

Appendix A_R 2.0 SIR_Condition Tracking 05142004 v1.xls

Total R 2.0 SIRs through Regression Test (final deployment)

As of 5/14/2004	Total Valid Logged	Total Tested Successfully	% Tested Successfully	Reg Test Exit Criteria
L1	58	58	100.00%	L1- 0 L2 - Less than 5% of logged SIRs unresolved L3 - Less than 15% of logged SIRs unresolved L4 - No Limit
L2	94	94	100.00%	
L3	137	118	86.13%	
L4	5	5	100.00%	

Total R 2.0 SIRs through System Test

As of 4/30/2004	Total Valid Logged	Total Tested Successfully	% Tested Successfully	Sys Test Exit Criteria
L1	51	51	100.00%	L1- 0 L2 - Less than 15% of logged SIRs unresolved L3 - No Limit L4 - No Limit
L2	80	79	98.75%	
L3	91	47	51.65%	
L4	0	0	0.00%	

Total R 2.0 SIRs through User Acceptance Test

As of 5/05/2004	Total Valid Logged	Total Tested Successfully	% Tested Successfully	UAT Exit Criteria
L1	53	53	100.00%	L1- 0 L2 - Less than 15% of logged SIRs unresolved L3 - No Limit L4 - No Limit
L2	84	82	97.62%	
L3	107	80	74.77%	
L4	5	0	0.00%	

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	ENV Pass 1 4/13	SYS Pass 1 4/13 - 4/14	ENV Pass 2 4/16	SYS Pass 2 4/13 - 4/20	ENV Pass 3 4/23	Sys Pass 3 4/23-4/24	ENV Pass 4 4/27	Sys Pass 4 4/19 - 4/27	ENV Pass 5 4/29	Sys Pass 5 4/29 - 4/30	ENV UAT 5/1	UAT 5/3 - 5/5	ENV Reg 5/6	Regr 1 5/6 - 5/7	Regr 2 5/6 - 5/7
Total Logged	4	41	3	73	1	54	1	41	0	32	11	20	1	34	18
L1	4	19	2	20	1	3	1	4	0	0	0	2	1	3	1
L2	0	12	0	24	0	33	0	14	0	2	0	6	0	10	1
L3	0	10	1	29	0	15	0	19	0	29	11	7	0	20	14
L4 (Enhancements)	0	0	0	0	0	3	0	4	0	1	0	5	0	1	2
Total Rejected	1	2	0	6	0	3	0	1	0	4	0	3	0	4	0
L1	1	0	0	1	0	0	0	0	0	0	0	0	0	0	0
L2	0	1	0	1	0	2	0	1	0	0	0	2	0	1	0
L3	0	1	0	4	0	1	0	0	0	4	0	1	0	3	0
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Duplicate	0	0	0	1	0	2	0	0	0	1	1	0	0	1	0
L1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0
L2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
L3	0	0	0	0	0	1	0	0	0	1	1	0	0	1	0
L4 (Enhancements)	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0
Total CCB Review	0	0	0	0	0	2	0	4	0	1	0	0	0	1	2
L4 (Enhancements)	0	0	0	0	0	2	0	4	0	1	0	0	0	1	2
Total Valid SIRs Logged	3	39	3	66	1	47	1	36	0	26	10	17	1	28	16
L1	3	19	2	18	1	3	1	4	0	3	0	2	1	3	1
L2	0	11	0	23	0	31	0	13	0	2	0	4	0	9	1
L3	0	9	1	25	0	13	0	19	0	24	10	6	0	16	14
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	5	0	0	0
Logged + Remaining Open From Previous Pass	N/A	40	17	81	35	81	40	75	31	57	55	72	35	62	30
L1	3	20	2	18	2	4	2	5	1	1	0	2	1	3	1
L2	0	11	5	28	8	39	11	24	4	6	1	5	2	11	2
L3	0	9	10	35	25	38	27	46	26	50	54	60	27	43	27
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	5	5	5	0

