/03 deliverable for an Achitecture Framework completes the efforts FSA will complete for Security and Privacy actitecture this FY.	20
			6/13/2003		Agreement on a security and privacy architecture/standards document, including review and approval by the by March Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture. Implementation part of this plan has not had a task order awarded. A task order has been awarded to complete the Technical Security and Privacy Achitectual framework. The Business Integration Working Group will be briefed on April 1. The Interim Security and Privacy Report for the Architecture Framework was provided to FSA by Accenture on April 4, 2003. Met with B.I.G. and System Security Officers on 4/7. The final deliverable for Task Order 120 was submitted on May 30th. The deliverable provided a Security and Privacy Architecture Framework based on FSA business objectives. The deliverable also included a final status report and implementation strategy for the Security and Privacy achitectual vision. A portion of this measure was never accomplished because it was out of the scope for Task Order 124. This was identified to the IPC and they agreed to drop that portion. However, we need a formal memo from Cindy Reynolds to close this measure. The 5/30/03 deliverable for an Achitecture Framework completes the efforts FSA will complete for Security and Privacy actitecture this FY.	20

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			5/2/2003	Agreement on a security and privacy architecture/standards document, including review and approval by the by March Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture. Implementation part of this plan has not had a task order awarded. A task order has been awarded to complete the Technical Security and Privacy Achitectual framework. The Business Integration Working Group will be briefed on April 1. The Interim Security and Privacy Report for the Architecture Framework was provided to FSA by Accenture on April 4, 2003. Met with B.I.G. and System Security Officers on 4/7.	10
			4/4/2003	Agreement on a security and privacy architecture/standards document, including review and approval by March Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture. Implementation part of this plan has not had a task order awarded. A task order has been awarded to complete the Technical Security and Privacy Achitectual framework. The Business Integration Working Group will be briefed on April 1. The date of completion should be changed to 5/30/03.	30
			3/25/2003	This activity will start after the completion of the Security Architecture Framework	00
			1/24/2003	Agreement on a security and privacy architecture/standards document, including review and approval by the Business Integration Working Group by April 2003. Implementation of one or more proof-of-concept security services as part of the Integrated Technical Architecture by Sept. 2003.	05
			1/10/2003	Work continues on still yet awarded Security Architecture task order.	05
			1/3/2003	Task order not yet awarded. Still trying to find consultant with experience in security architectures and solutions common in commercial financial services industries (banking, insurance, loan servicing). Planning on using FSA Integration Group for security service requirements, instead of convening separate security group.	00
			12/13/2002	The current plan is to avoid a separate "FSA Security & Privacy Architecture Working Group" and make this a task of the "Integration Group". The central task will be developing a security/technology baseline -- what systems (hw/sw) are located where and how are they connected/protected. Providing a definition of security architecture framework which will explicitly include Critical Infrastructure Protection and eGov/eAuth components will also be essential. CIO has requested several milestone completion dates for this item be changed since the anticipated start date of this action is 1/2/03 and several completion dates where targeted for 12/31/02. Therefore, the status of this item is being listed as yellow until clarification on whether or not requested date changes are accepted.	00
19	STU	<i>Develop and implement initial phases of an enterprise-wide customer service solution that incorporates best-in-business technology and enhanced business processes</i>			

Red

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			2/7/2003	<p>On Friday, January 17, 2003, Accenture was notified that the current Task Order 77, Work Order 5 would be expiring on January 21st. Pursuant to that notification, Accenture has commenced a shutdown process for the CRM4FSA project and the Release 1 Pilot.</p> <p>Included in the shutdown process are:</p> <ul style="list-style-type: none"> · Notifying the Operating Partners that the Release 1 Pilot will be terminated and formulate a "current state" testing plan. · Returning calls to the current state · Dismantling the Operating Partner PBX and IVR changes specific to Release 1 · Testing 800 numbers and Operating Partner IVRs · Shutting down the pilot reporting tool · Terminating subcontract agreements, and negotiating final payments <p>The Release 1 Pilot was successfully shut down on Saturday, February 1st.</p> <p>While the CRM Target State Solution has been deemed "the right thing to do" by FSA, the estimates provided by Accenture, combined with FSA's limited</p>	00
20	STU	<i>Improve the management of student aid through data sharing and consolidating common borrower services and functions</i>			
		<i>Green</i>			
			6/27/2003	<p>This project was successfully completed on 05/02/03.</p> <p>Common functions in Servicing, Consolidations, and Collections have been identified and mapped for duplication.</p> <p>SOO for CSB and L and M schedules have identified interdependencies and constraints for integrating servicing, consolidations and collections.</p>	100
			5/2/2003	<p>Common functions in Servicing, Consolidations, and Collections have been identified and mapped for duplication.</p> <p>SOO for CSB and L and M schedules have identified interdependencies and constraints for integrating servicing, consolidations and collections.</p>	90
			4/18/2003	<p>Through the development of the requirements for CSB, the common functions, interdependencies, and constraints are being identified.</p>	85
			4/4/2003	<p>Matrix is being finalized identifying high level requirements for Common Services for borrowers (CSB). The matrix will validate common functions previously validated with initial reengineering efforts in Collections, Servicing and Consolidations.</p> <p>The matrix of requirements for CSB (addressed above) will identify the constraints and interdependencies.</p> <p>Reviewed and final approval received not to implement quick hits identified in initial reengineering review</p>	75
			3/21/2003	<p>Funding has been approved for CSB.</p> <p>Funding has been approved for CSB.</p> <p>Market Research was completed for CSB. Approval obtained for COO to continue with project. Funding has been approved by IPC.</p> <p>Interdependencies and constraints: This has been completed as written, however this area needs to be expanded to reflect the scope of the CSB project, since this is now funded.</p> <p>Quick Hits: Scope of project has changed and quick hits will not be implemented</p>	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			3/7/2003	Initial analysis of common functions has been completed.	05
			2/7/2003	Initial analysis of common functions has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions. Initial analysis of common functions has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions. Our "quick hits" are on hold until approval from IPC	00
			1/24/2003	UNFUNDED - 20.1 - Initial analysis of common functions has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions. 20.2 - Initial analysis of interdependencies has been completed. Further drill down into the processes with occur with assistance from SMEs to validate actual common functions. Market research will be conducted in February to ascertain best practices and validate findings from internal analysis. 20.3 - On hold until approval from IPC	05
21	STU	<i>Define strategy for EDEExpress reengineering</i>			
		<i>Green</i>			
			7/25/2003	1. The first draft of the Product Registration was delivered on schedule the last week of June. 2. A Focus Group was held at the National Association of Student Financial Aid Administrators national conference in Salt Lake City, July 9th to gather information for FAAs regarding upcoming changes to the web. A report will be delivered soon regarding the results of the Focus Group. 3. Tentatively an update meeting is scheduled for the middle of August to present results of focus group and potential solutions to database issues.	20
			7/11/2003	1. The first draft of the Product Registration was delivered on schedule the last week of June. 2. A Focus Group is scheduled to be held at the National Association of Student Financial Aid Administrators national conference in Salt Lake City, July 9th to gather information for FAAs regarding upcoming changes to the web. 3. Tentatively an update meeting is scheduled for the middle of August to present results of focus group and potential solutions to database issues.	05
			6/27/2003	This task order has been approved and Pearson has been notified that it is funded. Requesting schedule from Pearson.	05
			6/13/2003	This task order has just been approved and Pearson has been notified that it is funded. Requesting schedule from Pearson.	05
			5/30/2003	This task order has just been approved and Pearson has been notified that it is funded. Requesting schedule from Pearson.	05

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			5/16/2003	This task order has just been approved and Pearson has been notified that it is funded. Requesting schedule from Pearson	05
			5/2/2003	This project is currently moving through contracts for funding approval.	05
			3/21/2003	The Statement of Objective has been sent to contracts.	05
			3/7/2003	The Business Justification was presented to the Business Integration Group, the Data Strategy Group (DSG) and the IPC. It is awaiting funding. It is our understanding that the IPC approved this initiative and that funding is forthcoming.	05
			2/21/2003	The Business Justification was presented to the Business Integration Group, the Data Strategy Group (DSG) and the IPC. It is awaiting funding.	00
			2/7/2003	The Business Justification for Re-engineering EDEExpress has been written and will be presented to the DSG/IPC in the very near future to request funding to study database solutions, migrated standalone modules to the Web and conduct a Product Registration survey.	00
			1/24/2003	UNFUNDED A business justification is being written to present request to DSG/IPC to request funding to study database solutions and move standalone modules to the Web.	05

