

FY 2000 IRB Approved Portfolio Summary

CURRENT PORTFOLIO WITHOUT ADJUSTING FOR ACTUAL NEEDS*:

Channel	Current Number of Funded Projects	Original FY00 Approved Funding Level	Current Approved Funding Level	Budget Surplus/(Deficit)
Students	21	11,288,000	9,950,000	1,338,000
Schools	5	2,716,000	2,456,000	260,000
Partners	4	4,242,000	2,700,000	1,542,000
CFO	1	8,000,000	8,000,000	-
CIO	26	25,925,000	24,852,685	1,072,315
COO	1	800,000	800,000	-
Total:	58	52,971,000	48,758,685	4,212,315

PORTFOLIO IF ADJUSTED FOR ACTUAL NEEDS*:

Channel	Approved Funding Level	Projects Needing Funding Above Approved Level		Projects Needing less than Approved Funding		Current Actual Need
		# of Projects	Total Amount	# of Projects	Total Amount	
Students	9,950,000	8	4,199,000	0	-	14,149,000
Schools	2,456,000	0	-	1	(12,000)	2,444,000
Partners	2,700,000	3	1,100,000	0	-	3,800,000
CFO	8,000,000	0	-	1	(290,000)	7,710,000
CIO	24,852,685	6	1,674,702	2	(1,650,000)	24,877,387
COO	800,000	1	40,000	0	-	840,000
Total:	48,758,685	18	7,013,702	4	(1,952,000)	53,820,387

Avail. Mod. Funds: 52,971,000

Surplus/(Deficit): (849,387)

*Actual needs based on business case submissions except for CIO (who scrubbed numbers post business case submission).

STUDENTS
FY00 IRB Approved Initiatives

Ref#	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
1	Online Financial Planning Tool	0	0	0	Provide financial counseling tools that would allow students and families to estimate costs of attending school, financial aid required, financial aid eligibility, repayment obligations, and future earnings.	2/10: IRB funding of \$400k is not required for project.
2	Spanish FAFSA on the Web CPS	1,000,000	1,450,000	450,000	States/territories with large Spanish-speaking populations only have the English FAFSA to provide to their Spanish-speaking students. Since we are attempting to increase the number of electronic applications, this would provide an electronic application in Spanish.	
3	Mentor Program	100,000	500,000	400,000	Expansion of the pilot program to allow data entered through school admission systems to be transferred to FAFSA on the web.	
4	PLUS Redesign (FAFSA)	200,000	200,000	0	Analysis of matching parental data for plus eligibility.	
	Digital ID (PIN)				Establish a mechanism to enable participants to electronically authenticate documents to reduce requirement for paper signatures.	
5	PIN/SSA Match	200,000	200,000	0	In order to provide electronic signatures to applicants, the Department has plans to implement a PIN registration system. Once an applicant applies for an electronic signature, the Department wants to authenticate them by performing a match with SSA.	
6	ACES Development for CPS	1,000,000	1,000,000	0	By implementing a pilot w/the GSA ACES program, ED will be allowing constituents nationwide to begin using a gov't-standard digital signature for accessing personal records & digitally signing applications.	
