

3.5 Program Management and Oversight Subsystem

3.5.1 Description

The Program Management and Oversight subsystem will maintain aid program information and will ensure that schools, lenders, guaranty agencies and servicers qualify and comply with program rules. In this context, this subsystem will determine the schools and aid organizations eligible to participate in Title IV aid programs and will process their certification information, as well as maintain general school and aid organization information.

This subsystem will allow authorized parties to maintain and monitor information (e.g., financial, performance related) about the aid organizations and the schools eligible to participate in Title IV aid programs. In addition, users will be able to maintain aid program information, such as program description and functional parameters.

This subsystem will also process the requests or inquiries (correspondence and phone calls) received from participants, schools, aid organizations and the general public. It will maintain and monitor contract information including the data and time of calls and correspondence, contact priority information, and the inquiry subject and response. Information provided to the public could include paper forms such as deferment, forbearance or disability forms, or electronic media such as web page information. As part of its functionality, it will handle complaints and resolve conflict cases when the loan has been mishandled or lost, and for miscellaneous participant complaints.

Additional functionality of the Program Management and Oversight Subsystem includes:

- Receives and maintains general information regarding the Department of Education's financial aid programs, such as description, general requirements, documentation, regulations and program qualifications
- Maintains school accreditation and state licensing information, as well as notifies schools of the determination of their eligibility to participate in Title IV programs
- Manages the business information related to participating schools
- Receives and records the school participation information sent from schools to the Department of Education
- Tracks waivers to the Campus Based requirements for underutilization and community server associated with participation
- Manages data required for the calculation of cohort default rates. This activity distributes both aid history inputs and the default rate outputs to the respective school
- Manages the appeal by schools of the calculated default rates. Schools may appeal their default rates based on erroneous data, improper servicing, or mitigating circumstances
- Coordinates the distribution of information related to all non-default rate appeals from schools
- Coordinates the distribution of program review and audit data received from several schools and from audit data.

- Manages the information provided by the Department of Education's Institutional Program Oversight Service (IPOS) to issue sanctions against schools that have improperly managed financial aid programs.
- Directs the execution of a participant's request for information pertaining to participating schools performances.
- Manages the information related to a school's change of ownership
- Receives notification from various sources about a school closure. Upon verification, forwards stop payment orders to the ED/CFO.
- Manages the distribution and participation data that is received from aid organizations and distributes it to Guaranty and Lender Oversight Services and other oversight agencies.
- Directs the execution of a participant's request for information pertaining to participating aid organization's performance.
- Distributes audit and program review data between aid organizations and Guarantor and Lender Oversight Service for the use of performing program reviews
- Distributes default rates to Aid Organizations
- Manages data supporting an aid organization's appeal process of default rates, program reviews performed by Department of Education's Guarantor and Lender Oversight Service (GLOS) and audits performed by Independent Public Accountants (IPAs).
- Receives and processes state applications for the Federal contributions program
- Handles incoming/outgoing correspondence from/to participants, schools, and aid organizations
- Handles conflict resolution for loan origination and loan services when a loan is mishandled or lost.

A graphical representation of the processes that comprise the Program Management and Oversight Subsystem and its associated external entities can be found in **Exhibit 3.5-1 Program Management and Oversight Subsystem**.

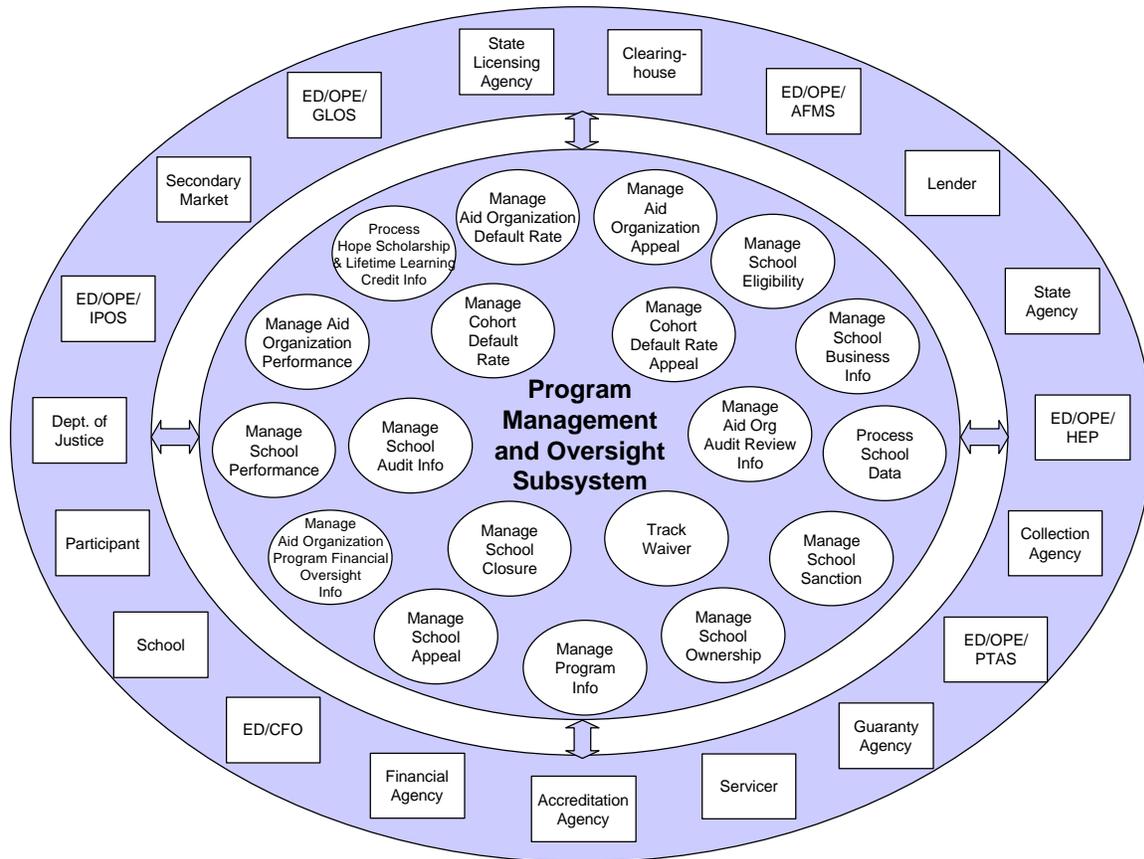


Exhibit 3.5-1 Program Management and Oversight Subsystem

An index of each of the processes is included for easy reference.

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3.5.2 Subsystem Processes and Requirements

This section contains the list of processes comprising the Program Management and Oversight Subsystem and the business requirements supporting the functionality of each process.

Process

Definition

Manage Aid Organization Appeal

This activity manages the data supporting the appeal process for guaranty agencies, lenders, servicers and secondary markets. Appeals take two forms: appeals of audits and program reviews performed by the Department of Education's Guarantor and Lender Oversight Service (GLOS) appeals of audits performed by Independent Public Accountants (IPAs); and appeals of calculated default rates.

- 3890 The system shall provide audit and review appeal status to guaranty agencies.
- 3892 The system shall provide audit and review appeal status to lenders.
- 3894 The system shall provide audit and review appeal status to servicers.
- 3896 The system shall provide secondary market audit and review appeal status to secondary markets.
- 3900 The system shall receive lender audit, review and default appeals from lenders.
- 3910 The system shall receive guaranty agency audit, review, and default appeals from guaranty agencies.
- 3920 The system shall receive servicer audit, review, and default appeals from servicers.
- 3930 The system shall receive secondary market audit and review appeals from secondary markets.

Process

Definition

Manage Aid Organization Audit

This activity distributes audit and review data between the Review Information proper aid organization and the Department of Education's Guarantor and Lender Oversight Service (GLOS). GLOS uses data provided by the various aid organizations and to perform audits and reviews.

- 3320 The system shall receive guaranty agencies' reviews of schools and school audit information from guaranty agencies and provide them to ED/OPE/IPOS.
- 3690 The system shall provide organizational program review and audit data to ED/OPE/Guarantor and Lender Oversight Service (GLOS).

- 3940 The system shall receive ED's reviews of guaranty agencies, lenders, and servicers and audit information, from ED/OPE/GLOS.
- 3950 The system shall provide ED's reviews of guaranty agencies, lenders, and servicers and audit information, to ED/OPE/GLOS.
- 5330 The system shall accept third party Quality Control Review flagging , audit acceptance or rejection reason information, and auditors debarment information.

Process

Definition

Manage Aid Organization Default Rate

This activity manages the calculation of aid organization default rates and the movement of aid organization default rate information to and from the Department of Education's Guarantor and Lender Oversight Service (GLOS).

- 2950 The system shall monitor key performance indicators and shall flag those indicators whose values are outside predetermined parameters.
- 3830 The system shall provide guaranty agency, lender, servicer, and secondary market default rates to GLOS.
- 3840 The system shall provide secondary market default rates to secondary markets.
- 3850 The system shall provide lender default rates to lenders.
- 3860 The system shall provide servicer default rates to servicers.
- 3870 The system shall provide guaranty agency default rates to guaranty agencies.
- 3882 The system shall calculate guaranty agency, lender, secondary market, and servicer default rates.

Process

Definition

Manage Aid Organization Performance

This activity directs the execution of a participant's request for information pertaining to participating aid organizations' performance.

- 1050 The system shall provide information contained in the ED Student Aid Handbook, Student Guide, and information about school participation in the Title IV programs.
- 1150 The system shall allow auditors and program reviewers, as well as those with the need for self audit such as lenders and schools, the necessary access to transaction histories by school, student, and program in order to perform audits/reviews, based on:
 - Independent student samples
 - Statistical sampling
 - Exception reports

- Self auditing
 - Performance based standards and measures
- 1170 The system shall allow participants to provide feedback on services offered by organizations associated with the Title IV programs. This feedback shall include comments on:
- the performance rating of schools, lenders, guaranty agencies and the ED
 - EASI/ED system software service and performance
- 1180 The system shall solicit feedback from participants, schools and other organizations on services offered by organizations associated with the Title IV aid programs.
- 1190 The system shall publish results on the feedback received from the schools, other organizations and participants.
- 2900 The system shall provide authorized parties visibility to Title IV participant information at varying levels of detail and shall associate Title IV participant information across functional areas (e.g., application, disbursement, repayment).
- 2930 The system shall provide statistical sampling and modeling capabilities to support Title IV program oversight functions.
- 2950 The system shall monitor key performance indicators and shall flag those indicators whose values are outside predetermined parameters.
- 2952 The system shall maintain performance measurements for each aid organization, school, and the EASI/ED system itself. Relevant information on these performance measurements shall be provided to authorized external organizations and individuals.
- 2960 The system shall provide what-if analysis capability to support the formulation of program legislation and policy.
- 3740 The system shall provide program and financial oversight data to lenders.
- 3750 The system shall provide program and financial oversight data to servicers.
- 3760 The system shall provide program and financial oversight data to guaranty agencies.
- 3770 The system shall provide program and financial oversight data to secondary markets.

Process

**Manage Aid Organization Program
Financial Oversight Information**

Definition

This activity manages the distribution and participation data coming in from guaranty agencies, lenders, servicers and secondary markets and distributes it to oversight agencies, Guaranty and Lender Oversight Services. This data includes program eligibility notices.

- 3700 The system shall receive lender profiles from lenders.
- 3710 The system shall receive servicer profiles from servicers.

- 3720 The system shall receive guaranty agency profiles from guaranty agencies.
- 3730 The system shall receive secondary market profiles from secondary markets.
- 3740 The system shall provide program and financial oversight data to lenders.
- 3750 The system shall provide program and financial oversight data to servicers.
- 3760 The system shall provide program and financial oversight data to guaranty agencies.
- 3770 The system shall provide program and financial oversight data to secondary markets.
- 3780 The system shall receive program and financial oversight data from AFMS.
- 3790 The system shall provide guaranty agency, lender, servicer, and secondary market profiles to AFMS.
- 3800 The system shall receive program and financial oversight data from GLOS.
- 3810 The system shall provide program and financial oversight notices to GLOS.
- 3820 The system shall provide guaranty agency, lender, servicer and secondary market profiles to GLOS.
- 3962 The system shall receive notification of applications to participate in Title IV programs made by lenders that have been approved by guaranty agencies.
- 3970 The system shall notify AFMS of applications to participate in Title IV programs made by lenders that have been approved by guaranty agencies.
- 3980 The system shall send Lender Participation Questionnaires (LPQs) to lenders who have been approved for participation in Title IV programs.
- 3990 The system shall receive completed LPQs from lenders.

Process

Definition

Manage Cohort Default Rate

This activity manages data required for the calculation of cohort default rates. This activity distributes both aid history inputs and the default rate outputs to the respective school.

- 2950 The system shall monitor key performance indicators and shall flag those indicators whose values are outside predetermined parameters.
- 3570 The system shall provide authorized users the ability to request calculation of a school cohort default rate.
- 3572 The system shall provide cohort default rates and corresponding back up data to the appropriate parties.

- 3580 The system shall receive student default information from schools to substantiate a school's cohort default rate appeal.
- 3610 The system shall provide student default information to IPOS to substantiate a school's cohort default rate appeal.

Process

Definition

Manage Cohort Default Rate Appeal

This activity manages the appeal by schools of the calculated default rates. Schools may appeal their default rates based on erroneous data, improper servicing or mitigating circumstances. The Department of Education's Institutional Participant Oversight Service (IPOS) evaluates these appeals and the Guaranty Agency's response, when applicable, and informs the schools of the appeal status. Any required changes to the aid history are recorded.

- 3572 The system shall provide cohort default rates and corresponding back up data to the appropriate parties.
- 3590 The system shall receive school draft cohort default rate challenges from schools.
- 3600 The system shall receive school cohort default rate appeals from schools.
- 3610 The system shall provide student default information to IPOS to substantiate a school's cohort default rate appeal.
- 3620 The system shall receive school cohort default rate appeal status from IPOS.
- 3630 The system shall receive student default information from guaranty agencies to substantiate a school's cohort default rate appeal.
- 3640 The system shall provide school cohort default rate appeals to IPOS.
- 3650 The system shall provide school cohort default rate appeal status to schools.

Process

Definition

Manage Program Information

This activity receives and maintains general information regarding the Department of Education's financial aid programs, such as: description, general requirements, documentation, regulations, and program qualifications.

- 2942 The system shall maintain Title IV program information received from ED/OPE/Policy, Training, and Analysis Service (PTAS). This shall include general information about each program in addition to specific rules and regulations applying to each program.

Process

Manage School Appeal

Definition

This activity coordinates the distribution of information related to all non-default rate appeals from schools. This would mostly include appeals of audit and review data. Most appeals are sent to the Department of Education's Institutional Participation Oversight Service (IPOS). The school is sent data on the status of its appeals.

3540 The system shall provide institutional audit, program review, and default appeal status to schools.

Process

Manage School Audit Information

Definition

This activity coordinates the distribution of program review and audit data. The input comes from the various schools and from audit data. The audit results are gathered from the Department of Education's Institutional Participation Oversight Service (IPOS) and distributed to the various organizations.

3372 The system shall receive third party audit information from schools.

5280 The system shall track Perkins loan portfolio balances and FCC disbursed amounts per school per award year.

5330 The system shall accept third party Quality Control Review flagging , audit acceptance or rejection reason information, and auditors debarment information.

Process

Manage School Business Information

Definition

This activity manages the business information related to participating schools. This information includes, but is not limited to, addresses, school officials, financial aid officials, and other pertinent administrative data.

3094 The system shall maintain school calendar information.

1447 The system shall allow schools to select for which programs they will receive duplicate origination or disbursement notification, except for duplicate Pell disbursement notification, which is mandatory.

5280 The system shall track Perkins loan portfolio balances and FCC disbursed amounts per school per award year.

Process

Manage School Closure

Definition

This activity is notified from various sources about a school closure. After verifying school closures with the appropriate organization (state), EASI/ED sends a stop payment order to the ED/CFO. This activity also informs borrowers of their potential eligibility for a closed school loan discharge, as well as any potential refunds (tuition and fees) due to the participant as a result of school closure.

- 3230 The system shall provide school start/stop payment orders to ED/Chief Financial Officer (CFO).
- 3450 The system shall receive closed school notices from state agencies, accreditation boards, guaranty agencies, participants, and schools.
- 3455 The system shall provide refund information to the participant in the case of a school closure.

Process

Manage School Eligibility

Definition

This activity maintains school accreditation and state licensing information, as well as school audit information and school reviews. It notifies schools of the determination of their eligibility to participate in Title IV programs.

- 3160 The system shall maintain information on third-party audits of schools.
- 3164 The system shall maintain accreditation board and state licensing business information.
- 3170 The system shall receive school certification applications from schools.
- 3180 The system shall notify schools of the determination of their eligibility to participate in Title IV programs.
- 3190 The system shall receive signed program participation agreements (PPA), from schools.
- 3200 The system shall receive signed letters of credit from schools.
- 3230 The system shall provide school start/stop payment orders to ED/Chief Financial Officer (CFO).
- 3240 The system shall provide accreditation information to IPOS.
- 3260 The system shall provide school certification application data to IPOS.
- 3270 The system shall receive school start/stop payment orders from IPOS.

- 3280 The system shall receive school Title IV program eligibility determination information from IPOS.
- 3290 The system shall receive requests for school letters of credit from IPOS.
- 3300 The system shall receive PPAs from IPOS.
- 3310 The system shall provide signed PPAs to IPOS.
- 3320 The system shall receive guaranty agencies' reviews of schools and school audit information from guaranty agencies and provide them to ED/OPE/IPOS.
- 3330 The system shall receive from, and provide to ED/OPE/IPOS, ED's reviews of schools and school audit information.
- 3340 The system shall receive accreditation information from state licensing agencies and accreditation boards.
- 3350 The system shall receive state licensing information from state licensing agencies and accreditation boards.
- 3360 The system shall receive school identifier information from IPOS.
- 3370 The system shall provide third-party audit information to IPOS.
- 3380 The system shall provide Title IV program acceptance packages to institutions.
- 3390 The system shall receive Title IV program acceptance packages from IPOS.
- 3480 The system shall provide start/stop payment orders to ED/OPE/Accounting Financial and Management Services (AFMS) when they are issued by IPOS.

Process

Manage School Ownership

Definition

This activity manages the information related to a school's change of ownership. The Department of Education (ED), through the Institutional Participation Oversight Service (IPOS) must re-certify the new ownership for participation in ED programs. This entails resubmitting certification data concerning the new owners.

- 3250 The system shall provide school change of ownership notices to IPOS.
- 3255 The system shall receive change of ownership notices from schools.
- 3500 The system shall provide change-of-ownership transfer-of-payments information to AFMS.
- 3510 The system shall receive and shall process change of ownership approvals.

Process

Definition

Manage School Performance

This activity directs the execution of a participant's request for information pertaining to participating schools' performance.

- 1050 The system shall provide information contained in the ED Student Aid Handbook, Student Guide, and information about school participation in the Title IV programs.
- 1150 The system shall allow auditors and program reviewers, as well as those with the need for self audit such as lenders and schools, the necessary access to transaction histories by school, student, and program in order to perform audits/reviews, based on:
- Independent student samples
 - Statistical sampling
 - Exception reports
 - Self auditing
 - Performance based standards and measures
- 1170 The system shall allow participants to provide feedback on services offered by organizations associated with the Title IV programs. This feedback shall include comments on:
- the performance rating of schools, lenders, guaranty agencies and the ED
 - EASI/ED system software service and performance
- 1180 The system shall solicit feedback from participants, schools and other organizations on services offered by organizations associated with the Title IV aid programs.
- 1190 The system shall publish results on the feedback received from the schools, other organizations and participants.
- 2950 The system shall monitor key performance indicators and shall flag those indicators whose values are outside predetermined parameters.
- 2952 The system shall maintain performance measurements for each aid organization, school, and the EASI/ED system itself. Relevant information on these performance measurements shall be provided to authorized external organizations and individuals.
- 3740 The system shall provide program and financial oversight data to lenders.
- 3750 The system shall provide program and financial oversight data to servicers.
- 3760 The system shall provide program and financial oversight data to guaranty agencies.
- 3770 The system shall provide program and financial oversight data to secondary markets.

Process

Definition

Manage School Sanction

This activity manages the information provided by the Department of Education's (ED's) Institutional Program Oversight Service (IPOS) to issue sanctions against schools that have improperly managed financial aid programs on behalf of ED.

- 3100 The system shall maintain school limitation, suspension, and termination (LST) notices data.
- 3400 The system shall provide Limitation, Suspension, and Termination (LST) notices to schools.
- 3410 The system shall receive Limitation, Suspension, and Termination (LST) appeals from schools.
- 3420 The system shall receive LST notices from IPOS.
- 3430 The system shall provide LST appeals to IPOS.
- 3440 The system shall receive LST appeal status from IPOS.

Process

Definition

Manage State Sponsored Instructional Grant Application

This activity receives and processes state applications for the Federal contributions program.

- 1572.03 The system shall calculate and maintain the level of state SSIG authorization amounts.
- 1587 The system shall receive reports on disbursements of SSIG funds from state grant agencies.
- 6110 The system shall accept SSIG applications from states.

Process

Definition

Process Feedback

This activity handles feedback for loan origination and loan services when a loan is mishandled or lost; for school and Direct Loan servicer related to both draft and official cohort default rates; and for miscellaneous participant feedback.

- 2552.03 The system shall process customer complaints.

Process

Definition

Process Hope Scholarship and Lifetime Learning Credit Information

This activity processes all information for the Hope Scholarship and Lifetime Learning Credit programs.

- 6010 The system shall receive Hope Scholarship and Lifetime Learning Credit information from schools.
- 6020 The system shall report Hope Scholarship and Lifetime Learning Credit information to Treasury on behalf of schools.
- 6030 The system shall report Hope Scholarship and Lifetime Learning Credit information to participants on behalf of schools.

Process

Definition

Process Correspondence

This activity handles incoming/outgoing correspondence from/to participants, schools, guaranty agencies, lenders, and collection agencies.

- 1052 The system shall maintain and provide access to original and/or copies of original correspondence and/or communications to authorized parties.
- 2552.02 The system shall process correspondence and shall manage the information and materials provided to schools and participants.
- 2552.05 The system shall, upon school request, send to students defaulting on Perkins Loans letters requesting immediate payment.
- 2552.11 The system shall track the number of calls and correspondence received.
- 2552.12 The system shall track the response times to correspondence and calls.
- 2552.13 The system shall provide information and materials to external parties.
- 2552.14 The system shall categorize calls and correspondence to determine general inquiry types.
- 2552.15 The system shall generate statistics by category, by caller type, and by process/problem source, about inquiries addressed and inquiries unanswered.
- 2552.17 The system shall maintain customer satisfaction and customer service performance information.
- 2552.18 The system shall provide multilingual customer service.
- 2552.20 The system shall capture the date, time, customer service representative, and customer for each call and correspondence received.
- 2552.21 The system shall capture free form text of customer comment, inquiry, and response associated with each call and correspondence.

- 2552.22 The system shall maintain a priority for each call and correspondence.
- 2552.23 The system shall provide the ability to assign a priority to each call and correspondence.
- 2552.24 The system shall maintain contact center and customer service representative profile information.
- 2552.25 The system shall provide proactive communication to management and users on known/potential problems and provide data and analytic strategies to support the development of recommended solutions.
- 2552.26 The system shall maintain customer profile information.
- 2552.27 The system shall provide context specific assistance to customers to guide them through inquiries and help solve problems.
- 2552.28 The system shall provide proactive communication to alert appropriate parties of predictable change related to aid status of participants.

Process

Definition

Process Call

This activity handles incoming/outgoing phone calls from/to participants, schools, guaranty agencies, lenders, and collection agencies.

- 2552.01 The system shall accept and respond to calls.
- 2552.06 The system shall receive billing date change requests from participants, and if the request is approved, update the billing date accordingly for Direct Loans and those loans assigned to ED for debt collection.
- 2552.08 The system shall record the wait time and duration for each call.
- 2552.09 The system shall record dropped call information.
- 2552.10 The system shall track the number of busy signals received by customers.
- 2552.11 The system shall track the number of calls and correspondence received.
- 2552.12 The system shall track the response times to correspondence and calls.
- 2552.13 The system shall provide information and materials to external parties.
- 2552.14 The system shall categorize calls and correspondence to determine general inquiry types.
- 2552.15 The system shall generate statistics by category, by caller type, and by process/problem source, about inquiries addressed and inquiries unanswered.
- 2552.16 The system shall implement a callback system to monitor customer service satisfaction.
- 2552.17 The system shall maintain customer satisfaction and customer service performance information.

- 2552.18 The system shall provide multilingual customer service.
- 2552.19 The system shall monitor calls and inform callers that the call may be monitored.
- 2552.20 The system shall capture the date, time, customer service representative, and customer for each call and correspondence received.
- 2552.21 The system shall capture free form text of customer comment, inquiry, and response associated with each call and correspondence.
- 2552.22 The system shall maintain a priority for each call and correspondence.
- 2552.23 The system shall provide the ability to assign a priority to each call and correspondence.
- 2552.24 The system shall maintain contact center and customer service representative profile information.
- 2552.25 The system shall provide proactive communication to management and users on known/potential problems and provide data and analytic strategies to support the development of recommended solutions.
- 2552.26 The system shall maintain customer profile information.
- 2552.27 The system shall provide context specific assistance to customers to guide them through inquiries and help solve problems.
- 2552.28 The system shall provide proactive communication to alert appropriate parties of predictable change related to aid status of participants.

Process

Definition

Process School Data

This activity receives and records the school participation information sent from schools to the Department of Education. The activity stores the data and distributes it to authorized parties. This activity also tracks the hold status of various schools.

- 3022 The system shall maintain hold status information for schools that are eligible for Campus Based Program funds.
- 3210 The system shall receive school fiscal operations data and application for Campus Based funds from schools.
- 3220 The system shall provide school fiscal operations data and application for Campus Based funds to IFMD.

Process

Track Waiver

Definition

This activity tracks waivers to the Campus Based requirements for underutilization and community service associated with participation.

3024 The system shall provide information to IFMD on school applications for waivers related to Campus Based program requirements.

3.5.3 External Interfaces

The Program Management and Oversight Subsystem external interfaces are composed of the sum of the data flows received or sent by the processes comprising the subsystem. The following diagrams display the data flows exchanged between each process in the Program Management and Oversight Subsystem and the postsecondary education community. The order of the diagrams follows the natural sequence of events. Detailed information regarding the data flows presented in each diagram is listed subsequent to the diagram.

3.5.3.1 Data Flow Diagrams

The following diagram presents the flows of information exchanged between the Manage Aid Organization Program Financial Oversight process and the postsecondary education community.

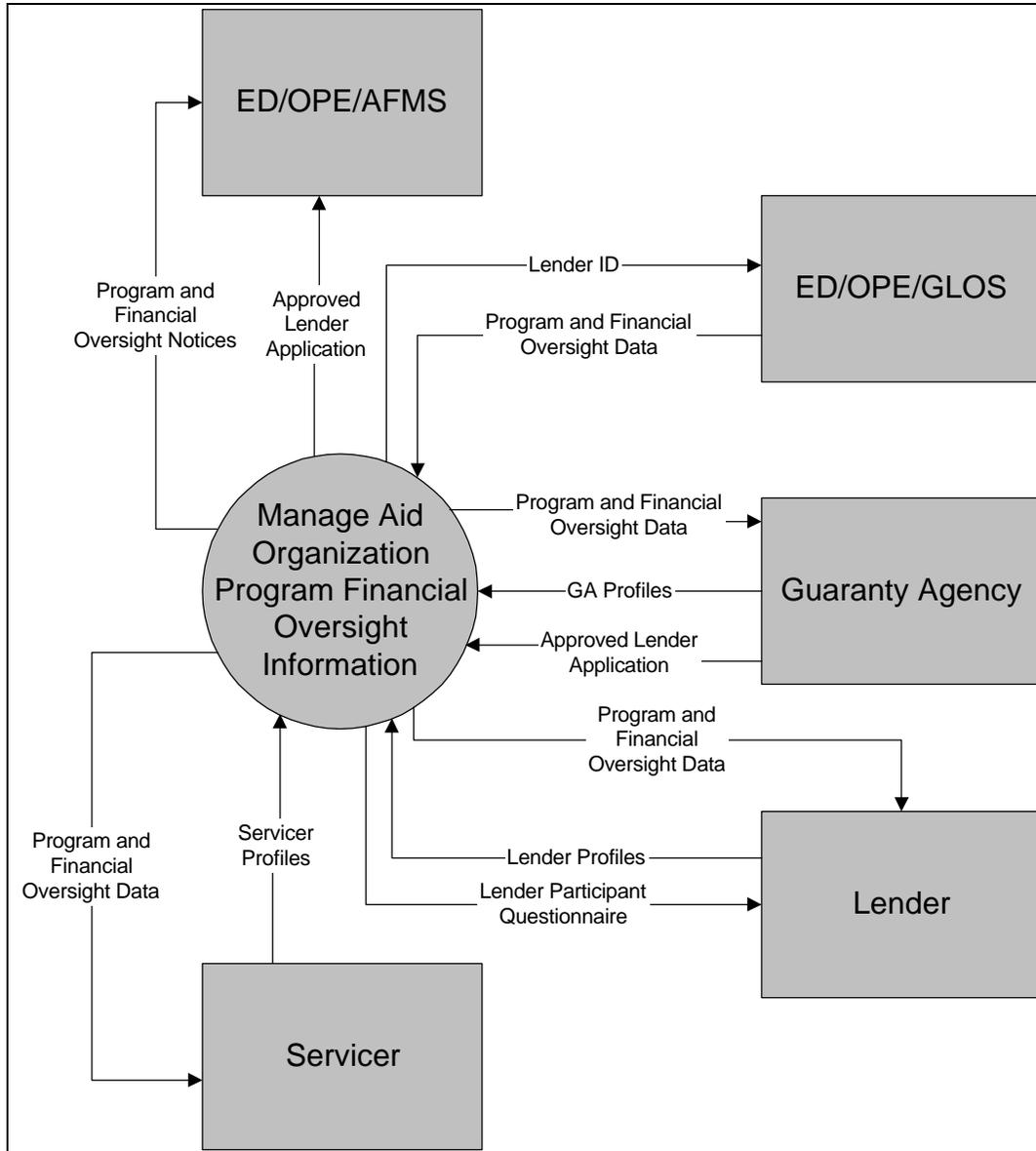


Exhibit 3.5.3-1 Manage Aid Organization Program Financial Oversight

Flow Name: Approved Lender Application

Definition: Application approved by a guaranty agent for a lender to make loans with the guaranty agency's guarantee.

To ED/OPE/AFMS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

GA INFORMATION

GUARANTY AGENCY NAME

AID_ORGANIZATION /
AID_ORG_NAME

LENDER INFORMATION

AUTHORIZED SIGNER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
AUTH_SIGNER_IND

DATE OF APPROVAL

AID_ORGANIZATION_APPLICATION /
APPL_REASON_CAT

AID_PROGRAM_APPROVAL /
APRV_START_DATE

LENDER ID

AID_ORGANIZATION / ORG_ID

LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

Flow Name: Program and Financial Oversight Notices

Definition: Program determination letters document the qualification of guaranty agencies, lenders, servicers and secondary market participants to begin or continue their participation in the Department of Education's financial aid programs.

To ED/OPE/AFMS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DOCUMENT TRACKING INFORMATION

DOCUMENT ID

PROGRAM_MANAGEMENT_
DOCUMENT / DOC_ID

LETTER TYPE

PROGRAM_MANAGEMENT_
DOCUMENT / DOC_ID

ED DEPT INFORMATION

ORIGINATING SOURCE

AID_ORGANIZATION / ORG_ID

SCHOOL / SCHOOL_ID

START DATE

AID_ORGANIZATION /
FY_START_DATE

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

Flow Name: Lender ID

Definition: The Lender ID is unique identification number that is assigned to a lender.

To ED/OPE/GLOS From EASI/ED

Data Elements

LENDER ID

Corresponding LDM Attribute(s)

AID_ORGANIZATION / ORG_ID

Flow Name: Program and Financial Oversight Data

Definition: Department of Education's AFMS determines whether schools, guaranty agencies, and lenders qualify to participate in the Department of Education's financial aid programs. The data conveyed in this flow is derived from program determination letters generated based on the review of information sent in on the certification and other applications.

From ED/OPE/GLOS To EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-----------------------------------|---|
| AID INFORMATION | |
| ORGANIZATION TYPE | Derived |
| AUDIT/PROGRAM REVIEW INFORMATION | |
| AUDIT PERIOD | ORGANIZATION_REVIEW / REVIEW_PERIOD_START_DATE |
| | ORGANIZATION_REVIEW / REVIEW_PERIOD_STOP_DATE |
| | ORGANIZATION_REVIEW / AUDIT_FREQ_CAT |
| AUDIT REQUIREMENT | Derived |
| AUDIT TYPE | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |
| EXCEPTIONAL PERFORMANCE INDICATOR | Rejected |
| REGULATORY TAKE-OVER | AID_ORGANIZATION / REGULATORY_IND |
| DOCUMENT TRACKING INFORMATION | |
| DOCUMENT CONTENT | PROGRAM_MANAGEMENT_ DOCUMENT / DOC_DESC |
| LENDER INFORMATION | |
| LENDER NAME | AID_ORGANIZATION / AID_ORG_NAME |

LENDER QUESTIONNAIRE RESULTS

Rejected

Flow Name: Approved Lender Application

Definition: Application approved by a guaranty agent for a lender to make loans with the guaranty agency's guarantee.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

GA INFORMATION

GUARANTEE AGENCY

AID_ORGANIZATION / ORG_ID

LENDER INFORMATION

AUTHORIZED SIGNER

AID_ORGANIZATION_POC /
AUTH_SIGNER_IND

DATE OF APPROVAL

AID_ORGANIZATION_APPLICATION /
APPL_REASON_CAT

AID_PROGRAM_APPROVAL /
APRV_START_DATE

LENDER IDENTIFIER

AID_ORGANIZATION / ORG_ID

Flow Name: GA Profiles

Definition: Applications made by guaranty agencies to become eligible to guarantee Department of Education loans.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

GA INFORMATION

ACH PARTICIPANT

AID_ORGANIZATION /
ELECTRONIC_PMT_IND

CONTACT ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
CNTRY_NAME

AID_ORGANIZATION_POC /
STREET_NAME

CONTACT NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

CONTACT TITLE

AID_ORGANIZATION_POC /
POC_ROLE_NAME

DUNS NUMBER

AID_ORGANIZATION / DUNS_NMBR

EMPLOYER'S IDENTIFICATION NUMBER

AID_ORGANIZATION /
AID_ORG_EIN_NMBR

FISCAL YEAR

AID_ORGANIZATION /

| | |
|--------------------------|---|
| | FY_START_DATE |
| | AID_ORGANIZATION / FY_STOP_DATE |
| GA ID | AID_ORGANIZATION / ORG_ID |
| GA NAME | AID_ORGANIZATION / AID_ORG_NAME |
| GA WEB PAGE | AID_ORGANIZATION_POC / ELECTRONIC_ADDR_NAME |
| INTERNET ADDRESS;URL | AID_ORGANIZATION_POC / WEB_ADDR_NAME |
| PRIVATE/PUBLIC INDICATOR | AID_ORGANIZATION / PUBLIC_IND |
| RELATED PARTIES | AID_ORGANIZATION / AID_ORG_NAME |
| | AID_ORGANIZATION / ORG_ID |
| | AID_ORGANIZATION_STRUCTURE / AID_ORG_STRUCT_REASON_CAT |
| LENDER INFORMATION | |
| LENDER RESPONSIBILTY | Rejected |
| LENDER SERVICER | AID_ORGANIZATION / AID_ORG_NAME |

Flow Name: Program and Financial Oversight Data

Definition: Department of Education's AFMS determines whether schools, guaranty agencies, and lenders qualify to participate in the Department of Education's financial aid programs. The data conveyed in this flow is derived from program determination letters generated based on the review of information sent in on the certification and other applications.

To Guaranty Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

ACCEPTANCE/REJECTION

AID_PROGRAM_APPROVAL /
APRV_CAT

COMMENTS

REJECTED

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

Flow Name: Lender Participant Questionnaires

Definition: Questionnaires inquiring about an organization's financial, management and fiscal operating background for use in providing information to the AFMS.