22 STU *Develop an outreach strategy for Aid Awareness in support of OPE and tied to "No Child Left Behind"*

Green

			8/8/2003	A re-drafted statement of objectives has been released, and a bid has been received. Portal enhancements have been delayed due to funding issues and the delay of R2.	15
			7/25/2003	Analysis of SAA is continuing - SAA and Front Office staff are working on the SOO for a usability study--to take place in Fall/Winter 03/04. Other initiatives are on hold - On hold for FY04--lack of funding and delay in implementing release 2	10
			6/27/2003	The Student Aid Awareness group, working with Communications and OPA, is developing a strategy for marketing the Students Portal--with a plan targeted for early fall. While no funding prevents us from developing release 3, we will push release 2--with its targeted information, wizards/increased functionality to our audiences. (22.2, 22.3). The group (starting July 7) will develop the plan and vehicle to conduct usability study for the publications and services. This project will be about a 4-month effort and the results will inform the design and content development for the 05-06 school year.	10

23 STU *Upgrade technology and integrate Central Processing System (CPS) across the enterprise*

Green

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			8/8/2003	Upgrade technology and integrate Central Processing System (CPS) across the enterprise.	50
			7/11/2003	This CPS upgrade plan has laid the foundation for implementation of some of the viable components within the Integrated Application Process initiative.	50
				Requirements and design not be done under this initiative/task	
			6/27/2003	The plan for upgrading CPS has been completed.	50
			1/24/2003	UNFUNDED On-going - A CPS Upgrade Analysis was completed and all scheduled test cycles for the FAFSA 7.0/ED PIN performance testing were completed. As of 1/24/03, all 23 planned performance test cycles covering 12 business processes have been executed and 4 additional cycles for Shadow Direct contingency testing have been executed.	05
24	CIO	<i>Conduct NSLDS Analysis and begin phased implementation of solution</i>			
		<i>Green</i>			
			4/11/2003	An NSLDS Technical Re-assessment was performed in December 2002. The short project assembled key resources from Accenture, CSC, Raytheon, IBM, and FSA to assess the mid-tier proposal put forward by the Mod-Partner NSLDS Reengineering Team against a mainframe solution which had not been evaluated in the original proposal. The project team concluded that the original mid-tier solution, which utilized the smaller P660 servers, was more feasible with an upgrade to P690 servers. While this solution is most cost-effective, the mainframe solution presents the most robust environment. Ultimately, the best solution is a combination of the two: a mainframe data warehouse with a mid-tier data mart. FSA leadership has decided to defer for FY03 any implementation of a solution. Therefore, this project should be closed.	00
			4/4/2003	Not yet funded.	00
			4/4/2003	Not yet funded.	00
25	CIO	<i>Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002</i>			
		<i>Green</i>			
			8/8/2003	NO CHANGE	35
			8/4/2003	This effort is well on track with the other EA items including coordinating the Exhibit 300 and Exhibit 53 responses.	35

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			7/25/2003	Continue to work with ED/CIO on implementing the version 9 upgrade and resolving implementation issues.	10
			7/11/2003	Currently advising the Department on preparing EA materials for the 300 submissions due August 1.	10
			6/27/2003	06/27/03 -- No Change 04/17/03 -- I just received notification that the Task Order was awarded. Will revise the due dates.	10
			4/18/2003	04/17/03 -- I just received notification that the Task Order was awarded. Will revise the due dates.	10
			4/4/2003	Entrance meeting - October 24, 2001. On December 31, 2001 the IG sent back questions. Consolidated responses were sent back on January 28, 2002 and February 5, 2002. More architecture documents on January 30, 2002 and held a follow up meeting on March 4, 2002 to focus on Enterprise Architecture. 7/15 Exit conference was held - FSA rated mostly 4 on a scale of 1-5. We are currently reviewing the document with the Department and expect to send comments back to the IG by Aug 31. The joint response was sent on August 28. ED and FSA entered the CAP plan into the system on 10/16. Future status is subject to funding availability. 11/21 - Future status is subject to funding availability. The future status is subject to receiving funding. 12/10 - This item intentionally left yellow. Business Justification submitted 12/6. 12/19 - Currently reworking business case. The future status is subject to receiving funding. The revised Business Case was submitted for DSG review on Jan. 9, 2003. Jan 17 - Prelim funding approved by IPC. The item is no. 25 below the line. Funding is subject to the disposition of items 20 -24. The project is RED and at risk. 3/10 - Task Order is in contracts.	30
26	SCH	<i>Evaluate our participation in all events</i>			
<i>Green</i>					
			8/8/2003	FSA Communications developed a theme for the 2 fall EAC conferences and is determining a complementary theme for the Spring 2004 conference. Additionally, to ensure consistency of message and delivery, we are establishing a formal process for determining, developing and preparing for conference break out sessions.	85
			7/25/2003	Communications Management Services is developing a proposal for FSA FY'04 conference participation and will discuss the proposal with the Management Council on August 1. A final strategy will be completed by 9-30-03.	80
			7/16/2003	NO CHANGE	75
			6/27/2003	Convene "Conference Participation Strategy Group" - 7/31 Schedule meeting with COO to discuss strategy - 8/31 Develop and disseminate 2004 plan - 9/30	75

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
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27 **SCH** *Implement Master Promissory Note for PLUS*

Green

4/18/2003	The COD team was successful in accelerating development and brought the eMPN up with COD 2.0 (and the paper MPN) on April 14th.	100
4/4/2003	PLUS MPN (paper) is still scheduled for COD 2.0 release, now moved to 4/14/03, and is 93% complete. PLUS e-MPN is still scheduled for 5/31/03 and is 42% complete. Project-level Percent Complete averages those percentages.	67
3/21/2003	PLUS MPN (paper) is still scheduled for COD 2.0 release on 4/7/03 and is 87% complete. PLUS e-MPN is still scheduled for 5/31/03 and is 28% complete. Project-level Percent Complete averages those percentages.	57
3/7/2003	PLUS MPN (paper) will go live with COD 2.0, is currently scheduled for 4/7/03, and is 77% complete. PLUS e-MPN implementation is scheduled for 5/31/03 and is 14% complete. Project-level Percent Complete averages those percentages.	45
2/21/2003	PLUS MPN (paper) is on track for implementation when COD 2.0 goes live. Go-live date is planned for 4/7/03 (percent complete = 67%). PLUS eMPN (electronic), milestone #1, was at 1% complete on 2/21/03 and received funding on 2/26/03. From here forward, the project-level percent complete will average the paper and 'e' PLUS MPN percents complete.	34

28 **CFO** *Enhance our cash transaction process to accommodate OCFO procedures*

Green

8/4/2003	All milestones have been completed. Supporting documentation is being compiled and will be forwarded to the Analysis Division.	100
6/27/2003	All milestones completed as of 05/02/03.	100
4/18/2003	Continue to hold weekly meetings with operating partners to discuss status and resolution of unmatched cash transactions.	60
3/7/2003	The FSA Cash Team has developed a new accounting treatment to eliminate the current high volume of unmatched transactions associated with 1F010 reporting. This new methodology corrects erroneous postings of payment allocation transactions to FMS and provides for the elimination of timing and permanent differences.	65