7	CAVIRS Data Match CPS	200,000	200,000	0	Provide ability to determine if applicants have previously defaulted on any government loans to prevent defaulters from obtaining Title IV aid.	
8	IRS Match Pilot	350,000	350,000	0	The Higher Education Amendments of 1998 authorize ED to confirm certain Title IV applicant income information with IRS data. In 2000-2001, ED will do a pilot with schools to determine impact of an IRS match on financial aid administration at the school I	
9	IRS Test Match Study	150,000	150,000	0	The Higher Education Amendments of 1998 authorize the Secretary of Education to confirm certain Title IV applicant income information with IRS data. In 2000-2001, ED and the IG will conduct a test match study with the IRS to examine the consistency of FA	
10	Simplify FAFSA Renewal	250,000	250,000	0	Simply FAFSA renewal: OSFA will fill out the forms, so students can simply sign and return them if there are no changes.	
11	Eliminate XE errors in LC	200,000	1,005,000	805,000	Initial Direct Consolidation Loan booking to Direct Loan Servicing and/or supplemental loan bookings to Direct Loan Servicing are routinely rejected by superfluous edits, and embedded hidden edits.	
12	FFEL Direct Debit	150,000	550,000	400,000	Borrowers do not have the option to make electronic payments directly from their bank accounts for the purpose of paying on their defaulted student loans.	
13	LC Web Application enhancements	400,000	1,774,000	1,374,000	Provide an automated, Internet based method to permit potential Direct Consolidation Loan borrowers to initiate their loan request through an on-line, interactive application linked to the Direct Loan Consolidation Center. (STU019)	
14	Establish Variable Interest Rates-Ph II	200,000	200,000	0	To introduce variable interest rates to certain GSL and Federal Direct Student Loan debts.	
15	DL Servicing Interest rate discount CDS	200,000	200,000	0	Borrowers in repayment becoming delinquent	
16	DL Servicing Interest rate discount DLS	1,000,000	1,000,000	0	Borrowers in repayment becoming delinquent	
17	Analyze and Initiate Online Exit Counseling	400,000	400,000	0	Schools and students need access to both current and historical loan data to understand debt burden and to understand the implications of taking out Federal student loans. Currently, there is no automated mechanism to access all this data.	
18	Electronic Billing Infrastructure	0	400,000	400,000	Develop systems to allow borrowers to receive Direct Loan billing statements and to make monthly payments over the internet.	
19	Analysis of Servicing/Collections Future Support	500,000	795,000	295,000	Analysis to determine the best approach for implementing new systems for Servicing and Debt Collection	
20	Modify Administrative Wage Garnishment at 15%	250,000	325,000	75,000	The current AWG process on the DCMS administer garnishments is now 10%. FMS Treasury has the authority to garnish at 15% if we follow FMS guidelines.	
21	FAFSA Express Telecomm Redesign	200,000	200,000	0	FAFSA Express currently uses GEIS dial-up VAN to submit FAFSAs from students.	