To/from Lender from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID ORGANIZATION CONTACT INFORMATION

AID_ORGANIZATION_POC /
PHONE_NMBR

GA INFORMATION

GA SERVICE LENGTH

AID_SCHOOL_AID_ORG / START_DATE

AID_SCHOOL_AID_ORG / STOP_DATE

LENDER INFORMATION

ACH PARTICIPANT

AID_ORGANIZATION /
ELECTRONIC_PMT_IND

ADDITIONAL GUARANTY AGENCY

AID_ORGANIZATION /
AID_ORG_PRIMARY_CAT

AID_ORGANIZATION_STRUCTURE /
AID_ORG_STRUCT_REASON_CAT

ADDITIONAL LENDER ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
CNTRY_NAME

AID_ORGANIZATION_POC /
STREET_NAME

ADDITIONAL LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

ADDITIONAL LID

| | |
|----------------------------------|---|
| | AID_ORGANIZATION / ORG_ID |
| AUTHORIZED SIGNATURE | AID_ORGANIZATION_POC / AUTH_SIGNER_IND |
| BILLING FORMS FORWARDED | Rejected |
| CONTACT ADDRESS | AID_ORGANIZATION_POC / CITY_NAME AID_ORGANIZATION_POC / POSTAL_CODE AID_ORGANIZATION_POC / STATE_NAME AID_ORGANIZATION_POC / CNTRY_NAME AID_ORGANIZATION_POC / STREET_NAME |
| CONTACT NAME | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME AID_ORGANIZATION_POC / POC_ROLE_NAME |
| CONTACT TITLE | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| EMPLOYER'S IDENTIFICATION NUMBER | AID_ORGANIZATION / DUNS_NMBR |
| FISCAL YEAR | AID_ORGANIZATION / FY_START_DATE AID_ORGANIZATION / FY_STOP_DATE |
| LENDER ADDRESS | AID_ORGANIZATION_POC / |

| | |
|----------------------------|---|
| | CITY_NAME |
| | AID_ORGANIZATION_POC / POSTAL_CODE |
| | AID_ORGANIZATION_POC / STATE_NAME |
| | AID_ORGANIZATION_POC / STREET_NAME |
| LENDER ID | AID_ORGANIZATION / ORG_ID |
| LENDER NAME | AID_ORGANIZATION / AID_ORG_NAME |
| LENDER TRUSTEE | AID_ORGANIZATION / AID_ORG_PRIMARY_CAT |
| LENDER TYPE | AID_ORGANIZATION / AID_ORG_PRIMARY_CAT |
| TAX-EXEMPT LOANS | AID_ORGANIZATION / TAX_EXEMPT_LOAN_IND |
| TRUSTOR NAME | AID_ORGANIZATION / AID_ORG_NAME |
| SERVICER INFORMATION | |
| SERVICER ADDRESS | AID_ORGANIZATION_POC / STREET_NAME |
| SERVICER LENGTH OF SERVICE | AID_SCHOOL_AID_ORG / START_DATE AID_SCHOOL_AID_ORG / STOP_DATE |

Flow Name: Lender Profiles

Definition: Lender applications consist of the initial information sent to the Department of Education in the Lender Participant Questionnaire.

From Lender To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ACCOUNT INFORMATION

AID ORGANIZATION WEB SITE

INTERNET_ADDRESS_NAME /
WEB_ADDR_NAME

GA INFORMATION

ADDITIONAL GUARANTY AGENCY

AID_ORGANIZATION / ORG_ID

GA NAME

AID_ORGANIZATION /
AID_ORG_NAME

LENDER INFORMATION

ACH PARTICIPANT

AID_ORGANIZATION /
ELECTRONIC_PMT_IND

ADDITIONAL LENDER ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
STREET_NAME

ADDITIONAL LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

ADDITIONAL LID

AID_ORGANIZATION / ORG_ID

ADDITIONAL NAME

AID_ORGANIZATION /
AID_ORG_NAME

AUTHORIZED SIGNATURE

AID_ORGANIZATION_POC /
AUTH_SIGNER_IND

BILLING FORMS FORWARDED

Rejected

COMMENTS

CONTACT ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
STREET_NAME

CONTACT NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

CONTACT RESPONSIBILITY

AID_ORGANIZATION_POC /
POC_ROLE_NAME

CONTACT TITLE

AID_ORGANIZATION_POC /
POC_ROLE_NAME

DUNS NUMBER

AID_ORGANIZATION / DUNS_NMBR

EMPLOYER'S IDENTIFICATION NUMBER

AID_ORGANIZATION /
AID_ORG_EIN_NMBR

FISCAL YEAR

AID_ORGANIZATION /
FY_START_DATE

AID_ORGANIZATION / FY_STOP_DATE

LENDER ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

| | |
|-----------------------|---|
| | AID_ORGANIZATION_POC / POSTAL_CODE |
| | AID_ORGANIZATION_POC / STATE_NAME |
| | AID_ORGANIZATION_POC / CNTRY_NAME |
| | AID_ORGANIZATION_POC / STREET_NAME |
| LENDER ID | AID_ORGANIZATION / ORG_ID |
| LENDER NAME | AID_ORGANIZATION / AID_ORG_NAME |
| LENDER TRUSTEE | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME AID_ORGANIZATION_POC / POC_ROLE_NAME |
| LENGTH OF GA SERVICE | AID_SCHOOL_AID_ORG / START_DATE AID_SCHOOL_AID_ORG / STOP_DATE |
| LOAN PROGRAMS OFFERED | Derived |
| TAX-EXEMPT LOANS | AID_ORGANIZATION / TAX_EXEMPT_LOAN_IND |
| TRUSTOR NAME | AID_ORGANIZATION / AID_ORG_NAME |
| SERVICER INFORMATION | |
| SERVICER ID | AID_ORGANIZATION / ORG_ID |

SERVICER LENGTH OF SERVICE

AID_SCHOOL_AID_ORG / START_DATE

AID_SCHOOL_AID_ORG / STOP_DATE

Flow Name: Program and Financial Oversight Data

Definition: Department of Education's AFMS determines whether schools, guaranty agencies, and lenders qualify to participate in the Department of Education's financial aid programs. The data conveyed in this flow is derived from program determination letters generated based on the review of information sent in on the certification and other applications.

To Lender From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

ACCEPTION/REJECTION

AID_PROGRAM_APPROVAL /
APRV_CAT

QUALIFICATION COMMENTS

AID_PROGRAM / AID_PGM_CAT
AID_PROGRAM_REQUIREMENT /
RQMT_DESC

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

Flow Name: Program and Financial Oversight Data

Definition: Department of Education's AFMS determines whether schools, guaranty agencies, and lenders qualify to participate in the Department of Education's financial aid programs. The data conveyed in this flow is derived from program determination letters generated based on the review of information sent in on the certification and other applications.

To Servicer From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SERVICER INFORMATION

SERVICER ID

AID_ORGANIZATION / ORG_ID

Flow Name: Servicer Profiles

Definition: Servicers request for participation in the Department of Education's financial aid programs, usually by submitting proposals after being recommended by a lender, guaranty agency or state agency.

From Servicer To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

OWNER/CEO NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

SERVICER INFORMATION

ACH PARTICIPANT

AID_ORGANIZATION /
ELECTRONIC_PMT_IND

AID ORGANIZATION ID

AID_ORGANIZATION / ORG_ID

AUTHORIZED SIGNER

AID_ORGANIZATION_POC /
AUTH_SIGNER_IND

COMMENTS

Rejected

CONTACT ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
STREET_NAME

CONTACT NAME

| | |
|----------------------------------|---|
| | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| CONTACT PHONE | AID_ORGANIZATION_POC / PHONE_NMBR |
| CONTACT RESPONSIBILITY | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| CONTACT TITLE | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| DUNS NUMBER | AID_ORGANIZATION / ORG_ID |
| EMPLOYER'S IDENTIFICATION NUMBER | AID_ORGANIZATION / AID_ORG_EIN_NMBR |
| INTERNET ADDRESS(URL) | AID_ORGANIZATION_POC / ELECTRONIC_ADDR_NAME |
| RELATED PARTIES | AID_ORGANIZATION_STRUCTURE / AID_ORG_STRUCT_REASON_CAT |
| SERVICER NAME | AID_ORGANIZATION / AID_ORG_NAME |
| SERVICER TYPE | AID_ORGANIZATION / AID_ORG_PRIMARY_CAT |

The following diagram presents the flows of information exchanged between the Manage Aid Organization Audit Review Information process and the postsecondary education community.

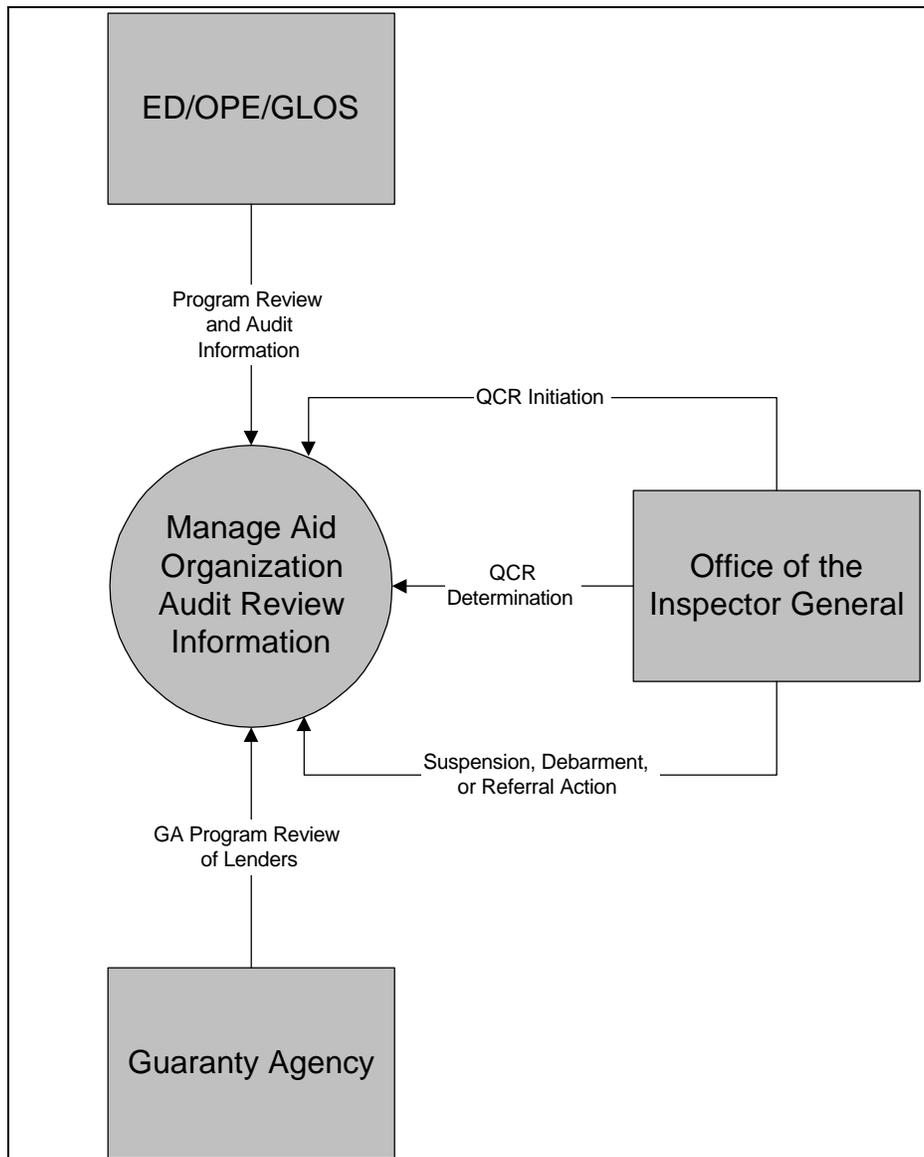


Exhibit 3.5.3-2 Manage Aid Organization Audit Review Information

Flow Name: Program Review and Audit Information

Definition: Program review and audit information of guaranty agencies, lenders, service agencies and secondary markets.

From ED/OPE/GLOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT RECEIPT DATE

ORGANIZATION_REVIEW /
AUDIT_RECEIPT_DATE

AID ORG INFORMATION

AID ORGANIZATION

AID_ORGANIZATION /
AID_ORG_NAME

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

AUDIT BEGIN DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

AUDIT END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

EXCEPTIONAL PERFORMANCE INDICATOR

Rejected

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REPORT ISSUE DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

REPORT ORIGINATOR

Derived

DOCUMENT TRACKING INFORMATION

DOCUMENT CONTENT

Rejected

ED DEPT INFORMATION

REGION CODE

AID_ORGANIZATION_POC /
REGION_CAT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

Flow Name: GA Program Reviews of Lenders

Definition: Results of reviews performed by guaranty agencies of lenders, servicers and secondary markets. They delineate any noncompliance with established procedures, as well as any corrective actions that must be taken.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

GA APPEAL INDICATOR

ORGANIZATION_REVIEW_STRUCTURE
/ ORG_REVIEW_STRUCT_CAT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

PROGRAM REVIEW INFORMATION

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

PROGRAM REVIEW BEGIN DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

PROGRAM REVIEW END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

REPORT ISSUE DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

REPORT ORIGINATOR

Derived

Flow Name: QCR Initiation

Definition: Provides information regarding the Independent Public Accountant, what organization they audited, and the schedule of the Quality Control Review.

From Office of the Inspector General (OIG) To EASI/ED

Data Elements

Logical Data Model Mapping

AUDIT TIME PERIOD

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

DATE OIG BEGAN REVIEW

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

INDEPENDENT PUBLIC ACCOUNTANT
NAME

AID_ORGANIZATION / AID_ORG_NAME

ORGANIZATION AUDITED BY IPA

AID_ORGANIZATION / AID_ORG_NAME

AID_PROGRAM / AID_PGM_NAME
SCHOOL / OFFICIAL_SCHOOL_NAME

SCHEDULED QCR DATE

ORGANIZATION_REVIEW /
SCHED_START_DATE

Flow Name: QCR Determination

Definition: Outcome of the Quality Control Review.

From Office of the Inspector General (OIG) To EASI/ED

Data Elements

Logical Data Model Mapping

AUDIT QUALITY DETERMINATION

DEFICIENCY / DEFICIENCY_CAT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

DATE OF DETERMINATION

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

QCR FINDING INFORMATION

DEFICIENCY / DEFICIENCY_CAT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

Flow Name: Suspension, Debarment, or Referral Action

Definition: Information regarding further action taken by OIG based on the outcome of a Quality Control Review.

From Office of the Inspector General (OIG) To EASI/ED

Data Elements

Logical Data Model Mapping

| | |
|-------------------------------|---|
| DATE OF AICPA REFERRAL | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |
| DATE OF STATE BOARD REFERRAL | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |
| DEBARMENT ACTION PURSUED DATE | SANCTION / SANCTION_CAT SANCTION / |
| SANCTION_STAT_EFF_DATE | |
| DEBARMENT ACTION PURSUED FLAG | SANCTION / SANCTION_CAT |
| DEBARMENT END DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_STOP_DATE |
| DEBARMENT START DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |
| REFERRAL TO AICPA FLAG | SANCTION / SANCTION_CAT |
| REFERRAL TO STATE BOARD FLAG | SANCTION / SANCTION_CAT |
| SUSPENSION END DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_STOP_DATE |
| SUSPENSION FLAG | SANCTION / SANCTION_CAT |
| SUSPENSION START DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |

The following diagram presents the flows of information exchanged between the Manage Aid Organization Default Rate process and the postsecondary education community.

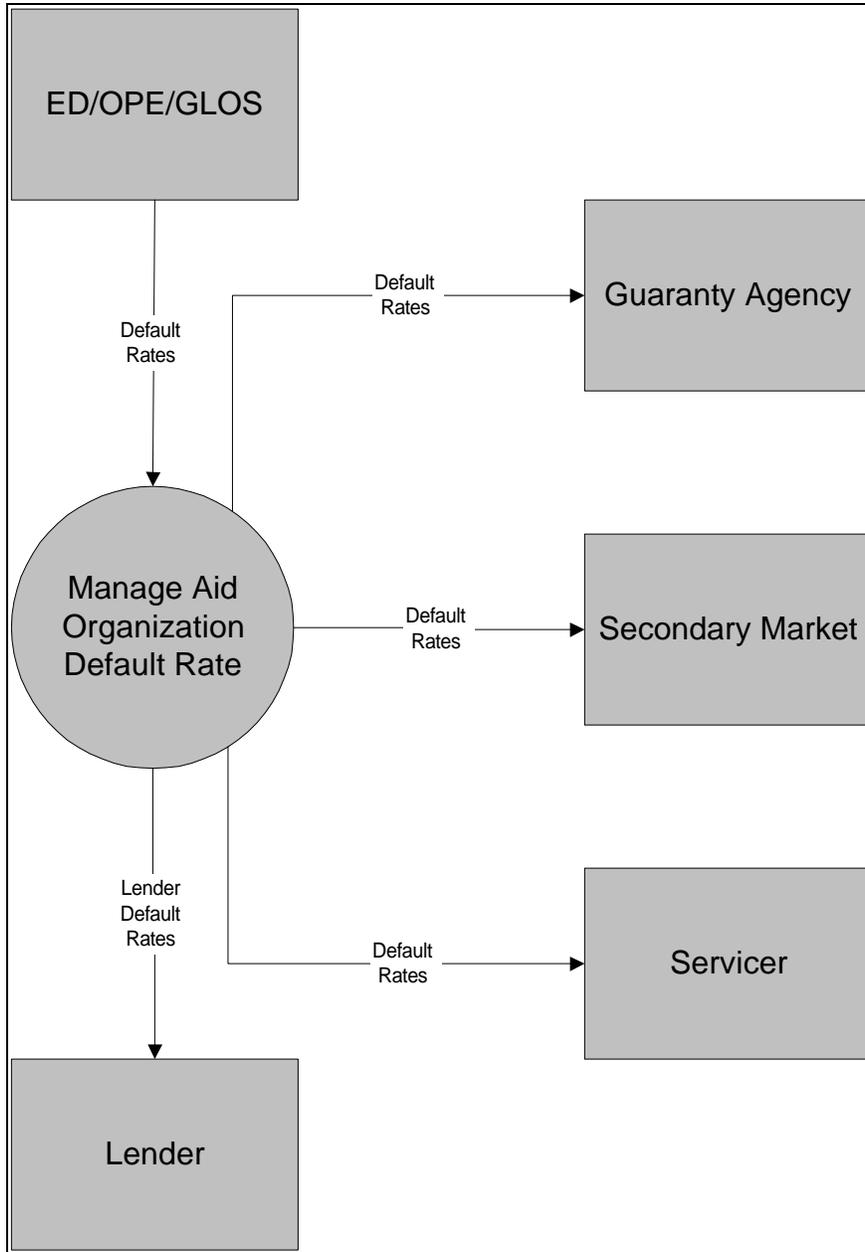


Exhibit 3.5.3-3 Manage Aid Organization Default Rate

Flow Name: Default Rates

Definition: Ratio of defaulted loans over total loans at a particular school or aid organization (reported as a percentage).

From ED/OPE/GLOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LENDER INFORMATION

AID ORGANIZATION TYPE

AID_ORGANIZATION /
AID_ORG_PRIMARY_CAT

LENDER ID

AID_ORGANIZATION / ORG_ID

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Default Rates

Definition: Ratio of defaulted loans over total loans at a particular school or aid organization (reported as a percentage).

To Guaranty Agency From EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|---|---|
| AID ORGANIZATION INFORMATION | |
| AID ORGANIZATION TYPE | AID_ORGANIZATION / AID_ORG_PRIMARY_CAT |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| CURRENT HOLDER BORROWERS IN DEFAULT | Derived |
| CURRENT HOLDER BORROWERS IN REPAYMENT | Derived |
| CURRENT LOAN HOLDER DEFAULT RATE | ORGANIZATION_REVIEW / CDR_PCT ORGANIZATION_REVIEW / CDR_VER_CAT |
| DEFAULT RATE | ORGANIZATION_REVIEW / CDR_PCT |
| ORIGINAL LENDER DEFAULT RATE | Derived |
| ORIGINATING GA DEFAULT RATE | ORGANIZATION_REVIEW / CDR_PCT |
| ORIGINATING LENDER BORROWERS IN DEFAULT | Derived |
| ORIGINATING LENDER BORROWERS IN REPAYMENT | Derived |
| GA INFORMATION | |
| GA ID | AID_ORGANIZATION / ORG_ID |
| GA NAME | |

LENDER INFORMATION

LENDER ID

LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

AID_ORGANIZATION / ORG_ID

AID_ORGANIZATION /
AID_ORG_NAME

Flow Name: Lender Default Rates

Definition: Ratio of defaulted loans over total loans at a particular school or aid organization (reported as a percentage).

To Lender From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

CURRENT HOLDER BORROWERS IN DEFAULT
Derived

CURRENT HOLDER BORROWERS IN REPAYMENT
Derived

CURRENT LOAN HOLDER DEFAULT RATE
ORGANIZATION_REVIEW / CDR_PCT
ORGANIZATION_REVIEW /
CDR_VER_CAT

ORIGINATING LENDER- BORROWERS IN DEFAULT
Derived

ORIGINATING LENDER- BORROWERS IN REPAYMENT
Derived

ORIGINATING LENDER- DEFAULT RATE
Derived

DEFAULT RATE INFORMATION

COHORT YEAR
ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DATE OF DEFAULT PUBLICATION
ORGANIZATION_REVIEW / CDR_DATE
ORGANIZATION_REVIEW /
CDR_VER_CAT

PRELIMINARY DEFAULT DATE
ORGANIZATION_REVIEW / CDR_DATE
ORGANIZATION_REVIEW /
CDR_VER_CAT

LENDER INFORMATION

AID ORGANIZATION TYPE
AID_ORGANIZATION /
AID_ORG_PRIMARY_CAT

LENDER ID

AID_ORGANIZATION / ORG_ID

LOAN TYPE

AID_PROGRAM / PGM_ID

Flow Name: Default Rates

Definition: Ratio of defaulted loans over total loans at a particular school or aid organization (reported as a percentage).

To Secondary Market From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID ORGANIZATION INFORMATION

AID ORGANIZATION TYPE

AID_ORGANIZATION /
AID_ORG_PRIMARY_CAT

DEFAULT RATE INFORMATION

CURRENT HOLDER BORROWERS IN DEFAULT

Derived

CURRENT HOLDER BORROWERS IN REPAYMENT

Derived

CURRENT LOAN HOLDER DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

ORGANIZATION_REVIEW /
CDR_VER_CAT

DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

GA DEFAULT RATE

Derived

ORIGINAL LENDER BORROWERS IN DEFAULT

Derived

ORIGINAL LENDER BORROWERS IN REPAYMENT

Derived

ORIGINAL LENDER DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

ORGANIZATION_REVIEW /
CDR_VER_CAT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

GA NAME

AID_ORGANIZATION /
AID_ORG_NAME

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

Flow Name: Default Rates

Definition: Ratio of defaulted loans over total loans at a particular school or aid organization (reported as a percentage).

To Servicer From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID ORGANIZATION INFORMATION

AID ORGANIZATION TYPE

AID_ORGANIZATION /
AID_ORG_PRIMARY_CAT

GA ID

AID_ORGANIZATION / ORG_ID

GA NAME

AID_ORGANIZATION /
AID_ORG_NAME

LENDER ID

AID_ORGANIZATION / ORG_ID

LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

DEFAULT RATE INFORMATION

CURRENT HOLDER BORROWERS IN DEFAULT

Derived

CURRENT HOLDER BORROWERS IN REPAYMENT

Derived

CURRENT LOAN HOLDER DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

ORGANIZATION_REVIEW /
CDR_VER_CAT

DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

GA DEFAULT RATE

Derived

ORIGINAL LENDER BORROWERS IN DEFAULT

Derived

ORIGINAL LENDER BORROWERS IN REPAYMENT

Derived

ORIGINAL LENDER DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

ORGANIZATION_REVIEW /
CDR_VER_CAT

The following diagram presents the flows of information exchanged between the Manage Aid Organization Appeal process and the postsecondary education community.

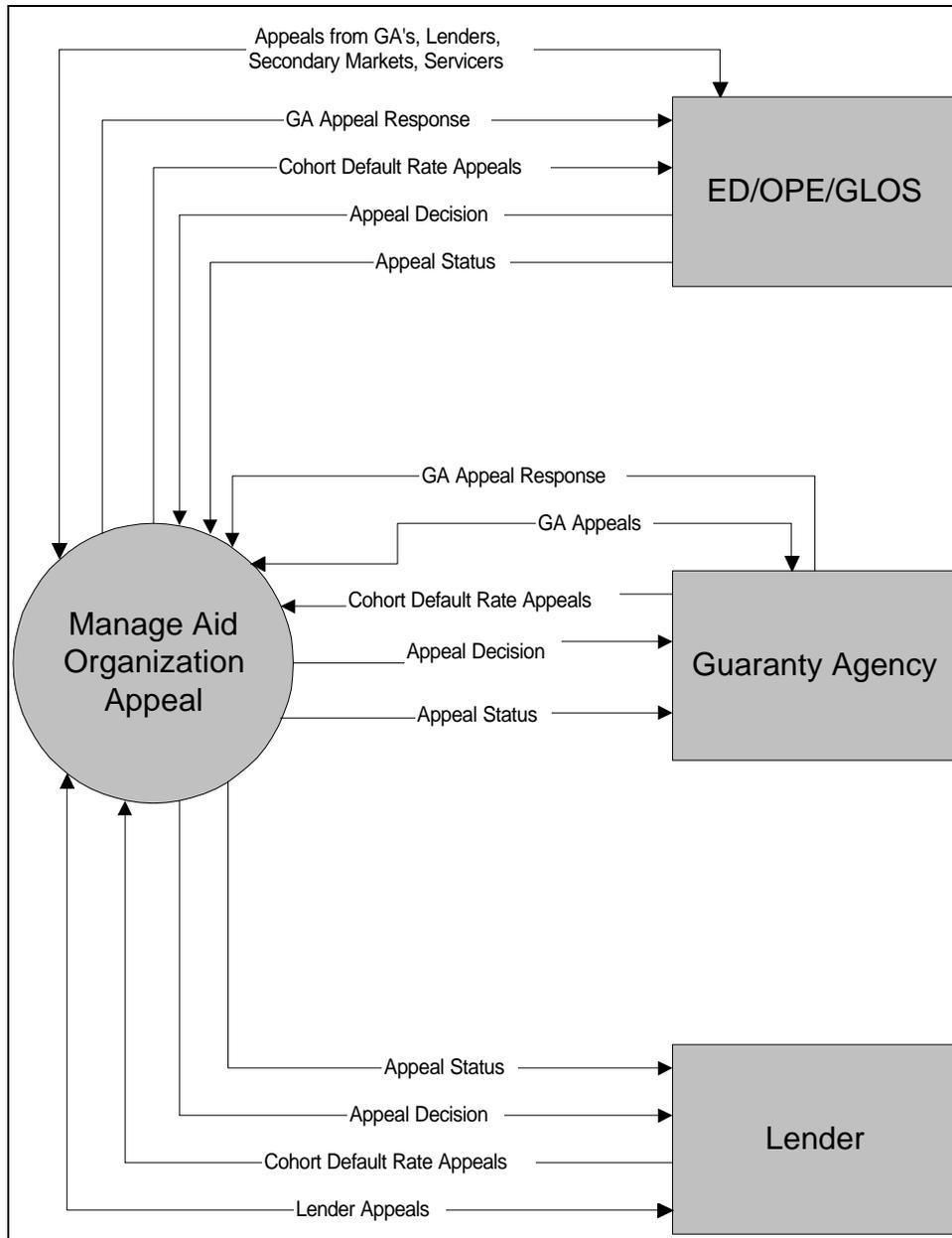


Exhibit 3.5.3-4 Manage Aid Organization Appeal

Flow Name: Appeal Decision (with corrections)

Definition: The Department of Education's notification to the school or aid organization of its final decision on an appeal.

From ED/OPE/GLOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENT

| | |
|---------------------------|---|
| | Rejected |
| EFFECTIVE DATE OF LOSS | SANCTION / SANCTION_START_DATE |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| BORROWERS IN DEFAULT | Derived |
| BORROWERS IN REPAYMENT | Derived |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT OF CDR CALCULATION | Rejected |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |
| REVISED RATE | ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

REFERRAL TYPE

DEFICIENCY_DISPUTE /
ED_REFERRAL_APPEAL_ORG_NAME

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

LST INFORMATION

LOSS OF ELIGIBILITY TIME FRAME

SANCTION /
SANCTION_STOP_DATE

SANCTION OUTCOME

SANCTION / SANCTION_CAT

PARTICIPANT INFORMATION

AGI

PARTICIPANT_INCOME_DETAIL /
INCOME_AMT

PARTICIPANT_INCOME_DETAIL /

| | |
|---|---|
| | PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | PARTICIPANT_NAME / FIRST_NAME PARTICIPANT_NAME / LAST_NAME PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE SCHOOL_ENROLLMENT / ENRL_STAT_CAT SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYMENT DATE | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | Derived |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |

| | |
|--|---|
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| PROGRAM LOSS | AID_PROGRAM_APPROVAL / AID_PGM_APRV_REASON_CAT |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

From ED/OPE/GLOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

APPEAL DECISION DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RECEIVED DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RESOLVED DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_STOP_DATE

APPEAL STATUS

ORGANIZATION_REVIEW /
ORG_REVIEW_ID

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|----------------------------|--|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| ASSIGNMENT DATE | ORGANIZATION_REVIEW / APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_NOTIF_DATE |
| DUE DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DUE_DATE |
| GA APPEAL RECEIPT DATE | AID_ORGANIZATION / ORG_ID ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| LENDER OUTCOME DISPOSITION | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| PENDING OUTCOME DISPOSTION | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |

| | |
|--------------------------|--|
| RESOLUTION TEXT | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| COMMENTS | ORGANIZATION_REVIEW / APPEAL_CAT ORGANIZATION_REVIEW / REVIEW_QUALITY_DESC |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_APPEAL_CAT ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ED ASSIGNEE | ORGANIZATION_REVIEW / ED_ASSIGNEE_NAME |
| GA INFORMATION | |
| GA ID | AID_ORGANIZATION / ORG_ID |
| GA NAME | AID_ORGANIZATION / AID_ORG_NAME |
| LENDER INFORMATION | |
| LENDER ID | AID_ORGANIZATION / ORG_ID |
| LST INFORMATION | |
| SANCTION OUTCOME | SANCTION / SANCTION_CAT ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| SANCTION TYPE | |

SCHOOL INFORMATION

SCHOOL ID

SCHOOL NAME

SANCTION / SANCTION_CAT

SCHOOL / SCHOOL_ID

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Appeals from GA's, Lenders, Secondary Markets and Servicers

Definition: Appeals by organizations that supply aid such as guaranty agencies, lenders, servicers and secondary markets.

To/from ED/OPE/GLOS from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

REASON CODE

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

REASON COMMENT

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REVIEWING SOURCE

Derived

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

ED DEPT INFORMATION

REGION CODE

SCHOOL_POC / REGION_CAT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

Flow Name: Cohort Default Rate Appeals (with corrected information)

Definition: Schools and lenders may appeal the calculation of its cohort default rates based on either erroneous data, improper servicing or mitigating factors.

To ED/OPE/GLOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENT

| | |
|-------------------------------|--|
| | Rejected |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | AID_ORGANIZATION / FY_START_DATE |
| | ORGANIZATION_REVIEW / FY_NMBR |
| PERFECTED APPEAL INDICATOR | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| LENDER INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT |
| | SCHOOL_POC / SCHOOL_POC_NAME |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LENDER/GA INFORMATION | |
| CERTIFICATION OF AUTHENTICITY | ORGANIZATION_REVIEW / APPEAL_CERT_DATE |
| LOAN SERVICING INFORMATION | |
| DEMAND LETTER DATE | |

| | |
|-------------------------------|--|
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| FINAL DEMAND LETTER DATE | |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | |
| | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| PARTICIPANT INFORMATION | |
| AGI | |
| | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | |
| | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | |
| | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | |
| | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | |
| | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | |
| | SCHOOL_ENROLLMENT / DEGREE_DATE |

| | |
|---|--|
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYMENT DATES | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| EDCUATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: GA Appeal Response (with corrections)

Definition: A Guaranty Agency or Direct Loan Servicer response to a school's or lender's appeal. The response would include any corrected information if applicable.

To ED/OPE/GLOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|---|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | Rejected |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| GA INFORMATION | |
| ADMIN RESPONSIBLE PERSON | |

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

GA REVIEWER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND INDICATOR

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

| | |
|---|--|
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | |
| | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | |
| | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDER YEAR | |
| | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | |
| | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S SSN | |
| | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | |
| | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | |
| | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | |
| | SCHOOL_ENROLLMENT / |

| | |
|----------------------|---|
| | DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATE | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | Derived |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / |

| | |
|---|--------------------------------------|
| | EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: Appeal Decision (with corrections)

Definition: The Department of Education's notification to the school or aid organization of its final decision on an appeal.

To Guaranty Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENT

| | |
|--------------------------|---|
| | Rejected |
| EFFECTIVE DATE OF LOSS | SANCTION / SANCTION_START_DATE |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| BORROWERS IN DEFAULT | Derived |
| BORROWERS IN REPAYMENT | Derived |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |
| REVISED RATE | ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| GA INFORMATION | |

GA REVIEWER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

REFERRAL TYPE

DEFICIENCY_DISPUTE /
ED_REFERRAL_APPEAL_ORG_NAME

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

LST INFORMATION

LOSS OF ELIGIBILITY TIME FRAME

SANCTION /

| | |
|---|--|
| | SANCTION_STOP_DATE |
| SANCTION OUTCOME | |
| | SANCTION / SANCTION_CAT |
| PARTICIPANT INFORMATION | |
| AGI | |
| | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | |
| | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | |
| | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | |
| | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT / PARTICIPANT_ID |
| BORROWER'S SSN | |
| | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | |
| | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | |
| | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | |
| | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYMENT DATE | |
| | PARTICIPANT_EMPLOYMENT / |

| | |
|---|---|
| | EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | Derived |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| TRANSFER DATE(TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSER SCHOOL | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| PROGRAM LOSS | AID_PROGRAM_APPROVAL / AID_PGM_APRV_REASON_CAT |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

To Guaranty Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

APPEAL DECISION DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL STATUS

ORGANIZATION_REVIEW /
APPEAL_OUTCOME_FAVORABLE_IND

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

ASSIGNMENT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

COMMENTS

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

DUE DATE

ORGANIZATION_REVIEW /
PERFECTED_APPEAL_DUE_DATE

GA APPEAL RECEIPT DATE

| | |
|----------------------------|---|
| | AID_ORGANIZATION / ORG_ID |
| | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | |
| | AID_ORGANIZATION / ORG_ID |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| PERFECTED APPEAL DATE | |
| | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| RESOLUTION TEXT | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| SCHOOL OUTCOME DISPOSITION | |
| | Derived |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | |
| | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | |
| | ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ED ASSIGNEE | |
| | ORGANIZATION_REVIEW / ED_ASSIGNEE_NAME |
| GA INFORMATION | |
| GA ID | |
| | AID_ORGANIZATION / ORG_ID |
| GA NAME | |
| | AID_ORGANIZATION / AID_ORG_NAME |

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

LENDER NAME

AID_ORGANIZATION /
AID_ORG_NAME

LST INFORMATION

SANCTION OUTCOME

ORGANIZATION_REVIEW /
REVIEW_REASON_DESC

SANCTION / SANCTION_CAT

SANCTION TYPE

SANCTION / SANCTION_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Cohort Default Rate Appeals (with corrected information)

Definition: Schools and lenders may appeal the calculation of its cohort default rates based on either erroneous data, improper servicing or mitigating factors.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN AMOUNT

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION (EFC)

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|--|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | |
| | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | Rejected |
| END DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | |
| | ORGANIZATION_REVIEW / FY_NMBR |
| PERFECTED APPEAL DATE | |
| | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| PERFECTED APPEAL INDICATOR | |
| | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| START DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | |
| | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | |
| | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | |
| | Rejected |
| GA INFORMATION | |
| ADMIN RESPONSIBLE PERSON | |
| | SCHOOL_POC / SCHOOL_POC_CAT |
| | SCHOOL_POC / SCHOOL_POC_NAME |

| | |
|------------------------------------|--|
| CERTIFICATION OF AUTHENTICITY | ORGANIZATION_REVIEW / APPEAL_CERT_DATE |
| LENDER INFORMATION | |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LOAN SERVICING INFORMATION | |
| DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| FINAL DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PRE-CLAIMS ASSISTANCE REQUEST DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |

| | |
|---|--|
| AGI CALENDER YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE SCHOOL_ENROLLMENT / ENRL_STAT_CAT SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / |

| | |
|--|---|
| | EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATES | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | Derived |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE)TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / |

| | |
|--------------------------|--------------------------------------|
| | SCHOOL_NAME |
| SCHOOL ID | |
| | SCHOOL / SCHOOL_ID |
| STUDENT PROGRAM OF STUDY | |
| | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: GA Appeal Response (with corrections)

Definition: A Guaranty Agency or Direct Loan Servicer response to a school's or lender's appeal. The response would include any corrected information if applicable.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|---|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | |
| | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | Rejected |
| END DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | |
| | ORGANIZATION_REVIEW / FY_NMBR |
| GA APPEAL RECEIPT DATE | |
| | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| START DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | |
| | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | |
| | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| GA INFORMATION | |

ADMIN RESPONSIBLE PERSON

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

GA REVIEWER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

TELEPHONE CALL ATTEMPT DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

| | |
|---|--|
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |

EMPLOYERS ADDRESS

PARTICIPANT_EMPLOYMENT /
EMPLOYER_CITY_NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_POSTAL_CODE

PARTICIPANT_EMPLOYMENT /
EMPLOYER_STATE_NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_CNTRY_NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_STREET_NAME

EMPLOYERS NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_NAME

EMPLOYERS PHONE

PARTICIPANT_EMPLOYMENT /
EMPLOYER_PHONE_NMBR

EMPLOYMENT INDICATOR

Derived

EMPLOYMENT DATE

PARTICIPANT_EMPLOYMENT /
EMPLOYMENT_START_DATE

PARTICIPANT_EMPLOYMENT /
EMPLOYMENT_STOP_DATE

GRADUATION DATE

SCHOOL_ENROLLMENT /
DEGREE_DATE

LTH DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

SCHOOL_ENROLLMENT /
ENRL_STAT_START_DATE

SAP INDICATOR

SCHOOL_ENROLLMENT / SAP_IND

STUDENT JOB TITLE

PARTICIPANT_EMPLOYMENT /
EMPL_JOB_TITLE_NAME

TRANSER DATE (TO HIGHER PROGRAM)

Derived

SCHOOL INFORMATION

ADDRESS OF TRANSFER SCHOOL

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

EDUCATIONAL PROGRAM NAME (AT HIGHER
SCHOOL)

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

NAME OF TRANSFER SCHOOL

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: GA Appeals

Definition: Appeals made by guaranty agencies (GAs) of the audits performed by the Department of Education's (ED's) Guarantor and Lender Oversight Service (GLOS). GAs can appeal the findings of default rates, audits and reviews, and any sanctions imposed on them by GLOS.