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			2/11/2003	The FSA Accounting Division is continuing it's weekly meetings with our Operating Partners and FSA Program Offices to identify root causes and solutions to clearing FSA's Fund Balance with Treasury (FBWT) unmatched schedules. Progress on the identification and corrections of our FBWT unmatched schedules is being tracked on individual project plans. Significant progress is being made on these work plans, and the Accounting Division has no concerns in not being able to complete prior to FY'03 fiscal year end.	60
			1/27/2003	In addition to working our various project plans we are complying with OCFO's procedures in submitting manual match corrections to the OCFO Financial Management Operations Division. It is our intention that the volume of manual matched request to OCFO FMO will decrease once many of the business processes and systemic issues are completed in the various Accounting Division has initiated weekly meetings with all Operating Partners to identify all internal control weaknesses associated with cash transactions. FSA Accounting Division and Operating Partners have created individual Project Plans to track the implementation of all proposed cash related business processes and systemic internal control improvements. Operating Partners have begun to implement various internal control improvements for summary deposit and consolidation transactions.	25
			1/10/2003	FSA Accounting Division has initiated weekly meetings with all Operating Partners to identify all internal control weaknesses associated with cash transactions. FSA Accounting Division and Operating Partners have created individual Project Plans to track the implementation of all proposed cash related business processes and systemic internal control improvements.	20
29	FP	<i>Augment Leveraging Educational Assistance Partnerships (LEAP)/Supplemental Leveraging Educational Assistance Partnership (SLEAP) module in the FMS to enable direct electronic data exchange with GAPS and enhance the operational</i>			
			7/11/2003	Project Canceled	00
			7/1/2003	Because of a lack of funding, this project was not approved and will therefore not be accomplished in FY03. Activities that this project was to address continue to be performed manually.	00
30	CFO	<i>Obtain clean financial opinion for ED. Improve FMS internal processes, progs, controls. Support reconcil. b/w FSA Op. Prtnrs, FMS, FMSS, GAPS & Treasury. Integrate w/FSA reengineered systems & perform upgrade analysis & implement Oracle Fed</i>			
			8/5/2003	Project is complete. Detailed functional statements supporting reconciliation efforts, upgrade to 8i, iID integration ID from GAPS, FMS operations processing, Forms 2000 enhancements and program change requests. Documentation to support closure will be sent to the Analysis Division.	100
			6/27/2003	Project is almost complete. Working on detailed functional statements.	90

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
				3/21/2003	<p>30.2 Integrate the transaction ID from GAPS - Began end to end testing. Tested Cycle 1 with COD. Waiting for modifications/issue resolution from COD. If COD delay exceeds two weeks this will negatively impact FMS software release date of April 21st. Revised testing schedule from COD- currently on schedule with revised dates. Green - 80% completed.</p> <p>30.3 Enhance FMS Operation processing - funding approved (Reengineering splitter and FMS/FMSS GL data interface process). Deliverable for requirements received from Accenture - comments due by 3/21/03. Waiting for final buy-in from Budget Services/OCFO. Yellow - 25% completed.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - Funding approved. Reviewing functional & technical proposal submitted by Accenture. Reports requirements being developed by FMS Division. Project Manager Matt Fontana. Yellow - 20% completed.</p> <p>30.6 Implement Program Change Request - Meeting weekly with Operating Partners to resolve outstanding issues. Program development and issues being tracked. Possible new reconciliation requirements for Raytheon (DMCS). AD reviewing business needs/requirements for Raytheon. New requirements scheduled to be presented to Raytheon 3/24. Green - 30% completed.</p>	60
				3/7/2003	<p>30.2 Integrate the transaction ID from GAPS - on schedule (TO119). End-to-end testing with Operating Partners started 2/25/03. Green 75% completed</p> <p>30.3 Enhance FMS Operations processing - funding approved. (Reengineering splitter and FMS/FMSS interface process). Requirements have been defined. Approval from the IPC for \$700,000 in funding. Yellow 10% completed.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - funding approved. Finalizing requirements for Phase I & Phase II. Yellow 10% completed.</p> <p>30.6 Implement Program Change Request - Meeting weekly with Operating Partners to resolve outstanding issues. Program developed and issues being tracked. Define trial balance requirements and need sub accounts.</p>	50
				2/11/2003	<p>30.2 Integrate the transaction ID from GAPS - on schedule (TO119) Deliverable 119.1.1 FMS FY'03 Release 2.1 - Approved. Status green 45% completed.</p> <p>30.3 Enhance FMS Operations Processing - funding approved. (Reengineering splitter and FMS/FMSS interface process). Draft technical specifications to be presented to Terri Shaw on Wednesday, February 12th. No firm requirements defined. Yellow 10% completed.</p> <p>30.5 Implemented Guarantee Agency Forms 2000 - funding approved. Meeting to finalize technical proposal. Business case completed. Development in 2 phases. (Phase 1 - yellow 10% completed, Phase 2 - yellow 5% completed).</p> <p>30.6 Implement Program Change Request - Meeting weekly with operating partners to resolve outstanding issues. Program developed and issues logs tracked. Yellow 20% completed.</p>	40
				1/27/2003	<p>30.2 Integrate the transaction ID from GPAS - on schedule (TO119) Deliverable 119.1.1 FMS FY'03 Release 2.1 - Interface Functional Designs delivered and being reviewed for approval. Final sign off due by 1/29. Green 35% completed.</p> <p>30.3 Enhance FMS Operations processing - Funding approved. (Reengineering splitter and FMS/FMSS interface process). Meeting with OCFO Budget to finish defining requirements. Requirements gathering still in process. Requested at ATP to begin work. Yellow 5% completed.</p> <p>30.4 Implemented Federal Administrator - Unfunded - No action.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - Funding approved. Meeting to develop Technical Proposal - Yellow 5% completed.</p> <p>30.6 Implement Program Change Request - Meeting weekly with Operating Partners to resolve outstanding issues. Program developed and issues logs being tracked. Yellow 10% completed.</p> <p>30.7 Implement FSA/Title IV Web-based (Drawdown) capability and award process - Unfunded - no action.</p>	30

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			1/10/2003	<p>30.1 Oracle 8i Database Upgrade - Completed 01/05/03 - Green 100%</p> <p>30.2 Integrate the transaction ID from GPAS - Funding approved. Task Order 119 awarded to Accenture. Functional detail designs completed (5 interfaces to be modified). On schedule per the project plan. Green, 30% completed.</p> <p>30.3 Enhance FMS Operations processing - Funding approved . Reengineering splitter and FMS/FMSS interface process. Meeting with FMS,OCFO, Budget and A/D to define requirements. Requirements gathering still in process. Yellow, 0% completed.</p> <p>30.4 Implement Federal Administrator - Unfunded - No action.</p> <p>30.5 Implement Guaranty Agency Forms 2000 - Funding approved by DSG. Presented to the IPC. Not approved - outstanding issues to resolve. Status - Resolve issues and present to the IPC 1/14/03. Yellow, 0% completed.</p> <p>30.6 Implement Program Change Requests - Change request (CRs) are being prioritized and worked as resources are available. Have completed/closed 20 CRs since 12/17/02. Currently have 63 open CRs however, many appear to contain obsolete requirements/requests. Green, 60% completed.</p>	30
31	CIO	<i>Support ED, customers and business partners by participating in the President's Management Agenda E-Government initiatives</i>			
		<i>Green</i>			
			8/7/2003	Bill Leidinger will host an eLoans briefing to partner agency senior leadership and OMB (tentatively scheduled for August 12). The briefing will provide an update on the current status and recommended future plans for the eLoans initiative. Partner agency senior leadership will be asked to make commitments based on the eLoans team's recommendations and how the initiative fits in with other E-Gov priorities. In July 2003, 318,226 visitors came to students.gov! (8.0 million hits) This broke last month's all-time record by more than 34,000 visits. As of Monday, August 4, 12,137 people are subscribed to Students.gov 'InfoSource', a subscription service that highlights the features of students.gov.	45
			8/4/2003	ED executives have signed the MOU and supporting documents between ED and the Department of Labor for GovBenefits support. The MOU has been provided to DOL for signature. Denis Gusty, DOL/GovBenefits Program Manager is working through the DOL contracting issues that were preventing them from signing the eLoans Gateway MOU. He expects the eLoans Gateway MOU to be signed once ED pays its GovBenefits invoice. On July 23, Bill Leidinger (ED OM Assistant Secretary / Chief Information Officer) approved plans to obligate \$210,000 under the eLoans initiative to support the eLoans Gateway work-stream and overall project management.	45
			7/25/2003	On July 18, Denis Gusty, DOL/GovBenefits Program Manager, said that he might have a solution to the DOL contracting issues that were preventing them from signing the eLoans Gateway MOU. We have tentatively scheduled a meeting with GovBenefits during the week of July 28 to begin work on developing the Gateway as a 'reading room' on the GovBenefits website. However, Gateway development is contingent on ED's payment of its GovBenefits invoice.	99