STUDENTS
FY00 IRB Approved Initiatives

Ref#	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
22	Y2K Contingencies	0	0	0	If a Y2K failure occurs, a contingency plan is required to continue supporting processes.	2/10: Approved IRB funding of \$938,000 not required. No Y2K emergencies.
23	Consolidated Customer Call Center	1,500,000	1,500,000	0	Establish one toll-free number for student customer service. Make account info on loan balances, payment histories, and other general account info available 24 hours per day, 7 days per week.	
24	Single Student Account	1,500,000	1,500,000	0	Establish a mechanism to allow students to have a single web interface to see details about their Title IV aid, including FFELP loans, Direct Loans, Perkins Loans, and Pell Grants.	
	TOTAL:	9,950,000	14,149,000	4,199,000		
	PORTFOLIO ANALYSIS					
			Funded Projects:	21		
			Number of projects requiring funding above approved level:	8		
			Sum of funding needed above approved level:	4,199,000		
			Number of projects requiring less funding than approved:	0		
			Sum of approved funding not needed:	0		

SCHOOLS

FY00 IRB Approved Initiatives

Ref#	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
	Enhance School Monitoring Systems				Analyze and enhance systems supporting school monitoring including document receipt and control center, risk analysis system, and eligibility and certification module of PEPS.	
1	RISK & Other IPOS Data Analysis Initiatives	1,580,000	1,580,000	0	In this project IPOS management seeks to perform an expert and objective strategic assessment and improvement of its data management/risk analysis tool. The purpose of the assessment if to evaluate how well the present model actually achieves its risk-as	
2	Fiscal 2000 Procure RFMS DBA	180,000	168,000	(12,000)	The services of an Oracle Database Administrator (DBA) are required for Fiscal Year 2000. The Department does not have DBAs, therefore, it is necessary to find personnel with Oracle DBA experience.	
3	Independent Quality Assurance Unit for RFMS	336,000	336,000	0		
4	Y2K Contingencies	0	0	0	If a Y2K failure occurs, a contingency plan is required to continue supporting processes.	2/10: Approved IRB funding of \$210,000 not required. No Y2K emergencies.
5	TIVWAN/Internet interface	300,000	300,000	0	Modify LO/RFMS/NSLDS for TIVWAN/Internet interface	
6	LO generated Letters to Schools	0	0	0	LO generated letters to students are needed to prompt action by the borrower to sign their Prnote or request the school to forward to the LOC all booking transactions. For students whose loans have not been booked by LO due to any of the three items requ	2/10: Project completed using operational funds. \$25k IRB funding unnecessary
7	Modify DLSAS cash detail record layout (LO)	0	0	0	The DLSAS record layout may cause some confusion when the schools review the GAPS adjustment versus the GAPS cancellations.	2/10: Project completed using operational funds. \$25k IRB funding unnecessary
8	Adapt Demographic Feed from CPS to FAFSA Changes	60,000	60,000	0	This annual software change will allow NSLDS to add/delete data from the Demographic file due to changes made to the Free Application for Federal Student Aid (FAFSA).	
	Program Support Subtotal:	2,456,000	2,444,000	(12,000)		
PORTFOLIO ANALYSIS						
			Funded Projects:	5		
			Number of projects requiring funding above approved level:	0		
			Sum of funding needed above approved level:	0		
			Number of projects requiring less funding than approved:	1		
			Sum of approved funding not needed:	(12,000)		

FINANCIAL PARTNERS
FY00 IRB Approved Initiatives

Ref#	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
1	Redesign Lender Rprt sys. for a relational database	0	0	0	Current system operating in hierarchical database. User access is limited to rigid parameters. The users need more flexible access to the data. Users require the system to take advantage of technology that provides a more robust method of data collect	2/10: Funding not needed in FY00. Project will not occur in FY00. Amt approved by IRB was \$1,500,000.
2	Y2K Contingencies	0	0	0	If a Y2K failure occurs, a contingency plan is required to continue supporting processes.	2/10: Approved IRB funding of \$42,000 not required. No Y2K emergencies.
3	NSLDS Common FFEL Format for Partners	1,200,000	2,000,000	800,000		
4	NSLDS Improve/Revise Loan Status Codes	800,000	800,000	0	Add new loan status codes to permit more accurate determinations of loan status.	
5	799 on the Web	500,000	700,000	200,000	Interactive, web-based form will need to be produced. (PAR047) Let lenders have the option of monthly instead of quarterly reporting. (PAR048).	
6	NSLDS Online Adhoc Update Function	200,000	300,000	100,000	GA's need the ability to update events that are held in history in NSLDS but are data that are not included in the current data submittal process. Direct Loan Servicer needs to update default data that is data the Debt Collection System does not maintain	
	Total:	2,700,000	3,800,000	1,100,000		
PORTFOLIO ANALYSIS						
			Funded Projects:	4		
			Number of projects requiring funding above approved level:	3		
			Sum of funding needed above approved level:	1,100,000		
			Number of projects requiring less funding than approved:	0		
			Sum of approved funding not needed:	0		

CFO
FY00 IRB Approved Initiatives

Ref#	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
Financial Mgt						
1	Financial Management Systems	8,000,000	7,710,000	(290,000)	Create a "best in business" financial management and accounting system.	
	Financial Mgt Subtotal:	8,000,000	7,710,000	(290,000)		