To/from Guaranty Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

| | |
|--------------------|--|
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| EFFECTIVE DATE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| REPAYMENT AMT | AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT |
| REPAYMENT DATE | AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT |
| REPAYMENT METHOD | AID_ACCOUNT_TRANSACTION / REMITTANCE_CAT |
| APPEAL INFORMATION | |
| APPEAL NOTICE DATE | ORGANIZATION_REVIEW / APPEAL_NOTIF_DATE |
| APPEAL TYPE | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT ORGANIZATION_REVIEW / APPEAL_CAT |
| REASON CODE | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |
| REASON COMMENT | ORGANIZATION_REVIEW / REVIEW_QUALITY_DESC |

AUDIT/PROGRAM REVIEW INFORMATION

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN NUMBER

ORGANIZATION_REVIEW /
PRCN_NMBR

REVIEWING SOURCE

Derived

ED DEPT INFORMATION

REGION CODE

SCHOOL_POC / REGION_CAT

GA INFORMATION

CONTACT NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

CONTACT PHONE

AID_ORGANIZATION_POC /
PHONE_NMBR

CONTACT URL

AID_ORGANIZATION_POC /
ELECTRONIC_ADDR_NAME

GA ID

AID_ORGANIZATION / ORG_ID

REVIEWER PHONE

AID_ORGANIZATION_POC /
PHONE_NMBR

REVIEWER URL

AID_ORGANIZATION_POC /

ELECTRONIC_ADDR_NAME

Flow Name: Appeal Decision (with corrections)

Definition: The Department of Education's notification to the school or aid organization of its final decision on an appeal.

To Lender From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENT

Rejected

EFFECTIVE DATE OF LOSS

SANCTION /

| | |
|---------------------------|---|
| END DATE | SANCTION_START_DATE |
| FISCAL YEAR | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / FY_NMBR |
| GA RESPONSE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| RATE TYPE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| START DATE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| DEFAULT RATE INFORMATION | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| BORROWERS IN DEFAULT | Derived |
| BORROWERS IN REPAYMENT | Derived |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT OF CDR CALCULATION | Rejected |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |

ED DEPT INFORMATION

ADMIN RESPONSIBLE PERSON

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

GA INFORMATION

GA REVIEWER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

REFERRAL TYPE

DEFICIENCY_DISPUTE /
ED_REFERRAL_APPEAL_ORG_NAME

| | |
|--------------------------------|--|
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| LST INFORMATION | |
| LOSS OF ELIGIBILITY TIME FRAME | SANCTION / SANCTION_STOP_DATE |
| SANCTION OUTCOME | SANCTION / SANCTION_CAT |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |

| | |
|---|--|
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT INDICATOR | Derived |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| PROGRAM LOSS | AID_PROGRAM_APPROVAL / AID_PGM_APRV_REASON_CAT |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| STUDENT PROGRAM OF STUDY | |

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

To Lender From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

DEFICIENCY / DEFICIENCY_CAT

APPEAL DECISION DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

APPEAL ID

ORGANIZATION_REVIEW /
ORG_REVIEW_ID

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL STATUS

ORGANIZATION_REVIEW /
APPEAL_OUTCOME_FAVORABLE_IND

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

ASSIGNMENT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

COMMENTS

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

DUE DATE

ORGANIZATION_REVIEW /
PERFECTED_APPEAL_DUE_DATE

GA APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /

| | |
|----------------------------------|---|
| GA RESPONSE | APPEAL_RECEIPT_DATE |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | SANCTION / SANCTION_CAT |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| RESOLUTION TEXT | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| SCHOOL OUTCOME DISPOSITION | SANCTION / SANCTION_CAT |
| AUDIT/PROGRAM REVIEW INFORMATION | |
| ACN | ORGANIZATION_REVIEW / ACN_NMBR |
| PRCN | ORGANIZATION_REVIEW / PRCN_NMBR |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ED ASSIGNEE | ORGANIZATION_REVIEW / ED_ASSIGNEE_NAME |
| ED POC E-MAIL ADDRESS | AID_ORGANIZATION_POC / |

| | |
|--------------------|---|
| | ELECTRONIC_ADDR_NAME |
| ED POC NAME | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| ED POC PHONE | AID_ORGANIZATION_POC / PHONE_NMBR |
| GA INFORMATION | |
| GA ID | AID_ORGANIZATION / ORG_ID |
| LENDER INFORMATION | |
| LENDER ID | AID_ORGANIZATION / ORG_ID |
| LST INFORMATION | |
| SANCTION OUTCOME | SANCTION / SANCTION_CAT |
| SANCTION TYPE | SANCTION / SANCTION_CAT |
| SCHOOL INFORMATION | |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |

Flow Name: Cohort Default Rate Appeals (with corrected information)

Definition: Schools and lenders may appeal the calculation of its cohort default rates based on either erroneous data, improper servicing or mitigating factors.

From Lender To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

BORROWER ID

PARTICIPANT / PARTICIPANT_ID

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION (EFC)

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT DATE

AID_ACCOUNT_TRANSACTION /
AID_TRXN_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

| | |
|-------------------------------|---|
| | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | Rejected |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| PERFECTED APPEAL INDICATOR | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| LENDER INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| CERTIFICATION OF AUTHENTICITY | ORGANIZATION_REVIEW / APPEAL_CERT_DATE |
| LOAN TYPE | AID_PROGRAM / PGM_ID |

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

DUE DILIGENCE INFORMATION

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

PARTICIPANT INFORMATION

AGI

PARTICIPANT_INCOME_DETAIL /
INCOME_AMT

PARTICIPANT_INCOME_DETAIL /
PARTICIPANT_INCOME_DETAIL_CAT

AGI CALENDAR YEAR

PARTICIPANT_INCOME /
PARTICIPANT_INCOME_TAX_
YR_NMBR

AGI FAMILY SIZE

PARTICIPANT_INCOME /
EXEMPTIONS_NMBR

COMPLETION DATE

SCHOOL_ENROLLMENT /
DEGREE_DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

ECONOMIC DISADVANTAGE STUDENT INDICATOR

SCHOOL_ENROLLMENT /
DISADVANTAGE_IND

EMPLOYERS NAME

PARTICIPANT_EMPLOYMENT /

| | |
|---|---|
| | EMPLOYER_NAME |
| EMPLOYMENT DATES | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / |

ACAD_PGM_NAME

Flow Name: Lender Appeals

Definition: Lenders can appeal reviews and audits performed by Department of Education's Guarantor and Lender Oversight Service (GLOS) or by guaranty agencies.

To/from Lender from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL NOTICE DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

PERIOD END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

REASON CODE

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

REASON COMMENT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

ASSESSED LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REVIEWER SOURCE

Derived

REVISED ASSESSMENT

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

LENDER INFORMATION

CONTACT EMAIL

AID_ORGANIZATION_POC /

| | |
|---------------|---|
| | ELECTRONIC_ADDR_NAME |
| CONTACT NAME | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| CONTACT PHONE | AID_ORGANIZATION_POC / PHONE_NMBR |
| LENDER ID | AID_ORGANIZATION / ORG_ID |

Flow Name: GA Appeal Response (with corrections)

Definition: A Guaranty Agency or Direct Loan Servicer response to a school's or lender's appeal. The response would include any corrected information if applicable.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

| | |
|------------------------------|---|
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | Rejected |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| GA INFORMATION | |
| ADMIN RESPONSIBLE PERSON | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

GA REVIEWER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /

| | |
|-------------------------------|--|
| | DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / |

| | |
|---|--|
| | ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYER'S ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYER'S NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYER'S PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATE | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | Derived |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT SCHOOL_ENROLLMENT / |

| | |
|---|---|
| | ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATION PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

To Secondary Market From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

DEFICIENCY / DEFICIENCY_CAT

APPEAL DECISION DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RECEIVED DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RESOLVED DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

APPEAL STATUS

ORGANIZATION_REVIEW /
APPEAL_OUTCOME_FAVORABLE_IND

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENTS

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

GA APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

GA RESPONSE

| | |
|----------------------------|---|
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| PERFECTED APPEAL DATE | |
| | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| RESOLUTION TEXT | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| SCHOOL OUTCOME DISPOSITION | |
| | SANCTION / SANCTION_CAT |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | |
| | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | |
| | ORGANIZATION_REVIEW / CDR_PCT |
| LENDER INFORMATION | |
| LENDER ID | |
| | AID_ORGANIZATION / ORG_ID |
| LENDER NAME | |
| | AID_ORGANIZATION / AID_ORG_NAME |
| LST INFORMATION | |
| SANCTION OUTCOME | |
| | SANCTION / SANCTION_CAT |
| SANCTION TYPE | |
| | SANCTION / SANCTION_CAT |
| SCHOOL INFORMATION | |
| PERIOD END DATE | |
| | ORGANIZATION_REVIEW / REVIEW_PERIOD_STOP_DATE |

PERIOD START DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

From Servicer To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

DEFICIENCY / DEFICIENCY_CAT

APPEAL DECISION DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RECEIVED DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RESOLVED DATE

Rejected

APPEAL STATUS

ORGANIZATION_REVIEW /
APPEAL_OUTCOME_FAVORABLE_IND

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENTS

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

GA APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

GA RESPONSE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

| | |
|----------------------------|---|
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| PERIOD START DATE | ORGANIZATION_REVIEW / REVIEW_PERIOD_START_DATE |
| RESOLUTION TEXT | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| SCHOOL OUTCOME DISPOSITION | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |
| LST INFORMATION | |
| SANCTION OUTCOME | SANCTION / SANCTION_CAT |
| SANCTION TYPE | SANCTION / SANCTION_CAT |
| SCHOOL INFORMATION | |
| PERIOD END DATE | ORGANIZATION_REVIEW / REVIEW_PERIOD_STOP_DATE |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SERVICER INFORMATION | |

SERVICER ID

AID_ORGANIZATION / ORG_ID

Flow Name: Servicer Appeals

Definition: A servicer's appeal of the results of an audit or a review.

To/from Servicer from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REASON COMMENT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

REVIEWER SOURCE

Derived

SERVICER INFORMATION

PERIOD END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

PERIOD START DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

SERVICER ID

AID_ORGANIZATION / ORG_ID

The following diagram presents the flows of information exchanged between the Manage Aid Organization Performance process and the postsecondary education community.

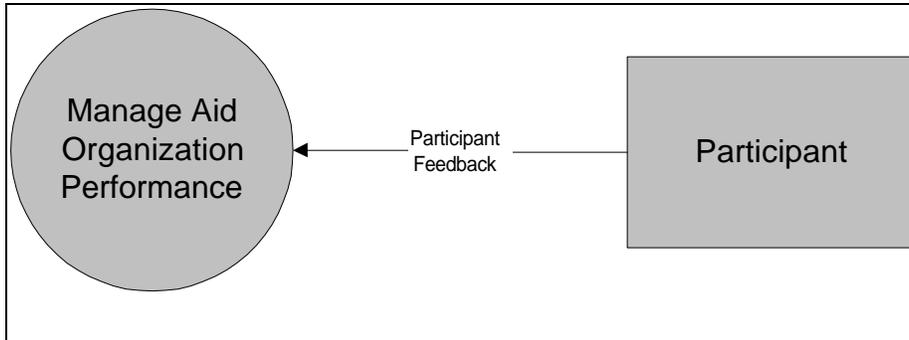


Exhibit 3.5.3-5 Manage Aid Organization Performance

Flow Name: Participant Feedback

Definition: Feedback from the participant concerning the performance of various aid organizations in the handling of financial aid.

From Participant To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

PARTICIPANT IDENTIFIER

PARTICIPANT / PARTICIPANT_ID

LENDER INFORMATION

AID ORGANIZATION

AID_ORGANIZATION / ORG_ID

PARTICIPANT INFORMATION

PARTICIPANT FEEDBACK QUESTIONNAIRE

SCHOOL_PERFORMANCE_RATING /
RATING_DESC

SCHOOL_PERFORMANCE_RATING /
SCHOOL_PERF_RATING_CAT

PERFORMANCE_RATING /
RATING_DESC

PERFORMANCE_RATING /
PERF_RATING_CAT

The following diagrams present the flows of information exchanged between the Manage Aid School Eligibility process and the postsecondary education community.

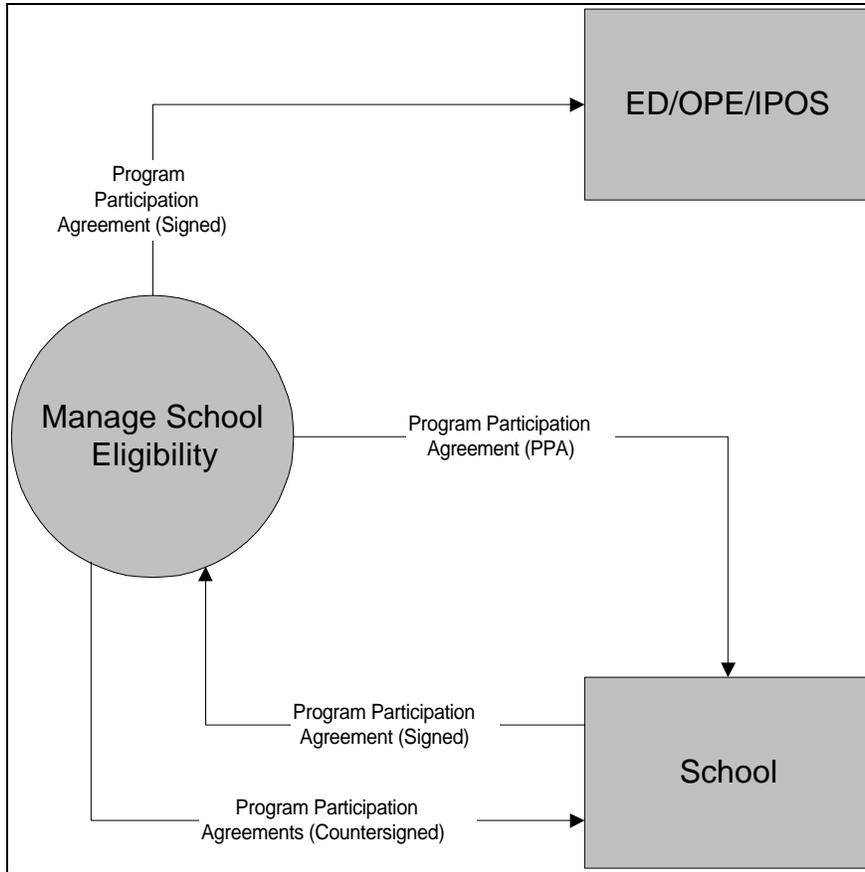


Exhibit 3.5.3-6 Manage School Eligibility

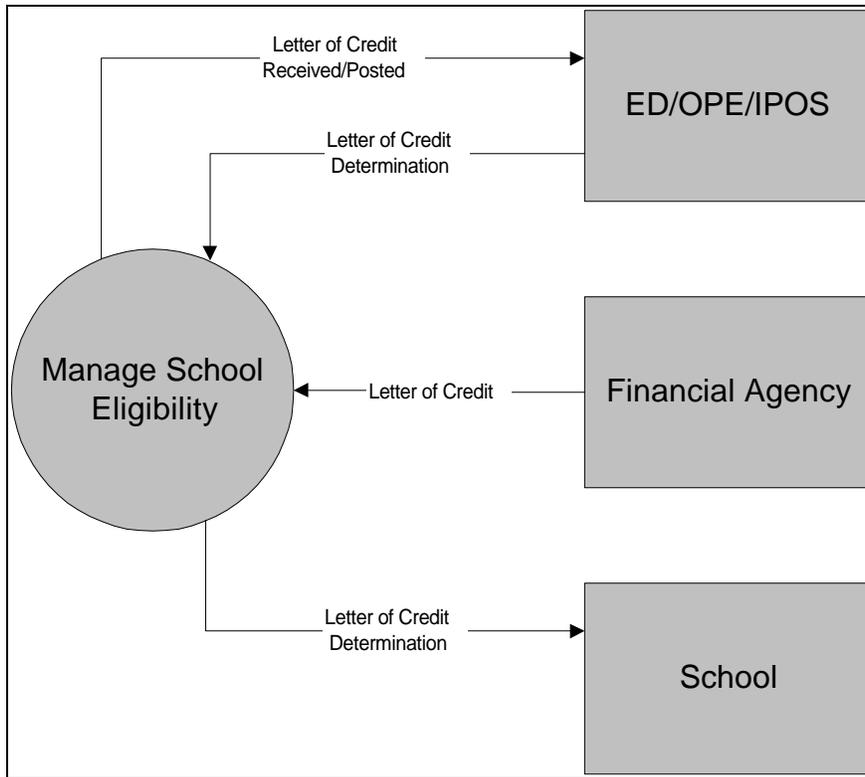


Exhibit 3.5.3-6 Manage School Eligibility

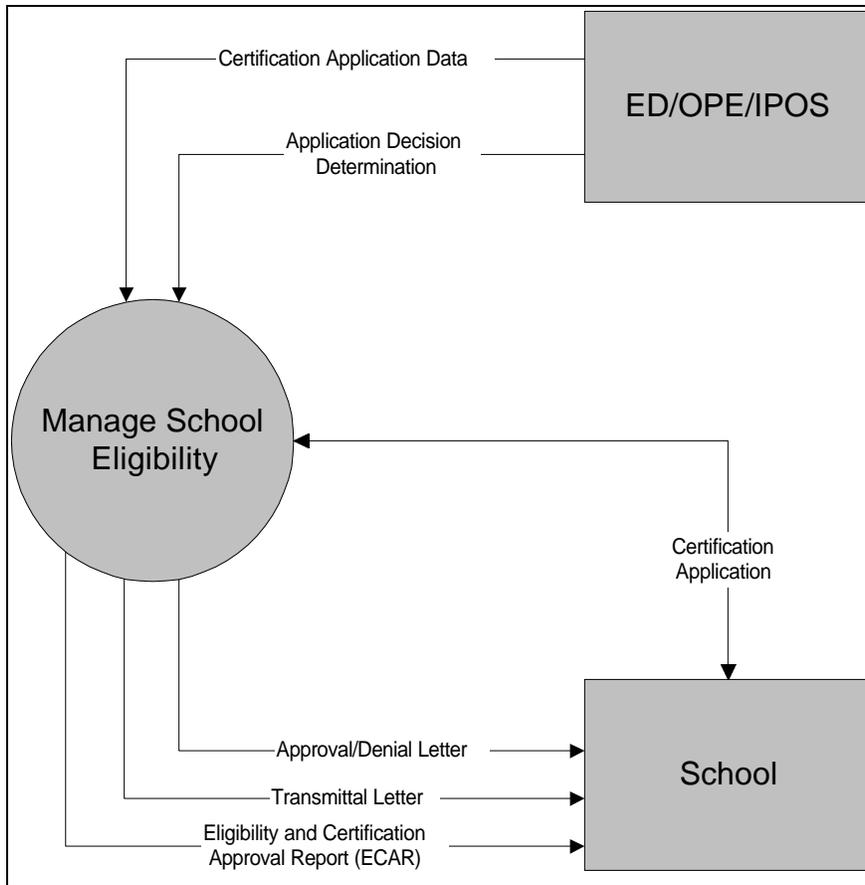


Exhibit 3.5.3-6 Manage School Eligibility

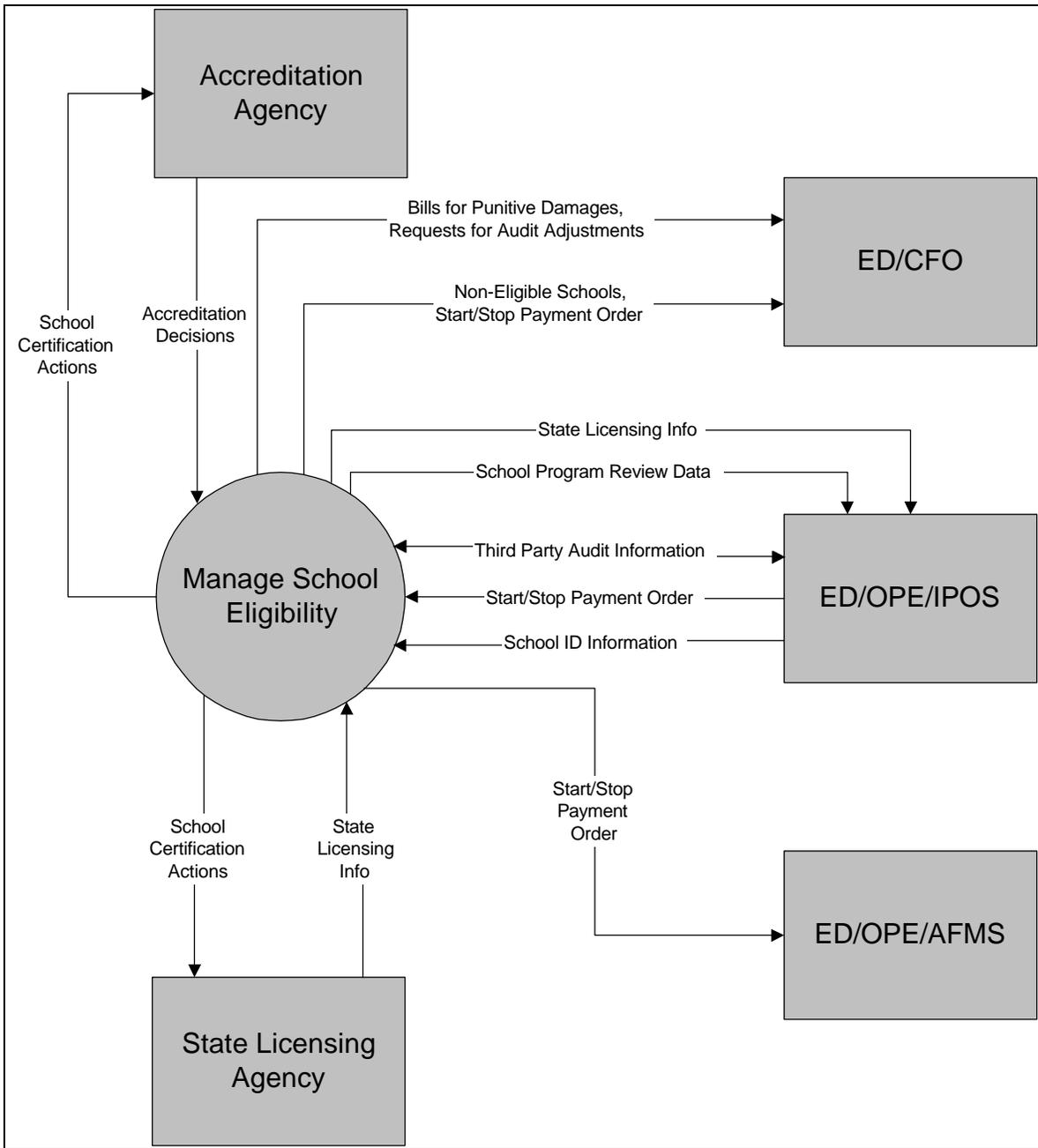


Exhibit 3.5.3-6 Manage School Eligibility

Flow Name: Accreditation Decisions

Definition: Accreditation decisions provided by recognized accrediting agencies.

From Accreditation Agency To EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-------------------------------------|--|
| ACCREDITATION/LICENSING INFORMATION | |
| ACCREDITATION EXPIRATION DATE | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_STOP_DATE |
| ACCREDITATION PROBATION FLAG | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_CAT |
| ACCREDITATION PROGRAM (SCOPE) | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_SCOPE_NAME |
| ACCREDITATION START DATE | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_START_DATE |
| ACCREDITATION STATUS | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_CAT |
| ACCREDITATION TYPE | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_CAT |
| AGENCY NAME | AID_ORGANIZATION / AID_ORG_NAME |
| WARNING | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_CAT |
| WARNING "OFF" DATE | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_STOP_DATE ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_CAT |
| WARNING "ON" DATE | ACCREDITING_AGENCY_ACTION / ACCREDITATION_ACTION_START_DATE |

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: School Certification Actions

Definition: Certification Actions are actions that communicate various decisions made by IPOS, that affects institutions.

To Accreditation Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME
SCHOOL_POC / POSTAL_CODE
SCHOOL_POC / STATE_NAME
SCHOOL_POC / CNTRY_NAME
SCHOOL_POC / STREET_NAME

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

ACCREDITATION/LICENSING INFORMATION

ACTION TYPE

AID_PROGRAM_APPROVAL /
APRV_CAT

EFFECTIVE DATE

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_START_DATE

ED DEPT INFORMATION

IPOS DEPARTMENT

AID_ORGANIZATION_POC /
DEPARTMENT_NAME

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Bills for Punitive Damages, Requests for Audit Adjustments

Definition: When Program Reviews and Audits are performed by IPOS and Independent Public Accountants (IPAs), they may require certain monetary actions from ED/CFO. These actions include billing the school for punitive damages as a result of the school not participating according to rules, or adjustments to accounts as a result of audits. This could be either a credit or debit to the school's account.

To ED/CFO From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

LEDGER INFORMATION

AMOUNT

AID_ACCOUNT_TRANSACTION /
AID_TRXN_AMT

AID_ACCOUNT_TRANSACTION /
AID_TRXN_CAT

REASON

AID_ACCOUNT_TRANSACTION /
ACCEPT_REJECT_REASON_DESC

LST INFORMATION

ACTION

DEFICIENCY / DEFICIENCY_CAT
SCHOOL INFORMATION

SCHOOL'S DUN'S NUMBER

SCHOOL / DUNS_NMBR

Flow Name: Non-Eligible Schools, start/stop payment order

Definition: Information from EASI/ED regarding the status of a school. ED/CFO may receive a list of non-eligible schools, or they may receive a specific request to start or stop the payment to a school. (The schools listed as non-eligible should not receive funds.)

To ED/CFO From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AWARD INFORMATION

BEGIN DATE

AWARD_HOLD /
AWARD_HOLD_START_DATE

PAYMENT STATUS

AWARD_HOLD /
BYPASS_FUNDING_IND

SCHOOL INFORMATION

SCHOOL'S DUN'S NUMBER

SCHOOL / DUNS_NMBR

Flow Name: Start/Stop Payment Order

Definition: Financial, accounting and billing organizations at the Department of Education to start or stop billing and other financial activities based on a school's eligibility for aid programs.

To ED/OPE/AFMS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID PROGRAM INFORMATION

PROGRAM TYPE CODE

AID_PROGRAM / AID_PGM_CAT

AWARD INFORMATION

EFFECTIVE START DATE

AWARD_HOLD /
AWARD_HOLD_START_DATE

EFFECTIVE STOP DATE

AWARD_HOLD /
AWARD_HOLD_STOP_DATE

PAYMENT TERMS

AWARD_HOLD / PMT_TERM_DESC

REASON CODE

AWARD_HOLD / HOLD_REASON_CAT

REASON DATE

AWARD_HOLD / INCIDENT_DATE

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Application Decision Determination

Definition: Application decision determination denotes the results of the processing of the school's Program Participation Agreement (PPA).

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

ACTION DATE

AID_PROGRAM_APPROVAL /
APRV_START_DATE

CERTIFICATION TYPE

AID_PROGRAM_APPROVAL /
APRV_CAT

PROVISION REASON CODE

AID_PROGRAM_APPROVAL /
AID_PGM_APRV_REASON_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL TYPE

SCHOOL / SCHOOL_CAT

Flow Name: Certification Application Data

Definition: Information necessary to support the school's application for participating in the Department of Education's financial aid programs, including financial statements, percentage of students completing programs and relationships with financial institutions.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

AWARD INFORMATION

PREVIOUS FUNDING LEVELS

Derived

ELIGIBILITY INFORMATION

APPROVAL APPLICATION DATE

PROGRAM_PARTICIPATION_
AGREEMENT /
PPA_APPL_DECISION_DATE

APPROVAL FLAG

PROGRAM_PARTICIPATION_
AGREEMENT / PPA_APPL_APRV_IND

CREDITOR COMMENT FIELD

SCHOOL_SURETY /
SURETY_REASON_DESC

DISAPPROVAL REASON

AID_PROGRAM_APPROVAL /
AID_PGM_APRV_REASON_CAT

SCHOOL INFORMATION

APPROVAL LOCATION

APPROVED_LOCATION /
LOCATION_APRV_NAME

NEW SCHOOL INDICATOR

PROGRAM_PARTICIPATION_
AGREEMENT /
PPA_APPL_SUBMITTAL_CAT

Flow Name: Letter of Credit Determination

Definition: Determination of information as reported in the letter of credit submitted by a school to denote credit worthiness.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

PURPOSE FOR LETTER OF CREDIT

SCHOOL_SURETY /
SURETY_REASON_DESC

SURETY AMOUNT

SCHOOL_SURETY / SURETY_AMT

SURETY DUE DATE

SCHOOL_SURETY /
SURETY_DUE_DATE

ELIGIBILITY INFORMATION

% OF PREVIOUS YEAR TITLE IV AID FUNDING
Rejected

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Letter of Credit Received/Posted

Definition: Posting of credit document received from a bank or trust organization stating that the school has shown credit worthiness.

To ED/OPE/IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

SURETY AMOUNT

SCHOOL_SURETY / SURETY_AMT

SURETY EXPIRATION DATE

SCHOOL_SURETY /
SURETY_EXPIRE_DATE

SURETY ISSUER

SCHOOL_SURETY /
SURETY_ISSUER_NAME

SURETY ISSUER DATE

SCHOOL_SURETY /
SURETY_VALID_DATE

ELIGIBILITY INFORMATION

POSTED DATE

SCHOOL_SURETY /
SURETY_EFF_DATE

SCHOOL INFORMATION

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL OFFICIAL

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

Flow Name: Participating Program Agreement (Signed)

Definition: Signed Participating Program Agreement (PPA) submitted by a school, containing the terms and conditions that a school must follow to participate in the Department of Education's financial aid programs.

To ED/OPE/IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

CERTIFICATION TYPE

AID_PROGRAM_APPROVAL /
APRV_CAT

PPA EXPIRATION DATE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_EXPIRE_DATE

TRANSMITTAL LETTER

Rejected

SCHOOL INFORMATION

DOMESTIC SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME
SCHOOL_POC / POSTAL_CODE
SCHOOL_POC / STATE_NAME
SCHOOL_POC / CNTRY_NAME
SCHOOL_POC / STREET_NAME

DUNS NUMBER

SCHOOL / DUNS_NMBR

EIN

SCHOOL / EIN_NMBR

FOREIGN/DOMESTIC CODE

SCHOOL / FOREIGN_SCHOOL_IND

INTERNATIONAL SCHOOL ADDRESS

SCHOOL / FOREIGN_SCHOOL_IND
SCHOOL_POC / CITY_NAME
SCHOOL_POC / CNTRY_NAME
SCHOOL_POC / POSTAL_CODE
SCHOOL_POC / STREET_NAME

| | |
|--|---|
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL SIGNATURE DATE | PROGRAM_PARTICIPATION_ AGREEMENT / PPA_SIGNATURE_DATE |
| SCHOOL SIGNATURE NAME | PROGRAM_PARTICIPATION_ AGREEMENT / PPA_SIGNATORY_NAME |
| SCHOOL SIGNATURE TITLE | PROGRAM_PARTICIPATION_ AGREEMENT / PPA_SIGNATORY_TITLE_NAME |
| SCHOOL US DEPT.ED CENTRAL REGISTRY SERVICE NUMBER | SCHOOL / SCHOOL_ID |

Flow Name: School ID Information

Definition: Information gathered on the school by the Fiscal Application to Participate including address, chief operating officers, mailing addresses, etc.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ED DEPT INFORMATION

ED POC EMAIL ADDRESS

AID_ORGANIZATION_POC /
ELECTRONIC_ADDR_NAME

ED POC FAX NUMBER

AID_ORGANIZATION_POC /
FAX_NMBR

ED POC NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

ED POC PHONE NUMBER

AID_ORGANIZATION_POC /
PHONE_NMBR

ELIGIBILITY INFORMATION

CHANGES TO CERTIFICATION APPLICATION
INFORMATION

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_APPL_SUBMITTAL_CAT

SCHOOL INFORMATION

CORRESPONDENCE TRACKING NUMBER

COMMUNICATION /
COMMUNICATION_ID

CORRESPONDENCE TYPE

COMMUNICATION /
COMMUNICATION_METHOD_CAT

SCHOOL ID

SCHOOL NAME

SCHOOL / SCHOOL_ID

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL STATE

SCHOOL_POC / STATE_NAME

Flow Name: School Program Review Data

Definition: Results of the institutional program reviews performed by Institutional Participation and Oversight Office (IPOS). These reviews ensure compliance and impact eligibility decisions made by IPOS on the financial data and information received from various schools.

To ED/OPE/IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID PROGRAM INFORMATION

PARTICIPANT PROGRAM

AID_PROGRAM / PGM_ID

PROGRAM REVIEW TYPE

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

ORGANIZATION_REVIEW /
ORG_REVIEW_ID

AUDIT/PROGRAM REVIEW INFORMATION

FINDINGS INFORMATION

DEFICIENCY / DEFICIENCY_CAT

AUDIT/PROGRAM REVIEW INFORMATION

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

LIABILITIES INFORMATION

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REVIEW LEVEL

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

REVIEWERS NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /

SCHOOL INFORMATION

PUBLIC/PRIVATE INDICATOR

SCHOOL ID

AID_ORG_POC_MIDDLE_NAME

SCHOOL / PUBLIC_IND

SCHOOL / SCHOOL_ID

Flow Name: Start/Stop Payment Order

Definition: Financial, accounting and billing organizations at the Department of Education to start or stop billing and other financial activities based on a school's eligibility for aid programs.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID PROGRAM INFORMATION

PROGRAM TYPE CODE

AID_PROGRAM / PGM_ID

AWARD INFORMATION

EFFECTIVE START DATE

AWARD_HOLD /
AWARD_HOLD_START_DATE

EFFECTIVE STOP DATE

AWARD_HOLD /
AWARD_HOLD_STOP_DATE

PAYMENT TERMS

AWARD_HOLD / PMT_TERM_DESC

REASON CODE

AWARD_HOLD / HOLD_REASON_CAT

REASON DATE

AWARD_HOLD / INCIDENT_DATE

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Third Party Audit Information

Definition: Audit performed on schools by third party accounting firms and state agencies. The audits consist of reviewing the disbursements of financial aid to the properly enrolled students and a complete review of the school financial and accounting standards.

To/from ED/OPE/IPOS from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM REVIEW INFORMATION

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

AUDIT PERIOD BEGIN DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

AUDIT PERIOD END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

AUDITEE TYPE

SCHOOL / NON_PROFIT_IND

SCHOOL / PUBLIC_IND

SCHOOL / SCHOOL_CAT

AUDITOR IDENTIFIER

AID_ORGANIZATION / ORG_ID

CLOSE OUT INDICATOR

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

DEFICIENCY DESCRIPTION

DEFICIENCY / DEFICIENCY_CAT

NARATIVE DESCRIPTION OF EACH FINDING

DEFICIENCY / DEFICIENCY_CAT

OPINION TYPE

| | |
|-----------------------------|--|
| PROGRAMS AUDITED IDENTIFIER | ORGANIZATION_REVIEW / OPINION_QUALIFIED_IND |
| SCHOOL INFORMATION | AID_PROGRAM / PGM_ID |
| SCHOOL IDENTIFIER | SCHOOL / SCHOOL_ID |
| TITLE IV INFORMATION | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |
| SFA AUDIT INDICATOR | |

Flow Name: Letter of Credit

Definition: Credit document stating that the school is required to show credit worthiness based on a document signed by a bank or trust organization as to the presence of funds and a previous credit history with that institution. The Department of Education requires letters of credit for institutions with relatively new or absent credit histories.

From Financial Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL BANK ROUTING NUMBER

SCHOOL / BANK_ACCT_NMBR

SCHOOL / BANK_ROUTING_NMBR

SCHOOL / BANK_ACCT_EXPIRE_DATE

ELIGIBILITY INFORMATION

SURETY AMOUNT

SCHOOL_SURETY / SURETY_AMT

SURETY EXPIRATION DATE

SCHOOL_SURETY /
SURETY_EXPIRE_DATE

SURETY ISSUER

SCHOOL_SURETY /
SURETY_ISSUER_NAME

SURETY ISSUER DATE

SCHOOL_SURETY /
SURETY_VALID_DATE

SCHOOL INFORMATION

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL OFFICIAL

SCHOOL_POC /

SCHOOL_POC_TITLE_NAME

Flow Name: State Licensing Info

Definition: Information relating to States Licensing Agencies authorizing trade and academic institutions to provide postsecondary education.

To IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ADVERSE ACTION

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_CAT

ADVERSE ACTION PERIOD

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_START_DATE

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_STOP_DATE

ADVERSE ACTION REASON

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_REASON_DESC

AGENCY NAME

AID_ORGANIZATION /
AID_ORG_NAME

AID_ORGANIZATION / ORG_ID

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Approval/Denial Letter

Definition: The ED document that notifies the school of Title IV participation approval. It also documents the contents of the Approval package that is attached to the Approval letter.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

APPROVAL LETTER INDICATOR

Rejected

ELIGIBILITY EXPIRATION DATE.

AID_PROGRAM_APPROVAL /
APRV_STOP_DATE

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL OFFICIAL NAME

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

SCHOOL OFFICIAL TITLE

SCHOOL_POC / SCHOOL_POC_CAT

Flow Name: Certification Application

Definition: Certification application is the application a school must complete to be accepted as an aid organization into the Department of Education's student financial assistance programs.