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			7/11/2003	The eLoans MOU was signed by ED (Bill Leidinger, ED OM's Assistant Secretary for Management/Chief Information Officer) on April 15 and SBA on May 12. Deliverable dates for the eLoans initiative are dependent upon the eLoans MOU being signed and funds transferred. On July 7 the eLoans team met with the DOL/GovBenefits team and OMB to discuss planning/next steps for developing the eLoans Gateway as a 'reading room' on the GovBenefits website. We learned that GovBenefits internal contracting issues are one of the primary reasons DOL has not signed the Gateway MOU accepting \$500,000 from ED. Denis Gusty, DOL GovBenefits Program Manager, and Jonathan Womer, OMB, hope to get these issues resolved by the end of next week. In June 2003, more than 280,000 visitors came to students.gov, breaking the previous record (May, 2003) by more than 100,000 visitors. As of Monday, July 7, 11,697 people subscribed to Students.gov 'InfoSource', a subscription service that highlights the features of students.gov. Students.gov now links to 832 government and non-government student-focused websites.	99
			7/10/2003	The eLoans MOU was signed by ED (Bill Leidinger, ED OM's Assistant Secretary for Management/Chief Information Officer) on April 15 and SBA on May 12. Deliverable dates for the eLoans initiative are dependent upon the eLoans MOU being signed and funds transferred. On July 7 the eLoans team met with the DOL/GovBenefits team and OMB to discuss planning/next steps for developing the eLoans Gateway as a 'reading room' on the GovBenefits website. We learned that GovBenefits internal contracting issues are one of the primary reasons DOL has not signed the Gateway MOU accepting \$500,000 from ED. Denis Gusty, DOL GovBenefits Program Manager, and Jonathan Womer, OMB, hope to get these issues resolved by the end of next week. In June 2003, more than 280,000 visitors came to students.gov, breaking the previous record (May, 2003) by more than 100,000 visitors. As of Monday, July 7, 11,697 people subscribed to Students.gov 'InfoSource', a subscription service that highlights the features of students.gov. Students.gov now links to 832 government and non-government student-focused websites.	45
			6/27/2003	On March 13, 2003, Bill Hansen and Mark Forman agreed to an eLoans funding strategy in which ED would pay \$1.288 million (majority of the eLoans commitment) and three partner agencies (HUD, VA and USDA) would pay \$297,000 each to GovBenefits for a portion of their share of the eLoans commitment. The eLoans MOU was signed by ED (Bill Leidinger, ED OM's Assistant Secretary for Management/Chief Information Officer) on April 15 and SBA on May 12. Deliverable dates for the eLoans initiative are dependent upon the eLoans MOU being signed and funds transferred. On July 2, the eLoans team and partner agency / workstream leads held a visioning meeting to review our FY03 status and conduct FY04 and FY05 planning. In June 2003, more than 280,000 visitors came to students.gov, breaking the previous record (May, 2003) by more than 100,000 visitors. As of Monday, June 30, 11,635 people subscribed to students.gov 'InfoSource', a subscription service that highlights the features of students.gov.	45
			5/30/2003	eLoans partner agencies are moving forward with procurements that will help deliver on their individual workstreams. ED is working out the final details to contract with the Department of Labor on developing the eLoans Gateway, SBA has selected Bearing Point to deliver an opportunities report for streamlining B2G reporting and HUD anticipates a July 1 award date for a contractor to begin web-enabling CAIVRS (Credit Alert Interactive Voice Response System).	45
			5/30/2003	eLoans partner agencies are moving forward with procurements that will help deliver on their individual workstreams. ED is working out the final details to contract with the Department of Labor on developing the eLoans Gateway, SBA has selected Bearing Point to deliver an opportunities report for streamlining B2G reporting and HUD anticipates a July 1 award date for a contractor to begin web-enabling CAIVRS (Credit Alert Interactive Voice Response System). On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	45

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			5/16/2003	On May 13, Maximus was awarded the eLoans Phase 2 project management support contract. Maximus should be on-board by May 19. On May 9, the Department of Labor's CIO, Pat Pizzella, Assistant Secretary for Management, requested a briefing from the eLoans Gateway team about the Gateway vision, business case, and project plan. The Statement of Work for the eLoans gateway focus groups was advertised this week. The proposals are due by May 23. The vendor will conduct focus groups with loan customers (students, farmers, small business owners, veterans and home buyers) who will navigate through a prototype of the gateway. Focus groups will be conducted in July 2003. On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	45
			5/2/2003	The eLoans staff submitted an eLoans eGov Fund application for 2003 to OMB this week. The application included a request for the full \$1.985M for the eLoans initiative, based on our original commitment with our partner agencies, as outlined in the PMC briefing on November 7, 2002, also including the cost of each workstream so OMB would have the option to fund portions of the initiative. The Department of Veterans Affairs, as the lead on the pay.gov workstream, has begun working with agencies to complete Treasury's ACT (Agency Configuration Template) document. eLoans staff is working with its Financial Partners/CFO/Students Channel staff to identify resources who can assist with filling out the template (the document will identify common business requirements). On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	35
			4/18/2003	On April 9, we met with partner agencies, OMB and Treasury to explore pay.gov as a possible solution to collect lender fees electronically. Treasury gave an overview of the pay.gov system, explained their plan to gather business requirements from the agencies, and offered to work with each agency individually to evaluate their business needs and develop a plan for possible implementation. The Department of Veterans Affairs and Treasury are leading this workstream. On April 15, Bill Leiding signed both the eLoans MOU and the eLoans Gateway MOU. We are now preparing the associated funds transfer documents for approval by ED offices so we can forward all completed documents to our partner agencies for their approval. We met with our partner agency leads on April 15 to discuss project planning and how best to obtain their agencies' approval of the eLoans MOU. On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	30
			4/4/2003	On April 1, we met with partner agency leads and OMB to continue project planning and preparations to move forward once funding is available. The eLoans and Gateway MOUs are still being reviewed by partner agencies (VA, HUD, SBA, USDA, DOL), General Counsel, and procurement staff. On 02/20/03, Innovations/E-Commerce presented a business justification and funding request to the DSG for students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	45
			3/7/2003	eLoans staff coordinated with partner agencies and submitted revised performance metrics to OMB this week. The performance metrics were requested from all 24 E-Gov initiatives in preparation for Mark Forman's testimony to Congress on March 4. On March 4, we met with partner agency leads and OMB to continue project planning and preparations to move forward once funding is available. On February 20, Innovations/E-Commerce presented a business justification and funding request to the Decision Support Group for the interagency web portals: students.gov and studentjobs.gov. The DSG recommended funding students.gov FY03 baseline operations for \$70,000, and Terri Shaw approved on 02/26/03.	10

<i>Priority#</i>	<i>Channel</i>	<i>Action Item</i>	<i>Status Date</i>	<i>Progress Summary</i>	<i>% Complete</i>
			1/24/2003	Charlie Coleman, Project Supervisors, and staff are finalizing Microsoft Project Plans for CIO Strategic item 14.	05
32	CFO	<i>Develop an automated tool to administer budgetary resources for FSA's programs and to provide management with the data to effectively manage those resources</i>			
	<i>Green</i>				
			7/11/2003	Decision was made to implement Federal Administrator. Federal Administrator will provide management with data so that managers will be able to effectively manage their resources.	100
			6/27/2003	Currently waiting decision which tool will be selected to automate the budget.	95
			3/7/2003	Automating the interface between FMS and FMSS to enhance budgetary fund management is the last step in the process. To get to this final step FSA must change the funding templates in FMS to correspond to Budget Services's budgetary limitations and implement a "splitter" process for the FFEL program. Both activities are well underway.	50
			2/11/2003	Work on this item is being coordinated with activities under CFO-4. Budget Service has requested that FSA align it's fund control with the Departments and develop the FFEL "splitter" to Budget Services and FSA's mutual satisfaction. These are current works in progress. When these fund management and reporting issues are resolved, we will examine tools to use so the FSA's program funds are entered automatically into FMS.	50
			1/27/2003	Proposed project completion date of 3/31/03.	00
			1/10/2003	A meeting with Budget Service stakeholders William Graham and LarryKean to determine their concerns, preliminary. Development of 32.1 work plan: "Determine requirement for program fund management that are consistent with legislative and OMB requirements. Proposed project completion date is March 31, 2003. Meeting with accounting and DEVA personnel to establish that opening balances are correct for Program funds - ongoing.	00