CIO
FY00 IRB Approved Initiatives

Ref #	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
1	Modernization partner services	5,000,000	5,000,000	0	Contract to implement the Modernization Blueprint.	
2	Portals/Intranet, (formerly Student/Partner Web Page Development)	2,000,000	2,000,000	0	Combines all web funding for development & processes in one area. Funding will provide OSFA web master with resources to do web initiatives throughout OSFA.	Should be used to support Web portals prototype & Web portals for Customers as in CRP v.1. Includes \$470k from CIO Intranet project
3	Personalized Student Web Page				Create a "smart" web page for students that reacts to the particular circumstances for each student. For example, if a student has multiple student loans with multiple lenders, the site would suggest consolidation to the student.	
4	On-line Help Wizard				Create an electronic "personal assistant" that would help students understand how to complete electronic processes, direct them to appropriate resources, and resolve issues and questions.	
5	E-commerce Infrastructure (Data Center Intranet/Host Computer Access Layer)	3,000,000	3,000,000	0	Procure, license, & install middleware & related support for initial integration of OFSA databases.	
6	VDC Telecommunications	1,500,000	1,572,760	72,760	Consolidate SFA telecommunication requirements into one VDC.	
7	System Sizing Analysis	500,000	500,000	0	Perform capacity planning and performance analysis to determine the required hardware and storage for modernization.	2 yr effort \$500K requested in FY00
8	Investment Management Implementation	0	0	0	Acquire software for performing investment management	2/24: canceled by IRB, \$200k.
9	Web Standardization	200,000	200,000	0	Develop a standard look and feel for multiple web development projects. Create a standard web technical and security infrastructure.	
10	Decision Support System	750,000	500,000	(250,000)	Use data warehousing to provide management reporting, trend analysis, and other assessment functions.	
11	Call Center (Help Desk) Support Standardization	0	0	0	Consolidate and use standardized tools for help desk support, complaint tracking, and related activities.	2/24: Canceled by IRB, \$500k.
12	Security Services Infrastructure	500,000	500,000	0	Create a system security infrastructure, including standardization.	
13	Training	500,000	500,000	0	Provide training for all reengineered systems to OSFA employees, and end users, as appropriate.	
14	IV&V	3,500,000	3,500,000	0	Perform independent validation and verification for newly developed systems	
15	SDLCDM Handbook (formerly METHOD 1)	160,000	160,000	0	ADAPTING THE METHOD 1 METHODOLOGY TO THE OSFA ENVIRONMENT AND TRAIN OSFA STAFF IN IT'S USE.	
16	NSLDS Reconciliation/enrollment changes/TIVWAN	255,000	255,000	0	NSLDS currently does not use the electronic participation file that TIVWAN transmits to NSLDS nightly to update NSLDS/TIVWAN enrollment info. TIVWAN manually copies enrollment documents & mails copies to NSLDS customer service for processing.	
17	TIVWAN Web Interface (online query)	400,000	400,000	0	TIV WAN customers access data transmission history/statistics, distribution information via TIV WAN online query.	
18	CIO Intranet	470,000	470,000	0	Create an Intranet for CIO to have on-line access to acquisition procedures, SDLC documentation, enterprise encyclopedia, & other info needed for application development & CIO mgt.	Funding & bus. Case part of Portals/Intranet above (#2)
19	Implement Pell Changes (NSLDS)	0	0	0	Changes to RFMS.	To be done as part of Maint. \$40k Approved not needed.
	All Enrolled Data			0		
20	Clearinghouse Costs	900,000	900,000	0	Provide the clearinghouse with DL borrower data. Resolves certain SSCR reporting issues.	
21	Clearinghouse Reporting for DLS/NSLDS	50,000	50,000	0	Provide the clearinghouse with DL borrower data. Resolves certain SSCR reporting issues.	
22	Improve Aid Overpayment Function (NSLDS)	60,000	125,000	65,000	This software change will eliminate the possibility of student identifier conflicts.	
23	Broaden PEPS/NSLDS Data Exchange	300,000	800,000	500,000	Perform work identified in PEPS Phase 1 task order. This includes capturing all data provided by PEPS in its weekly feed (including DUNS and foreign address information) and working with PEPS to formulate a new feed to provide data needed by our custome	D. Moore indicated on 2/8/00 that all \$800K is needed!!