To/from School from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DUNS NUMBER

AID_ORGANIZATION /

PRIMARY ACCREDITATION INDICATOR

AID_ORGANIZATION /
PRIMARY_ACCREDITATION_AGENCY_
IND

SECTION A

ALTERNATE SCHOOL NAME

SCHOOL_DESIGNATION /
DESIGNATION_CAT

SCHOOL_DESIGNATION /
SCHOOL_NAME

CEO CITY

SCHOOL_POC / CITY_NAME

CEO EMAIL ADDRESS

SCHOOL_POC /
ELECTRONIC_ADDR_NAME

CEO FAX NUMBER

SCHOOL_POC / FAX_NMBR

CEO JOB TITLE

SCHOOL_POC / SCHOOL_POC_CAT

CEO NAME

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

CEO STATE

SCHOOL_POC / STATE_NAME

CEO STREET ADDRESS

SCHOOL_POC / STREET_NAME

CEO TELEPHONE NUMBER

SCHOOL_POC / PHONE_NMBR

CFO CITY

| | |
|-----------------------------|---|
| | SCHOOL_POC / CITY_NAME |
| CFO EMAIL ADDRESS | SCHOOL_POC / ELECTRONIC_ADDR_NAME |
| CFO FAX NUMBER | SCHOOL_POC / FAX_NMBR |
| CFO NAME | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| CFO STATE | SCHOOL_POC / STATE_NAME |
| CFO STREET ADDRESS | SCHOOL_POC / STREET_NAME |
| CFO TELEPHONE NUMBER | SCHOOL_POC / PHONE_NMBR |
| CFO TITLE | SCHOOL_POC / SCHOOL_POC_CAT |
| CORRESPONDENCE MAIL TO CODE | SCHOOL_POC / SCHOOL_POC_CAT |
| CURRENT AWARD BEGIN DATE | AWARD / DRAWDOWN_START_DATE |
| CURRENT AWARD END DATE | AWARD / DRAWDOWN_STOP_DATE |
| EIN | SCHOOL / EIN_NMBR |
| FAD CITY | SCHOOL_POC / CITY_NAME |
| FAD EMAIL ADDRESS | SCHOOL_POC / ELECTRONIC_ADDR_NAME |
| FAD FAX NUMBER | SCHOOL_POC / FAX_NMBR |
| FAD NAME | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |

| | |
|--------------------------------|--|
| FAD STATE | SCHOOL_POC / STATE_NAME |
| FAD STREET ADDRESS | SCHOOL_POC / STREET_NAME |
| FAD TELEPHONE NUMBER | SCHOOL_POC / PHONE_NMBR |
| FAD TITLE | SCHOOL_POC / SCHOOL_POC_CAT |
| MOST RECENT AWARD BEGIN DATE | AWARD / DRAWDOWN_START_DATE |
| MOST RECENT AWARD END DATE | AWARD / DRAWDOWN_STOP_DATE |
| OTHER OFFICER CITY | SCHOOL_POC / CITY_NAME |
| OTHER OFFICER EMAIL ADDRESS | SCHOOL_POC / ELECTRONIC_ADDR_NAME |
| OTHER OFFICER FAX NUMBER | SCHOOL_POC / FAX_NMBR |
| OTHER OFFICER NAME | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| OTHER OFFICER STATE | SCHOOL_POC / STATE_NAME |
| OTHER OFFICER STREET ADDRESS | SCHOOL_POC / STREET_NAME |
| OTHER OFFICER TELEPHONE NUMBER | SCHOOL_POC / PHONE_NMBR |
| OTHER OFFICER TITLE | SCHOOL_POC / SCHOOL_POC_CAT |
| PRE-MERGER NAME | SCHOOL_DESIGNATION / DESIGNATION_CAT SCHOOL_DESIGNATION / SCHOOL_NAME |
| PREVIOUS SCHOOL NAME | |

| | |
|------------------------------------|--|
| | SCHOOL_DESIGNATION / DESIGNATION_CAT |
| | SCHOOL_DESIGNATION / SCHOOL_NAME |
| REASON FOR SUBMITTING APP | |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL URL | SCHOOL_POC / WEB_ADDR_NAME |
| SECTION B | |
| ACCREDITING AGENCY CODE | AID_ORGANIZATION / ORG_ID |
| FLIGHT SCHOOL CERT EXPIRATION DATE | LICENSING_AGENCY_ACTION / LIC_STOP_DATE |
| FLIGHT SCHOOL CERT NUMBER | LICENSING_AGENCY_ACTION / LIC_NMBR |
| FLIGHT SCHOOL INDICATOR | SCHOOL / FLIGHT_SCHOOL_IND |
| FORIEGN SCHOOL CODE | SCHOOL / FOREIGN_SCHOOL_IND |
| NUMBER OF YEARS FOR ACCREDITATION | Derived |
| STATE AGENCY AUTHORIZATION TYPE | AWARD / AWARD_CAT |
| STATE AGENCY CITY | AID_ORGANIZATION_POC / CITY_NAME |
| STATE AGENCY EMAIL ADDRESS | AID_ORGANIZATION_POC / ELECTRONIC_ADDR_NAME |

STATE AGENCY FAX NUMBER

AID_ORGANIZATION_POC /
FAX_NMBR

STATE AGENCY NAME

AID_ORGANIZATION /
AID_ORG_NAME

STATE AGENCY STREET ADDRESS

AID_ORGANIZATION_POC /
STREET_NAME

STATE AGENCY TELPHONE NUMBER

AID_ORGANIZATION_POC /
PHONE_NMBR

STATE AGENCY ZIP

AID_ORGANIZATION_POC /
POSTAL_CODE

YEAR OF ACCREDITATION

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_START
_DATE

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_STOP_
DATE

SECTION C

BOARD MEMBER INFORMATION

SCHOOL_POC / SCHOOL_POC_CAT

CERT CHANGE CODE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_APPL_SUBMITTAL_CAT

CERT CHANGE CODE DATE

SCHOOL_STRUCTURE /
SCHOOL_STRUCT_START_DATE

INITIAL CERT CODE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_ APPL_SUBMITTAL_CAT

PUBLIC/PRIVATE INDICATOR

SCHOOL / PUBLIC_IND

SCHOOL BOARD TYPE

SCHOOL / SCHOOL_BOARD_CAT

| | |
|-------------------------------------|--|
| TYPE OF SCHOOL | SCHOOL / SCHOOL_CAT |
| SECTION D | |
| CORPORATION CONTACT INFORMATION | SCHOOL_OWNER / OWNER_NAME |
| | SCHOOL_OWNER / OWNERSHIP_CAT |
| NON PROFIT INDICATOR | SCHOOL / NON_PROFIT_IND |
| SCHOOL OWNERSHIP ENTITY INFORMATION | SCHOOL / SCHOOL_ID |
| | SCHOOL_OWNER / OWNER_NAME |
| SCHOOL OWNERSHIP TYPE | SCHOOL_OWNER / OWNERSHIP_CAT |
| SECTION E | |
| DEGREE AWARD TYPE | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_DEGREE_AWARD_CAT |
| INDIVIDUAL SCHOOL OWNER NAME | SCHOOL_OWNER / OWNER_NAME |
| PROGRAM CONTRACTOR INFORMATION | SCHOOL_STRUCTURE / STRUCT_REASON_CAT |
| SCHOOL PROGRAMS OFFERED | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_NAME |
| SPECIAL DEGREE INFO | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_DEGREE_AWARD_CAT |
| SECTION F | |
| OTHER SCHOOL LOCATION INFORMATION | SCHOOL_STRUCTURE / STRUCT_REASON_CAT |
| SCHOOL CITY | SCHOOL_POC / CITY_NAME |
| SCHOOL LOCATION NAME | |

| | |
|---|--|
| | SCHOOL_STRUCTURE / STRUCT_REASON_CAT |
| SCHOOL STATE | SCHOOL_POC / STATE_NAME |
| SCHOOL STREET ADDRESS | SCHOOL_POC / STREET_NAME |
| SECTION G | |
| TELECOMMUTE/ CORRESPONDENCE COURSE INFORMATION | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_CAT |
| SECTION H | |
| APPROVED PROGRAMS INFORMATION | APPROVED_EDUCATION_PROGRAM / EDU_PGM_APRV_NAME |
| ATTENDANCE INFORMATION | Derived |
| EXPECTED INCREASE IN ENROLLMENT IND | SCHOOL_STATISTICAL_DATA / EXPECTED_ENRL_CHANGE_PCT |
| FTE FA STAFF NUM-ADMIN | SCHOOL_POC / SCHOOL_POC_CAT |
| FTE FA STAFF NUM-PROFESSIONSAL | SCHOOL_POC / SCHOOL_POC_CAT |
| REASON FOR SUBMITTING FORM | PROGRAM_PARTICIPATION_AGREEME NT / PPA_ APPL_SUBMITTAL_CAT |
| VOCATIONAL PROGRAMS INFORMATION | SCHOOL_POC / SCHOOL_POC_CAT |
| SECTION I | |
| FORIEGN SCHOOL INFORMATION | SCHOOL / FOREIGN_SCHOOL_IND SCHOOL_POC / SCHOOL_POC_SEQ_NMBR |
| SECTION J | |
| THIRD PARTY SERVICER CONTACT INFORMATION | |

AID_ORGANIZATION / ORG_ID

SECTION K

FINANCIAL RESPONSIBILITY INFORMATION

ORGANIZATION_REVIEW /
FINANCIAL_RATING_DATE

SECTION L

PRES/CEO SIGNED FLAG

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_APPL_SIGNATORY_NAME

SECTION M

DOCUMENTATION INFORMATION

Rejected

Flow Name: Eligibility and Certification Approval Report (ECAR)

Definition: The ECAR contains the most critical of the data elements that form the basis of the Institution's approval, and also a list of the highest level of offering, any non-degree or short term training programs, and approved additional locations.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ACCREDITATION PRIMARY INDICATOR

AID_ORGANIZATION /
PRIMARY_ACCREDITATION_AGENCY_
IND

ACCREDITATION/LICENSING INFORMATION

ACCREDITATION LENGTH

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_START_DA
TE

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_STOP_DAT
E

ACCREDITATION EFFECTIVE YEAR

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_START_DA
TE

ACCREDITATION SCOPE

ACCREDITING_AGENCY_ACTION /
ACCREDITATION_ACTION_SCOPE_NA
ME

ACCREDITING AGENCY

AID_ORGANIZATION /
AID_ORG_NAME

STATE AGENCY NAME

AID_ORGANIZATION /
AID_ORG_NAME

STATE AUTHORIZATION EFFECTIVE DATE

LICENSING_AGENCY_ACTION /
LIC_START_DATE

AID INFORMATION

LOAN DEFERMENT INDICATOR

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

ED DEPT INFORMATION

DEPARTMENT REGION CODE

SCHOOL_POC / REGION_CAT

ELIGIBILITY INFORMATION

ACTION

DEFICIENCY / DEFICIENCY_CAT

ACTION DATE

AID_PROGRAM_APPROVAL /
APRV_START_DATE

ACTION REASON

AID_PROGRAM_APPROVAL /
AID_PGM_APRV_REASON_CAT

CERTIFIED PROGRAM(S)

AID_PROGRAM / AID_PGM_CAT

AID_PROGRAM / AID_PGM_NAME

AID_PROGRAM / PGM_ID

PPA EFFECTIVE DATE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_APPL_SIGNATURE_DATE

PPA EXPIRATION DATE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_EXPIRE_DATE

PARTICIPANT INFORMATION

CONGRESSIONAL DISTRICT

SCHOOL / CONG_DISTRICT_NMBR

SCHOOL INFORMATION

ACADEMIC CALANDER

SCHOOL_EDUCATION_PROGRAM /
EDU_PGM_UNIT_CAT

| | |
|-----------------------------------|---|
| APPROVED LOCATION(S) | APPROVED_LOCATION / LOCATION_APRV_NAME |
| CIP NAME | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_NAME |
| CIP NUMBER | SCHOOL_EDUCATION_PROGRAM / SCHOOL_EDU_PGM_SEQ_NMBR |
| DURATION IN WEEKS | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_UNIT_CAT |
| ELIGIBLE PROGRAM(S) | APPROVED_EDUCATION_PROGRAM / EDU_PGM_APRV_NAME |
| FIRST OFFERED DATE | SCHOOL_EDUCATION_PROGRAM / FIRST_OFFERED_DATE |
| HIGHEST EDUCATION PROGRAM OFFERED | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_DEGREE_AWARD_CAT |
| INITIAL DATE OF OWNERSHIP | SCHOOL_OWNER / OWNERSHIP_START_DATE |
| NO. OF ACADEMIC HOURS | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_UNIT_CAT |
| OWNER | SCHOOL_OWNER / OWNER_NAME |
| OWNERSHIP PERCENTAGE | SCHOOL_OWNER / OWNERSHIP_PCT |
| PROGRAM ID | SCHOOL_EDUCATION_PROGRAM / SCHOOL_EDU_PGM_SEQ_NMBR |
| PROGRAM TYPE | SCHOOL_EDUCATION_PROGRAM / EDU_PGM_CAT SCHOOL_EDUCATION_PROGRAM / EDU_PGM_NAME |

| | |
|---------------------------------------|--------------------------------------|
| PUBLIC/PRIVATE INDICATOR | SCHOOL / PUBLIC_IND |
| SCHOOL ADDRESS | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL OFFICIAL E-MAIL ADDRESS | SCHOOL_POC / ELECTRONIC_ADDR_NAME |
| SCHOOL OFFICIAL FAX | SCHOOL_POC / FAX_NMBR |
| SCHOOL OFFICIAL NAME | SCHOOL_POC / SCHOOL_POC_CAT |
| | SCHOOL_POC / SCHOOL_POC_NAME |
| SCHOOL OFFICIAL PHONE | SCHOOL_POC / PHONE_NMBR |
| SCHOOL OFFICIAL TITLE | SCHOOL_POC / SCHOOL_POC_CAT |
| VOCATIONAL PROGRAM APPROVAL INDICATOR | SCHOOL / SCHOOL_CAT |

Flow Name: Letter of Credit Determination

Definition: Determination of information as reported in the letter of credit submitted by a school to denote credit worthiness.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

% OF PREVIOUS YEAR TITLE IV AID FUNDING

Derived

PURPOSE FOR LETTER OF CREDIT

SCHOOL_SURETY /
SURETY_REASON_DESC

REQUEST DATE

SCHOOL_SURETY /
SURETY_REQST_DATE

SURETY AMOUNT

SCHOOL_SURETY / SURETY_AMT

SURETY DUE DATE

SCHOOL_SURETY /
SURETY_DUE_DATE

SURETY EXPIRATION DATE

SCHOOL_SURETY /
SURETY_EXPIRE_DATE

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Program Participation Agreement

Definition: Signed Participating Program Agreement (PPA) submitted by a school, containing the terms and conditions that a school must follow to participate in the Department of Education's financial aid programs.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DOCUMENT TRACKING INFORMATION

DOMESTIC SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

ELIGIBILITY INFORMATION

CERTIFICATION TYPE

AID_PROGRAM_APPROVAL /
APRV_CAT

SIGNATURE DATE

PROGRAM_PARTICIPATION_AGREEME
NT / SIGNATURE_DATE

SIGNATURE NAME

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_SIGNATORY_NAME

SIGNATURE TITLE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_SIGNATORY_TITLE_NAME

TRANSMITTAL LETTER

Rejected

SCHOOL INFORMATION

DUNS NUMBER

SCHOOL / DUNS_NMBR

EIN

SCHOOL / EIN_NMBR

FOREIGN/DOMESTIC CODE

SCHOOL / FOREIGN_SCHOOL_IND

INTERNATIONAL SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL US DEPT ED CENTRAL REGISTRY

SCHOOL / SCHOOL_ID

Flow Name: Program Participation Agreement (Countersigned)

Definition: The Program Participation Agreement (countersigned) is the PPA that has been signed by the Department of Education Official.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ED DEPT INFORMATION

COUNTERSIGNED DATE(EFFECTIVE DATE OF APPROVAL)

PROGRAM_PARTICIPATION_AGREEMENT / PPA_COUNTERSIGN_DATE

COUNTERSIGNED NAME

PROGRAM_PARTICIPATION_AGREEMENT / PPA_COUNTERSIGNATORY_NAME

COUNTERSIGNED TITLE

PROGRAM_PARTICIPATION_AGREEMENT / PPA_COUNTERSIGNATORY_TITLE_NAME

ELIGIBILITY INFORMATION

CERTIFICATION TYPE

AID_PROGRAM_APPROVAL / APRV_CAT

TRANSMITTAL LETTER

Rejected

ELIGIBILITY INFORMATION

PPA EXPIRATION DATE

PROGRAM_PARTICIPATION_AGREEMENT / PPA_EXPIRE_DATE

SCHOOL INFORMATION

INTERNATIONAL SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL INFORMATION

DOMESTIC SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

DUNS NUMBER

SCHOOL / DUNS_NMBR

EIN

SCHOOL / EIN_NMBR

FOREIGN/DOMESTIC CODE

SCHOOL / FOREIGN_SCHOOL_IND

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL US DEPT ED CENTRAL REGISTRY

SCHOOL / SCHOOL_ID

SIGNATURE DATE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_SIGNATURE_DATE

SIGNATURE NAME

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_SIGNATORY_NAME

SIGNATURE TITLE

PROGRAM_PARTICIPATION_AGREEME
NT / PPA_SIGNATORY_TITLE_NAME

Flow Name: Program Participation Agreements (PPA's)

Definition: Completed Participating Program Application, containing the terms and conditions that a school must follow to participate in the Department of Education's financial aid programs.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

CERTIFICATION TYPE

AID_PROGRAM_APPROVAL /
APRV_CAT

SCHOOL / SCHOOL_ID

DOMESTIC SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

DUNS NUMBER

SCHOOL / DUNS_NMBR

EIN

SCHOOL / EIN_NMBR

FOREIGN/DOMESTIC CODE

SCHOOL / FOREIGN_SCHOOL_IND

INTERNATIONAL SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL US DEPT ED CENTRAL REGISTRY

SCHOOL / SCHOOL_ID

TRANSMITTAL LETTER

Rejected

Flow Name: Transmittal Letter

Definition: Certification of approval package received by a school upon acceptance to the Department of Education's (ED's) financial aid programs. The package includes instructions, forms, and points of contact, etc. for participating in ED financial aid programs

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

CERTIFICATION TYPE

AID_PROGRAM_APPROVAL /
APRV_CAT

EXECUTION DATE

AID_PROGRAM_APPROVAL /
APRV_START_DATE

TRANSMITTAL LETTER

Rejected

Flow Name: School Certification Actions

Definition: Certification Actions are actions that communicate various decisions made by IPOS, that affects institutions.

To State Licensing Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ACCREDITATION/LICENSING INFORMATION

ACTION TYPE

PROGRAM_PARTICIPATION_AGREEMENT / PPA_APPL_APRV_IND

ED DEPT INFORMATION

IPOS DEPARTMENT

AID_ORGANIZATION_POC / DEPARTMENT_NAME

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: State Licensing Info

Definition: Information relating to States Licensing Agencies authorizing trade and academic institutions to provide postsecondary education.

From State Licensing Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ACCREDITATION/LICENSING INFORMATION

ADVERSE ACTION

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_CAT

ADVERSE ACTION EFFECTIVE OFF DATE

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_STOP_DATE

ADVERSE ACTION ON DATE

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_START_DATE

ADVERSE REASON

LICENSING_AGENCY_ACTION /
ADVERSE_ACTION_REASON_DESC

AGENCY NAME

AID_ORGANIZATION /
AID_ORG_NAME

AID_ORGANIZATION / ORG_ID

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Process School Data process and the postsecondary education community.

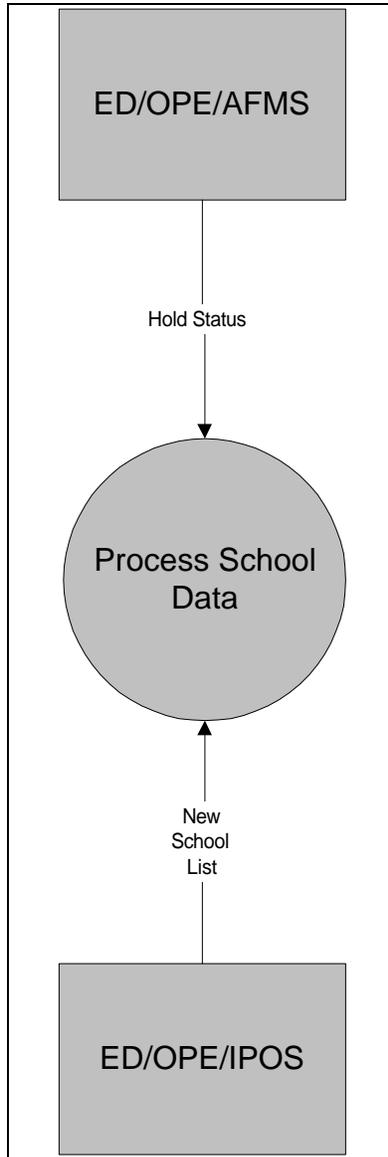


Exhibit 3.5.3-7 Process School Data

Flow Name: Hold Status

Definition: Hold status of a particular school. The Hold signals the system not to release awards for various eligibility reasons.

From ED/OPE/AFMS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

WAIVER/HOLD INFORMATION

HOLD ID

AWARD_HOLD /
AWARD_HOLD_SEQ_NMBR

HOLD TYPE

AWARD_HOLD / HOLD_CAT

REASON CODE

AWARD_HOLD / HOLD_REASON_CAT

REASON COMMENT

AWARD_HOLD / HOLD_REASON_DESC

START DATE

AWARD_HOLD /
AWARD_HOLD_START_DATE

STOP DATE

AWARD_HOLD /
AWARD_HOLD_STOP_DATE

Flow Name: New School List

Definition: List of the schools that wish to participate in the Campus Based programs for the first time in the coming year.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

FISCAL YEAR

SCHOOL_EDUCATION_PROGRAM /
EDU_PGM_UNIT_CAT

SCHOOL / FY_START_DATE

SCHOOL / FY_STOP_DATE

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

The following diagram presents the flows of information exchanged between the Manage School Business Information process and the postsecondary education community.

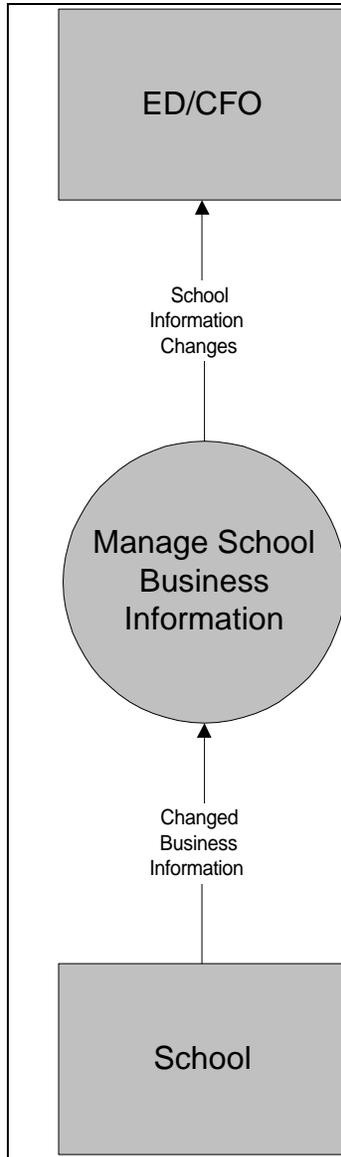


Exhibit 3.5.3-8 Manage School Business Information

Flow Name: School Information Changes

Definition: Any changes in school information received by IPOS, including the schools just entering the program.

To ED/CFO From EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-----------------------|---------------------------------------|
| SCHOOL ADDRESS | |
| CITY | SCHOOL_POC / CITY_NAME |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL STREET ADDRESS | SCHOOL_POC / STREET_NAME |
| STATE | SCHOOL_POC / STATE_NAME |
| ZIP CODE | SCHOOL_POC / POSTAL_CODE |
| SCHOOL INFORMATION | |
| SCHOOL DUN'S NUMBER | SCHOOL / DUNS_NMBR |
| TIN NUMBER | SCHOOL / TIN_NMBR |

Flow Name: Changed Business Information

Definition: Changes in a school's business information such as address, financial aid officers, bank accounts, etc.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AWARD YEAR

AWARD /
APPLICABLE_AWARD_YR_NMBR

COMPLIANCE CERTIFICATION

Rejected

TUITION AND FEES (GRADUATE)

SCHOOL_ATTENDANCE_COST /
COST_AMT

SCHOOL_ATTENDANCE_COST /
SCHOOL_ATTENDANCE_COST_CAT

TUITION AND FEES (UNDERGRADUATE)

SCHOOL_ATTENDANCE_COST /
COST_AMT

SCHOOL_ATTENDANCE_COST /
SCHOOL_ATTENDANCE_COST_CAT

DUPLICATE DISBURSEMENT NOTIFICATION

SCHOOL / DUPLICATE_DISB_
NOTIF_IND

AID PROGRAM INFORMATION

FEDERAL PERKINS LOAN PROGRAM LIQUIDATION
REQUEST DATE

AID_PROGRAM_APPROVAL /
PERKINS_LIQUIDATION_REQUEST_DA
TE

FEDERAL PERKINS LOAN PROGRAM REQUEST

AWARD / AWARD_CAT

AWARD / AWARD_REQST_AMT

FEDERAL SHARE OF JOB LOCATION &
DEVELOPMENT PROGRAM EXPENDITURES

Derived

FEDERAL SUPPLEMENTAL EDUCATIONAL
OPPORTUNITY GRANT PROGRAM REQUEST

AWARD / AWARD_CAT

AWARD / AWARD_REQST_AMT

FEDERAL WORK-STUDY PROGRAM REQUEST

AWARD / AWARD_CAT

AWARD / AWARD_REQST_AMT

FWS FUNDS TRANSFERED TO AND SPENT IN FSEOG

AWARD /

APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

AWARD / AWARD_CAT

PERKINS FUNDS TRANSFERED AND SPENT IN
FSEOG

AWARD /

APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

AWARD / AWARD_CAT

PERKINS FUNDS TRANSFERED AND SPENT IN FWS

AWARD /

APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

AWARD / AWARD_CAT

AUDIT/PROGRAM REVIEW INFORMATION

CPA ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
CNTRY_NAME

AID_ORGANIZATION_POC /
STREET_NAME

CPA NAME

AID_ORGANIZATION /
AID_ORG_NAME

AWARD INFORMATION

AMOUNT OF FUNDS CARRIED FROM LAST YEAR TO
BE SPENT THIS YEAR

AWARD /
APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

AMOUNT OF FUNDS FROM TWO YEARS AGO CARRIED
FORWARD AND SPENT LAST YEAR

AWARD /
APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

AMOUNT OF THIS YEAR'S FUNDS CARRIED BACK
FOR SUMMER EMPLOYMENT

AWARD /
APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

AMOUNT OF THIS YEAR'S FUNDS CARRIED BACK
ONE YEAR

AWARD /
APPLICABLE_AWARD_YR_NMBR

AWARD / AWARD_AMT

ELO INDICATOR

AID_PROGRAM_APPROVAL / ELO_IND

FCC REQUEST AMOUNT

AWARD / AWARD_REQST_AMT

LOE REQUEST AMOUNT

AWARD / AWARD_AMT

AWARD / AWARD_CAT

FINANCIALS INFORMATION

ESTIMATED NUMBER OF STUDENTS NEXT YEAR

Derived

INSTITUTIONAL CAPITAL CONTRIBUTIONS

Derived

INSTITUTIONAL SHARE WAIVER

| | |
|---|---|
| | AWARD_WAIVER / AWARD_WAIVER_REQST_CAT |
| PROJECTED NUMBER OF STUDENTS IN TWO YEARS | SCHOOL_STATISTICAL_DATA / EXPECTED_TWO_YR_ENRL_CNT |
| SCHOOL FINANCIAL INFORMATION | |
| AEA AMOUNT | AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT |
| | AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT |
| BEGINNING CASH ON HAND | AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT |
| | AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT |
| ENDING CASH ON HAND | AID_ACCOUNT_TRANSACTION / AID_TRXN_AMT |
| | AID_ACCOUNT_TRANSACTION / AID_TRXN_CAT |
| STATE GRANT AND SCHOLARSHIP AMOUNTS | AWARD / AWARD_AMT |
| | AWARD / AWARD_CAT |
| SCHOOL INFORMATION | |
| SCHOOL IDENTIFIER | SCHOOL / SCHOOL_ID |
| TOTAL TUITION AND FEES FOR AWARD YEAR | SCHOOL_FINANCE / FINANCIAL_AMT |
| | SCHOOL_FINANCE / FINANCIAL_CAT |

The following diagram presents the flows of information exchanged between the Manage School Ownership process and the postsecondary education community.

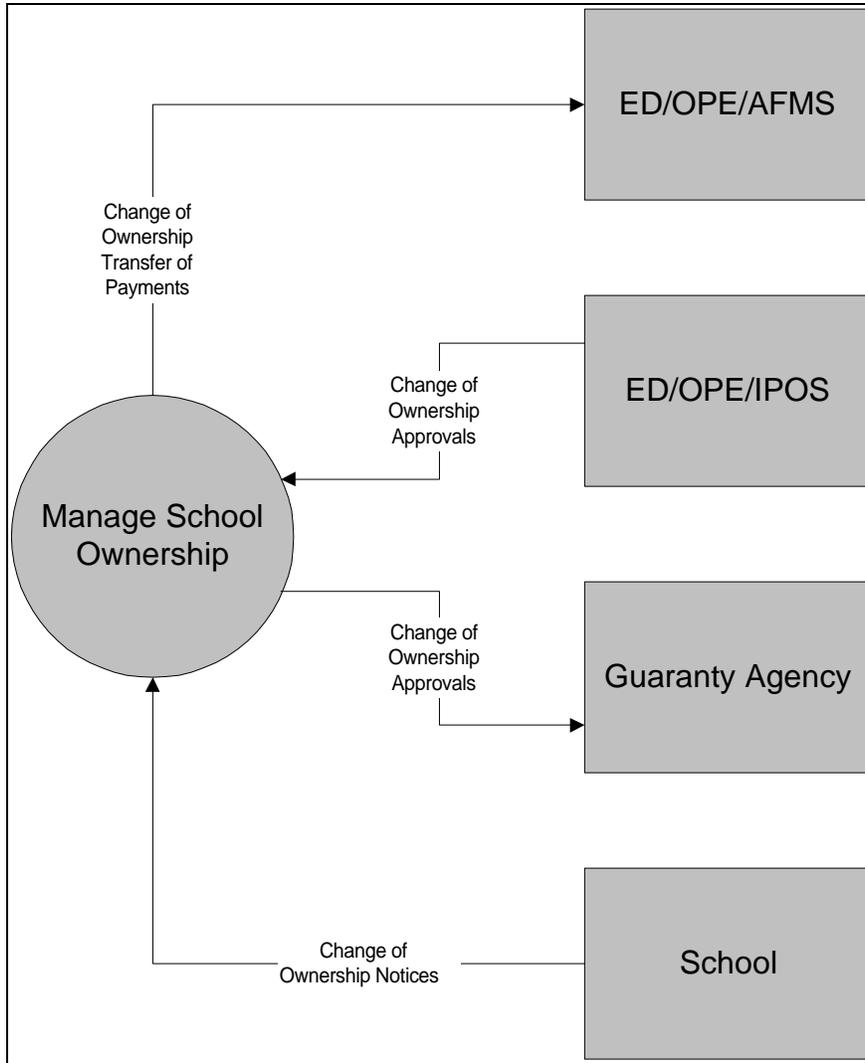


Exhibit 3.5.3-9 Manage School Ownership

Flow Name: Change of Ownership Transfer of Payments

Definition: Change of ownership sometimes requires a change in the data designating how a school will engage in a transfer of funding to and from the Department of Education (ED). This new transfer data is sent to ED financial and accounting organizations to facilitate the transfer of funds.

To ED/OPE/AFMS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

CHANGE OWNERSHIP PERCENTAGE

SCHOOL_OWNER / OWNERSHIP_PCT

SCHOOL_OWNER /
SCHOOL_OWNER_SEQ_NMBR

OWNERSHIP CHANGE EFFECTIVE DATE

SCHOOL_OWNER /
OWNERSHIP_START_DATE

PREVIOUS OWNER

SCHOOL_OWNER / OWNER_NAME

SCHOOL_OWNER /
SCHOOL_OWNER_SEQ_NMBR

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

Flow Name: Change of Ownership Approvals

Definition: When schools change ownership they must notify the Department of Education (ED) of their change. ED then examines the ownership particulars of the new owners and approves the change for the purposes of re-certification under ED's financial aid programs.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

APPROVAL STATUS

SCHOOL_OWNER /
CHANGE_APRV_STAT_CAT

FINANCIAL INFORMATION

ASSET AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

ASSET DESCRIPTION

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

FINANCIALS INFORMATION

EQUITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

EQUITY DESCRIPTION

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

LIABILITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

LIABILITY DESCRIPTION

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

SCHOOL INFORMATION

ADDITIONAL LOCATION IDENTIFIER

SCHOOL / SCHOOL_ID

SCHOOL_STRUCTURE /
RELATED_SCHOOL_ID

SCHOOL_STRUCTURE /
STRUCT_REASON_CAT

NEW OWNER ID

SCHOOL_OWNER /
OWNER_NAME

PREVIOUS OWNER ID

SCHOOL / SCHOOL_ID

SCHOOL_OWNER /
SCHOOL_OWNER_SEQ_NMBR

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Change of Ownership Approvals

Definition: When schools change ownership they must notify the Department of Education (ED) of their change. ED then examines the ownership particulars of the new owners and approves the change for the purposes of re-certification under ED's financial aid programs.

To Guaranty Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ELIGIBILITY INFORMATION

APPROVAL STATUS

SCHOOL_OWNER /
CHANGE_APRV_STAT_CAT

FINANCIAL INFORMATION

ASSET AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

ASSET DESCRIPTION

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

FINANCIALS INFORMATION

EQUITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

EQUITY DESCRIPTION

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

LIABILITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

LIABILITY DESCRIPTION

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

SCHOOL INFORMATION

ADDITIONAL LOCATION IDENTIFIER

SCHOOL / SCHOOL_ID

SCHOOL_STRUCTURE /
RELATED_SCHOOL_ID

SCHOOL_STRUCTURE /
STRUCT_REASON_CAT

NEW OWNER ID

SCHOOL_OWNER /

| | |
|-------------------|--|
| | OWNER_NAME |
| PREVIOUS OWNER ID | SCHOOL_OWNER / OWNER_NAME |
| SCHOOL ADDRESS | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |

Flow Name: Change of Ownership Notices

Definition: Changes in a school's ownership or an association with a consortium must be reported to the Department of Education.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

ADDITIONAL LOCATION INDICATOR

SCHOOL / SCHOOL_ID

SCHOOL_STRUCTURE /
RELATED_SCHOOL_ID

SCHOOL_STRUCTURE /
STRUCT_REASON_CAT

NOTIFICATION DATE

SCHOOL_OWNER /
CHANGE_NOTIF_DATE

PERCENTAGE OF OWNERSHIP TRANSFERRED

SCHOOL_OWNER / OWNERSHIP_PCT

SCHOOL CHANGE IN OWNER DATE

SCHOOL_OWNER /
OWNERSHIP_START_DATE

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NEW OWNER

SCHOOL / SCHOOL_ID

SCHOOL_OWNER / OWNER_NAME

SCHOOL PAST OWNER

SCHOOL_DESIGNATION /
DESIGNATION_CAT

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL_OWNER /
OWNER_NAME

The following diagram presents the flows of information exchanged between the Manage School Performance process and the postsecondary education community.

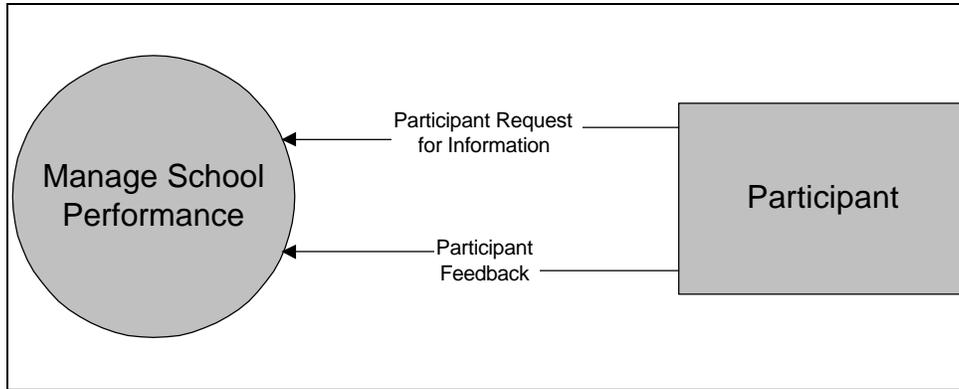


Exhibit 3.5.3-10 Manage School Performance

Flow Name: Participant Feedback

Definition: Feedback from the participant concerning the performance of various aid organizations in the handling of financial aid.

From Participant To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

LENDER INFORMATION

AID ORGANIZATION

AID_ORGANIZATION / ORG_ID

PARTICIPANT INFORMATION

PARTICIPANT FEEDBACK QUESTIONNAIRE

SCHOOL_PERFORMANCE_RATING /
SCHOOL_PERF_RATING_CAT

SCHOOL_PERFORMANCE_RATING /
RATING_DESC

PERFORMANCE_RATING /
PERF_RATING_CAT

PERFORMANCE_RATING /
RATING_DESC

PARTICIPANT ID

PARTICIPANT / PARTICIPANT_ID

SCHOOL INFORMATION

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Participant Request for Information

Definition: Request by a participant for eligibility and performance of lenders and schools. The information would pertain to default rates or noncompliance with any regulations.