Appendix B - Milestone Status Report

Priority# Channel Action Item

	Milestone#	Action Item Channel	Status Date	%Complete
2	COO	Develop and execute an FY03 plan to get off the GAO High Risk List		
	5	Demonstrate progress in having implemented corrective measures through improved accountability mechanism assuring addresses necessary reporting requirements for Performance Plan, Department's Strategic Plan, MIT and President's Management Agenda		
	Green	COO	8/8/2003	80
		Reporting on Track. Received a yellow in status and a green in progress in June 30, 2003 Quarterly Report from OMB on PMA program specific initiative progress. Updates for performance plan being monitored by Analysis. Third Quarter financial statements submitted to OMB as required. On track for FY 2003 financial statement preparation, systems integration and significant oversight projects. FSA reorganization finalized that provides a better structure for managing risk.		
	6	Develop internal communications infrastructure		
	Red	COO	8/8/2003	10
		No change exists to this item. Currently updates are provided to the Senior Leadership through the monthly meetings. In July a copy of the Secretary's letter back to GAO regarding GAO's declining to act on the Secretary's request for the SFA programs to be reconsidered high-risk was provided to FSA Senior Leadership. As reported before, if this action item is one that needs to continue, the responsibility for the item needs to be transferred to Communications.		
	7	Update plan monthly as necessary		
	Green	COO	8/8/2003	80
		Followed up on items submitted to Analysis for updating financial management information to include FY 2003 steps for Project 1. Work is being performed, which is why the item will remain green, but currently the work is not in the plan formally.		
	8	Meet with and/or inform GAO and OMB on progress and internal monitoring regularly		
	Green	COO	8/8/2003	80
		GAO - Updates provided to GAO on selected outstanding recommendations. OMB Quarterly Report on program specific initiative provided to GAO. FY 2002 final Annual Report also provided to GAO. OMB - Meetings scheduled for 8/13 & 8/20 to update OMB on Systems Integration and the FSA Data Strategy Framework (8/13) and the IG's July testimony and Program Integrity/Monitoring of Schools, Lenders and Guaranty Agencies. Working with OMB on finalizing the IRS Code changes required for the data match between IRS and FSA's applicant system aimed at reducing erroneous payments and improving eligibility determinations.		

Priority# Channel Action Item

	Milestone#	Action Item	Channel	Status	Date	%Complete
4	CFO	Implement the proper accounting structure and appropriate internal controls in all systems impacted by FSA's system integration and technology solution initiatives				
	1	Establish board members to include operating and system accountants				
	Yellow		CFO		8/8/2003	00
		This has been submitted for cancellation to the IPC.				
	2	Establish meeting frequency				
	Yellow		CFO		8/8/2003	00
		This has been submitted for cancellation to the IPC.				
	3	Develop account validation framework for system development and ongoing certification of accounting and program systems providing financial management data				
	Green		CFO		8/5/2003	100
		COD Financial Controls Review completed. Document to support closure will be sent to the Analysis Division.				
	5	Develop framework for measuring whether the accounting validation is working by performing independent post production validation				
	Green		CFO		8/5/2003	100
		Independent post production validation completed on 8/1/03. Documentation to support closure will be sent to Analysis Division.				
5	CFO	Develop trial balance capability for each operating partner (ACS, Raytheon, EDS, COD) to facilitate and expedite the reconciliation process				
	1.1	Review and document each operating system (providing for consistency among and within systems)				
	Green		CFO		8/8/2003	100
		Completed. SOO requirements have been developed and provided to Operating Partners.				
	2.1	Develop Trial Balance at each Operating Partner				

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status Date	%Complete
Green		CFO	8/8/2003	60
	SOO requirements have been developed and provided to operating partners. Waiting for proposal back before beginning development.			
3	Utilize an automated tool to balance and reconcile all financial transactions received from Operating Partners			
Green		CFO	8/8/2003	75
	In process of contacting CheckFree to commence in-house training.			
4	Work with Operating Partner to balance and reconcile all financial transactions			
Green		CFO	8/8/2003	100
	Completed.			
5	Roll up support balances in Financial Statements			
Green		CFO	8/5/2003	100
	Roll up support for financial statements complete. Supporting documentation being sent to Analysis Division.			
6	Debt Management Collection System (DMCS) complies with FMS subsidiary ledger reconciliation requirements			
Green		CFO	8/8/2003	85
	We continue to "chip" away at insuring that reconciliation of the portfolio is timely and accurate. The EDS (consolidation vendor) and ACS (DL vendor) are farther ahead in this effort than Raytheon (DMCS). It appears that we will meet the 9/30/03 deadline.			
7	FP	Implement Form 2000 enhancements needed since original deployment in October 2000		
5	Add electronic corrections ability			
Yellow		FP	8/8/2003	100
	Completed.			

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status	Date	%Complete
7	Begin to integrate enhancements in Data Mart Release III build to create integrated view for scorecard and submission reporting				
Green		FP		8/8/2003	100
	Completed.				

9 SCH *Improve school's fund management, reconciliation, and close out processes*

1	Reduce time to deliver funds to schools				
Green		SCH		8/8/2003	83
	Due to a problem with one of the school financial aid system vendor applications last week, there was a delay in processing some batches received by COD. This problem had the potential to cause major delays for all schools but was mitigated within a day. FSA is negotiating a solution with the				
2	Identify improvements and fill gaps in current operational procedures related to reconciliation				
Yellow		SCH		8/8/2003	65
	Final draft procedures have been submitted by all Title IV Delivery Operations offices and COD Customer Service. ED/OCFO has suggested improvements (the development and dissemination of training materials to ensure that refunds and direct deposit setups are processed quickly). We expect that this project can be completed by the end of the month (yellow status denotes end-loading, which increases project risk).				
4	Retire Pell's Recipient Financial Management System (RFMS) and the Direct Loan Origination System (DLOS)				
Green		SCH		8/8/2003	83
	DLOS stopped processing on July 31 and the archive is on schedule (we've received test files from both EDS and ACS). We expect to begin receiving the Direct Loan production archive data from DLOS on August 29, and the Pell files from ACS on September 9.				

11 SCH *Enhance Program Monitoring and Oversight*

2.2 Implement Phase I of the plan

Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
Green	ANAL Regarding the Verification Study - Macro is currently reviewing output files and making necessary adjustments to analysis programs, which they will then return to the IRS. IRS will then run the programs and generate the output files. After we review the output and rerun as necessary, this study will be wrapping up in the next week or two. Regarding the Baseline Study - Macro is currently providing the SAS programs and data file to IRS for data analysis. IRS will then run the SAS programs against the sample file and generate the data tables. The draft report will be ready on or about September 8th. The Enterprise-wide Pell Team will next meet on August 15th.		8/8/2003	75
2.3	Develop and deliver training to staff on needs analysis, verification and conflicting documentation.			
Green	SCH analysis of schools continues		8/8/2003	85
3.1	Reengineer and streamline Case Management and Oversight (CMO) business processes to reduce decision making timeframe and achieve increased consistency of outcomes			
Green	SCH Approved deliverable 1 (7/28). Briefed BIG on project status (8/5). Coordinating architecture with Ganesh Reddy, Paul Hill. Holding bi-weekly mtgs. Working on cost/benefit analyses. Reviewing target process flows for add'l streamlining opportunities.		8/8/2003	70
3.1.2	Define requirements and conceptual design for workflow tool to facilitate processes (most likely Postsecondary Education Participation System (PEPS) reengineering)			
Green	SCH see 11.3.1		8/8/2003	70
3.3	External Training			
Green	FSAU Business Justification is being revised and will go before the DSG/IPC within the week. 2004 Training Topics have been identified and teams are being established.		8/8/2003	95
3.3.1	Plan, design and build a "100 Series" of basic training for schools			

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status Date	%Complete
Green		FSAU	8/8/2003	90
	Deployment option has been selected; prototypes are being designed and target date for September 30 is ahead of schedule.			
3.3.2	Develop and deliver a program integrity training for schools through a combination of videoconferences , web-based training, and classroom experiences			
Green		FSAU	8/8/2003	90
	FSA COACH updates are progressing as planned. Cash Management TOT has been completed with first workshops scheduled for September 03. 2004 Training Topics have been identified and teams are being established.			
4.1	Enhance the use of available financial partner data by implementing Phase III of the Financial Partners Data Mart			
Green		FP	8/8/2003	39
	The Functional Design for the project has been reviewed and approved by the project team and FSA management. The project is currently in the build and unit test phase and continues to progress according to the schedule. Changes to the text and dates of the success measures have been approved and should be reflected on the next reporting period. Change for completion date approved. New date is 10.10.2003.			
4.2	Continue enhanced oversight of lenders/servicers and guarantee agencies through improved consistency and risk management tools			
Green		FP	8/8/2003	79
	The national reviews continue with 11 GA/servicer site visits remaining. The Colorado Student Loan Program and The Education Services Foundation in MS are scheduled for the week of 8.11.2003.			
4.3	Check and analyze the quarterly submissions for all quarters in FY03 for accuracy and proper accounting treatment and funding transactions			
Green		FP	8/8/2003	80
	Accruals for the top 10 lenders and top 10 GAs were submitted received for July. Additional accruals for the months of August and September are pending. FSA-CFO will then be comparing these accruals against actuals.			
4.4	Coordinate submissions, outcomes, remittance processing, and data quality with regional reviews initiative scheduled for FY03			
Green		FP	8/8/2003	75
	Thirty four financial partners site visits have now been completed.			

