

*FSA Integration Program*  
United States Department of Education  
Federal Student Aid



**Release 2.0 Application Delivery**

*Task Order #116*

*Deliverable #116.7.4*

**Version 1.0**

**Final**

*5/14/2004*

## Revision History

Date	Version	Description	Author
5/14/2004	1.0	Version for delivery to FSA.	Seth Sinclair

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<b>OVERVIEW</b> .....	<b>1</b>
<b>R 2.0 TEST REPORT</b> .....	<b>3</b>
TEST EXECUTION RESULTS .....	3
IST RESULTS .....	3
ADDITIONAL TEST PASSES .....	4
SIRs REMAINING OPEN.....	4
DEPLOYMENT ISSUES .....	4
<b>APPENDIX LIST</b> .....	<b>5</b>
A. R 2.0 SIR_CONDITION TRACKING 05142004 v1.XLS .....	5
B. R 2.0 IST REPORT.DOC.....	5
C. R 2.0 eZ-AUDIT OPEN SIR REPORT 05142004.XLS .....	5
D. R 2.0 eZ_AUDIT USER ACCEPTANCE TESTING RESULTS 05052004.XLS .....	5

## Overview

The Release 2.0 Application Delivery documents results of the R 2.0 testing effort. This includes a detailed report on conditions tested, SIRs logged/resolved, and items remaining open at the end of the testing phase.

The following provides a high-level summary of the functionality developed and tested with R 2.0, by functional area.

### Incomplete

- Quality Control (QC) users will continue to use eZ-Audit to review incoming submissions.
- For submissions marked incomplete in QC, the system will generate an “Incomplete” letter. The letter will display the reasons the QC user marked the submission “Incomplete.”
- School users will receive an email notifying that an Incomplete letter has been posted for their submission. They will receive follow-up incomplete notifications 15 and 30 days later if they do not resubmit.
- School users will be able to view the Incomplete letter by logging into eZ-Audit and selecting to view the letter from their “Notifications” section.
- Institutions will only be required to update/resubmit the portion of the submission that was marked incomplete. Portions of a submission previously marked complete will not need to be reviewed a second time by FSA QC.
- The eZ-Audit correspondence log will be automatically updated to reflect the date the letter is posted/viewed.
- FSA users will have the ability to retrieve Incomplete Submissions/Letters using eZ-Audit Search functionality.

### New Institutions

- The system will determine the correct annual submission due date for institutions new to the eZ-Audit system.
- The system will require appropriate information to be submitted for a new institution based on how long they have participated in Title IV programs (only a FS or both a FS and CA).

### Resolution

- The system will allow FSA Co-Team leaders to sort incoming submissions by record type, submission type, oldest, and newest submissions.
- The system will allow Resolution Users to create amended versions of DDIF and ACD records. This includes the ability to view all versions created.
- The current system flags every submission when the School User indicates a “Change in Auditor.” After R 2.0, the system will only flag for Change in Auditor when an institution indicates a change on the Financial Statement for two consecutive years.

### **School Group**

- The system will support Annual Submissions from a School Group who submits one Consolidated Financial Statement for all schools in the group but separate Compliance Audits for each school in the group.
- The system will allow one member of the group to serve as group “Locator.” The Locator will have the ability to enter/upload the Consolidated Group Financial Statement.
- The Locator will also have the ability to enter/upload Compliance Audit information by OPEID for all OPEIDs in the group.
- The system will allow all members of the group to enter/upload Compliance Audit information for their own OPEID.
- The system will allow FSA users to view group submission assignments for resolution, by school.

### **Search**

- The system will provide improved ability to search for records in the eZ-Audit system. This includes new search criteria/results such as Submission Status and Completeness, Resubmission, Flagged, and Deficiency Indicators.

## R 2.0 Test Report

### Test Execution Results

The following provides a high level summary of all valid SIRs logged and tested during the R 2.0 testing effort. In each phase the pass results met the exit criteria defined in the R 2.0 Test Plan (116.7.3 R 2.0 Test Preparation Delivery).

#### Total R 2.0 SIRs through System Test

As of 4/30/2004	Total Valid Logged	Total Tested Successfully	% Tested Successfully	Sys Test Exit Criteria
L1	51	51	100.00%	L1 - 0 L2 - Less than 15% of logged SIRs unresolved L3 - No Limit L4 - No Limit
L2	80	79	98.75%	
L3	91	47	51.65%	
L4	0	0	0.00%	

#### Total R 2.0 SIRs through User Acceptance Test

As of 5/05/2004	Total Valid Logged	Total Tested Successfully	% Tested Successfully	UAT Exit Criteria
L1	53	53	100.00%	L1 - 0 L2 - Less than 15% of logged SIRs unresolved L3 - No Limit L4 - No Limit
L2	84	82	97.62%	
L3	107	80	74.77%	
L4	5	0	0.00%	

#### Total R 2.0 SIRs through Regression Test (final deployment)

As of 5/14/2004	Total Valid Logged	Total Tested Successfully	% Tested Successfully	Reg Test Exit Criteria
L1	58	58	100.00%	L1 - 0 L2 - Less than 5% of logged SIRs unresolved L3 - Less than 15% of logged SIRs unresolved L4 - No Limit
L2	94	94	100.00%	
L3	137	118	86.13%	
L4	5	5	100.00%	

A detailed report of all SIRs logged, resolved, and tested for R 2.0 is provided in Appendix A R 2.0 SIR\_Condition Tracking. The report also includes a list of conditions tested/ passed/ failed per pass during System Test. These are provided by functional area for each System Test pass.

### IST Results

Refer to Appendix B R 2.0 IST Report for detail on IST results.

## Additional Test Passes

The test team executed one extra pass of System Test in addition to the four passes outlined in the R 2.0 Test Plan. After System Test Pass 3, the team revised the test schedule to include this additional test/fix cycle. This decision was made based on the number of open SIRs at the end of Pass 3 (approximately 81). The team completed System Test on schedule with the additional pass.

## SIRs Remaining Open

19 Severity Level 3 SIRs remain open at the end of the R 2.0. The development team received permission from the eZ-Audit Operations Manager to proceed with these items remaining open. A detailed list of these items is available in Appendix C eZ-Audit R 2.0 Open Sir Report. The development team will work with the Operations Manager to create a plan for resolving these items after R 2.0 is deployed.

## Deployment Issues

The following issues will need to be addressed during the R 2.0 Code Freeze / Deployment window:

Title	Description	Proposed Resolution
Historical Stub Audit	Stub audits existing in the system before R 2.0 will have a financial statement associated with the submission. The R 2.0 workflow does not include checks for financial statements. This could result in problems with Stub Audit workflow and resubmission.	Delete the Stub Financial Statement records for all open records (un-submitted). For all already received by FSA, either delete the financial statement and update the workflow or resolve before 2.0 deployment.
Pre 2.0 Incomplete Non-Annual Submissions	The system will display "Resubmit" links to all Institution users who have non-annual submissions that were marked incomplete before R 2.0.	Change the submission status in the database to ignore the prompt for resubmission. Will work with the Operations Manager to implement the change.

## **Appendix List**

- A. R 2.0 SIR\_Condition Tracking 05142004 v1.xls**
- B. R 2.0 IST Report.doc**
- C. R 2.0 eZ-Audit Open SIR Report 05142004.xls**
- D. R 2.0 eZ\_Audit User Acceptance Testing Results 05052004.xls**