From Participant To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

PARTICIPANT INFORMATION

PARTICIPANT ID

PARTICIPANT / PARTICIPANT_ID

SCHOOL OF INTEREST

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Manage School Audit Information process and the postsecondary education community.

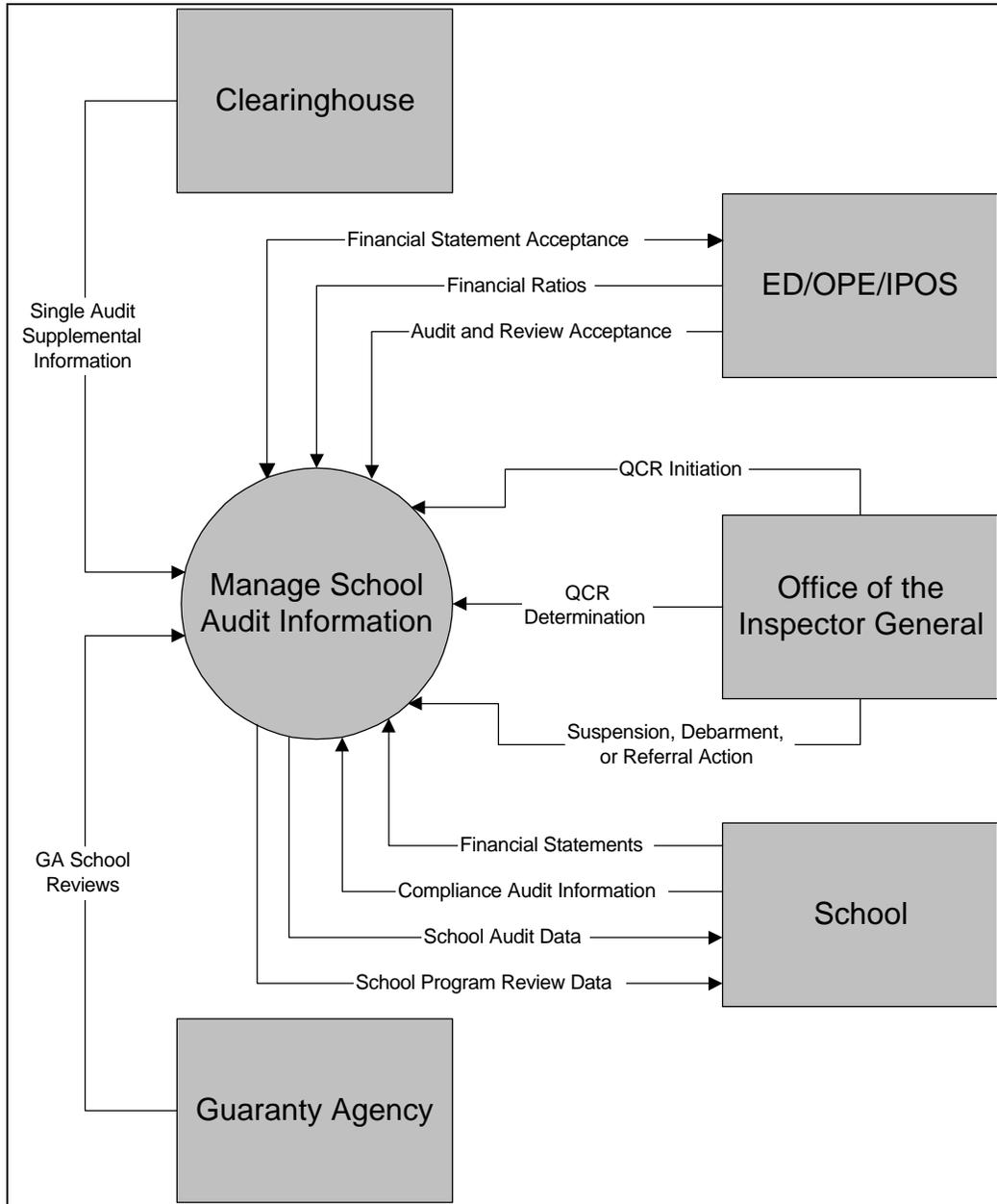


Exhibit 3.5.3-11 Manage School Audit Information

Flow Name: Single Audit Supplemental Information

Definition: Information that supplements the Compliance Audit Information and is specific to the Single Audit.

From Clearinghouse To EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|--------------------------------------|---|
| AUDIT/PROGRAM REVIEW INFORMATION | |
| ACN | ORGANIZATION_REVIEW / ACN_NMBR |
| AUDIT PERIOD BEGIN DATE | ORGANIZATION_REVIEW / REVIEW_PERIOD_START_DATE |
| AUDIT PERIOD END DATE | ORGANIZATION_REVIEW / REVIEW_PERIOD_STOP_DATE |
| AUDIT TYPE | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |
| AUDITEE TYPE | SCHOOL / SCHOOL_CAT |
| AUDITOR IDENTIFIER | AID_ORGANIZATION / ORG_ID |
| CLOSE OUT INDICATOR | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |
| DEFICIENCY CODE | DEFICIENCY / DEFICIENCY_CAT |
| DEFICIENCY DESCRIPTION | DEFICIENCY / DEFICIENCY_CAT |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| NARATIVE DESCRIPTION OF EACH FINDING | DEFICIENCY / DEFICIENCY_CAT |
| OPINION TYPE | ORGANIZATION_REVIEW / OPINION_QUALIFIED_IND |
| PRCN | ORGANIZATION_REVIEW / |

| | |
|---------------------------------|--|
| | PRCN_NMBR |
| DEFAULT RATE INFORMATION | |
| AUDIT RECEIPT DATE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| DATE RECEIVED BY CLEARING HOUSE | |
| | ORGANIZATION_REVIEW / CLEARING_HOUSE_RECEIPT_DATE |
| PARTICIPANT INFORMATION | |
| STUDENT IDENTIFIER | |
| | PARTICIPANT / PARTICIPANT_ID |
| SCHOOL INFORMATION | |
| PROGRAMS AUDITED IDENTIFIER | |
| | AID_PROGRAM / PGM_ID |
| SCHOOL IDENTIFIER | |
| | SCHOOL / SCHOOL_ID |
| TITLE IV INFORMATION | |
| SFA AUDIT INDICATOR | |
| | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |

Flow Name: Audit and Review Acceptances

Definition: Acceptance after an audit or review is submitted to the Department of Education's (ED's) Institutional Participant Oversight Service (IPOS), based on its completeness and findings.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID PROGRAM INFORMATION

PROGRAM CODE

AID_PROGRAM / AID_PGM_CAT

AUDIT/PROGRAM REVIEW INFORMATION

EXTENSION DATE

ORGANIZATION_REVIEW /
EXTENSION_STOP_DATE

AUDIT/PROGRAM REVIEW INFORMATION

AUDIT POC AT SCHOOL

SCHOOL_POC / FIRST_NAME

SCHOOL_POC / LAST_NAME

SCHOOL_POC / MIDDLE_NAME

AUDIT RECEIPT DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

COMMENTS

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

DEFICIENCY INDICATOR

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

ISSUE DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

REJECTED DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

FINANCIAL INFORMATION

AUDIT DUE DATE

ORGANIZATION_REVIEW /
SCHED_COMPL_DATE

HOLD/WAIVER INFORMATION

ON HOLD INDICATOR

AWARD_HOLD / HOLD_CAT

ON/OFF HOLD DATE

AWARD_HOLD /
AWARD_HOLD_START_DATE

AWARD_HOLD /
AWARD_HOLD_STOP_DATE

WAIVER DATE

AWARD_WAIVER /
AWARD_WAIVER_RESPONSE_DATE

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

TITLE IV INFORMATION

SFA INDICATOR

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

Flow Name: Financial Ratios

Definition: Financial ratios of institutions calculated by the Department of Education, including quick ratios (current assets/current liabilities) and debt to equity ratios, where appropriate.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

FINANCIAL INFORMATION

ANNUITY & LIFE INCOME FUND AND TERM
ENDOWMENTS

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

ASSET AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

ASSET DESCRIPTION

SCHOOL_FINANCE / FINANCIAL_CAT

CHANGE IN EQUITY

Derived

FINANCIALS INFORMATION

DEBT

Derived

EQUITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

EQUITY DESCRIPTION

SCHOOL_FINANCE / FINANCIAL_CAT

EXPENDITURE AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

EXPENDITURE DESCRIPTION

SCHOOL_FINANCE / FINANCIAL_CAT

INCOME AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

INCOME DESCRIPTION

SCHOOL_FINANCE / FINANCIAL_CAT

INTANGIBLE ASSETS

SCHOOL_FINANCE /
FINANCIAL_COMPONENT_CAT

LIABILITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

| | |
|---|---|
| LIABILITY DESCRIPTION | SCHOOL_FINANCE / FINANCIAL_CAT |
| PERMENANTLY RESTRICTED ASSETS | SCHOOL_FINANCE / FINANCIAL_COMPONENT_CAT |
| PROPERTY PLANT & EQUIPMENT | SCHOOL_FINANCE / FINANCIAL_COMPONENT_CAT |
| UNSECURED RELATED PARTY RECEIVABLES | SCHOOL_FINANCE / FINANCIAL_COMPONENT_CAT |
| FINANCIALS INFORMATIONS | |
| POST-EMPLOYMENT & RETIREMENT LIABLITIES | SCHOOL_FINANCE / FINANCIAL_COMPONENT_CAT |
| SCHOOL INFORMATION | |
| SCHOOL IDENTIFIER | SCHOOL / SCHOOL_ID |

Flow Name: Financial Statement Acceptance

Definition: Acceptance after a financial statement is submitted to the Department of Education's Institutional Participant Oversight Service (IPOS), based on its completeness and findings.

To/from ED/OPE/IPOS from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DEFAULT RATE INFORMATION

DATE OF FINANCIAL RESPONSIBILITY

ORGANIZATION_REVIEW /
FINANCIAL_LEVEL_CAT

ORGANIZATION_REVIEW /
FINANCIAL_RATING_DATE

FINANCIALS INFORMATION

EXTRAORDINATION ITEM

DEFICIENCY / DEFICIENCY_CAT

FINANCIAL STATEMENT FINDINGS

DEFICIENCY / DEFICIENCY_CAT

LEVEL OF ACCEPTANCE

ORGANIZATION_REVIEW /
FINANCIAL_LEVEL_CAT

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

Flow Name: GA School Reviews

Definition: Program reviews of the schools assigned to the various guaranty agencies. Information contained in these audits and reviews consist of an analysis of financial statements, disbursement records, bank accounts and other financial records used by the targeted school.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM REVIEW INFORMATION

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PERIOD OF TIME REVIEWED

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REPORT ISSUE DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

DOCUMENT TRACKING INFORMATION

DOCUMENT CONTENT

Rejected

DOCUMENT DATE

ORGANIZATION_REVIEW /
SCHED_COMPL_DATE

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LEAD REVIEWER

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Compliance Audit Information

Definition: Audit performed on schools by third party accounting firms and state agencies. The audits consist of reviewing the disbursements of financial aid to the properly enrolled students and a complete review of the school financial and accounting standards.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM REVIEW INFORMATION

FISCAL YEAR

ORGANIZATION_REVIEW/ FY_NMBR

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

AUDIT PERIOD BEGIN DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

AUDIT PERIOD END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

AUDITEE TYPE

SCHOOL / SCHOOL_CAT

AUDITOR IDENTIFIER

AID_SCHOOL_AID_ORG / ROLE_CAT

CLOSE OUT INDICATOR

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

DEFICIENCY CODE

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

DEFICIENCY DESCRIPTION

DEFICIENCY / DEFICIENCY_CAT

NARATIVE DESCRIPTION OF EACH FINDING

DEFICIENCY / DEFICIENCY_CAT

OPINION TYPE

ORGANIZATION_REVIEW /
OPINION_QUALIFIED_IND

PRCN

| | |
|-----------------------------|---|
| | ORGANIZATION_REVIEW / PRCN_NMBR |
| PROGRAMS AUDITED IDENTIFIER | |
| | AID_PROGRAM / PGM_ID |
| | ORGANIZATION_REVIEW / ORG_REVIEW_ID |
| PARTICIPANT INFORMATION | |
| STUDENT IDENTIFIER | |
| | PARTICIPANT / PARTICIPANT_ID |
| SCHOOL INFORMATION | |
| SCHOOL IDENTIFIER | |
| | SCHOOL / SCHOOL_ID |
| TITLE IV INFORMATION | |
| SFA AUDIT INDICATOR | |
| | ORGANIZATION_REVIEW / ORG_REVIEW_CAT |

Flow Name: Financial Statements

Definition: Financial Statements consist of the school's balance sheet, income statement, annual report, Statement 10K and any quarterly statement if available.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM REVIEW INFORMATION

AUDITOR NAME/IDENTIFIER

AID_ORGANIZATION /
AID_ORG_NAME

AID_ORGANIZATION / ORG_ID

SFA OR SINGLE AUDIT INDICATOR

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

DOCUMENT TRACKING INFORMATION

DUE DATE

ORGANIZATION_REVIEW /
SCHED_COMPL_DATE

FINANCIAL INFORMATION

ASSET AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

ASSET DESCRIPTION

SCHOOL_FINANCE / FINANCIAL_CAT

FINANCIALS INFORMATION

EQUITY AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

EQUITY DESCRIPTION

SCHOOL_FINANCE / FINANCIAL_CAT

EXPENDITURE AMOUNT

SCHOOL_FINANCE / FINANCIAL_AMT

EXPENDITURE INFORMATION

SCHOOL_FINANCE / FINANCIAL_CAT

FISCAL YEAR

Derived

FISCAL YEAR END

SCHOOL / FY_STOP_DATE

| | |
|-----------------------|--------------------------------|
| INCOME AMOUNT | SCHOOL_FINANCE / FINANCIAL_AMT |
| INCOME DESCRIPTION | SCHOOL_FINANCE / FINANCIAL_CAT |
| LIABILITY AMOUNT | SCHOOL_FINANCE / FINANCIAL_AMT |
| LIABILITY DESCRIPTION | SCHOOL_FINANCE / FINANCIAL_CAT |
| RECEIVED DATE | Rejected |
| SCHOOL INFORMATION | |
| SCHOOL IDENTIFIER | SCHOOL / SCHOOL_ID |

Flow Name: School Audit Data

Definition: Results of the institutional program reviews performed by Institutional Participation and Oversight Office (IPOS). These reviews back up eligibility decisions made by IPOS on the financial data and information received from various schools. Audits are more detailed analysis of funding and disbursement records combined with a close examination of enrollment to make sure that the aid has indeed been delivered to a properly enrolled student.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM REVIEW INFORMATION

AUDIT DUE DATE

ORGANIZATION_REVIEW /
SCHED_COMPL_DATE

AUDIT RECEIPT DATE

ORGANIZATION_REVIEW /
AUDIT_RECEIPT_DATE

DEFICIENCY INDICATOR

DEFICIENCY / DEFICIENCY_CAT

EXTENSION DATE

ORGANIZATION_REVIEW /
EXTENSION_STOP_DATE

ISSUE DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

AUDIT/PROGRAM REVIEW INFORMATION

COMMENTS

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

SCHOOL INFORMATION

AUDIT POC AT SCHOOL

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

PROGRAM CODE

AID_PROGRAM_APPROVAL /
APRV_CAT

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

WAIVER/HOLD INFORMATION

WAIVER DATE

AWARD_WAIVER /
AWARD_WAIVER_EFF_DATE

Flow Name: School Program Review Data

Definition: Results of the institutional program reviews performed by Institutional Participation and Oversight Office (IPOS). These reviews back up eligibility decisions made by IPOS on the financial data and information received from various schools.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM REVIEW INFORMATION

ADMINISTRATIVE ACTION CODE

SANCTION / SANCTION_CAT

LIABILITIES & FINES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

REVIEW REASON

ORGANIZATION_REVIEW /
REVIEW_REASON_DESC

REVIEW TYPE

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

REVIEWER IDENTIFIER

AID_ORGANIZATION / ORG_ID

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

Flow Name: QCR Initiation

Definition: Provides information regarding the Independent Public Accountant, what organization they audited, and the schedule of the Quality Control Review.

From Office of the Inspector General (OIG) To EASI/ED

Data Elements

Logical Data Model Mapping

AUDIT TIME PERIOD

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

DATE OIG BEGAN REVIEW

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

INDEPENDENT PUBLIC ACCOUNTANT
NAME

AID_ORGANIZATION / AID_ORG_NAME

ORGANIZATION AUDITED BY IPA

AID_ORGANIZATION / AID_ORG_NAME

AID_PROGRAM / AID_PGM_NAME

SCHOOL / OFFICIAL_SCHOOL_NAME

SCHEDULED QCR DATE

ORGANIZATION_REVIEW /
SCHED_START_DATE

Flow Name: QCR Determination

Definition: Outcome of the Quality Control Review.

From Office of the Inspector General (OIG) To EASI/ED

Data Elements

Logical Data Model Mapping

AUDIT QUALITY DETERMINATION

DEFICIENCY / DEFICIENCY_CAT
ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

DATE OF DETERMINATION

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_CAT
ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

QCR FINDING INFORMATION

DEFICIENCY / DEFICIENCY_CAT
ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

Flow Name: Suspension, Debarment, or Referral Action

Definition: Information regarding further action taken by OIG based on the outcome of a Quality Control Review.

From Office of the Inspector General (OIG) To EASI/ED

| <u>Data Elements</u> | <u>Logical Data Model Mapping</u> |
|-------------------------------|---|
| DATE OF AICPA REFERRAL | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |
| DATE OF STATE BOARD REFERRAL | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |
| DEBARMENT ACTION PURSUED DATE | SANCTION / SANCTION_CAT SANCTION / |
| SANCTION_STAT_EFF_DATE | |
| DEBARMENT ACTION PURSUED FLAG | SANCTION / SANCTION_CAT |
| DEBARMENT END DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_STOP_DATE |
| DEBARMENT START DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |
| REFERRAL TO AICPA FLAG | SANCTION / SANCTION_CAT |
| REFERRAL TO STATE BOARD FLAG | SANCTION / SANCTION_CAT |
| SUSPENSION END DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_STOP_DATE |
| SUSPENSION FLAG | SANCTION / SANCTION_CAT |
| SUSPENSION START DATE | SANCTION / SANCTION_CAT SANCTION / SANCTION_START_DATE |

The following diagram presents the flows of information exchanged between the Track Waiver process and the postsecondary education community.

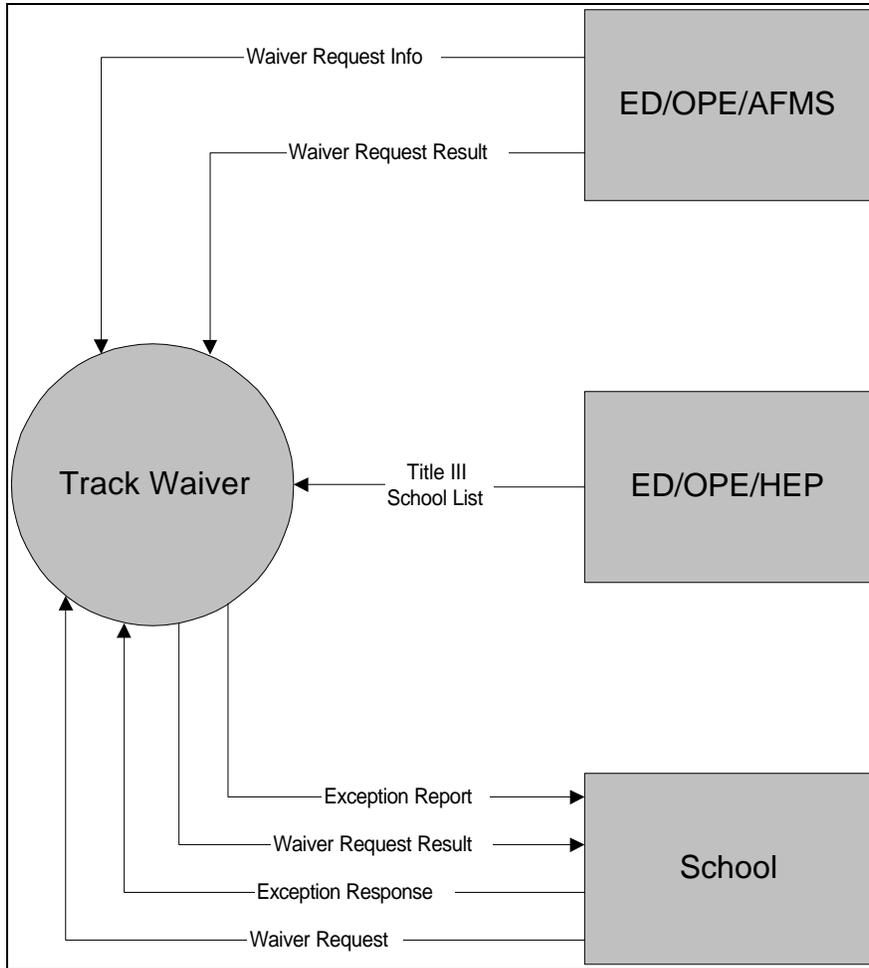


Exhibit 3.5.3-12 Track Waiver

Flow Name: Waiver Request Info

Definition: Information necessary for a decision to be made regarding a school's application for waivers of the underutilization, community service , Title III matching requirements.

From ED/OPE/AFMS To EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|------------------------------------|---|
| SCHOOL INFORMATION | |
| SCHOOL IDENTIFIER | SCHOOL / SCHOOL_ID |
| SCHOOL POC ELECTRONIC MAIL ADDRESS | SCHOOL_POC / ELECTRONIC_ADDR_NAME |
| SCHOOL POC NAME | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| SCHOOL POC PHONE NUMBER | SCHOOL_POC / PHONE_NMBR |
| WAIVER/HOLD INFORMATION | |
| REASON CODE | AWARD_WAIVER / AWARD_WAIVER_REQST_CAT |
| REASON COMMENTS | AWARD_WAIVER / AWARD_WAIVER_DECISION_DESC |
| START DATE | AWARD_WAIVER / AWARD_WAIVER_EFF_DATE |
| WAIVER IDENTIFIER | AWARD_WAIVER / AWARD_WAIVER_SEQ_NMBR |
| WAIVER TYPE | AWARD_WAIVER / AWARD_WAIVER_REQST_CAT |

Flow Name: Waiver Request Result

Definition: The Waiver Request Result is the result of an Exception Report or Waiver Request submitted by a school for a waiver associated with the Campus Based programs.

From ED/OPE/AFMS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ED DEPT INFORMATION

ED POC ELECTRONIC MAIL ADDRESS

AID_ORGANIZATION_POC /
ELECTRONIC_ADDR_NAME

ED POC NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

ED POC PHONE NUMBER

AID_ORGANIZATION_POC /
PHONE_NMBR

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

WAIVER/HOLD INFORMATION

REASON CODE

AWARD_WAIVER /
AWARD_WAIVER_REQST_CAT

REASON COMMENTS

AWARD_WAIVER /
AWARD_WAIVER_DECISION_DESC

START DATE

AWARD_WAIVER /
AWARD_WAIVER_EFF_DATE

WAIVER ACCEPTANCE STATUS

AWARD_WAIVER /
AWARD_WAIVER_ACCEPTANCE_IND

WAIVER IDENTIFIER

AWARD_WAIVER /
AWARD_WAIVER_SEQ_NMBR

Flow Name: Title III School List

Definition: List of the schools (postsecondary) that qualify for Title III classification.

From ED/OPE/HEP To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

SCHOOL CALENDAR YEAR

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

SCHOOL ID

SCHOOL / SCHOOL_ID

START TITLE III ELIGIBILITY

AID_PROGRAM_APPROVAL /
APRV_START_DATE

STOP TITLE III ELIGIBILITY

AID_PROGRAM_APPROVAL /
APRV_STOP_DATE

Flow Name: Exception Report

Definition: If EASI/ED notices any anomalous spending it will query the institution about the discrepancies.

To School From EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|---|--|
| ED DEPT INFORMATION ED POC ELECTRONIC MAIL ADDRESS | AID_ORGANIZATION_POC / ELECTRONIC_ADDR_NAME |
| ED POC NAME | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| ED POC PHONE NUMBER | AID_ORGANIZATION_POC / PHONE_NMBR |
| SCHOOL INFORMATION SCHOOL ID | SCHOOL / SCHOOL_ID |
| WAIVER/HOLD INFORMATION EXCEPTION DATE | AWARD_WAIVER / AWARD_WAIVER_REQST_DATE |
| EXCEPTION EXPLANATION | AWARD_WAIVER / AWARD_WAIVER_DECISION_DESC |
| EXCEPTION ID | AWARD_WAIVER / AWARD_WAIVER_SEQ_NMBR |
| EXCEPTION TYPE | AWARD_WAIVER / AWARD_WAIVER_REQST_CAT |
| RESPONSE DATE | AWARD_WAIVER / AWARD_WAIVER_RESPONSE_DATE |

Flow Name: Exception Response

Definition: A school's reply to EASI/ED Exception Report. An Institution will explain the reason behind the discrepancies.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

SCHOOL POC ELECTRONIC MAIL ADDRESS

SCHOOL_POC /
ELECTRONIC_ADDR_NAME

SCHOOL POC NAME

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

SCHOOL POC PHONE NUMBER

SCHOOL_POC / PHONE_NMBR

WAIVER/HOLD INFORMATION

EXCEPTION ID

AID_PROGRAM / PGM_ID

AWARD_WAIVER /
AWARD_WAIVER_SEQ_NMBR

RESPONSE CODE

AWARD_WAIVER /
AWARD_WAIVER_REQST_CAT

RESPONSE COMMENTS

AWARD_WAIVER /
AWARD_WAIVER_REQST_DESC

RESPONSE DATE

AWARD_WAIVER /
AWARD_WAIVER_RESPONSE_DATE

Flow Name: Waiver Request

Definition: A request from a school to be exempt from program requirements.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AWARD YEAR

AWARD /
APPLICABLE_AWARD_YR_NMBR

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

WAIVER/HOLD INFORMATION

REASON CODE

AWARD_WAIVER /
AWARD_WAIVER_REQST_DESC

REASON COMMENT

AWARD_WAIVER /
AWARD_WAIVER_DECISION_DESC

WAIVER TYPE

AWARD_WAIVER /
AWARD_WAIVER_REQST_CAT

Flow Name: Waiver Request Result

Definition: The Waiver Request Result is the result of an Exception Report or Waiver Request submitted by a school for a waiver associated with the Campus Based programs.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

SCHOOL IDENTIFIER

SCHOOL / SCHOOL_ID

WAIVER/HOLD INFORMATION

REASON CODE

AWARD_WAIVER /
AWARD_WAIVER_REQST_CAT

REASON COMMENT

AWARD_WAIVER /
AWARD_WAIVER_REQST_DESC

START DATE

AWARD_WAIVER /
AWARD_WAIVER_EFF_DATE

WAIVER IDENTIFIER

AWARD_WAIVER /
AWARD_WAIVER_SEQ_NMBR

WAIVER/APPEAL ACCEPTANCE STATUS

AWARD_WAIVER /
AWARD_WAIVER_ACCEPTANCE_IND

The following diagram presents the flows of information exchanged between the Manage Cohort Default Rate process and the postsecondary education community.

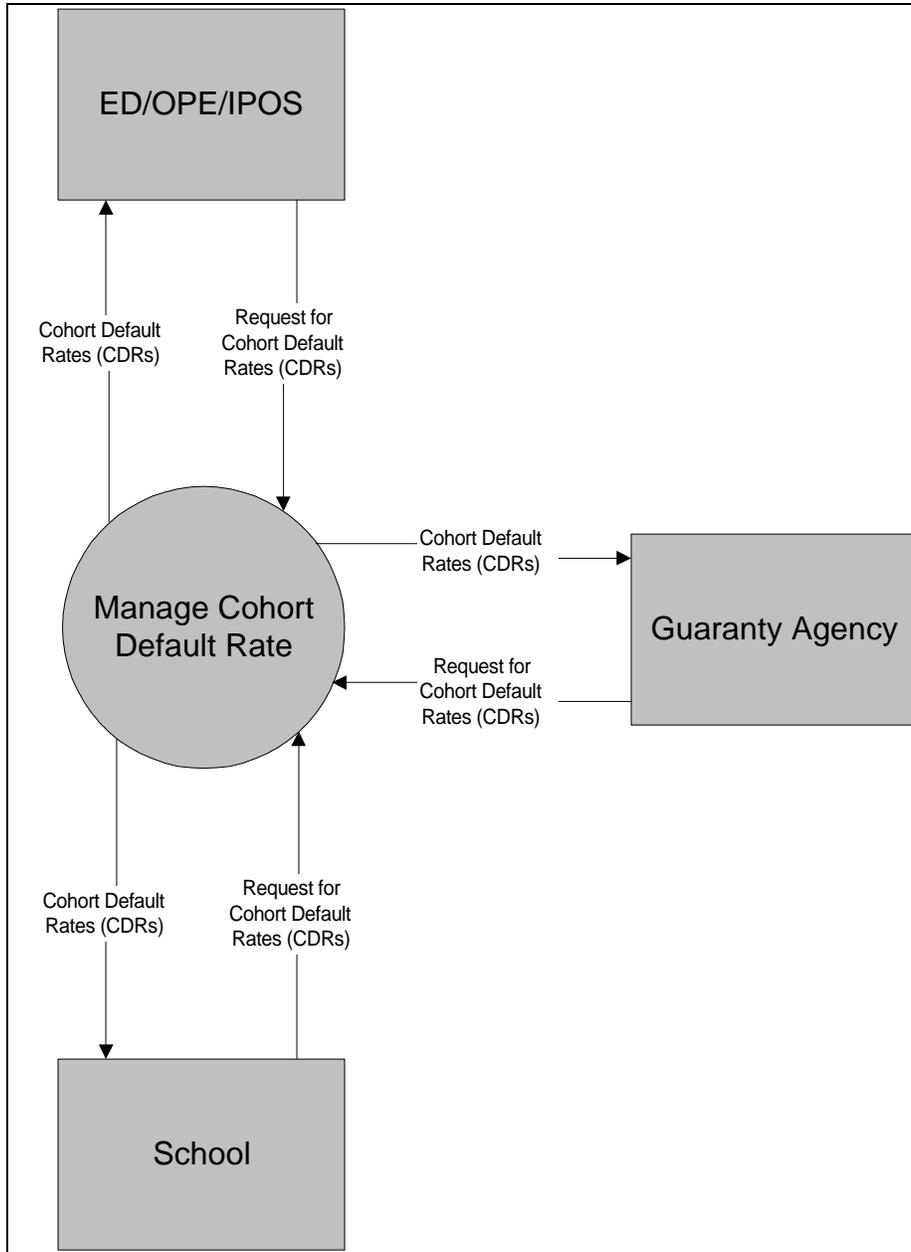


Exhibit 3.4.3-13 Manage Cohort Default Rate

Flow Name: Cohort Default Rates (CDR's)

Definition: Percent of participants at a particular institution entering repayment and defaulting on at least one piece of financial aid during a specified period.

To ED/OPE/IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DATE OF DEFAULT PUBLICATION

ORGANIZATION_REVIEW / CDR_DATE

ORGANIZATION_REVIEW /
CDR_VER_CAT

DATE OF RECEIPT OF BUD

ORGANIZATION_REVIEW /
CDR_BUD_SENT_DATE

DATE OF RECEIPT OF DEFAULT RATE

Derived

DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

ORGANIZATION_REVIEW /
CDR_VER_CAT

DEFAULT RATE TYPE

ORGANIZATION_REVIEW /
CDR_APPEAL_CAT

ELIGIBILITY INFORMATION

ELIGIBILITY STATUS

AID_PROGRAM_APPROVAL /
APRV_CAT

PROGRAM CERTIFICATION

AID_PROGRAM / AID_PGM_CAT

LST INFORMATION

SANCTION TYPE

SANCTION / SANCTION_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Cohort Default Rates (CDR's)

Definition: Percent of participants at a particular institution entering repayment and defaulting on at least one piece of financial aid during a specified period.

To Guaranty Agency From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DATE OF DEFAULT PUBLICATION

ORGANIZATION_REVIEW / CDR_DATE

ORGANIZATION_REVIEW /
CDR_VER_CAT

DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

DEFAULT RATE TYPE

ORGANIZATION_REVIEW /
CDR_APEAL_CAT

ELIGIBILITY INFORMATION

ELIGIBILITY STATUS

AID_PROGRAM_APPROVAL /
APRV_CAT

PROGRAM CERTIFICATION

AID_PROGRAM / AID_PGM_CAT

LST INFORMATION

SANCTION TYPE

SANCTION / SANCTION_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Cohort Default Rates (CDR's)

Definition: Percent of participants at a particular institution entering repayment and defaulting on at least one piece of financial aid during a specified period.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DATE OF DEFAULT PUBLICATION

ORGANIZATION_REVIEW / CDR_DATE

ORGANIZATION_REVIEW /
CDR_VER_CAT

DEFAULT RATE

ORGANIZATION_REVIEW / CDR_PCT

DEFAULT RATE TYPE

ORGANIZATION_REVIEW /
CDR_APPEAL_CAT

ELIGIBILITY INFORMATION

ELIGIBILITY STATUS

AID_PROGRAM_APPROVAL /
APRV_CAT

PROGRAM CERTIFICATION

AID_PROGRAM / AID_PGM_CAT

LST INFORMATION

SANCTION TYPE

SANCTION / SANCTION_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Request for Cohort Default Rate

Definition: Request to receive information on Cohort Default Rates.

From ED/OPE/IPOS To EASI/ED

Data Elements

Logical Data Model Mapping

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL DESIGNATION / SCHOOL_NAME

Flow Name: Request for Cohort Default Rate

Definition: Request to receive information on Cohort Default Rates.

From Guaranty Agency To EASI/ED

Data Elements

Logical Data Model Mapping

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL DESIGNATION / SCHOOL_NAME

Flow Name: Request for Cohort Default Rate

Definition: Request to receive information on Cohort Default Rates.

From School To EASI/ED

Data Elements

Logical Data Model Mapping

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL DESIGNATION / SCHOOL_NAME

The following diagram presents the flows of information exchanged between the Manage Cohort Default Rate Appeal process and the postsecondary education community.