Priority# Channel Action Item

<i>Milestone#</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>%Complete</i>
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5.1 Demonstrate improved risk management and default prevention strategies

Green	STU		8/8/2003	100
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A presentation to the Default Management Committee of NCHELP was conducted in Philadelphia last week. The presentation provided an insight for the group related to a focused and concentrated effort on managing risk in the Title IV programs.

12 ACP Develop and implement proper internal controls to insure the acquisition process supports the business needs and success of FSA

1 Document internal controls and procedures

Green	ACP		8/8/2003	100
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Completed - updating and monitoring is an on-going effort.

1.1 Align internal controls and procedures with investment management practices

Green	ACP		8/8/2003	100
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Process of aligning internal controls w/investment management practices on-going. Monitoring of Investment process by Acquisition and close coordination w/CPO greatly assist in insuring processes remain aligned.

1.2 Provide training and support to all stakeholders on internal controls and procedures

Green	ACP		8/8/2003	100
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Training on-going. Recommendation developed from training CORs is that Senior Management should be afforded executive level training on the Acquisition process.

2 Develop a comprehensive Procurement Plan which enumerates: Business needs, Existing programs needs, Contracts supporting each program need, The interplay of both programs and contracts, Expiration dates of contracts, Sequence under which contracts must be renewed to support program needs, Vendors and technologies best suited to meet FSA program needs, Business reengineering efforts underway or contemplated, which may impact existing programs

Green	ACP		8/8/2003	80
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Draft procurement plan prepared and briefed to BIG and other personnel. Meeting w/Procurement Executive scheduled for 8/11/03. Await input from BIG membership scheduled for 8/8/03. Intent is to brief Draft to Management Council by end of August.

3 Develop Acquisition human resources

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status Date	%Complete
Yellow	ACP		8/8/2003	50
	Efforts to develop Acquisition Human Resources frustrated by late approval of reorganization. While staffing and training plans have been developed and are being refined actual training can not be completed until staff has been recruited. This is not likely to be completed until FY end which means training can not be completed by the target date for this milestone 9/30/03.			
3.1	Identify Acquisition staffing requirements			
Green	ACP		8/8/2003	100
	FSA staffing requirements identified in Feb and approved in July '03. Concern remains about level of support provided by CPO - does not appear sufficient to meet FSA needs.			
3.2	Conduct training needed to develop FSA acquisition managers			
Red	ACP		8/8/2003	50
	Training will not be completed by scheduled completion date for this milestone.			
3.3	Establish Pre-award Acquisition Expert Teams to support program managers pursuing new procurements			
Yellow	ACP		8/8/2003	75
	PAX Teams approved and form around existing staff. However, only 50% of requirements on-hand and it will be difficult to recruit and hire entire PAX Team organization before FY end.			
4	Establish an Enterprise-wide contract performance and property monitoring process for FSA			
Green	ACP		8/8/2003	50
	On-Track for completion by scheduled completion date.			
14	HR	<i>Develop and/or implement workforce alignment (business processes, skills, etc.) initiatives and actions to support FSA performance goals and One-ED objectives</i>		
	1	Begin to align with/participate in One-ED		
Yellow	FSAU		8/8/2003	80
	MEO team working is working on the proposal. The due date is extended to 8/14. Award still anticipated for 9/30.			

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status Date	%Complete
4	Align functions, unit configuration, and work responsibilities with new system integration and technology solutions			
Green		CIO	8/8/2003	10
		On going. No change.		
Green		FP	8/8/2003	00
		No FP interaction/input required to date. No update to report for this period.		
5	Expand Career Zone (CZ) offerings to include new system and technology solutions			
Green		FSAU	8/8/2003	50
		NO CHANGE		
5.1	Expand Career Zone offering to include Title IV basics - programs, delivery system, negotiated rulemaking. Build and deliver "Partnering for Performance" sessions			
Green		FSAU	8/8/2003	48
		NO CHANGE		
6	Review, update and use the FSA skills catalog			
Green		FSAU	8/8/2003	40
		Half of the GM meetings have been held and subject matter experts are identified.		
6.1	Review and update FSA skills catalog			
Green		FSAU	8/8/2003	20
		NO CHANGE		
6.2	Conduct gap analyses based on skills catalog (business units TBD)			

Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
	Channel		Status Date	
Green	FSAU Start date TBD.		8/8/2003	00
6.3	Provide learning opportunities to fill skills gaps			
Green	FSAU Start date TBD.		8/8/2003	00
7	Develop learning track for case management teams			
Green	FSAU Order has been approved and work has commenced.		8/8/2003	10
7.1	Conduct research and analysis of skills needed to perform specific jobs			
Green	FSAU Further work to be done as curriculum is developed		8/8/2003	80
7.2	Create the curriculum, focusing on "data driven" approach			
Green	FSAU Work is commencing		8/8/2003	05
7.3	Conduct skills assessment			
Green	FSAU Work to begin in the next few weeks		8/8/2003	00
7.4	Provide learning options to fill gaps			

Priority# Channel Action Item

<i>Milestone#</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>%Complete</i>
Yellow	FSAU NO CHANGE		8/8/2003	00

8 Align Manager Excellence Training to focus on Performance and Accountability

Green	FSAU Delivery of workshops was completed on August 7; performance support mechanisms (web tool, Action Learning Circles, Coaching arrangements) are in place.		8/8/2003	100
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16 CIO Define an enterprise-wide data strategy and high-level implementation approach that addresses the business flow of data across the enterprise, architecture, primary ownership, standards, management, access methods, and quality

1 Identify the strategic focus areas necessary to develop a cohesive enterprise-wide data strategy

Green	CIO Deliverable 123.1.1 Statement of Strategic Data Focus Areas was completed and accepted on time (submitted 4/30/03, signed 5/14/03 per the task order).		8/4/2003	100
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2 Collaborate with all internal Department stakeholders and external stakeholders to identify business needs and requirements with respect to the data provided to FSA by others, provided by FSA to others, and managed by FSA

Green	CIO Changed percentage complete.		8/8/2003	45
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Green	FP FP has participated in Technical Strategy discussions to prepare mapping of future state.		8/8/2003	65
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Green	CIO The Framework team is working closely with members of the BIG and IP Integration teams to collaborate on the target visioning taking place in their forums. Strawman designs are being crafted for discussion through both the BIG and data strategy meetings. However, the due date per the task order for this deliverable is 10/17/2003.		8/4/2003	40
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2.1 Map the current state and future state business flow of data, as applicable, across the enterprise

Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
Green	CIO Changed percentage complete.		8/8/2003	45
Green	FP FP has participated in Technical Strategy discussions to prepare mapping of future state. FP is also participating in Web Services meeting to determine future solutions.		8/8/2003	60
Green	CIO The Framework team is working closely with members of the BIG and IP Integration teams to collaborate on the target visioning taking place in their forums. Strawman designs are being crafted for discussion through both the BIG and data strategy meetings. However, the due date per the task order for this deliverable is 10/17/2003.		8/4/2003	40
2.1.1 Inventory legacy/operational data that is shared by systems to identify redundancy				
Green	CIO Changed percentage complete.		8/8/2003	35
Green	CIO Changed percentage complete.		8/8/2003	35
Green	FP Data redundancy points have been identified with the completion of current state.		8/8/2003	70
Green	CIO Reduction of redundancy within these stores is a mission of the Data Quality Mad Dog and Data Quality Assurance Strategy. The Mad Dog Report Deliverable draft has been completed and will be delivered on 7/31/03. The final delivery date, per the task order, for the Technology Vision and Strategic Plan and the Data Quality Assurance Plan is 11/17/2003.		8/1/2003	30
2.1.2 Determine where business data should be integrated				
Green	CIO Changed percentage complete.		8/8/2003	45

Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
Green	CIO Changed percentage complete.		8/8/2003	45
Green	FP FP continues to participate in meetings to identify integration point for FSA Business data.		8/8/2003	55
Green	CIO No change.		8/1/2003	40
2.2	Develop requirements and initial design for Common Identifiers for School and Students.			
Green	CIO On schedule.		8/8/2003	50
Green	CIO On schedule.		8/8/2003	50
Green	CIO The SSIM Implementation Strategy Working Sessions begin on Thursday 7/31. The RID Team is currently working with FSA to outline the necessary components for the RID High Level Design deliverable 123.1.25. This deliverable is targeted to be delivered on 11/17/03. The RID team is planning to hold a Core Team meeting in the next two weeks to confirm the high level requirements for the RID Solution as well as discuss the process flows. An update on the recommended RID implementation option as well as next steps for creating the RID High Level Design was scheduled to be given at the BIG meeting on 7/29/03 but due to a full agenda the update had to be re-scheduled. The RID Team is planning to work with Erin Campbell to find an available date to return. The most		8/1/2003	50
3	Determine Current Data Quality and Establish Target State Plan and Quality Assurance Process			
Green	CIO The Mad Dog Report Deliverable was delivered 7/31/03		8/8/2003	40
Green	CIO The Mad Dog Report Deliverable was delivered 7/31/03		8/8/2003	40

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status Date	%Complete
Green		CIO	8/1/2003	40
	The Mad Dog Report Deliverable draft has been completed and will be delivered on schedule on 7/31/03.			
3.1	Evaluate and identify data quality problems and identify correct source of data			
Green		CIO	8/8/2003	95
	The Mad Dog Report Deliverable was delivered 7/31/03. Status changed to Green. New due dates approved.			
Red		CIO	8/1/2003	85
	The series of Mad Dog meetings is complete and the top 10 issues along with a set of "quick hit" items has been prioritized. A Data Quality Focus group was conducted at the July NASFAA conference where data quality issues relevant to the School and FP community were gathered for inclusion in the Mad Dog and Quality Assurance efforts. The Mad Dog Report Deliverable draft has been completed and will be delivered on schedule on 7/31/03.			
3.2	Develop a data cleansing plan based on cost, value and urgency			
Green		CIO	8/8/2003	40
	NO CHANGE			
Green		CIO	8/1/2003	40
	NO CHANGE			
3.3	Develop and administer a data quality process which ensures the FSA projects implement enterprise data standards and quality standards			
Green		CIO	8/8/2003	40
	On schedule.			
Green		CIO	8/1/2003	40
	NO CHANGE			
3.4	Establish an FSA data quality committee comprised of both business and technical subject matter experts to ensure that enterprise data standards are addressed within each project			

Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
	<i>Channel</i>		<i>Status Date</i>	<i>%Complete</i>
Green	CIO NO CHANGE		8/8/2003	40
Green	CIO NO CHANGE		8/1/2003	40
4	Develop an enterprise-wide extensible markup language (XML) Technical Architecture Framework to enhance data sharing and standardization with our external customers			
Green	CIO On schedule.		8/8/2003	40
Green	CIO NO CHANGE		8/1/2003	40
4.1	Develop an XML framework including: and FSA XML Vision, XML standards, governance process, methodology, XML technical core components, sector libraries, and schemas			
Green	CIO On schedule.		8/8/2003	40
Green	CIO NO CHANGE		8/1/2003	40
4.2	Develop an integrated sequencing plan that identifies when and how each initiative will implement the XML framework as part of an overall enterprise data integration plan			
Green	CIO On schedule.		8/8/2003	40
Green	CIO NO CHANGE		8/1/2003	40

Priority# Channel Action Item

<i>Milestone#</i>	<i>Action Item</i>	<i>Status</i>	<i>Date</i>	<i>%Complete</i>
4.3	Establish Common Record XML format			
Green	CIO On schedule.		8/8/2003	40
Green	CIO NO CHANGE		8/1/2003	40
4.4	Identify initial requirements and initial design for XML Institutional Student Information Report (ISIR)			
Green	CIO NO CHANGE		8/8/2003	100
Green	CIO NO CHANGE		8/1/2003	100
4.5	Complete development activities to implement XML for ISIR for 2004-05			
Yellow	STU ISIR XML activities for 2004-05 have been suspended. Dear Colleague letter GEN 03-09 has been posted to IFAP, which explains the reasons for the adjustment in the schedule. However, a statement of objectives has been prepared to request updated 2004-05 record layout and sample document in XML format for providing to the community sometime in the fall. The updated schema, provided by Accenture will also be provided. In addition, the SOO requests EDEExpress resource requirements testing and end-to-end testing of both ISIR and COD records in EDEExpress through		8/8/2003	35
5	Develop integrated Data warehouse and data mart strategy			
Green	CIO On schedule.		8/8/2003	33

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status	Date	%Complete
Green		CIO		8/1/2003	33
	The Technical Strategies Statement of Strategic Focus (123.16) was delivered on time on 6/30. The Internal Data Strategy is due 7/31/03 and initial comments are being incorporated prior to delivery. Working sessions to assess solution options across the Technical Strategies have started and are scheduled through September. The Statement of Strategic focus will feed the integrated Data Storage, Management, and Access Strategy (123.1.10). The final delivery date, per the task order, for the Data Storage, Management and Access Strategy is 9/30/03.				
5.1	Develop Data Warehouse and Data Mart Vision				
Green		CIO		8/8/2003	33
	On schedule.				
Green		CIO		8/1/2003	33
	The Technical Strategies Statement of Strategic Focus (123.16) was delivered on time on 6/30. The Internal Data Strategy is due 7/31/03 and initial comments are being incorporated prior to delivery. Working sessions to assess solution options across the Technical Strategies have started and are scheduled through September. The integrated Data Storage, Management, and Access Strategy Vision is part of the overall Technology Vision and Strategic Plan (123.1.12). The final delivery date, per the task order, for the Technology Vision and Strategic Plan is 11/17/2003.				
5.2	Develop Data Warehouse and Data Mart Framework				
Green		CIO		8/8/2003	33
	On schedule.				
Green		CIO		8/1/2003	33
	The Technical Strategies Statement of Strategic Focus (123.1.6) was delivered on time on 6/30. The Internal Data Strategy is due 7/31 and initial comments are being incorporated prior to delivery. Working sessions to assess solution options across the Technical Strategies have started and are scheduled through September. This will feed the integrated Data Storage, Management, and Access Strategy (123.1.10). The final delivery date, per the task order, for the Data Storage, Management, and Access Strategy is 9/30/2003. These documents and the Target State Business Data Flows are the basis for the Data Warehouse / Mart portions of Deliverable 123.1.4 which will provide the target state assessment for integration of business data. This deliverable will include the relevance of how information should reside and be processed through FSA systems to meet its business process needs. However, the due date per the task order for this deliverable is 10/17/2003.				
5.3	Develop technical standards and guidelines				

Priority# Channel Action Item

Milestone#	Action Item	Channel	Status	Date	%Complete
Green		CIO	On schedule.	8/8/2003	33
Green		CIO	The Technical Strategies Statement of Strategic Focus (123.1.6) was delivered on time on 6/30. The Internal Data Strategy is due 7/31 and initial comments are being incorporated prior to delivery. Working sessions to assess solution options across the Technical Strategies have started and are scheduled through September. The outcome of these working sessions will be input to the vision portion of the overall Data Strategy. The final delivery date, per the task order, for the Technology Vision and Strategic Plan (123.1.12) is 11/17/2003.	8/1/2003	33
5.4	Develop a strategy for enterprise wide data sharing and distribution (data storage, management and access rights)				
Green		CIO	On schedule.	8/8/2003	33
Green		CIO	The Technical Strategies Statement of Strategic Focus (123.1.6) was delivered on time on 6/30. The Internal Data Strategy is due 7/31 and initial comments are being incorporated prior to delivery. Working sessions to assess solution options across the Technical Strategies have started and are scheduled through September. This will feed the integrated Data Storage, Management, and Access Strategy (123.1.10). The final delivery date, per the task order, for the Data Storage, Management, and Access Strategy is 9/30/2003. Regarding data access rights, these are part of the Access Management Business Objectives collected delivery on 6/30/2003 (123.1.27). These documents and the Target State Business Data Flows are the basis for the Data Warehouse / Mart portions of Deliverable 123.1.4 which will provide the target state assessment for integration of business data. This deliverable will include the relevance of how information should reside and be processed through FSA systems to meet its business process needs. However, the due date per the task order for this deliverable is 10/17/2003.	8/1/2003	33
6	Develop technical standards, conventions, and data management guidelines				
Green		CIO	On schedule.	8/8/2003	33
Green		CIO	NO CHANGE	8/1/2003	33
7	Review Security and Privacy Procedures and revise as necessary				

Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
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Green	CIO		8/8/2003	14
	On schedule.			