CIO
FY00 IRB Approved Initiatives

Ref #	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
24	Single Identifier Analysis	0	0	0	Analyze the risks, benefits, and methodologies for implementing a single school identifier including the analysis of DUNS, OPE ID, Grantee Award Number across all legacy systems.	2/10: Project on hold. Funding of \$500k canceled by IRB.
25	Implement use of NSLDS data for FISAP	0	0	0	There is significant burden on the schools to provide the annual FISAP report to the Department. There is much duplicate data between the FISAP and the Federal Perkins Loan program data the school provides to NSLDS. OMB has requested that the Department	Not going to be done in FY00. \$35K approved canceled.
26	Improve Notional Default Rate System (Repymt Info)	30,000	591,942	561,942	Student Loan Repayment Information for Schools should be available by 12/1999 on NSLDS' Web. This information will provide to schools current repayment and default rate information on loans originated at their school.	D. Moore indicated on 2/8/00 that all \$592K is needed!! Funding can be either FY99 or FY00.
27	Improve NSLDS Web Functions for External Customers	75,000	500,000	425,000	In FY 1999, NSLDS is in the process of transitioning all online CICS screens to the web. As users begin to use the screens, NSLDS anticipates feedback on ways to improve them.	D. Moore indicated on 2/8/00 that \$495K is needed!! Will request additional funding via business case if necessary.
28	Support NSLDS Customers/Conference Support	150,000	150,000	0	All ED systems must communicate with customers to maintain a high level of satisfaction.	
29	SFA Portals Design	200,000	200,000	0	Design of SFA Portals. This item was approved by the IRB 1/5 w/o funding! Funding to come as part of #2	IRB Approved 01/06
30	Integrated Case Tool Suite	1,728,685	1,728,685	0	Forwarded to IRB 1/3	IRB Approved 02/24
31	Operate Portals Web Site	224,000	224,000	0	Forwarded to IRB 1/3	IRB Approved 02/24
32	Modify CPS	0	0	0	It is necessary to modify CPS so it will accommodate the Access America for Students pilot requirements.	2/10: Approved funding of \$150k canceled by IRB.
33	Modify LO	0	0	0	This initiative makes changes to the Direct Loan Origination system that allow AAFS pilot schools and their students to use the AAFS Student Account Manager as their only point of contact for Direct Loan origination and disbursement records instead of goi	2/10: Approved funding of \$600k canceled by IRB.
34	Modify DLSS	0	0	0	This initiative makes changes to the Direct Loan Servicing System that allows PLUS borrowers from the 50 Access America for Students pilot schools to have their PLUS loan serviced through the Student Account Manager instead of the Direct Loan Servicing.	2/10: Approved funding of \$150k canceled by IRB.
35	Develop AAFS Student Account Manager	1,700,000	300,000	(1,400,000)	To give students and schools a single point of contact for Pell Grants and Direct Loans; to enable common business practices and standards; to provide improved customer service.	
36	Modify RFMS	0	0	0	This initiative makes changes to the RFMS origination system that allow AAFS pilot schools and their students to use the AAFS Student Account Manager as their only point of contact for Pell origination and disbursement records instead of going through RFM	2/10: Approved funding of \$500k canceled by IRB.
37	Modify TIVWAN	0	0	0	TIVWAN needs to be able to accept the common record format AAFS pilot schools will be using for transactions.	2/10: Approved funding of \$550k canceled by IRB.
38	Develop AAFS Gateway Website	700,000	750,000	50,000	To provide a single point of contact and improved service for students in the delivery of student financial aid and other government services.	Natural Language Search Eng.
	TOTAL:	24,852,685	24,877,387	24,702		
PORTFOLIO ANALYSIS						
				Funded Projects:	26	
				Number of projects requiring funding above approved level:	6	
				Sum of funding needed above approved level:	1,674,702	
				Number of projects requiring less funding than approved:	2	

CIO

FY00 IRB Approved Initiatives

Ref #	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
	Sum of approved funding not needed:			(1,650,000)		

COO

FY00 IRB Approved Initiatives

Ref#	Project	Approved	Actual Need	Difference	Initiative Description	Comment/Status
Ombudsman						
1	Ombudsman Tracking System	800,000	840,000	40,000	Implement a system to monitor and track student complaints.	
	Ombudsman Subtotal:	800,000	840,000	40,000		