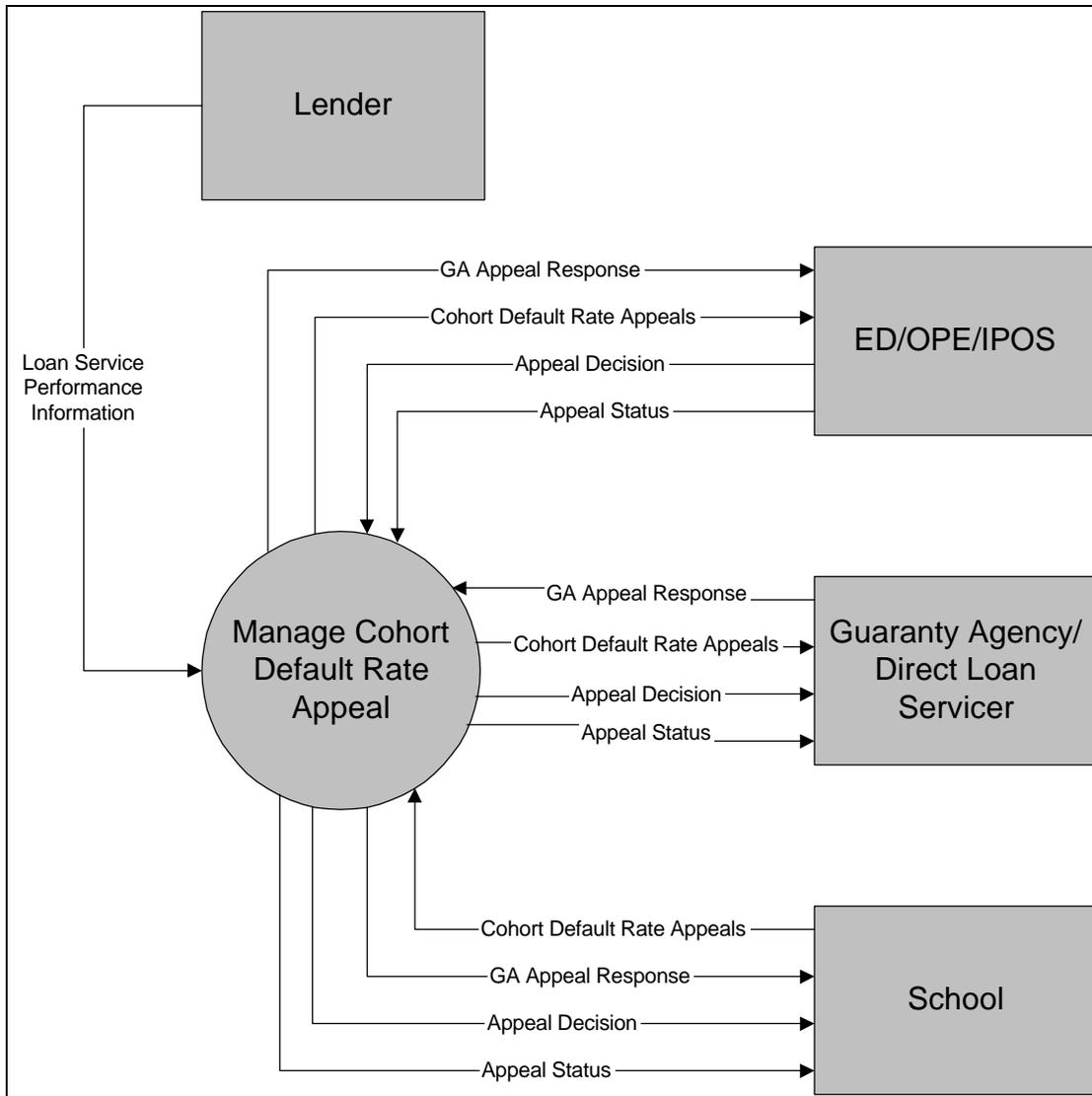


Exhibit 3.5.3-14 Manage Cohort Default Rate Appeal

Flow Name: Appeal Decision (with corrections)

Definition: The Department of Education's notification to the school or aid organization of its final decision on an appeal.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|---|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | Rejected |
| EFFECTIVE DATE OF LOSS | SANCTION / SANCTION_START_DATE |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING APPEALS | Derived |
| PENDING APPEALS DISPOSITION | DEFICIENCY_DISPUTE / DISPUTE_STAT_CAT |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING APPEALS TYPE | ORGANIZATION_REVIEW / APPEAL_CAT |
| RATE TYPE | ORGANIZATION_REVIEW / |

| | |
|---------------------------|---|
| | CDR_ APPEAL_ CAT |
| START DATE | SCHOOL_ ENROLLMENT / ENRL_ STAT_ START_ DATE |
| DEFAULT RATE INFORMATION | |
| BORROWERS IN DEFAULT | Derived |
| BORROWERS IN REPAYMENT | Derived |
| COHORT YEAR | ORGANIZATION_ REVIEW / COHORT_ YR_ NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_ REVIEW / CDR_ APPEAL_ CAT |
| EFFECT OF CDR CALCULATION | Rejected |
| REVISED CDR | ORGANIZATION_ REVIEW / CDR_ PCT |
| REVISED RATE | ORGANIZATION_ REVIEW / CDR_ PCT |
| ED DEPT INFORMATION | |
| ADMIN RESPONSIBLE PERSON | AID_ ORGANIZATION_ POC / AID_ ORG_ POC_ FIRST_ NAME AID_ ORGANIZATION_ POC / AID_ ORG_ POC_ LAST_ NAME AID_ ORGANIZATION_ POC / AID_ ORG_ POC_ MIDDLE_ NAME AID_ ORGANIZATION_ POC / POC_ ROLE_ NAME |
| GA INFORMATION | |
| GA REVIEWER | AID_ ORGANIZATION_ POC / POC_ ROLE_ NAME AID_ SCHOOL_ AID_ ORG / ROLE_ CAT |

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

REFERRAL TYPE

DEFICIENCY_DISPUTE /
ED_REFERRAL_APEAL_ORG_NAME

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

TELEPHONE CALL ATTEMPT DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

LST INFORMATION

LOSS OF ELIGIBILITY TIME FRAME

SANCTION /
SANCTION_STOP_DATE

SANCTION OUTCOME

SANCTION / SANCTION_CAT

PARTICIPANT INFORMATION

AGI

PARTICIPANT_INCOME_DETAIL /
INCOME_AMT

PARTICIPANT_INCOME_DETAIL /
PARTICIPANT_INCOME_DETAIL_CAT

AGI CALENDAR YEAR

PARTICIPANT_INCOME /
PARTICIPANT_INCOME_TAX_
YR_NMBR

AGI FAMILY SIZE

PARTICIPANT_INCOME /
EXEMPTIONS_NMBR

BORROWER'S NAME

AID_PARTICIPANT /
PARTICIPANT_ROLE_CAT

PARTICIPANT / PARTICIPANT_ID

PARTICIPANT_NAME / FIRST_NAME

PARTICIPANT_NAME / LAST_NAME

PARTICIPANT_NAME / MIDDLE_NAME

| | |
|---|---|
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATE | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |

| | |
|---|--|
| EMPLOYMENT INDICATOR | Derived |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | Derived |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| PROGRAM LOSS | AID_PROGRAM_APPROVAL / AID_PGM_APRV_REASON_CAT |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

ORGANIZATION_REVIEW /
APPEAL_CAT

DEFICIENCY / DEFICIENCY_CAT

APPEAL DECISION DATE

ORGANIZATION_REVIEW /
APPEAL_CAT

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENTS

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

GA APPEAL RECEIPT DATE

AID_ORGANIZATION / ORG_ID

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

GA RESPONSE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

| | |
|----------------------------|--|
| GA RESPONSE DATE | AID_ORGANIZATION / ORG_ID |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | DEFICIENCY / DEFICIENCY_CAT |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| SCHOOL OUTCOME DISPOSITION | Derived |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| | ORGANIZATION_REVIEW / CDR_PCT |
| | ORGANIZATION_REVIEW / CDR_VER_CAT |
| LST INFORMATION | |
| SANCTION OUTCOME | SANCTION / SANCTION_REASON_CAT |
| SANCTION TYPE | SANCTION / SANCTION_CAT |
| SCHOOL INFORMATION | |
| SCHOOL ID | SCHOOL / SCHOOL_ID |

Flow Name: Cohort Default Rate Appeals (with corrected information)

Definition: Schools and lenders may appeal the calculation of its cohort default rates based on either erroneous data, improper servicing or mitigating factors.

To ED/OPE/IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|--|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | ORGANIZATION_REVIEW / REVIEW_QUALITY_DESC |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| PERFECTED APPEAL INDICATOR | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| LENDER INFORMATION | |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LOAN SERVICING INFORMATION | |
| DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / |

| | |
|------------------------------------|--|
| | DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PRE-CLAIMS ASSISTANCE REQUEST DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | |

| | |
|---|---|
| | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATES | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | |

| | |
|--|--|
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| CERTIFICATION OF AUTHENTICITY | ORGANIZATION_REVIEW / APPEAL_CERT_DATE |
| EDCUATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: GA Appeal Response (with corrections)

Definition: A Guaranty Agency or Direct Loan Servicer response to a school's or lender's appeal. The response would include any corrected information if applicable.

To ED/OPE/IPOS From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID INFORMATON

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

END DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_STOP_DATE

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

GA APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

GA RESPONSE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

GA RESPONSE DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

START DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_START_DATE

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DEFAULT RATE TYPE

ORGANIZATION_REVIEW /

| | |
|------------------------------------|---|
| | CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | |
| | Rejected |
| GA INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT |
| | SCHOOL_POC / SCHOOL_POC_NAME |
| GA REVIEWER | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| LENDER INFORMATION | |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LOAN SERVICING INFORMATION | |
| DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| FINAL DEMAND INDICATOR | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | ORGANIZATION_REVIEW / FINAL_DEMAND_DATE |
| PRE-CLAIMS ASSISTNACE REQUEST DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / |

| | |
|---|--|
| | DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |

EMPLOYERS ADDRESS

PARTICIPANT_EMPLOYMENT /
EMPLOYER_CITY_NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_POSTAL_CODE

PARTICIPANT_EMPLOYMENT /
EMPLOYER_STATE_NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_CNTRY_NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_STREET_NAME

EMPLOYERS NAME

PARTICIPANT_EMPLOYMENT /
EMPLOYER_NAME

EMPLOYERS PHONE

PARTICIPANT_EMPLOYMENT /
EMPLOYER_PHONE_NMBR

EMPLOYMENT DATE

PARTICIPANT_EMPLOYMENT /
EMPLOYMENT_START_DATE

PARTICIPANT_EMPLOYMENT /
EMPLOYMENT_STOP_DATE

EMPLOYMENT INDICATOR

Derived

GRADUATION DATE

SCHOOL_ENROLLMENT /
DEGREE_DATE

LTH DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

SCHOOL_ENROLLMENT /
ENRL_STAT_START_DATE

SAP INDICATOR

SCHOOL_ENROLLMENT / SAP_IND

STUDENT JOB TITLE

PARTICIPANT_EMPLOYMENT /
EMPL_JOB_TITLE_NAME

TRANSFER DATE (TO HIGHER PROGRAM)

Derived

SCHOOL INFORMATION

ADDRESS OF TRANSFER

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL)

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

NAME OF TRANSFER SCHOOL

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: Appeal Decision (with corrections)

Definition: The Department of Education's notification to the school or aid organization of its final decision on an appeal.

To Guaranty Agency/Direct Loan Servicer From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|---|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | |
| | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | |
| | Rejected |
| EFFECTIVE DATE OF LOSS | |
| | SANCTION / SANCTION_START_DATE |
| END DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | |
| | ORGANIZATION_REVIEW / FY_NMBR |
| GA APPEAL RECEIPT DATE | |
| | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING APPEALS | |
| | Rejected |
| PENDING APPEALS DISPOSITION | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_SEQ_NMBR |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING APPEALS TYPE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| RATE TYPE | |
| | ORGANIZATION_REVIEW / |

| | |
|---------------------------|---|
| | CDR_ APPEAL_ CAT |
| START DATE | SCHOOL_ ENROLLMENT / ENRL_ STAT_ START_ DATE |
| DEFAULT RATE INFORMATION | |
| BORROWERS IN DEFAULT | Derived |
| BORROWERS IN REPAYMENT | Derived |
| COHORT YEAR | ORGANIZATION_ REVIEW / COHORT_ YR_ NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_ REVIEW / CDR_ APPEAL_ CAT |
| EFFECT OF CDR CALCULATION | Rejected |
| REVISED CDR | ORGANIZATION_ REVIEW / CDR_ PCT |
| REVISED RATE | ORGANIZATION_ REVIEW / CDR_ PCT |
| ED DEPT INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_ POC / SCHOOL_ POC_ CAT SCHOOL_ POC / SCHOOL_ POC_ NAME |
| GA INFORMATION | |
| GA REVIEWER | AID_ ORGANIZATION_ POC / AID_ ORG_ POC_ FIRST_ NAME AID_ ORGANIZATION_ POC / AID_ ORG_ POC_ LAST_ NAME AID_ ORGANIZATION_ POC / AID_ ORG_ POC_ MIDDLE_ NAME AID_ ORGANIZATION_ POC / POC_ ROLE_ NAME |

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

REFERRAL TYPE

DEFICIENCY_DISPUTE /
ED_REFERRAL_APPEAL_ORG_NAME

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

TELEPHONE CALL ATTEMPT DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

LST INFORMATION

LOSS OF ELIGIBILITY TIME FRAME

SANCTION/
SANCTION_STOP_DATE

SANCTION OUTCOME

SANCTION / SANCTION_CAT

PARTICIPANT INFORMATION

| | |
|---|--|
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / |

| | |
|----------------------------------|---|
| | EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS PHONE | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATE | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | |
| | Derived |
| GRADUATION DATE | |
| | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | |
| | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | |
| | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE(TO HIGHER PROGRAM) | |
| | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSER SCHOOL | |
| | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |

| | |
|---|---|
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| PROGRAM LOSS | AID_PROGRAM_APPROVAL / AID_PGM_APRV_REASON_CAT |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

To Guaranty Agency/Direct Loan Servicer From EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|------------------------|---|
| APPEAL INFORMATION | |
| APPEAL DECISION | DEFICIENCY_DISPUTE / DISPUTE_STAT_CAT |
| | DEFICIENCY_DISPUTE / DISPUTE_STAT_EFF_DATE |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| APPEAL RECEIPT DATE | |
| | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| APPEAL SUBMISSION DATE | |
| | ORGANIZATION_REVIEW / APPEAL_NOTIF_DATE |
| APPEAL TYPE | |
| | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| ASSIGNMENT DATE | |
| | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| COMMENTS | |
| | Rejected |
| DUE DATE | |
| | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DUE_DATE |
| GA APPEAL RECEIPT DATE | |
| | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |

| | |
|----------------------------|---|
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| SCHOOL OUTCOME DISPOSITION | Derived |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ED ASSIGNEE | ORGANIZATION_REVIEW / ED_ASSIGNEE_NAME |
| GA INFORMATION | |
| GA ID | AID_ORGANIZATION / ORG_ID |
| GA NAME | AID_ORGANIZATION / AID_ORG_NAME |
| LST INFORMATION | |
| SANCTION OUTCOME | SANCTION / SANCTION_CAT |
| SANCTION TYPE | SANCTION / SANCTION_CAT |
| SCHOOL INFORMATION | |
| SCHOOL ID | SCHOOL / SCHOOL_ID |

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Cohort Default Rate Appeals (with corrected information)

Definition: Schools and lenders may appeal the calculation of its cohort default rates based on either erroneous data, improper servicing or mitigating factors.

To Guaranty Agency/Direct Loan Servicer From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN AMOUNT

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID INFORMATON

ESTIMATED FAMILY CONTRIBUTION (EFC)

AID_APPLICATION / EFC_AMT

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

END DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_STOP_DATE

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

PERFECTED APPEAL DATE

ORGANIZATION_REVIEW /
PERFECTED_APPEAL_DATE

PERFECTED APPEAL INDICATOR

ORGANIZATION_REVIEW /
PERFECTED_APPEAL_DATE

START DATE

SCHOOL_ENROLLMENT /
ENRL_STAT_START_DATE

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DEFAULT RATE TYPE

ORGANIZATION_REVIEW /
CDR_APPEAL_CAT

EFFECT ON CDR CALCULATION

Rejected

GA INFORMATION

ADMIN RESPONSIBLE PERSON

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

CERTIFICATION OF AUTHENENCITY

ORGANIZATION_REVIEW /

APPEAL_CERT_DATE

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

TELEPHONE CALL ATTEMPT DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PARTICIPANT INFORMATION

AGI

PARTICIPANT / ALIEN_ENTRY_DATE

AGI CALENDAR YEAR

PARTICIPANT_INCOME /
PARTICIPANT_INCOME_TAX_
YR_NMBR

| | |
|---|---|
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATES | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |

| | |
|--|--|
| EMPLOYMENT INDICATOR | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| | Derived |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE)TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: GA Appeal Response (with corrections)

Definition: A Guaranty Agency or Direct Loan Servicer response to a school's or lender's appeal. The response would include any corrected information if applicable.

From Guaranty Agency/Direct Loan Servicer To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

| | |
|------------------------------|---|
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | ORGANIZATION_REVIEW / REVIEW_QUALITY_DESC |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| GA INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT |

| | |
|------------------------------------|---|
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| GA REVIEWER | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| LENDER INFORMATION | |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LOAN SERVICING INFORMATION | |
| DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| FINAL DEMAND LETTER | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PRE-CLAIMS ASSISTANCE REQUEST DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / |

| | |
|---|---|
| | DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | PARTICIPANT_NAME / FIRST_NAME PARTICIPANT_NAME / LAST_NAME PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE SCHOOL_ENROLLMENT / ENRL_STAT_CAT SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME PARTICIPANT_EMPLOYMENT / |

| | |
|----------------------------------|---|
| | EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT INDICATOR | Derived |
| EMPLOYMENT DATE | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | |

SCHOOL_POC / CITY_NAME
SCHOOL_POC / POSTAL_CODE
SCHOOL_POC / STATE_NAME
SCHOOL_POC / CNTRY_NAME
SCHOOL_POC / STREET_NAME

EDUCATIONAL PROGRAM NAME (AT HIGHER
SCHOOL)

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

NAME OF TRANSFER SCHOOL

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: Loan Service Performance Information

Definition: Identifies eligible aid organizations that are able to act in the capacity of a servicer for a loan.

From Lender To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

LENDER INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

LOAN TYPE

AID_PROGRAM / PGM_ID

SERVICER INFORMATION

SERVICER ID

AID_ORGANIZATION / ORG_ID

Flow Name: Appeal Decision (with corrections)

Definition: The Department of Education's notification to the school or aid organization of its final decision on an appeal.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

BASIS OF ALLEGATION OF ERROR

| | |
|-----------------------------|---|
| | ORGANIZATION_REVIEW / SCHOOL_APEAL_CAT |
| | ORGANIZATION_REVIEW / APEAL_CAT |
| COMMENT | Rejected |
| EFFECTIVE DATE OF LOSS | SANCTION / SANCTION_START_DATE |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / APEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING APPEAL TYPE | ORGANIZATION_REVIEW / SCHOOL_APEAL_CAT |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| PENDING APPEALS | Rejected |
| PENDING APPEALS DISPOSITION | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_SEQ_NMBR |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| RATE TYPE | ORGANIZATION_REVIEW / CDR_APEAL_CAT |
| START DATE | |

| | |
|----------------------------|---|
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| BORROWERS IN DEFAULT | Derived |
| BORROWERS IN REPAYMENT | Derived |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT OF CDR CALCULATION | Rejected |
| REVISED CDR | ORGANIZATION_REVIEW / CDR_PCT |
| ED DEPT INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| GA INFORMATION | |
| GA REVIEWER | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME AID_ORGANIZATION_POC / POC_ROLE_NAME |
| LENDER INFORMATION | |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LOAN SERVICING INFORMATION | |

| | |
|------------------------------------|---|
| DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| FINAL DEMAND LETTER | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PRE-CLAIMS ASSISTANCE REQUEST DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| REFERRAL TYPE | DEFICIENCY_DISPUTE / ED_REFERRAL_APPEAL_ORG_NAME |
| SKIP TRACE CERTIFICATION DATE | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| LST INFORMATION | |
| LOSS OF ELIGIBILITY TIME FRAME | SANCTION/ SANCTION_STOP_DATE |
| SANCTION OUTCOME | SANCTION / SANCTION_CAT |
| PARTICIPANT INFORMATION | |
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / |

| | |
|---|---|
| | PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT PARTICIPANT_NAME / FIRST_NAME PARTICIPANT_NAME / LAST_NAME PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE SCHOOL_ENROLLMENT / ENRL_STAT_CAT SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME PARTICIPANT_EMPLOYMENT / |

| | |
|-----------------------------------|--|
| | EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT INDICATOR | |
| | Derived |
| GRADUATION DATE | |
| | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | |
| | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | |
| | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | |
| | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | |
| | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| FISCAL YEAR | |
| | ORGANIZATION_REVIEW / FY_NMBR |

NAME OF TRANSFER SCHOOL

SCHOOL_DESIGNATION /
SCHOOL_NAME

PROGRAM LOSS

AID_PROGRAM_APPROVAL /
AID_PGM_APRV_REASON_CAT

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

STUDENT PROGRAM OF STUDY

SCHOOL_ENROLLMENT /
ACAD_PGM_NAME

Flow Name: Appeal Status

Definition: Indicates the current status that an appeal is in at a given point of time.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL DECISION

DEFICIENCY_DISPUTE /
DISPUTE_STAT_CAT

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

APPEAL DECISION DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE

DEFICIENCY_DISPUTE /
DISPUTE_STAT_EFF_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL SUBMISSION DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

COMMENTS

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

GA APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

GA RESPONSE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_REASON_DESC

GA RESPONSE DATE

ORGANIZATION_REVIEW_STATUS /

| | |
|----------------------------|--|
| | ORG_REVIEW_STAT_CAT |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| PENDING OUTCOME DISPOSTION | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_CAT |
| PERFECTED APPEAL DATE | |
| | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| SCHOOL OUTCOME DISPOSITION | |
| | Derived |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | |
| | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| REVISED CDR | |
| | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| | ORGANIZATION_REVIEW / CDR_PCT |
| LST INFORMATION | |
| SANCTION OUTCOME | |
| | SANCTION / SANCTION_REASON_CAT |
| SANCTION TYPE | |
| | SANCTION / SANCTION_CAT |
| SCHOOL INFORMATION | |
| SCHOOL ID | |
| | SCHOOL / SCHOOL_ID |

Flow Name: Cohort Default Rate Appeals (with corrected information)

Definition: School may appeal the calculation of its cohort default rates based on either erroneous data, improper servicing or mitigating factors.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION (EFC)

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

SLS LINK TO FFEL INDICATOR

Rejected

AID PROGRAM INFORMATION

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

| | |
|------------------------------|--|
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | ORGANIZATION_REVIEW / REVIEW_QUALITY_DESC |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| FISCAL YEAR | ORGANIZATION_REVIEW / FY_NMBR |
| PERFECTED APPEAL DATE | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| PERFECTED APPEAL INDICATOR | ORGANIZATION_REVIEW / PERFECTED_APPEAL_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| LENDER INFORMATION | |
| LOAN TYPE | AID_PROGRAM / PGM_ID |
| LOAN SERVICING INFORMATION | |
| DEMAND LETTER DATE | AID_DUE_DILIGENCE_ACTIVITY / |

| | |
|------------------------------------|--|
| | DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| FINAL DEMAND LETTER DATE | |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PRE-CLAIMS ASSISTANCE REQUEST DATE | |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| SKIP TRACE CERTIFICATION DATE | |
| | PARTICIPANT_SKIPTRACE_REQUEST / SKIPTRACE_REQST_DATE |
| TELEPHONE CALL ATTEMPT DATE | |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_CAT |
| | AID_DUE_DILIGENCE_ACTIVITY / DUE_DILIG_ACTIVITY_DATE |
| PARTICIPANT INFORMATION | |
| AGI | |
| | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | |
| | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | |
| | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | |
| | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |

| | |
|---|---|
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | Derived |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYERS ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYERS NAME | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYERS PHONE | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATES | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |

| | |
|-----------------------------------|--|
| EMPLOYMENT INDICATOR | Derived |
| GRADUATION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| SAP INDICATOR | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| ADMIN RESPONSIBLE PERSON | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME AID_ORGANIZATION_POC / POC_ROLE_NAME SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| CERTIFICATION OF AUTHENTICITY | |

| | |
|--|---|
| | ORGANIZATION_REVIEW / APPEAL_CERT_DATE |
| DIRECT LOAN PROGRAM INDICATOR | Derived |
| EDUCATIONAL PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

Flow Name: GA Appeal Response (with corrections)

Definition: A Guaranty Agency or Direct Loan Servicer response to a school's or lender's appeal. The response would include any corrected information if applicable.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

BCD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_START_DATE

ECD OF LOAN PERIOD

SCHOOL_STATISTICAL_DATA /
ACAD_CALENDAR_YR_STOP_DATE

EFC AWARD YEAR

AID_APPLICATION /
APPL_ACAD_YR_NMBR

ESTIMATED FAMILY CONTRIBUTION

AID_APPLICATION / EFC_AMT

LOAN GUARANTY DATE

AID_SCHOOL_AID_ORG /
GUARANTY_DATE

REPAYMENT ENTRY DATE

AID_STATUS / AID_STAT_CAT

AID_STATUS /
AID_STAT_START_DATE

AID PROGRAM INFORMATION

DIRECT LOAN PROGRAM INDICATOR

Derived

FFEL PROGRAM INDICATOR

Derived

APPEAL INFORMATION

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

| | |
|------------------------------|---|
| BASIS OF ALLEGATION OF ERROR | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| COMMENT | ORGANIZATION_REVIEW / REVIEW_QUALITY_DESC |
| END DATE | SCHOOL_ENROLLMENT / ENRL_STAT_STOP_DATE |
| GA APPEAL RECEIPT DATE | ORGANIZATION_REVIEW / APPEAL_RECEIPT_DATE |
| GA RESPONSE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| GA RESPONSE DATE | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_START_DATE |
| START DATE | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| DEFAULT RATE INFORMATION | |
| COHORT YEAR | ORGANIZATION_REVIEW / COHORT_YR_NMBR |
| DEFAULT RATE TYPE | ORGANIZATION_REVIEW / CDR_APPEAL_CAT |
| EFFECT ON CDR CALCULATION | Rejected |
| GA INFORMATION | |
| ADMIN RESPONSIBLE PERSON | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| GA REVIEWER | |

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

LENDER INFORMATION

LOAN TYPE

AID_PROGRAM / PGM_ID

LOAN SERVICING INFORMATION

DEMAND LETTER DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

FINAL DEMAND LETTER

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PRE-CLAIMS ASSISTANCE REQUEST DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

SKIP TRACE CERTIFICATION DATE

PARTICIPANT_SKIPTRACE_REQUEST /
SKIPTRACE_REQST_DATE

TELEPHONE CALL ATTEMPT DATE

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_CAT

AID_DUE_DILIGENCE_ACTIVITY /
DUE_DILIG_ACTIVITY_DATE

PARTICIPANT INFORMATION

| | |
|---|--|
| AGI | PARTICIPANT_INCOME_DETAIL / INCOME_AMT |
| | PARTICIPANT_INCOME_DETAIL / PARTICIPANT_INCOME_DETAIL_CAT |
| AGI CALENDAR YEAR | PARTICIPANT_INCOME / PARTICIPANT_INCOME_TAX_ YR_NMBR |
| AGI FAMILY SIZE | PARTICIPANT_INCOME / EXEMPTIONS_NMBR |
| BORROWER'S NAME | AID_PARTICIPANT / PARTICIPANT_ROLE_CAT |
| | PARTICIPANT_NAME / FIRST_NAME |
| | PARTICIPANT_NAME / LAST_NAME |
| | PARTICIPANT_NAME / MIDDLE_NAME |
| BORROWER'S SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| COMPLETION DATE | SCHOOL_ENROLLMENT / DEGREE_DATE |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| COMPLETION INDICATOR | Derived |
| ECONOMIC DISADVANTAGE STUDENT INDICATOR | SCHOOL_ENROLLMENT / DISADVANTAGE_IND |
| EMPLOYER'S ADDRESS | PARTICIPANT_EMPLOYMENT / EMPLOYER_CITY_NAME |
| | PARTICIPANT_EMPLOYMENT / |

| | |
|-----------------------------------|---|
| | EMPLOYER_POSTAL_CODE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STATE_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_CNTRY_NAME |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_STREET_NAME |
| EMPLOYER'S NAME | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_NAME |
| EMPLOYER'S PHONE | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYER_PHONE_NMBR |
| EMPLOYMENT DATE | |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_START_DATE |
| | PARTICIPANT_EMPLOYMENT / EMPLOYMENT_STOP_DATE |
| EMPLOYMENT INDICATOR | |
| | Derived |
| GRADUATION DATE | |
| | SCHOOL_ENROLLMENT / DEGREE_DATE |
| LTH DATE | |
| | SCHOOL_ENROLLMENT / ENRL_STAT_CAT |
| | SCHOOL_ENROLLMENT / ENRL_STAT_START_DATE |
| SAP INDICATOR | |
| | SCHOOL_ENROLLMENT / SAP_IND |
| STUDENT JOB TITLE | |
| | PARTICIPANT_EMPLOYMENT / EMPL_JOB_TITLE_NAME |
| TRANSFER DATE (TO HIGHER PROGRAM) | |
| | Derived |
| SCHOOL INFORMATION | |
| ADDRESS OF TRANSFER SCHOOL | |

| | |
|---|--------------------------------------|
| | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| EDUCATION PROGRAM NAME (AT HIGHER SCHOOL) | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |
| FISCAL YEAR | AID_ORGANIZATION / FY_START_DATE |
| | AID_ORGANIZATION / FY_STOP_DATE |
| NAME OF TRANSFER SCHOOL | SCHOOL_DESIGNATION / SCHOOL_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| STUDENT PROGRAM OF STUDY | SCHOOL_ENROLLMENT / ACAD_PGM_NAME |

The following diagram presents the flows of information exchanged between the Manage School Sanction process and the postsecondary education community.

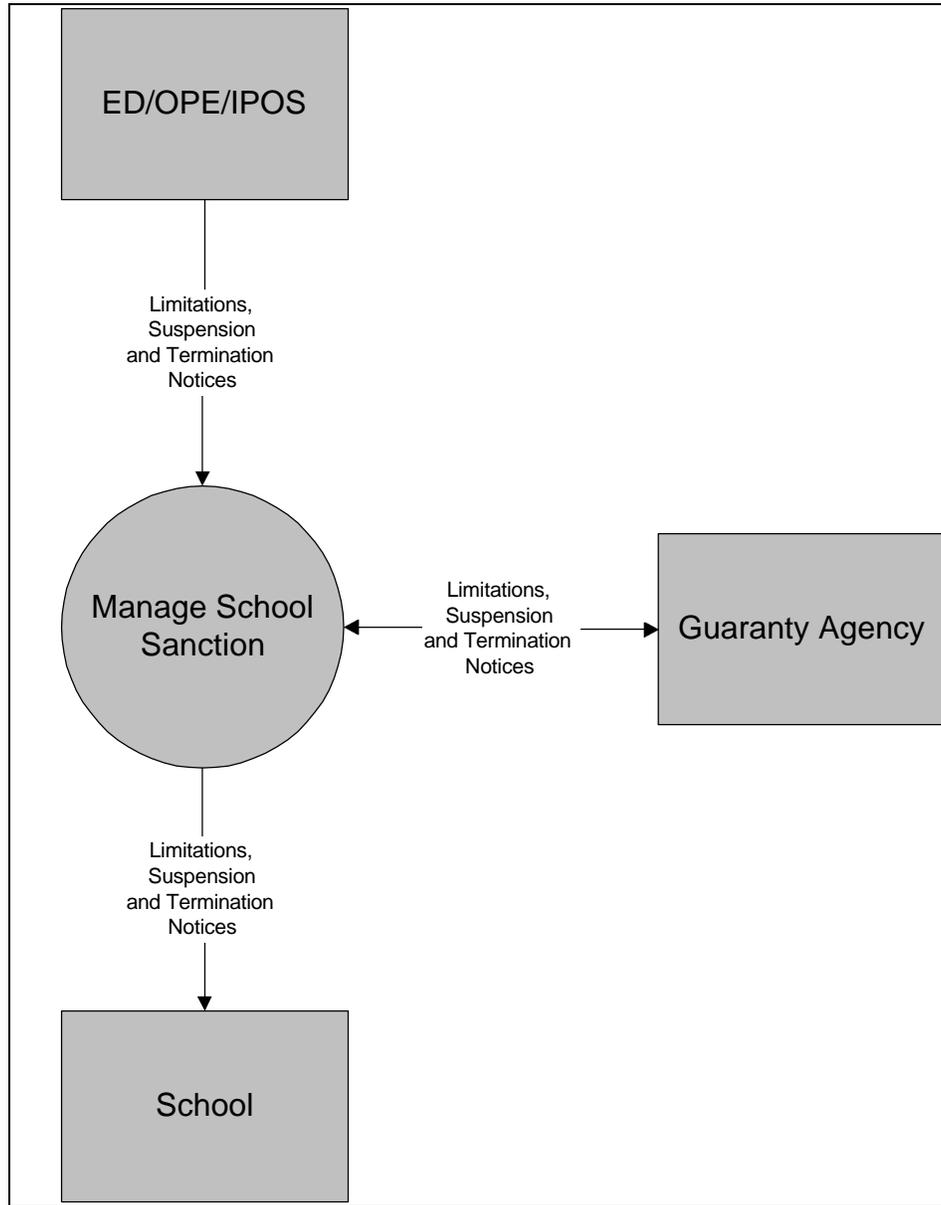


Exhibit 3.5.3-15 Manage School Sanction

Flow Name: Limitation, Suspension and Termination Notices

Definition: When the Department of Education finds improper financial aid behavior or a loss of accreditation or licensing, it may impose sanctions of the school in the form of limitations, suspensions and terminations.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AUDIT/PROGRAM INFORMATION

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LST INFORMATION

DATE OF NOTICE

ORGANIZATION_REVIEW /
NOTIF_DATE

FORMAL ACTION

SANCTION / SANCTION_CAT

LST ACTION

SANCTION / SANCTION_CAT

LST REASON

SANCTION / SANCTION_REASON_CAT

START DATE

SANCTION /
SANCTION_START_DATE

STOP DATE

SANCTION /
SANCTION_STOP_DATE

SCHOOL INFORMATION

SCHOOL CONTACT

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Limitation, Suspension and Termination Notices

Definition: When the Department of Education finds improper financial aid behavior or a loss of accreditation or licensing, it may impose sanctions of the school in the form of limitations, suspensions and terminations.

To/from Guaranty Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

GA REVIEW NAME

AID_ORGANIZATION /
AID_ORG_NAME

AUDIT/PROGRAM REVIEW INFORMATION

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

GA INFORMATION

GA CONTACT NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

GA ID

AID_ORGANIZATION / ORG_ID

LST INFORMATION

LST ACTION

SANCTION / SANCTION_CAT

| | |
|----------------------------|---|
| LST PROVISION | SANCTION / SANCTION_CAT |
| START DATE | SANCTION / SANCTION_START_DATE |
| STOP DATE | SANCTION / SANCTION_STOP_DATE |
| PROGRAM REVIEW INFORMATION | |
| FINDING SOURCE | Derived |
| SCHOOL INFORMATION | |
| SCHOOL CONTACT | SCHOOL_POC / FIRST_NAME SCHOOL_POC / LAST_NAME SCHOOL_POC / MIDDLE_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |

Flow Name: Limitation, Suspension and Termination Notices

Definition: When the Department of Education finds improper financial aid behavior or a loss of accreditation or licensing, it may impose sanctions of the school in the form of limitations, suspensions and terminations.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SANCTION STATUS

SANCTION /
SANCTION_STAT_CAT

SANCTION TYPE

SANCTION / SANCTION_CAT

AUDIT/PROGRAM REVIEW INFORMATION

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

GA INFORMATION

GA ID

AID_ORGANIZATION / ORG_ID

LST INFORMATION

DATE OF NOTICE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_START_DATE
ACCEPT_DATE

FORMAL ACTION

SANCTION / SANCTION_CAT

LST ACTION

SANCTION / SANCTION_CAT

LST REASON

SANCTION / SANCTION_REASON_CAT

START DATE

SANCTION /
SANCTION_START_DATE

STOP DATE

SANCTION /
SANCTION_STOP_DATE

SCHOOL INFORMATION

SCHOOL CONTACT

SCHOOL ID

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Manage School Closure process and the postsecondary education community.

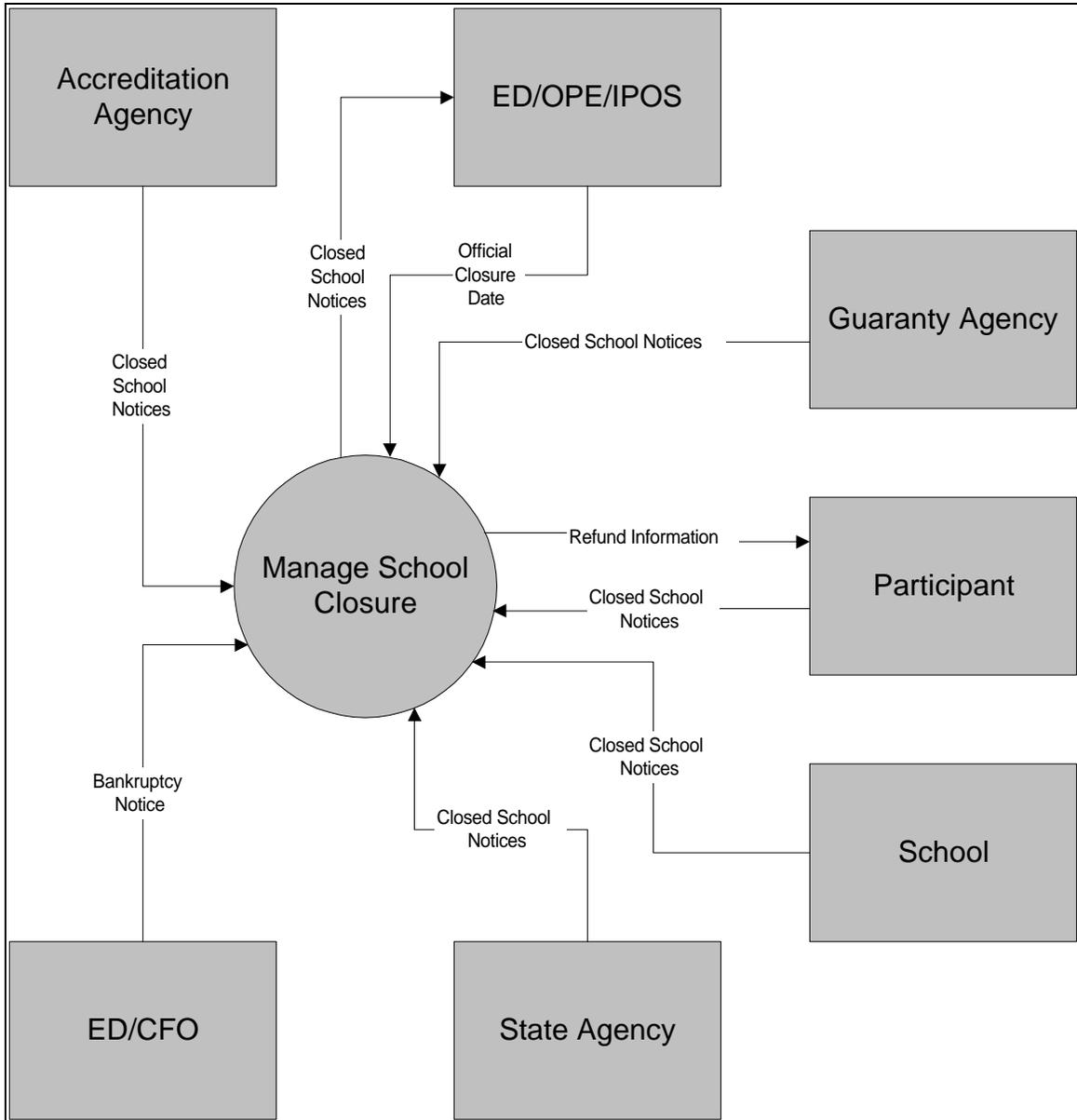


Exhibit 3.5.3-16 Manage School Closure

Flow Name: Closed School Notices

Definition: Notifications given to the Department of Education that the given school will cease or has ceased to provide programs of instruction by or on a certain date.