Green	CIO		8/4/2003	14
	Security and Privacy procedures are an integral part of any Data Strategy effort and attention to Security and Privacy implications will play a part in all of the TO 123 Deliverables. However, 124 TO - Technical Security Architecture, will be the primary TO delivering information to cover this performance plan area. Where applicable, business objectives related to security and security implications, arising from Business Objective gathering sessions being conducted for Overall Data Strategy, Technical Strategies and XML, are being captured and shared with the TO 124 team.			

8 **Develop an enterprise web services/portal strategy**

Green	CIO		8/8/2003	40
	Business objective gathering sessions were completed and the key objectives and gaps were captured in the Statement of Strategic Focus (123.1.6) delivered on 6/30/2003. The first of two Web Usage working sessions have been completed. The remaining Web Usage and Web Services working sessions are scheduled in early August. The Web Services and Web Portals Strategies (123.1.7 and 123.1.8) are due for final delivery date, per the task order, on 8/29/2003. Changed status to Green.			

Yellow	CIO		8/4/2003	30
	NO CHANGE			

18 **CIO Create an overall FSA integrated security and privacy architecture**

3 **Integrate FSA needs with Departmental and government wide initiatives (e.g. Critical Infrastructure Protection, Enterprise Architecture, eAuthentication, etc.)**

Red	CIO		8/8/2003	100
	NO CHANGE			

Red	CIO		8/4/2003	100
	Met with Barry Goldstein and we're in the process of providing a written response for all phases of this performance milestone.			

21 **STU Define strategy for EDEExpress reengineering**

1 **Define Strategy for EDEExpress reengineering**

Priority# Channel Action Item

Milestone#	Action Item	Status	Channel	Status Date	%Complete
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<i>Green</i>	STU			8/8/2003	30
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A status update meeting for the EDEExpress Team Leads is scheduled for August 18th.

22 **STU** *Develop an outreach strategy for Aid Awareness in support of OPE and tied to "No Child Left Behind"*

1 Analyze current Student Aid Awareness publications and costs, and validate strategy

<i>Green</i>	STU			8/8/2003	20
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Postponed pending funding and successful launch and stabilization of Release 2.

2 Determine additional portal functionality

<i>Yellow</i>	STU			8/8/2003	05
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Not funded. Capturing and storing ideas for enhancements to R2 of the Students Portal.

3 Design and build Release 3 of Students Portal to incorporate revised publications and functionality

<i>Yellow</i>	STU			8/8/2003	05
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Postponed pending funding and successful launch and stabilization of Release 2.

23 **STU** *Upgrade technology and integrate Central Processing System (CPS) across the enterprise*

1 Develop a plan for upgrading CPS

<i>Green</i>	STU			8/8/2003	100
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Upgrade technology and integrate Central Processing System (CPS) across the enterprise.

2 Requirements and design for upgrading CPS

<i>Green</i>	STU			8/8/2003	05
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Awaiting final decision letter from Applications mgmt articulating why this approach will not be pursued.

Priority# Channel Action Item

Milestone# Action Item
Channel Status Date %Complete

25 CIO Complete the recommendations outlined in the OIG audit (A07-C001) Audit of Enterprise Architecture, issued September 30, 2002

1	Implement the OIG recommendations to strengthen the linkages between Enterprise Architecture management and the Capital Planning (Investment Review) processes		
Green	CIO	8/8/2003	20
	NO CHANGE		
Green	CIO	8/4/2003	20
	NO CHANGE		
2	Continue to populate, validate the information in the enterprise architecture management tool		
Green	CIO	8/8/2003	30
	On schedule.		
Green	CIO	8/4/2003	30
	This task is working well and on schedule.		
3	Procure additional EA tool licenses, tool maintenance		
Green	CIO	8/8/2003	30
	NO CHANGE		
Green	CIO	8/4/2003	30
	Received new product codes from Popkin to allow us to remove License Manager from the network which used NetBIOS. The Department is still obligated, under the honor system, to the original licensing agreement. Continue to work with ED/CIO on implementing the version 9 upgrade and resolving implementation issues.		
4	Develop a process flow to manage the information in the FSA enterprise architecture		
Green	CIO	8/8/2003	10
	NO CHANGE		

Priority# Channel Action Item

<i>Milestone#</i>	<i>Action Item</i>	<i>Channel</i>	<i>Status Date</i>	<i>%Complete</i>
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Green	CIO	NO CHANGE	8/1/2003	10
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5 Develop the requirements and technical product to export the FSA enterprise architecture contents to the Department of Education

Green	CIO	NO CHANGE	8/8/2003	30
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Green	CIO	Continue to work with ED/CIO on implementing the version 9 upgrade and resolving implementation issues. Currently aligning the workplans to review and define the types of content.	8/4/2003	30
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30 CFO Obtain clean financial opinion for ED. Improve FMS internal processes, progs, controls. Support reconcil. b/w FSA Op. Prtnrs, FMS, FMSS, GAPS & Treasury. Integrate w/FSA reengineered systems & perform upgrade analysis & implement Oracle Fed Financials11i

5 Implement Guaranty Agency Forms 2000 Enhancements

Green	CFO	Deliverable 130.1.3 FMS FY03 Release 2.3 - Production Readiness Review completed.	8/5/2003	100
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31 CIO Support ED, customers and business partners by participating in the President's Management Agenda E-Government initiatives

1 E-Gov Support:Implement & participate in cross-gov initiatives includng eLoans proj mgmt (Pres Mgmt Council E-Gov initiative),participation in E-Authentication & devel e-Commerce solutions for FSA paper processes & promoting standards in student aid

Green	CIO	Bill Leidinger will host an eLoans briefing to partner agency senior leadership and OMB (tentatively scheduled for August 12). The briefing will provide an update on the current status and recommended future plans for the eLoans initiative. Partner agency senior leadership will be asked to make commitments based on the eLoans team's recommendations and how the initiative fits in with other E-Gov priorities.	8/7/2003	45
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Priority# Channel Action Item

Milestone#	Action Item	Status	Date	%Complete
	Channel		Status Date	
Green	CIO		8/4/2003	45
	ED executives have signed the MOU and supporting documents between ED and the Department of Labor for GovBenefits support. The MOU has been provided to DOL for signature. Denis Gusty, DOL/GovBenefits Program Manager is working through the DOL contracting issues that were preventing them from signing the eLoans Gateway MOU. He expects the eLoans Gateway MOU to be signed once ED pays its GovBenefits invoice. On July 23, Bill Leiding (ED OM Assistant Secretary / Chief Information Officer) approved plans to obligate \$210,000 under the eLoans initiative to support the eLoans Gateway work-stream and overall project management.			
2	Cross Agency Web Support:Support operations & usability of existing interagency web portals inclndg students.gov (student-centered interagency Web portal partnered w/FirstGov.gov) & StudentJobs.gov (student-centered interagency Web portal partnered w/OPM)			
Green	CIO		8/7/2003	45
	In July 2003, 318,226 visitors came to students.gov! (8.0 million hits) This broke last month's all-time record by more than 34,000 visits. As of Monday, August 4, 12,137 people are subscribed to Students.gov 'InfoSource', a subscription service that highlights the features of students.gov.			
Green	CIO		8/1/2003	45
	NO CHANGE			