From Accreditation Agency To EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-------------------------------------|--|
| ACCREDITATION/LICENSING INFORMATION | |
| NOTIFICATION SOURCE | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_SRC_NAME |
| ACCREDITATION/LICENSING INFORMATION | |
| CONTACT NAME | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| CONTACT PHONE | AID_ORGANIZATION_POC / PHONE_NMBR |
| ACCREDITATION/LICENSING INFORMATION | |
| NOTIFICATION DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_DATE |
| DOCUMENT TRACKING INFORMATION | |
| RECORDS AVAILABLE | SCHOOL_CLOSURE_NOTICE / RECORDS_AVAIL_IND |
| RECORDS LOCATION | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |

| | |
|--------------------|---|
| RECORDS TYPE | SCHOOL_POC / SCHOOL_POC_CAT |
| SCHOOL INFORMATION | |
| BANKRUPTCY STATUS | SCHOOL / BANKRUPTCY_EFF_DATE SCHOOL / BANKRUPTCY_STAT_CAT |
| CONTACT E-MAIL | SCHOOL_POC / WEB_ADDR_NAME |
| LOCATION ADDRESS | SCHOOL_POC / CITY_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| LOCATION ID | SCHOOL / SCHOOL_ID SCHOOL_STRUCTURE / RELATED_SCHOOL_ID |
| LOCATION NAME | SCHOOL_DESIGNATION / SCHOOL_NAME SCHOOL_STRUCTURE / TEACH_OUT_NAME SCHOOL_STRUCTURE / RELATED_SCHOOL_ID SCHOOL_STRUCTURE / STRUCT_REASON_CAT |
| SCHOOL ADDRESS | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME |

| | |
|-----------------------|---|
| SCHOOL CLOSED DATE | SCHOOL_POC / STREET_NAME |
| SCHOOL CLOSURE REASON | SCHOOL_CLOSURE_NOTICE / CLOSURE_DATE |
| SCHOOL CONTACT | SCHOOL / CLOSURE_REASON_CAT |
| SCHOOL ID | SCHOOL_POC / SCHOOL_POC_NAME |
| SCHOOL NAME | SCHOOL / SCHOOL_ID |
| TEACH OUT SCHOOL ID | SCHOOL_DESIGNATION / SCHOOL_NAME |
| TUITION RECOVERY NAME | AID_ORGANIZATION / AID_ORG_PRIMARY_CAT |
| | AID_ORGANIZATION / ORG_ID |
| | SCHOOL_POC / SCHOOL_POC_CAT |
| | SCHOOL_POC / SCHOOL_POC_NAME |

Flow Name: Bankruptcy Notice

Definition: A notification from ED/CFO concerning the bankruptcy status of a school.

From ED/CFO To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL INFORMATION

BANKRUPTCY DATE

SCHOOL / BANKRUPTCY_EFF_DATE

BANKRUPTCY TYPE

SCHOOL / BANKRUPTCY_STAT_CAT

LOCATION ID

SCHOOL / SCHOOL_ID

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: Closed School Notices

Definition: Notifications given to the Department of Education that the given school will cease or has ceased to provide programs of instruction by or on a certain date.

To ED/OPE/IPOS From EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-------------------------------------|---|
| ACCREDITATION/LICENSING INFORMATION | |
| NOTIFICATION SOURCE | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_SRC_NAME |
| ACCREDITATION/LICENSING INFORMATION | |
| CONTACT NAME | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| CONTACT PHONE | AID_ORGANIZATION_POC / PHONE_NMBR |
| ACCREDITATION/LICENSING INFORMATON | |
| NOTIFICATION DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_DATE |
| DOCUMENT TRACKING INFORMATION | |
| RECORDS LOCATION | SCHOOL_POC / CITY_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / POSTAL_CODE |
| | SCHOOL_POC / STATE_NAME |
| | SCHOOL_POC / CNTRY_NAME |
| | SCHOOL_POC / STREET_NAME |
| RECORDS TYPE | SCHOOL_POC / SCHOOL_POC_CAT |

SCHOOL INFORMATION

BANKRUPTCY STATUS

SCHOOL / BANKRUPTCY_STAT_CAT

CLOSE REASON

SCHOOL / BANKRUPTCY_STAT_CAT

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL CLOSED DATE

SCHOOL_CLOSURE_NOTICE /
CLOSURE_DATE

SCHOOL CLOSURE REASON

SCHOOL / CLOSURE_REASON_CAT

SCHOOL CONTACT

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC / SCHOOL_POC_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

TEACH OUT SCHOOL ID

AID_ORGANIZATION /
AID_ORG_PRIMARY_CAT

AID_ORGANIZATION / ORG_ID

TUITION RECOVERY NAME

SCHOOL_POC / SCHOOL_POC_CAT

Flow Name: Official Closure Date

Definition: IPOS returns the official closed date to the system.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL OFFICIAL CLOSURE DATE

SCHOOL / OFFICIAL_CLOSURE_DATE

Flow Name: Closed School Notices

Definition: Notifications given to the Department of Education that the given school will cease or has ceased to provide programs of instruction by or on a certain date.

From Guaranty Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DOCUMENT TRACKING INFORMATION

RECORDS AVAILABLE

Derived

RECORDS LOCATION

SCHOOL_POC / CITY_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

RECORDS TYPE

SCHOOL_POC / SCHOOL_POC_CAT

GA INFORMATION

CONTACT NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

CONTACT PHONE

AID_ORGANIZATION_POC /
PHONE_NMBR

NOTIFICATION DATE

SCHOOL_CLOSURE_NOTICE /
CLOSURE_NOTIF_DATE

NOTIFICATION SOURCE

| | |
|-----------------------|--|
| | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_SRC_NAME |
| SCHOOL INFORMATION | |
| CLOSE REASON | SCHOOL / CLOSURE_REASON_CAT |
| SCHOOL ADDRESS | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| SCHOOL CLOSED DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_DATE |
| SCHOOL CLOSURE REASON | SCHOOL / CLOSURE_REASON_CAT |
| SCHOOL CONTACT | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| TEACH OUT SCHOOL ID | SCHOOL / SCHOOL_ID |
| TUITION RECOVERY NAME | SCHOOL_POC / SCHOOL_POC_CAT |

Flow Name: Closed School Notices

Definition: Notifications given to the Department of Education that the given school will cease or has ceased to provide programs of instruction by or on a certain date.

From Participant To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

PARTICIPANT INFORMATION

DATE LAST ATTENDED BY STUDENT

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

SCHOOL_ENROLLMENT /
ENRL_STAT_STOP_DATE

PARTICIPANT ID

PARTICIPANT / PARTICIPANT_ID

SCHOOL INFORMATION

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

SCHOOL CLOSED DATE

SCHOOL_CLOSURE_NOTICE /
CLOSURE_DATE

SCHOOL CLOSURE REASON

SCHOOL / CLOSURE_REASON_CAT

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

Flow Name: Refund Information

Definition: The information provided to a participant in the case of a school closure.

To Participant From EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-------------------------------------|---|
| ACCREDITATION/LICENSING INFORMATION | |
| ACCREDITING AGENCY NAME | AID_ORGANIZATION / AID_ORG_NAME |
| ACCREDITATION/LICENSING INFORMATION | |
| STATE LICENSING AGENCY NAME | AID_ORGANIZATION / AID_ORG_NAME |
| AID ORGANIZATION INFORMATION | |
| TUTION RECOVERY ORG POC | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| TUTION RECOVERY ORG NAME | AID_ORGANIZATION / AID_ORG_NAME |
| ED DEPT INFORMATION | |
| ED OGC CONTACT | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME AID_ORGANIZATION_POC / POC_ROLE_NAME |
| SCHOOL INFORMATION | |
| SCHOOL POC | |

SCHOOL_POC / FIRST_NAME

SCHOOL_POC / LAST_NAME

SCHOOL_POC / MIDDLE_NAME

Flow Name: Closed School Notices

Definition: Notifications given to the Department of Education that the given school will cease or has ceased to provide programs of instruction by or on a certain date.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DOCUMENT TRACKING INFORMATION

RECORDS AVAILABLE

Derived

RECORDS LOCATION

SCHOOL_POC / SCHOOL_POC_CAT

RECORDS TYPE

Rejected

SCHOOL INFORMATION

BANKRUPTCY STATUS

SCHOOL / BANKRUPTCY_EFF_DATE

SCHOOL / BANKRUPTCY_STAT_CAT

CONTACT PHONE

SCHOOL_POC / PHONE_NMBR

SCHOOL_POC / SCHOOL_POC_CAT

EFFECTIVE DATE

SCHOOL_CLOSURE_NOTICE /
CLOSURE_DATE

NOTIFICATION DATE

SCHOOL_CLOSURE_NOTICE /
CLOSURE_NOTIF_DATE

NOTIFICATION SOURCE

SCHOOL_CLOSURE_NOTICE /
CLOSURE_NOTIF_SRC_NAME

SCHOOL ADDRESS

SCHOOL_POC / CITY_NAME

SCHOOL_POC / POSTAL_CODE

SCHOOL_POC / STATE_NAME

SCHOOL_POC / CNTRY_NAME

SCHOOL_POC / STREET_NAME

| | |
|-----------------------|---|
| SCHOOL CLOSED DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_DATE |
| SCHOOL CLOSURE REASON | SCHOOL / CLOSURE_REASON_CAT |
| SCHOOL CONTACT | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |
| TEACH OUT SCHOOL ID | SCHOOL / SCHOOL_ID |
| TUITION RECOVERY NAME | SCHOOL_POC / SCHOOL_POC_CAT SCHOOL_POC / SCHOOL_POC_NAME |

Flow Name: Closed School Notices

Definition: Notifications given to the Department of Education that the given school will cease or has ceased to provide programs of instruction by or on a certain date.

From State Agency To EASI/ED

| <u>Data Elements</u> | <u>Corresponding LDM Attribute(s)</u> |
|-------------------------------|--|
| DOCUMENT TRACKING INFORMATION | |
| RECORDS AVAILABLE | Derived |
| SCHOOL INFORMATION | |
| CLOSE REASON | SCHOOL / CLOSURE_REASON_CAT |
| CONTACT PHONE | SCHOOL_POC / PHONE_NMBR |
| EFFECTIVE DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_DATE |
| NOTIFICATION DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_DATE |
| NOTIFICATION SOURCE | SCHOOL_CLOSURE_NOTICE / CLOSURE_NOTIF_SRC_NAME |
| SCHOOL ADDRESS | SCHOOL_POC / CITY_NAME SCHOOL_POC / POSTAL_CODE SCHOOL_POC / STATE_NAME SCHOOL_POC / CNTRY_NAME SCHOOL_POC / STREET_NAME |
| SCHOOL CLOSED DATE | SCHOOL_CLOSURE_NOTICE / CLOSURE_DATE |
| SCHOOL CLOSURE REASON | SCHOOL / CLOSURE_REASON_CAT |
| SCHOOL CONTACT | SCHOOL_POC / SCHOOL_POC_CAT |

| | |
|-----------------------|------------------------------|
| SCHOOL ID | SCHOOL_POC / SCHOOL_POC_NAME |
| TEACH OUT SCHOOL ID | SCHOOL / SCHOOL_ID |
| TUITION RECOVERY PLAN | SCHOOL / SCHOOL_ID |
| | SCHOOL_POC / SCHOOL_POC_CAT |

The following diagram presents the flows of information exchanged between the Manage State Sponsored Instructional Grant Application process and the postsecondary education community.

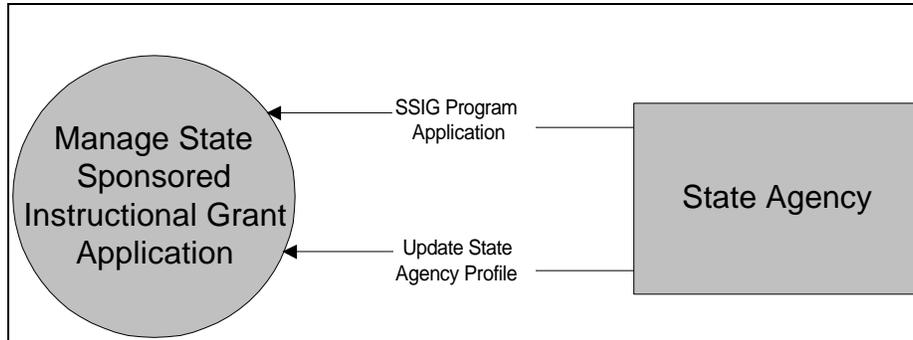


Exhibit 3.5.3-17 Manage State Sponsored Instructional Grant Application

Flow Name: SSIG Program Applications

Definition: The applications submitted by state agencies to obtain Federal funds for the State Student Incentive Grant program (SSIG).

From State Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

STATE AGENCY INFORMATION

STATE AGENCY IDENTIFIER

AID_ORGANIZATION / ORG_ID

STATE STUDENT INCENTIVE GRANT INFORMATION

TOTAL FUNDS AVAILABLE FOR NEED-BASED
AWARDS-OTHER STATE FUNDS

AWARD / AWARD_CAT

AWARD / AWARD_REQST_AMT

TOTAL FUNDS AVAILABLE FOR NEED-BASED
AWARDS-STATE APPROPRIATED

AWARD / AWARD_CAT

AWARD / AWARD_REQST_AMT

TOTAL FUNDS AVAILABLE FOR NON-NEED BASED
AWARD

AWARD / AWARD_CAT

AWARD / AWARD_REQST_AMT

TOTAL FUNDS REQUESTED FOR STUDENT AWARDS

Derived

Flow Name: Update State Agency Profile

Definition: Update information about the state agency that effects the distribution of funds for the State Student Incentive Grant Program.

From State Agency To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

STATE AGENCY ADDRESS

AID_ORGANIZATION_POC /
CITY_NAME

AID_ORGANIZATION_POC /
POSTAL_CODE

AID_ORGANIZATION_POC /
STATE_NAME

AID_ORGANIZATION_POC /
CNTRY_NAME

AID_ORGANIZATION_POC /
STREET_NAME

STATE AGENCY DUNS

AID_ORGANIZATION / DUNS_NMBR

STATE AGENCY EIN

AID_ORGANIZATION /
AID_ORG_EIN_NMBR

STATE AGENCY URL

INTERNET_ADDRESS_NAME /
WEB_ADDR_NAME

SUBSTANTIAL FINANCIAL NEED DESCRIPTION

AID_ORGANIZATION /
SUBSTANTIAL_FINANCIAL_
NEED_DESC

SSIG INFORMATION

FAFSA INDICATOR

AID_ORGANIZATION /
FED_AID_APPL_IND

FEDERAL NEEDS ANALYSIS METHODOLOGY
INDICATOR

AID_ORGANIZATION /
FED_NEEDS_ANALYSIS_METHOD_IND

FISCAL YEAR-FULL-TIME STUDENTS

Derived

FISCAL YEAR-INCENTIVE GRANT EXPENDITURE

AID_ORGANIZATION_APPLICATION /
INCENTIVE_GRANT_EXPEND_
FY_NMBR

INDEPENDENT STUDENT INDICATOR

AID_ORGANIZATION /
INDEPENDENT_STUDENT_IND

INSTITUTION TYPE

SCHOOL / SCHOOL_CAT

TOTAL FULL TIME EQUIVALENT STUDENTS

Derived

TOTAL STATE INCENTIVE GRANT EXPENDITURE

AID_ORGANIZATION_APPLICATION /
FY_INCENTIVE_GRANT_EXPEND_AMT

STATE AGENCY INFORMATION

STATE AGENCY IDENTIFIER

AID_ORGANIZATION / ORG_ID

The following diagram presents the flows of information exchanged between the Process Correspondence process and the postsecondary education community.

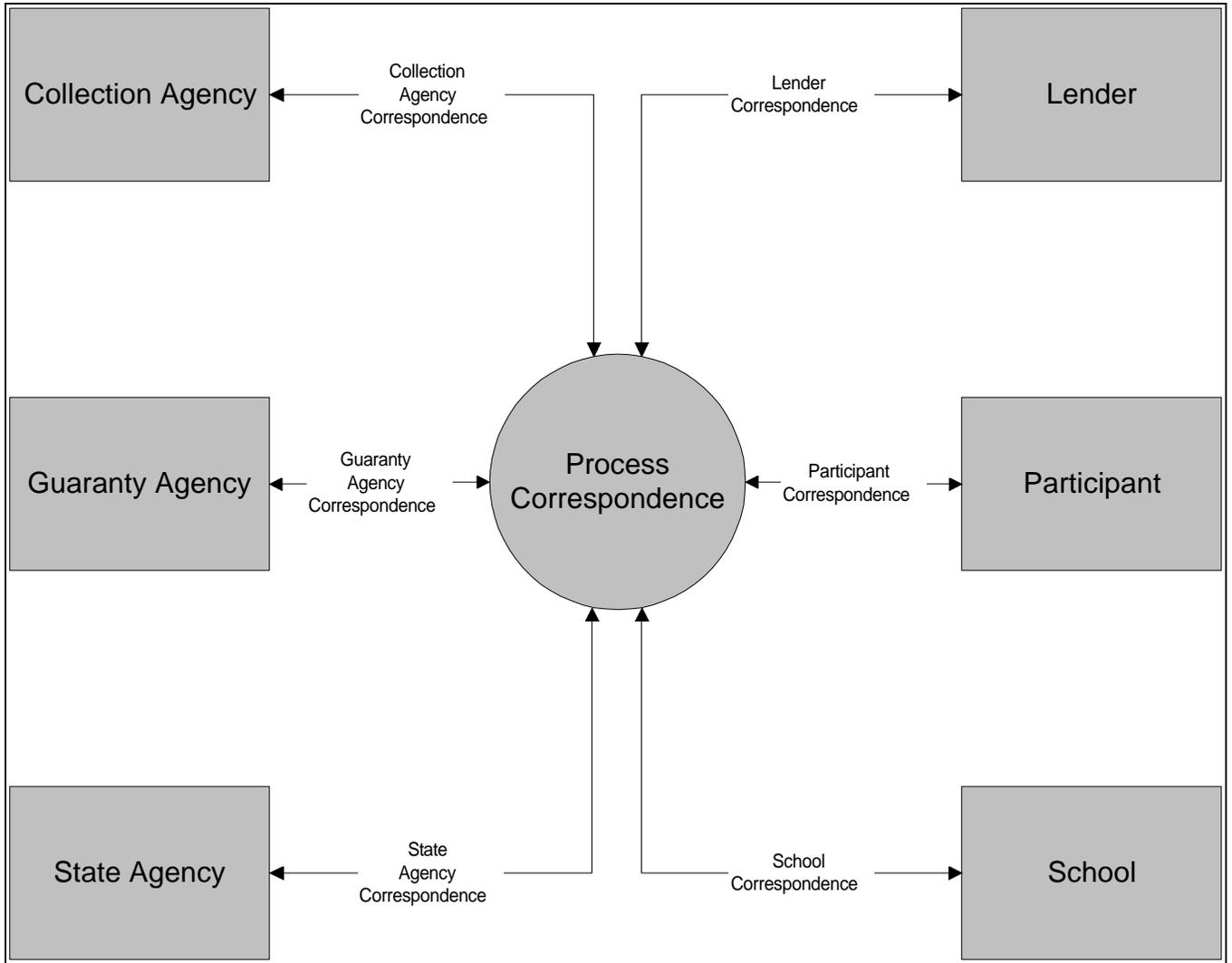


Exhibit 3.5.3-18 Process Correspondence

Flow Name: Collection Agency Correspondence

Definition: Exchange of letters between the system and collection agencies.

To/from Collection Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

| | |
|--------------------------------|--|
| DATE OF CORRESPONDENCE | COMMUNICATION / COMMUNICATION_START_DATE |
| DATE OF RESOLUTION | CASE / CASE_STOP_DATE |
| AID ORGANIZATION INFORMATION | |
| COLLECTION AGENCY CITY | AID_ORGANIZATION_POC / CITY_NAME |
| COLLECTION AGENCY ID | AID_ORGANIZATION / ORG_ID |
| COLLECTION AGENCY POSTAL CODE | AID_ORGANIZATION_POC / POSTAL_CODE |
| COLLECTION AGENCY STATE | AID_ORGANIZATION_POC / STATE_NAME |
| COLLECTION AGENCY STREET | AID_ORGANIZATION_POC / STREET_NAME |
| CUSTOMER SERVICE INFORMATION | |
| CORRESPONDENCE HOLDER | CUSTOMER_SERVICE_REPRESENTATI VE / CSR_NAME |
| CORRESPONDENCE TRACKING NUMBER | COMMUNICATION / COMMUNICATION_ID |
| INQUIRY RESOLUTION | CASE / RESOLUTION_DESC |
| INQUIRY SUBJECT | CASE / CASE_CAT |

POLICY INFORMATION

POLICIES AND PROCEDURES

AID_PROGRAM_REQUIREMENT /
RQMT_DESC

Flow Name: Guaranty Agency Correspondence

Definition: Correspondence between EASI/ED and Guaranty Agencies.

To/from Guaranty Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DATE OF CORRESPONDENCE

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

COMMUNICATION /
COMMUNICATION_START_DATE

DATE OF RESOLUTION

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_STOP_DATE

CASE / CASE_STOP_DATE

AID ORGANIZATION INFORMATION

GA CITY

AID_ORGANIZATION_POC /
CITY_NAME

GA POSTAL CODE

AID_ORGANIZATION_POC /
POSTAL_CODE

GA STATE

AID_ORGANIZATION_POC /
STATE_NAME

GA STREET ADDRESS

AID_ORGANIZATION_POC /
STREET_NAME

GUARANTY AGENCY ID

AID_ORGANIZATION / ORG_ID

CUSTOMER SERVICE INFORMATION

CORRESPONDENCE HOLDER

CUSTOMER_SERVICE_REPRESENTATI
VE / CSR_NAME

CORRESPONDENCE TRACKING NUMBER

COMMUNICATION /
COMMUNICATION_ID
AID_STATUS_CHANGE_REQUEST /
AID_STAT_REQST_SEQ_NMBR

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC
AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

POLICY INFORMATION

RULES, REGULATIONS, POLICY ISSUES

AID_PROGRAM / AID_PGM_NAME

Flow Name: Lender Correspondence

Definition: Exchange of letters between the system and lenders.

To/from Lender from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DATE OF CORRESPONDENCE

COMMUNICATION /
COMMUNICATION_START_DATE

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

DATE OF RESOLUTION

CASE / CASE_STOP_DATE

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_STOP_DATE

AID ORGANIZATION INFORMATION

LENDER CITY

AID_ORGANIZATION_POC /
CITY_NAME

LENDER ID

AID_ORGANIZATION / ORG_ID

LENDER POSTAL CODE

AID_ORGANIZATION_POC /
POSTAL_CODE

LENDER STATE

AID_ORGANIZATION_POC /
STATE_NAME

LENDER STREET

AID_ORGANIZATION_POC /
STREET_NAME

CUSTOMER SERVICE INFORMATION

CORRESPONDENCE HOLDER

CUSTOMER_SERVICE_REPRESENTATI
VE / CSR_NAME

CORRESPONDENCE TRACKING NUMBER

COMMUNICATION /
COMMUNICATION_ID
AID_STATUS_CHANGE_REQUEST /
AID_STAT_REQST_SEQ_NMBR

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC
AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT
AID_STATUS_CHANGE_REQUEST /
REQST_CAT

POLICY INFORMATION

POLICIES AND PROCEDURES

AID_PROGRAM_REQUIREMENT /
RQMT_DESC

Flow Name: Participant Correspondence

Definition: Correspondence to and from the participant and EASI/ED.

To/from Participant from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

LOAN ID

AID / AID_ID

CUSTOMER SERVICE INFORMATION

CORRESPONDENCE HOLDER

CUSTOMER_SERVICE_REPRESENTATI
VE / CSR_NAME

CORRESPONDENCE TRACKING NUMBER

COMMUNICATION /
COMMUNICATION_ID

AID_STATUS_CHANGE_REQUEST /
AID_STAT_REQST_SEQ_NMBR

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

PARTICIPANT ADDRESS INFORMATION

PARTICIPANT CITY

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT POSTAL CODE

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT STATE

PARTICIPANT_ADDRESS /
STATE_NAME

PARTICIPANT_ADDRESS /
CNTRY_NAME

PARTICIPANT STREET ADDRESS

PARTICIPANT_ADDRESS /
STREET_NAME

PARTICIPANT PERSONAL INFORMATION

PARTICIPANT ID

PARTICIPANT / PARTICIPANT_ID

Flow Name: School Correspondence

Definition: Correspondence between schools and EASI/ED.

To/from School from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DATE OF CORRESPONDENCE

COMMUNICATION /
COMMUNICATION_START_DATE

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

DATE OF RESOLUTION

CASE / CASE_STOP_DATE

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_STOP_DATE

AID INFORMATION

LOAN ID

AID / AID_ID

CUSTOMER SERVICE INFORMATION

CORRESPONDENCE HOLDER

CUSTOMER_SERVICE_REPRESENTATI
VE / CSR_NAME

CORRESPONDENCE TRACKING NUMBER

COMMUNICATION /
COMMUNICATION_ID

AID_STATUS_CHANGE_REQUEST /
AID_STAT_REQST_SEQ_NMBR

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

PARTICIPANT PERSONAL INFORMATION

PARTICIPANT ID

SCHOOL INFORMATION

SCHOOL CITY

PARTICIPANT / PARTICIPANT_ID

SCHOOL_POC / CITY_NAME

SCHOOL ID

SCHOOL / SCHOOL_ID

SCHOOL NAME

SCHOOL_DESIGNATION /
SCHOOL_NAME

SCHOOL POSTAL CODE

SCHOOL_POC / POSTAL_CODE

SCHOOL STATE

SCHOOL_POC / STATE_NAME

SCHOOL STREET ADDRESS

SCHOOL_POC / STREET_NAME

Flow Name: State Agency Correspondence

Definition: Exchange of correspondence between ED and the State Agencies.

To/from State Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

DATE OF CORRESPONDENCE

COMMUNICATION /
COMMUNICATION_START_DATE

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

DATE OF RESOLUTION

CASE / CASE_STOP_DATE

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_STOP_DATE

CUSTOMER SERVICE INFORMATION

CORRESPONDENCE HOLDER

CUSTOMER_SERVICE_REPRESENTATI
VE / CSR_NAME

CORRESPONDENCE TRACKING NUMBER

COMMUNICATION /
COMMUNICATION_ID

AID_STATUS_CHANGE_REQUEST /
AID_STAT_REQST_SEQ_NMBR

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

STATE AGENCY INFORMATION

STATE AGENCY CITY

AID_ORGANIZATION_POC /
CITY_NAME

STATE AGENCY NAME

| | |
|-----------------------------|---------------------------------------|
| | AID_ORGANIZATION / AID_ORG_NAME |
| STATE AGENCY POSTAL CODE | |
| | AID_ORGANIZATION_POC / POSTAL_CODE |
| STATE AGENCY STATE | |
| | AID_ORGANIZATION_POC / STATE_NAME |
| STATE AGENCY STREET ADDRESS | |
| | AID_ORGANIZATION_POC / STREET_NAME |

The following diagram presents the flows of information exchanged between the Process Calls process and the postsecondary education community.

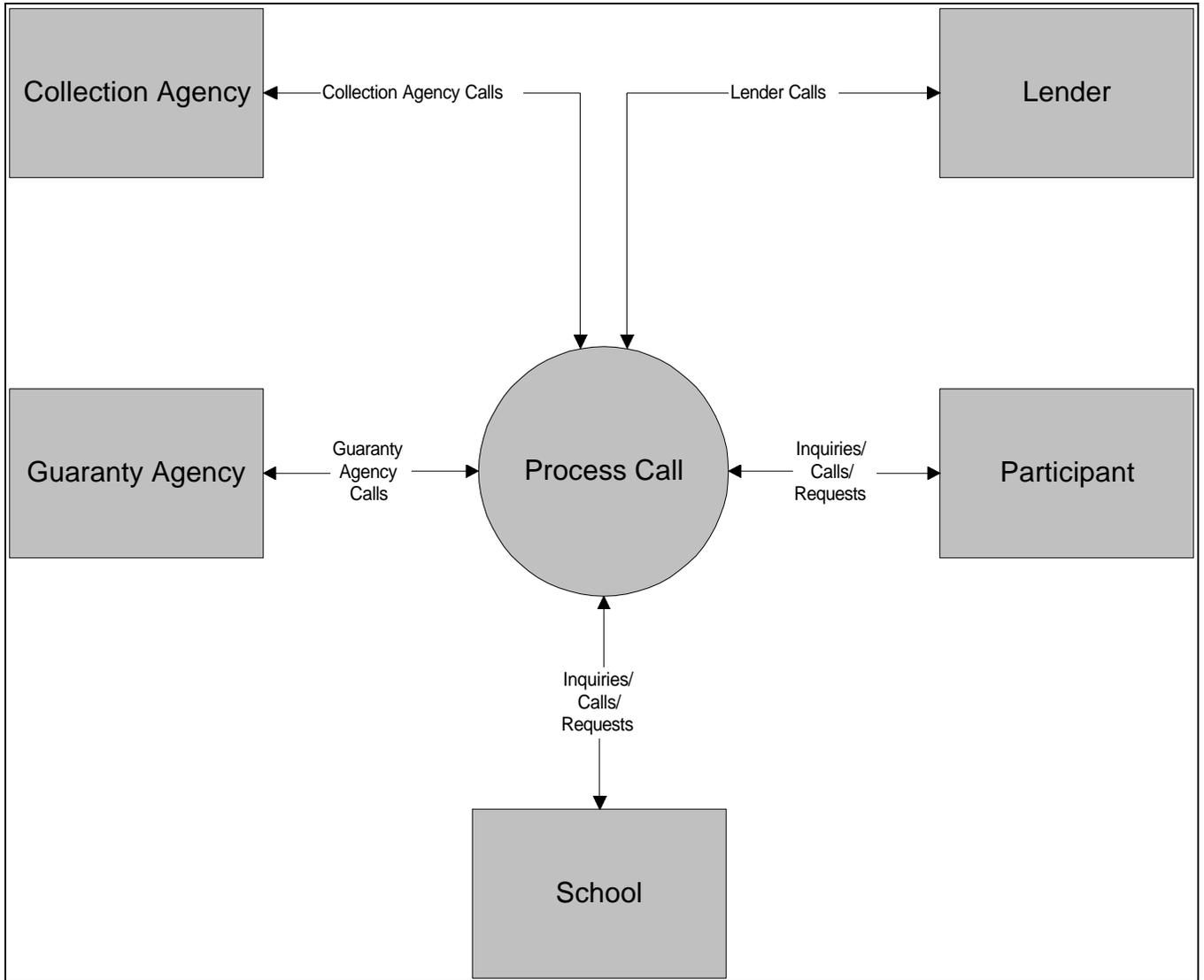


Exhibit 3.5.3-19 Process Phone Call

Flow Name: Collection Agency Calls

Definition: Calls to/from collection agencies and the Department of Education.

To/from Collection Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID ORGANIZATION INFORMATION

COLLECTION AGENCY ID

AID_ORGANIZATION / ORG_ID

CUSTOMER SERVICE INFORMATION

CALL DATE

COMMUNICATION /
COMMUNICATION_ID

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

CALL RECEIVER NAME

CUSTOMER_SERVICE_RESPRESENTAT
IVE / CSR_NAME

CALL STATUS

COMMUNICATION / COMPL_IND

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_CAT

CALL TIME

COMMUNICATION /
COMMUNICATION_START_TIME

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

POLICY INFORMATION

POLICIES AND PROCEDURES

AID_PROGRAM_REQUIREMENT /
RQMT_DESC

Flow Name: Guaranty Agency Calls

Definition: Call to and from a Guaranty Agency and the Department of Education.

To/from Guaranty Agency from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

CUSTOMER SERVICE INFORMATION

CALL DATE

COMMUNICATION /
COMMUNICATION_ID

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

CALL RECEIVER NAME

CUSTOMER_SERVICE_RESPRESENTAT
IVE / CSR_NAME

CALL STATUS

COMMUNICATION / COMPL_IND

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_CAT

CALL TIME

COMMUNICATION /
COMMUNICATION_START_TIME

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

POLICY INFORMATION

POLICIES AND PROCEDURES

AID_PROGRAM_REQUIREMENT /
RQMT_DESC

Flow Name: Lender Calls

Definition: Calls to/from collection agencies and the Department of Education.

To/from Lender from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID ORGANIZATION INFORMATION

LENDER ID

AID_ORGANIZATION / ORG_ID

CUSTOMER SERVICE INFORMATION

CALL DATE

COMMUNICATION /
COMMUNICATION_ID

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

CALL RECEIVER NAME

CUSTOMER_SERVICE_RESPRESENTAT
IVE / CSR_NAME

CALL STATUS

COMMUNICATION / COMPL_IND

AID_SCHOOL_AID_ORG_REQUEST_STAT
US / REQST_STAT_CAT

CALL TIME

COMMUNICATION /
COMMUNICATION_START_TIME

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

Flow Name: Participant Inquiries/Calls/Requests

Definition: Requests by participants to obtain assistance or information.

To/from Participant from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID INFORMATION

LOAN ID

AID / AID_ID

CALL INFORMATION

CALL DATE

COMMUNICATION /
COMMUNICATION_START_DATE

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

CUSTOMER SERVICE INFORMATION

CALL RECEIVER NAME

CUSTOMER_SERVICE_RESPRESENTAT
IVE / CSR_NAME

CALL STATUS

COMMUNICATION / COMPL_IND

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

CALL TIME

COMMUNICATION /
COMMUNICATION_START_TIME

AID_STATUS_CHANGE_REQUEST /
REQST_DATE

CORRESPONDENCE HOLDER

Rejected

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

PARTICIPANT PERSONAL INFORMATION

PARTICIPANT ID

PARTICIPANT / PARTICIPANT_ID

Flow Name: School Inquiries/Calls/Requests

Definition: Calls by schools to obtain assistance or information.

To/from School from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

CUSTOMER SERVICE INFORMATION

CALL DATE

COMMUNICATION /
COMMUNICATION_ID

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

CALL RECEIVER NAME

CUSTOMER_SERVICE_RESPRESENTAT
IVE / CSR_NAME

CALL STATUS

COMMUNICATION / COMPL_IND

AID_SCHOOL_AID_ORG_REQST_STAT
US / REQST_STAT_CAT

CALL TIME

COMMUNICATION /
COMMUNICATION_START_TIME

AID_SCHOOL_AID_ORG_REQUEST /
REQST_DATE

INQUIRY RESOLUTION

CASE / RESOLUTION_DESC

AID_STATUS_CHANGE_REQUEST /
REQST_DESC

INQUIRY SUBJECT

CASE / CASE_CAT

AID_STATUS_CHANGE_REQUEST /
REQST_CAT

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Manage Program Information process and the postsecondary education community.

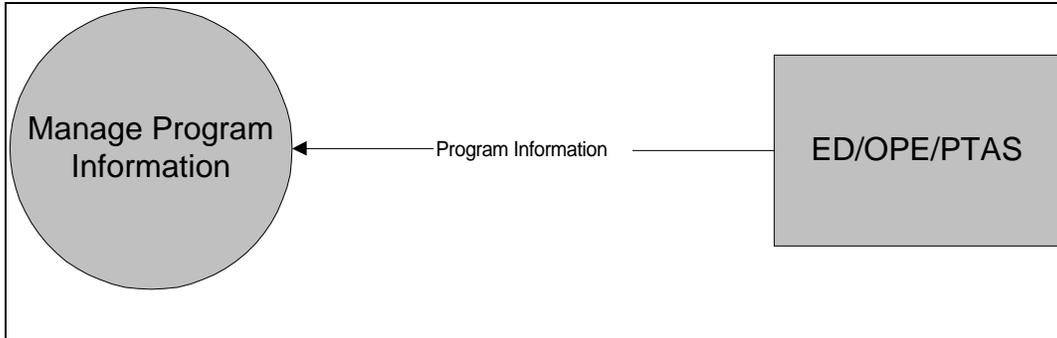


Exhibit 3.5.3-20 Manage Program Information

Flow Name: Program Information

Definition: Information related to any of the Department of Education's financial aid programs, including general requirements, qualifications, approximate funding levels, legislation and other program-specific data.

From ED/OPE/Policy, Training and Analysis Service To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

AID PROGRAM

PROGRAM TITLE CATEGORY

AID_PROGRAM / AID_PGM_TITLE_CAT

PROGRAM PORTABILITY INDICATOR

AID_PROGRAM / PORTABILITY_IND

PROGRAM SUBSIDIZED INDICATOR

AID_PROGRAM / SUBSIDIZED_IND

PUBLIC PROGRAM INDICATOR

AID_PROGRAM / PUBLIC_PGM_IND

PROGRAM CATEGORY

AID_PROGRAM / AID_PGM_CAT

NATIONAL FUNDS AVAILABLE TO PROGRAM

AID_PROGRAM /
NATL_FUNDS_AVAIL_AMT

PROGRAM POINT OF CONTACT

AID_PROGRAM / AID_PGM_POC_NAME

PROGRAM VERSION START DATE

AID_PROGRAM /
AID_PGM_VER_START_DATE

PROGRAM VERSION END DATE

AID_PROGRAM /
AID_PGM_VER_STOP_DATE

PROGRAM NAME

AID_PROGRAM / AID_PGM_NAME

PROGRAM VERSION NUMBER

AID_PROGRAM / VER_NMBR

PROGRAM REQUIREMENTS

REQUIREMENT NAME

AID_PROGRAM_REQUIREMENT /
RQMT_NAME

REQUIREMENT DESCRIPTION

AID_PROGRAM_REQUIREMENT /
RQMT_DESC

PROGRAM WEB PAGE

INTERNET ADDRESS CATEGORY

INTERNET_ADDRESS_NAME /
INTERNET_ADDR_CAT

INTERNET ADDRESS

INTERNET_ADDRESS_NAME /
WEB_ADDR_NAME

PROGRAM REPAYMENT PLAN

REPAYMENT PLAN NAME

REPAYMENT_PLAN /
REPMT_PLAN_NAME

REPAYMENT PLAN DESCRIPTION

REPAYMENT_PLAN /
REPMT_PLAN_DESC

REPAYMENT PLAN START DATE

REPAYMENT_PLAN /
PLAN_START_DATE

REPAYMENT PLAN END DATE

REPAYMENT_PLAN /
PLAN_STOP_DATE

GRACE PERIOD

REPAYMENT_PLAN /
GRACE_PERIOD_MONTH_CNT

GRACE PERIOD END DATE

REPAYMENT_PLAN /
GRACE_PERIOD_END_DATE

TERM PERIOD DESCRIPTION

REPAYMENT_PLAN /
TERM_PERIOD_DESC

NUMBER OF TERM PERIODS

REPAYMENT_PLAN / TERM_QTY

The following diagram presents the flows of information exchanged between the Manage School Appeal process and the postsecondary education community.

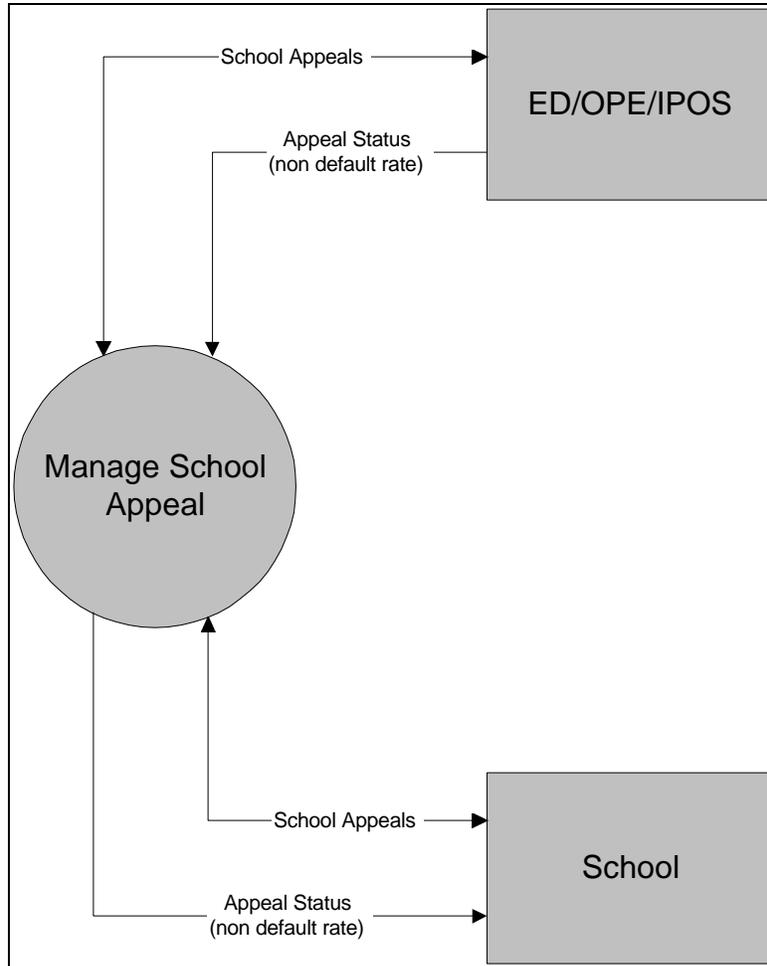


Exhibit 3.5.3-21 Manage School Appeal

Flow Name: Appeal Status (non default rate)

Definition: Status of a particular non default rate appeal. Factors may include whether action has been taken to remove probationary status and the correction of audit and program review compliance deficiencies.

From ED/OPE/IPOS To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL NOTICE DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL OUTCOME

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

DEFICIENCY_DISPUTE /
DIPSUTE_STAT_CAT

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL STATUS

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

PERIOD END DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_STOP_DATE

PERIOD START DATE

ORGANIZATION_REVIEW /
REVIEW_PERIOD_START_DATE

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW /
ACN_NMBR

DEFICIENCY CODE

DEFICIENCY / DEFICIENCY_CAT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

DOCUMENT TRACKING INFORMATION

DOCKET NUMBER

ORGANIZATION_REVIEW /
APPEAL_DOCKET_NMBR

ED DEPT INFORMATION

ADMIN RESPONSIBLE PERSON

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

SCHOOL INFORMATION

SCHOOL ID

SCHOOL / SCHOOL_ID

Flow Name: School Appeals

Definition: A school's appeal of an audit finding, program review finding or administrative action.

To/from ED/OPE/IPOS from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL NOTICE DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

FISCAL YEAR

ORGANIZATION_REVIEW / FY_NMBR

PERFECTED APPEAL

ORGANIZATION_REVIEW /
PERFECTED_APPEAL_DATE

REASON CODE

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

REASON COMMENT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

AUDIT/PROGRAM INFORMATION

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW /
ACN_NMBR

PRCN

| | |
|-----------------------------------|---|
| | ORGANIZATION_REVIEW / PRCN_NMBR |
| REVIEWING SOURCE | Derived |
| DOCUMENT TRACKING INFORMATION | |
| DOCKET NUMBER | ORGANIZATION_REVIEW / APPEAL_DOCKET_NMBR |
| SCHOOL INFORMATION | |
| ADMINISTRATIVE RESPONSIBLE PERSON | AID_ORGANIZATION_POC / AID_ORG_POC_FIRST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_LAST_NAME |
| | AID_ORGANIZATION_POC / AID_ORG_POC_MIDDLE_NAME |
| | AID_ORGANIZATION_POC / POC_ROLE_NAME |
| | SCHOOL_POC / SCHOOL_POC_CAT |
| | SCHOOL_POC / SCHOOL_POC_NAME |
| CERTIFICATION BY OWNER | ORGANIZATION_REVIEW / APPEAL_CERT_DATE |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| SCHOOL NAME | SCHOOL_DESIGNATION / SCHOOL_NAME |

Flow Name: Appeal Status (non default rate)

Definition: Status of a particular non default rate appeal. Factors may include whether action has been taken to remove probationary status and the correction of audit and program review compliance deficiencies.

To School From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

ADMIN RESPONSIBLE PERSON

AID_ORGANIZATION_POC /
AID_ORG_POC_FIRST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_LAST_NAME

AID_ORGANIZATION_POC /
AID_ORG_POC_MIDDLE_NAME

AID_ORGANIZATION_POC /
POC_ROLE_NAME

SCHOOL_POC / SCHOOL_POC_CAT

SCHOOL_POC /
SCHOOL_POC_NAME

APPEAL NOTICE DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL OUTCOME

DEFICIENCY_DISPUTE /
DISPUTE_STAT_CAT

ORGANIZATION_REVIEW /
APPEAL_OUTCOME_FAVORABLE_IND

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_CAT

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL RESOLVED DATE

ORGANIZATION_REVIEW_STATUS /
ORG_REVIEW_STAT_STOP_DATE

APPEAL STATUS

| | |
|----------------------------------|---|
| | ORGANIZATION_REVIEW / APPEAL_OUTCOME_FAVORABLE_IND |
| APPEAL TYPE | |
| | ORGANIZATION_REVIEW / SCHOOL_APPEAL_CAT |
| | ORGANIZATION_REVIEW / APPEAL_CAT |
| FISCAL YEAR | |
| | ORGANIZATION_REVIEW / FY_NMBR |
| PERIOD END DATE | |
| | ORGANIZATION_REVIEW / REVIEW_PERIOD_STOP_DATE |
| PERIOD START DATE | |
| | ORGANIZATION_REVIEW / REVIEW_PERIOD_START_DATE |
| RESOLUTION TEXT | |
| | ORGANIZATION_REVIEW_STATUS / ORG_REVIEW_STAT_REASON_DESC |
| AUDIT/PROGRAM REVIEW INFORMATION | |
| ACN | |
| | ORGANIZATION_REVIEW / ACN_NMBR |
| DEFICIENCY CODE | |
| | DEFICIENCY / DEFICIENCY_CAT |
| DOCKET NUMBER | |
| | ORGANIZATION_REVIEW / APPEAL_DOCKET_NMBR |
| PRCN | |
| | ORGANIZATION_REVIEW / PRCN_NMBR |
| SCHOOL INFORMATION | |
| SCHOOL ID | |
| | SCHOOL / SCHOOL_ID |

Flow Name: School Appeals

Definition: A school's appeal of an audit finding, program review fining or administrative action.

To/from School from/to EASI/ED

Data Elements

Corresponding LDM Attribute(s)

APPEAL INFORMATION

APPEAL NOTICE DATE

ORGANIZATION_REVIEW /
APPEAL_NOTIF_DATE

APPEAL RECEIPT DATE

ORGANIZATION_REVIEW /
APPEAL_RECEIPT_DATE

APPEAL TYPE

ORGANIZATION_REVIEW /
SCHOOL_APPEAL_CAT

ORGANIZATION_REVIEW /
APPEAL_CAT

PERFECTED APPEAL

ORGANIZATION_REVIEW /
PERFECTED_APPEAL_DATE

REASON CODE

ORGANIZATION_REVIEW /
ORG_REVIEW_CAT

REASON COMMENT

ORGANIZATION_REVIEW /
REVIEW_QUALITY_DESC

AUDIT/PROGRAM REVIEW INFORMATION

ACN

ORGANIZATION_REVIEW / ACN_NMBR

LIABILITIES

DEFICIENCY /
LIABILITY_FINANCIAL_AMT

PRCN

ORGANIZATION_REVIEW /
PRCN_NMBR

REVIEWING SOURCE

Derived

DEFAULT RATE INFORMATION

COHORT YEAR

ORGANIZATION_REVIEW /
COHORT_YR_NMBR

DOCUMENT TRACKING INFORMATION

DOCKET NUMBER

ORGANIZATION_REVIEW /
APPEAL_DOCKET_NMBR

SCHOOL INFORMATION

ADMIN RESPONSIBLE PERSON

SCHOOL_POC / SCHOOL_POC_CAT
SCHOOL_POC / SCHOOL_POC_NAME

CERTIFICATION BY OWNER

ORGANIZATION_REVIEW /
APPEAL_CERT_DATE

FISCAL YEAR

SCHOOL / FY_STOP_DATE

SCHOOL ID

SCHOOL / SCHOOL_ID

The following diagram presents the flows of information exchanged between the Process Hope Scholarship and Lifetime Learning Credit Information process and the postsecondary education community.

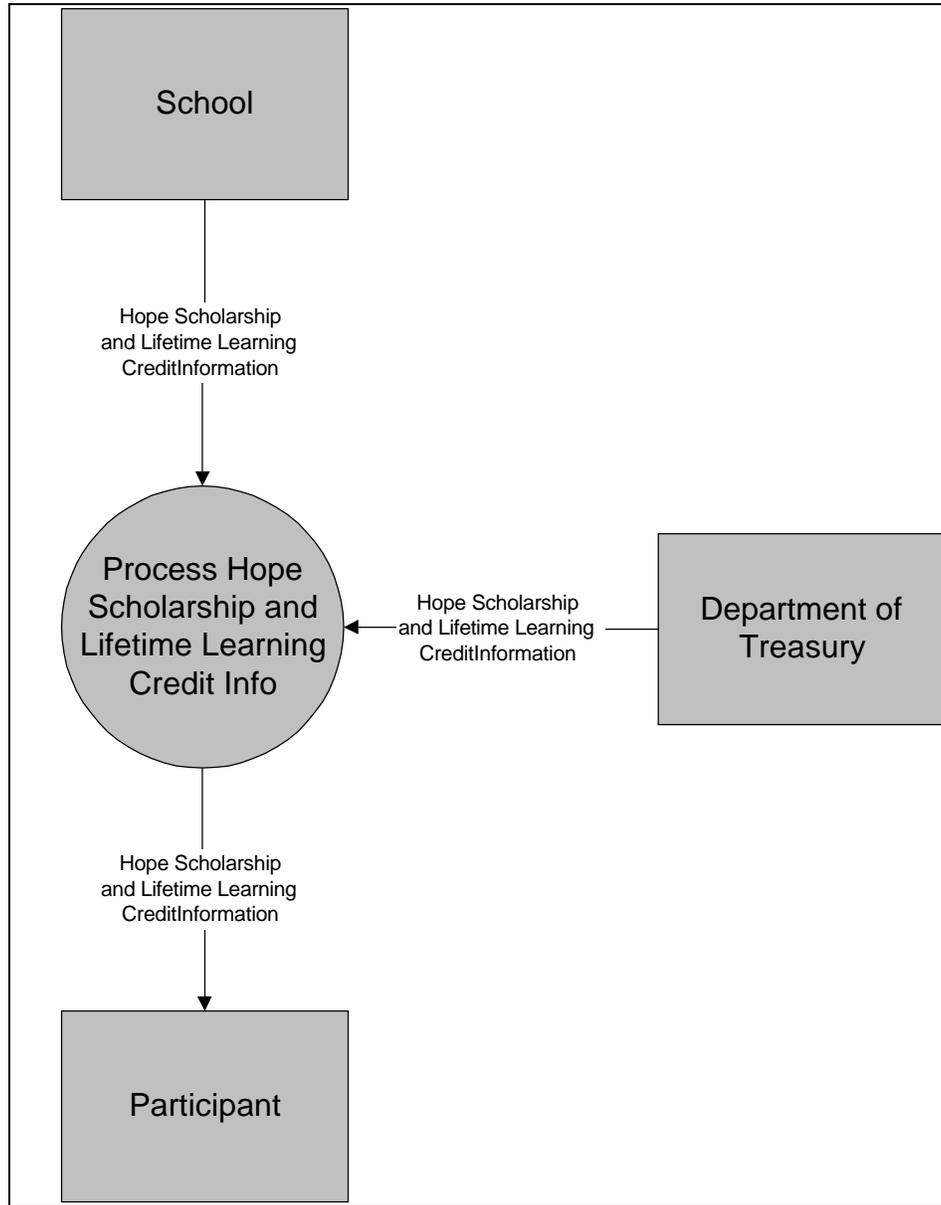


Exhibit 3.5.3-22 Process Hope Scholarship and Lifetime Learning Credit Information

Flow Name: Hope Scholarship and Lifetime Learning Credit Information

Definition: Information pertaining to Hope Scholarship and Lifetime Learning Credit programs.

To Department of Treasury From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ENROLLMENT STATUS

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

FILER ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS / STATE_NAME

PARTICIPANT_ADDRESS / CNTRY_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

FILER FIRST NAME

PARTICIPANT_NAME / FIRST_NAME

FILER LAST NAME

PARTICIPANT_NAME / LAST_NAME

FILER'S SSN

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

GRADUATE COURSE ONLY INDICATOR

SCHOOL_ENROLLMENT / DEGREE_CAT

PARTICIPANT ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS / STATE_NAME

| | |
|-------------------------------------|---|
| | PARTICIPANT_ADDRESS / CNTRY_NAME |
| | PARTICIPANT_ADDRESS / STREET_NAME |
| PARTICIPANT FIRST NAME | PARTICIPANT_NAME / FIRST_NAME |
| PARTICIPANT LAST NAME | PARTICIPANT_NAME / LAST_NAME |
| PARTICIPANT SSN | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| QUALIFIED TUITION AND FEE AMOUNT | SCHOOL_ENROLLMENT / QUALIF_TUITION_FEE_TOTAL_AMT |
| SCHOOL ID | SCHOOL / SCHOOL_ID |
| YEAR GRANT AID RECEIVED | AID / AID_PERIOD_START_DATE |
| | AID / AID_PERIOD__STOP_DATE |

Flow Name: Hope Scholarship and Lifetime Learning Credit Information

Definition: Information pertaining to Hope Scholarship and Lifetime Learning Credit programs.

To Participant From EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ENROLLMENT STATUS

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

FILER ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS / STATE_NAME

PARTICIPANT_ADDRESS / CNTRY_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

FILER FIRST NAME

PARTICIPANT_NAME / FIRST_NAME

FILER LAST NAME

PARTICIPANT_NAME / LAST_NAME

FILER'S SSN

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

GRADUATE COURSE ONLY
INDICATOR

SCHOOL_ENROLLMENT / DEGREE_CAT

PARTICIPANT ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /

| | |
|----------------------------------|---|
| | POSTAL_CODE |
| | PARTICIPANT_ADDRESS / STATE_NAME |
| | PARTICIPANT_ADDRESS / CNTRY_NAME |
| | PARTICIPANT_ADDRESS / STREET_NAME |
| PARTICIPANT FIRST NAME | |
| | PARTICIPANT_NAME / FIRST_NAME |
| PARTICIPANT LAST NAME | |
| | PARTICIPANT_NAME / LAST_NAME |
| PARTICIPANT SSN | |
| | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| QUALIFIED TUITION AND FEE AMOUNT | |
| | SCHOOL_ENROLLMENT / QUALIF_TUITION_FEE_TOTAL_AMT |
| SCHOOL ID | |
| | SCHOOL / SCHOOL_ID |
| YEAR GRANT AID RECEIVED | |
| | AID / AID_PERIOD_START_DATE |
| | AID / AID_PERIOD__STOP_DATE |

Flow Name: Hope Scholarship and Lifetime Learning Credit Information

Definition: Information pertaining to Hope Scholarship and Lifetime Learning Credit programs.

From School To EASI/ED

Data Elements

Corresponding LDM Attribute(s)

ENROLLMENT STATUS

SCHOOL_ENROLLMENT /
ENRL_STAT_CAT

FILER ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /
POSTAL_CODE

PARTICIPANT_ADDRESS / STATE_NAME

PARTICIPANT_ADDRESS / CNTRY_NAME

PARTICIPANT_ADDRESS /
STREET_NAME

FILER FIRST NAME

PARTICIPANT_NAME / FIRST_NAME

FILER LAST NAME

PARTICIPANT_NAME / LAST_NAME

FILER'S SSN

SOCIAL_SECURITY_NUMBER /
SSN_NMBR

GRADUATE COURSE ONLY
INDICATOR

SCHOOL_ENROLLMENT / DEGREE_CAT

PARTICIPANT ADDRESS

PARTICIPANT_ADDRESS / CITY_NAME

PARTICIPANT_ADDRESS /

| | |
|----------------------------------|---|
| | POSTAL_CODE |
| | PARTICIPANT_ADDRESS / STATE_NAME |
| | PARTICIPANT_ADDRESS / CNTRY_NAME |
| | PARTICIPANT_ADDRESS / STREET_NAME |
| PARTICIPANT FIRST NAME | |
| | PARTICIPANT_NAME / FIRST_NAME |
| PARTICIPANT LAST NAME | |
| | PARTICIPANT_NAME / LAST_NAME |
| PARTICIPANT SSN | |
| | SOCIAL_SECURITY_NUMBER / SSN_NMBR |
| QUALIFIED TUITION AND FEE AMOUNT | |
| | SCHOOL_ENROLLMENT / QUALIF_TUITION_FEE_TOTAL_AMT |
| SCHOOL ID | |
| | SCHOOL / SCHOOL_ID |
| YEAR GRANT AID RECEIVED | |
| | AID / AID_PERIOD_START_DATE |
| | AID / AID_PERIOD__STOP_DATE |

3.5.3 Data Flow Characteristics Information

The following tables list the data flow characteristics for the data flows comprising the Program Management and Oversight Subsystem external interfaces. The data flows are grouped alphabetically by the process within the subsystem that receives/sends the data flow.

Process Name: Manage Aid Organization Appeal

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--|----------------------------------|--------------------------|-------------|----------------|------------------|
| Appeal Decision (with corrections) | To Lender From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Decision (with corrections) | To Guaranty Agency From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Decision (with corrections) | From ED/OPE/GLOS To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Status | To Secondary Market From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Appeal Status | To Guaranty Agency From EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Appeal Status | To Lender From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Appeal Status | From ED/OPE/GLOS To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Appeal Status | From Servicer To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Appeals from GA's, Lenders, Secondary Markets and Services | To ED/OPE/GLOS From EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Cohort Default Rate Appeals (with corrected information) | To ED/OPE/GLOS From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Cohort Default Rate Appeals (with corrected information) | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Not Applicable | Undecided |
| Cohort Default Rate Appeals (with corrected information) | From Lender To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| GA Appeal Response (with | To ED/OPE/GLOS From | Most Current | Interactive | Not Applicable | Undecided |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|---------------------------------------|---------------------------------|--------------------------|---------------|----------------------|-------------------------|
| corrections) | EASI/ED | Information | | | |
| GA Appeal Response (with corrections) | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| GA Appeal Response (with corrections) | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| GA Appeals | From Guaranty Agency To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Lender Appeals | From Lender To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Servicer Appeals | From Servicer To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |

Process Name: Manage Aid Organization Audit Review Information

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--|---------------------------------|--------------------------|---------------|----------------------|-------------------------|
| GA, Lender, Servicer and Secondary Market Audits and Reviews | From Guaranty Agency To EASI/ED | Most Current Information | Bi-annually | Paper | Electronic/Paper |
| Program Review and Audit Information | From ED/OPE/GLOS To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |

Process Name: Manage Aid Organization Default Rate

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|----------------------------------|--------------------------|---------------|----------------------|-------------------------|
| Default Rates | To Guaranty Agency From EASI/ED | No more than 1 year old | Annually | Paper | Electronic/Paper |
| Default Rates | To Secondary Market From EASI/ED | No more than 1 year old | Annually | Paper | Electronic/Paper |
| Default Rates | To Servicer From EASI/ED | Most Current Information | Bi-annually | Paper | Electronic/Paper |
| Default Rates | From ED/OPE/GLOS To | No more than 1 | Annually | Paper | Electronic/Paper |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|------------------------|--------------------------|---------------|----------------------|-------------------------|
| | EASI/ED | year old | | | |
| Lender Default Rates | To Lender From EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |

Process Name: Manage Aid Organization Performance

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|-----------------------------|--------------------------|---------------|----------------------|-------------------------|
| Participant Feedback | From Participant To EASI/ED | Most Current Information | Interactive | Electronic | Electronic |

Process Name: Manage Aid Organization Program Financial Oversight Information

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--------------------------------------|---------------------------------|--------------------------|---------------|----------------------|-------------------------|
| Approved Lender Application | To ED/OPE/AFMS From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Approved Lender Application | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| GA Profiles | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Electronic | Electronic |
| Lender ID | To ED/OPE/GLOS from EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Lender Participant Questionnaires | To/from Lender from/to EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Lender Profiles | From Lender To EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| Program and Financial Oversight Data | To Guaranty Agency From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Program and Financial Oversight Data | To Lender From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|---|-----------------------------|--------------------------|---------------|----------------------|-------------------------|
| Program and Financial Oversight Data | To Servicer From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Program and Financial Oversight Data | From ED/OPE/GLOS To EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| Program and Financial Oversight Notices | To ED/OPE/AFMS From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Servicer Profiles | From Servicer To EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |

Process Name: Manage Cohort Default Rate

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|------------------------------|---------------------------------|--------------------------|---------------|----------------------|-------------------------|
| Cohort Default Rates (CDR's) | To ED/OPE/IPOS From EASI/ED | Most Current Information | Bi-annually | Paper | Electronic/Paper |
| Cohort Default Rates (CDR's) | To School From EASI/ED | Most Current Information | Bi-annually | Paper | Electronic/Paper |
| Cohort Default Rates (CDR's) | To Guaranty Agency From EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |

Process Name: Manage Cohort Default Rate Appeal

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|------------------------------------|--|--------------------------|---------------|----------------------|-------------------------|
| Appeal Decision (with corrections) | To Guaranty Agency/Direct Loan Servicer From EASI/ED | Most Current Information | Interactive | Not Applicable | Undecided |
| Appeal Decision (with corrections) | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Decision (with corrections) | From ED/OPE/IPOS To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Status | To Guaranty Agency/Direct Loan Servicer From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Status | To School From EASI/ED | Most Current | Interactive | Not Applicable | Electronic |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--|--|--------------------------|---------------|----------------------|-------------------------|
| | | Information | | | |
| Appeal Status | From ED/OPE/IPOS To EASI/ED | Most Current Information | Bi-annually | Paper | Electronic/Paper |
| Cohort Default Rate Appeals (with corrected information) | To ED/OPE/IPOS From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Cohort Default Rate Appeals (with corrected information) | To Guaranty Agency/Direct Loan Servicer From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Cohort Default Rate Appeals (with corrected information) | From School To EASI/ED | Most Current Information | Annual | Not Applicable | Electronic |
| GA Appeal Response (with corrections) | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| GA Appeal Response (with corrections) | To ED/OPE/IPOS From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| GA Appeal Response (with corrections) | From Guaranty Agency/Direct Loan Servicer To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Loan Service Performance Information | From Lender To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

Process Name: Manage Program Information

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|--|--------------------------|---------------|----------------------|-------------------------|
| Program Information | From ED/OPE/Policy, Training and Analysis Service To EASI/ED | Most Current Information | Interactive | Electronic | Electronic |

Process Name: Manage School Appeal

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|----------------------------------|------------------------|--------------------------|---------------|----------------------|-------------------------|
| Appeal Status (non default rate) | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Appeal Status (non default rate) | From ED/OPE/IPOS To | Most Current | Interactive | Not Applicable | Electronic |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|-----------------------------|--------------------------|---------------|----------------------|-------------------------|
| | EASI/ED | Information | | | |
| School Appeals | To ED/OPE/IPOS From EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| School Appeals | From School To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |

Process Name: Manage School Audit Information

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|---------------------------------------|-------------------------------------|--------------------------|---------------|----------------------|-------------------------|
| Audit and Review Acceptances | From ED/OPE/IPOS To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Compliance Audit Information | From School To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Financial Ratios | From ED/OPE/IPOS To EASI/ED | Most Current Information | Annually | Not Applicable | Electronic |
| Financial Statement Acceptance | To/from ED/OPE/IPOS from/to EASI/ED | Most Current Information | Daily | Not Applicable | Electronic |
| Financial Statements | From School To EASI/ED | Most Current Information | Annually | Not Applicable | Electronic |
| GA School Reviews and Audits | From Guaranty Agency To EASI/ED | Most Current Information | Annually | Not Applicable | Electronic |
| School Audit Data | From School To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| School Program Review Data | From School To EASI/ED | Most Current Information | Interactive | Not Applicable | Undecided |
| Single Audit Supplemental Information | From Clearinghouse To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

Process Name: Manage School Business Information

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|------------------|-----------------|---------------|----------------------|-------------------------|
|-----------------------|------------------|-----------------|---------------|----------------------|-------------------------|

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|------------------------------|------------------------|--------------------------|---------------|----------------------|-------------------------------|
| Changed Business Information | From School To EASI/ED | Most Current Information | Interactive | Paper/Diskette | Electronic/Paper/ Diskette |
| School Information Changes | To ED/CFO From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |

Process Name: Manage School Closure

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|--------------------------------------|--------------------------|---------------|----------------------------|----------------------------|
| Bankruptcy Notice | To ED/CFO From EASI/ED | Most Current Information | Interactive | Not Applicable | Undecided |
| Closed School Notices | To ED/OPE/IPOS From EASI/ED | Most Current Information | Interactive | Electronic/Paper/ Voice | Electronic/Paper/ Voice |
| Closed School Notices | From State Agency To EASI/ED | Most Current Information | Interactive | Electronic/Paper/ Voice | Electronic/Paper/ Voice |
| Closed School Notices | From Participant To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic/Paper/ Voice |
| Closed School Notices | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Electronic/Paper/ Voice | Electronic/Paper/ Voice |
| Closed School Notices | From Accreditation Agency To EASI/ED | Most Current Information | Interactive | Electronic | Electronic/Paper/ Voice |
| Closed School Notices | From School To EASI/ED | Most Current Information | Interactive | Electronic/Paper/ Voice | Electronic/Paper/ Voice |
| Refund Information | To Participant From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic/Paper |

Process Name: Manage School Eligibility

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-------------------------|--------------------------------------|--------------------------|---------------|----------------------------|----------------------------|
| Accreditation Decisions | From Accreditation Agency To EASI/ED | No more than 1 month old | Interactive | Electronic/Paper/ Voice | Electronic/Paper/ Voice |
| Application Decision | From ED/OPE/IPOS To | Most Current | Daily | Paper | Electronic/Paper |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--|--|--------------------------|---------------|----------------------|-------------------------|
| Determination | EASI/ED | Information | | | |
| Approval Letter | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Undecided |
| Bills for Punitive Damages, Requests for Audit Adjustments | To ED/CFO From EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| Certification Application | From School To EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| Certification Application Data | From ED/OPE/IPOS To EASI/ED | Most Current Information | Daily | Electronic/Paper | Electronic/Paper |
| Eligibility and Certification Approval Report (ECAR) | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Letter of Credit | From School To EASI/ED | Most Current Information | Daily | Paper | Electronic/Paper |
| Letter of Credit Determination | To School From EASI/ED | Most Current Information | Daily | Paper | Electronic/Paper |
| Letter of Credit Determination | From ED/OPE/IPOS To EASI/ED | Most Current Information | Daily | Paper | Electronic/Paper |
| Letter of Credit Received/Posted | To ED/OPE/IPOS From EASI/ED | Most Current Information | Daily | Paper | Electronic/Paper |
| Non-Eligible Schools, start/stop payment order | To ED/CFO From EASI/ED | Most Current Information | Interactive | Not Applicable | Undecided |
| Participating Program Agreement (Signed) | To ED/OPE/IPOS From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Program Participation Agreement | From School To EASI/ED | Most Current Information | Daily | Paper | Electronic/Paper |
| Program Participation Agreement (Countersigned) | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Program Participation Agreements (PPA's) | To School From EASI/ED | Most Current Information | Daily | Paper | Electronic/Paper |
| School Certification Actions | To Accreditation Agency From EASI/ED | No more than 1 month old | Interactive | Paper | Electronic/Paper |
| School Certification Actions | To State Licensing Agency From EASI/ED | No more than 1 month old | Interactive | Paper | Electronic/Paper |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-------------------------------|--|--------------------------|---------------|----------------------|-------------------------|
| School ID Information | From ED/OPE/IPOS To EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| School Program Review | To ED/OPE/IPOS From EASI/ED | Most Current Information | Daily | Paper | Paper |
| Start/Stop Payment Order | To ED/OPE/AFMS From EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| Start/Stop Payment Order | From ED/OPE/IPOS To EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| State Licensing Info | From ED/OPE/IPOS to EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| State Licensing Info | From State Licensing Agency To EASI/ED | Most Current Information | Interactive | Voice/Paper | Electronic/Voice/Paper |
| Third Party Audit Information | To ED/OPE/IPOS From EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Transmittal Letter | To School From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

Process Name: Manage School Ownership

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--|---------------------------------|--------------------------|---------------|----------------------|-------------------------|
| Change of Ownership Approvals | To Guaranty Agency From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Change of Ownership Approvals | From ED/OPE/IPOS To EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |
| Change of Ownership Notices | From School To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Change of Ownership Transfer of Payments | To ED/OPE/AFMS From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic |

Process Name: Manage School Performance

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-------------------------------------|-----------------------------|--------------------------|---------------|----------------------|-------------------------|
| Participant Feedback | From Participant To EASI/ED | Most Current Information | Interactive | Electronic | Electronic |
| Participant Request for Information | From Participant To EASI/ED | Most Current Information | Interactive | Electronic | Electronic |

Process Name: Manage School Sanction

| | | | | | |
|--|---------------------------------|--------------------------|-------------|----------------|------------------|
| Limitation, Suspension and Termination Notices | To School From EASI/ED | Most Current Information | Interactive | Not Applicable | Electronic/Paper |
| Limitation, Suspension and Termination Notices | From ED/OPE/IPOS To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Limitation, Suspension and Termination Notices | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

Process Name: Manage State Sponsored Instructional Grant Application

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------------|------------------------------|--------------------------|---------------|----------------------|-------------------------|
| SSIG Program Applications | From State Agency To EASI/ED | Most Current Information | Annually | Paper | Electronic/Paper |
| Update State Agency Profile | From State Agency To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

Process Name: Process Correspondence

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|----------------------------------|---|--------------------------|---------------|----------------------|-------------------------|
| Collection Agency Correspondence | To/from Collection Agency from/to EASI/ED | Most Current Information | Interactive | Electronic/Paper | Electronic/Paper |
| Guaranty Agency Correspondence | To/from Guaranty Agency from/to EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Lender Correspondence | To/from Lender from/to | Most Current | Interactive | Electronic/Paper | Electronic/Paper |

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------------|--------------------------------------|--------------------------|---------------|----------------------|-------------------------|
| | EASI/ED | Information | | | |
| Participant Correspondence | To/from Participant from/to EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| School Correspondence | To/from School from/to EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| State Agency Correspondence | To/from State Agency from/to EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

Process Name: Process Phone Call

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|--------------------------------------|---|--------------------------|---------------|----------------------|-------------------------|
| Collection Agency Calls | To/from Collection Agency from/to EASI/ED | Most Current Information | Interactive | Voice | Voice |
| Guaranty Agency Calls | From Guaranty Agency To EASI/ED | Most Current Information | Interactive | Voice | Voice |
| Lender Calls | From Lender To EASI/ED | Most Current Information | Interactive | Voice | Voice |
| Participant Inquiries/Calls/Requests | From Participant To EASI/ED | Most Current Information | Interactive | Voice | Voice |
| Response to Inquiries/Calls/Requests | To Participant From EASI/ED | Most Current Information | Interactive | Voice | Voice |
| School Inquiries/Calls/Requests | From School To EASI/ED | Most Current Information | Interactive | Voice | Voice |

Process Name: Process School Data

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|-----------------------------|--------------------------|---------------|----------------------|-------------------------|
| Hold Status | From ED/OPE/AFMS To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| New School List | From ED/OPE/IPOS To EASI/ED | No more than 1 year old | Annually | Paper | Electronic/Paper |

Process Name: Track Waiver

| Data Flow Name | Direction | Currency | Timing | Current Media | Acceptable Media |
|-----------------------|-----------------------------|--------------------------|---------------|----------------------|-------------------------|
| Exception Report | To School From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Exception Response | From School To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Title III School List | From ED/OPE/HEP To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Waiver Request | From School To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Waiver Request Info | From ED/OPE/AFMS To EASI/ED | Most Current Information | Interactive | Electronic | Electronic |
| Waiver Request Result | To School From EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |
| Waiver Request Result | From ED/OPE/AFMS To EASI/ED | Most Current Information | Interactive | Paper | Electronic/Paper |

3.5.4 Internal Interfaces

The project team analyzed the logical dependencies between the processes comprising the Program Management and Oversight Subsystem and processes belonging to the Aid Application, Aid Origination and Disbursement, Aid Repayment and Financial Services Subsystems and concluded that the Program management and Oversight Subsystem does not present any internal interfaces with the other subsystems.

3.1.4 Subsystem Physical Access Mode

The following table presents the description the Project EASI/ED BARD requirements associated with the Program Management and Oversight Subsystem, and the type of physical access mode associated with the requirement:

| Requirement Identifier | Requirement Description | Physical Access Mode |
|------------------------|---|-------------------------------|
| 1050 | The system shall provide information contained in the ED Student Aid Handbook, Student Guide, and information about school participation in the Title IV programs. | electronic, fax, paper |
| 1052 | The System shall maintain and provide access to original and/or copies of original correspondence and/or communications to authorized parties. | electronic, fax, paper |
| 1150 | The system shall allow auditors and program reviewers, as well as those with the need for self audit such as lenders and schools, the necessary access to transaction histories by school, student, and program in order to perform audits/reviews, based on: <ul style="list-style-type: none"> - Independent student samples - Statistical sampling - Exception reports - Self auditing - Performance based standards and measures | electronic |
| 1170 | The system shall allow participants to provide feedback on services offered by organizations associated with the Title IV programs. This feedback shall include comments on: <ul style="list-style-type: none"> - the performance rating of schools, lenders, guaranty agencies and the ED - EASI/ED system software service and performance | electronic, fax, paper, voice |
| 1180 | The system shall solicit feedback from participants, schools and other organizations on services offered by organizations associated with the Title IV aid programs. | electronic, fax, paper |
| 1190 | The system shall publish results on the feedback received from the schools, other organizations and participants. | electronic, fax, paper, voice |
| 1497 | The system shall send quarterly interest statements to participants during the grace period for Direct Loans that are unsubsidized, or that are consolidated while the student is still in school and have an unsubsidized or a PLUS portion. | electronic, fax, paper |
| 2004 | The system shall send the participant a disclosure statement 60 days prior to the end of the grace period. | electronic, fax, paper |
| 2552.01 | The system shall accept and respond to calls. | voice |
| 2552.05 | The system shall, upon school request, send to students defaulting on Perkins Loans letters requesting immediate payment. | electronic, fax, paper |
| 2552.06 | The system shall receive billing date change requests from participants, and if the request is approved, update the billing date accordingly for Direct Loans and those loans assigned to ED for debt collection. | electronic, fax, paper, voice |
| 2552.13 | The system shall provide information and materials to external parties. | Electronic, Paper |
| 2900 | The system shall provide visibility to Title IV participant information, to authorized parties, at varying levels of detail and shall associate Title IV participant information across functional | electronic |

| Requirement Identifier | Requirement Description | Physical Access Mode |
|------------------------|---|------------------------|
| | areas (e.g., application, Disbursement, repayment). | |
| 3455 | The system shall provide refund information to the participant in the case of a school closure. | electronic, fax, paper |
| 3572 | The system shall provide cohort default rates and corresponding back up data to the appropriate parties. | Electronic, Paper |
| 5330 | The system shall accept third party Quality Control Review flagging , audit acceptance or rejection reason information, and auditors debarment information. | Electronic, Paper |
| 6010 | The system shall receive Hope Scholarship and Lifetime Learning Credit information from schools. | Electronic, Paper |
| 6020 | The system shall report Hope Scholarship and Lifetime Learning Credit information to Treasury on behalf of schools. | Electronic |
| 6030 | The system shall report Hope Scholarship and Lifetime Learning Credit information to participants on behalf of schools. | Electronic, Paper |
| 6110 | The system shall accept SSIG applications from states. | Electronic, Paper |

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