Post Pass

Ready for Testing	2	26	2	56	1	46	1	51	0	12	0	51	1	49	11
L1	2	20	2	18	1	4	1	5	0	1	0	2	1	3	1
L2	0	6	0	28	0	28	0	23	0	5	0	3	0	10	2
L3	0	0	0	10	0	14	0	23	0	6	0	46	0	31	8
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0
Remaining Open	1	14	15	25	34	35	39	24	31	45	55	21	34	13	19
L1	1	0	0	0	1	0	1	0	1	0	0	0	0	0	0
L2	0	5	5	0	8	11	11	1	4	1	1	2	2	1	0
L3	0	9	10	25	25	24	27	23	26	44	54	14	27	12	19
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	5	5	0	0
Tested Successfully	2	26	2	50	1	42	1	44	0	11	0	38	1	48	11
L1	2	20	2	17	1	3	1	4	0	1	0	2	1	3	1
L2	0	6	0	23	0	28	0	20	0	5	0	3	0	10	2
L3	0	0	0	10	0	11	0	20	0	5	0	33	0	30	8
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	0	0	5	0
Failed	0	0	0	9	0	4	0	7	0	0	0	13	0	1	0
L1	0	0	0	1	0	1	0	1	0	0	0	0	0	0	0
L2	0	0	0	8	0	0	0	3	0	0	0	0	0	0	0
L3	0	0	0	0	0	3	0	3	0	0	0	13	0	1	0
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Total Remaining Open (Remaining open + failed)	1	14	15	34	34	39	39	31	31	45	55	34	34	14	19
L1	1	0	0	1	1	1	1	1	1	0	0	0	0	0	0
L2	0	5	5	8	8	11	11	4	4	1	1	2	2	1	0
L3	0	9	10	25	25	27	27	26	26	44	54	27	27	13	19
L4 (Enhancements)	0	0	0	0	0	0	0	0	0	0	0	5	5	0	0

* Refer to the eZ-Audit open SIR report for a complete list of open items.

Conditions

% Conditions Tested	N/A	22.86	N/A	62.3	N/A	93.93	N/A	95.85	N/A	99.04
% Conditions Passed	N/A	12.7	N/A	41.53	N/A	70.29	N/A	78.91	N/A	94.89

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
CODE	CODE01	R20190	Screener User/View Submission	When viewing a submission, the left navigation bar will have a link to the Code Findings page	Pass	Pass	Pass	Pass	Pass	Pass
CODE	CODE02	R20192	Co-Team Leader User/View Submission	When viewing a submission from the "Pending Assignment" queue, the Co-Team Lead will be able to access the "Code Findings" of the submission through a left navigation bar link	Pass	Pass	Pass	Pass	Pass	Pass
INC	INC01	R20198	Incomplete Letter Report/All users	The user has the ability to access the "Home" page of the eZ-Audit system after they have previously made a submission that was deemed incomplete. The status of the previous submission will be displayed in the Notification section of the "Home" page. The user will be notified that their previous submission has been determined to be incomplete. Along with the notification of the status of the submission the system will display to the user that an "Incomplete Letter Report" has been posted for their Institution.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC02	R20197	Incomplete Letter Report/All users	The user will have the ability to view the Notifications section of the "Home" page. When a previous incomplete submission from the user's institution has been made, the system will provide a notification that states that an incomplete letter report has been posted for that institution. In this notification a link, "Incomplete Letter Report", will be provided to the user. Once selected, the system will return a read-only view of the incomplete letter.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC03	R20209	Resubmission/All users and submission types	The user will have the ability to resubmit a previous submission that was deemed incomplete by the eZ-Audit system. The resubmission option is available for all users and for all submission types.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC04	R20209	Resubmission/All users and annual submissions	The user will have the ability to resubmit an annual submission via a "Resubmit your FYE [MM/DD/YYYY] Submission" link provided in the left navigation of the "Home" page of the eZ-Audit system. This link will be in place of the "Create..." link option that is apart of the original configuration of the "Home" page.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC05	R20209	Resubmission/All users and annual submissions	The user has the ability to select the "Resubmit your..." link provided in the left navigation of the "Home" page. Once the link has been selected, the system will repopulate the annual submission with the data that was originally submitted.	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC06	R20209	Resubmission/All users and non annual submissions	The user will have the ability to resubmit an incomplete non-annual submission (stub, closeout, reinstatement, merger/CIO, initial). This capability will be provided via a "Resubmit....Submission" link located in the left navigation of the "Home" page of the eZ-Audit system. This link will be provided along with the "Create..." link options for the non-annual submission types.	Untested	Pass	Pass	Failed	Pass	Pass
INC	INC07	R20209	Resubmission/All users and non annual submissions	The user has the ability to select the "Resubmit..." link provided in the left navigation of the "Home" page. Once the link has been selected, the system will repopulate the non-annual submission with the data that was originally submitted.	Untested	Pass	Pass	Failed	Pass	Pass
INC	INC08	R20203	Incomplete Letter Viewing/Case Users	A Case User will have the ability to access "Submission Summary" page for incomplete submissions. This page will provide a "Submission status" of "Incomplete" in the page header for all incomplete submissions.	Untested	Failed	Failed	Pass	Pass	Pass
INC	INC09	R20202 R20205	Incomplete Letter Viewing/Case Users	A Case User will have the ability to view an incomplete letter from the "Submission Summary" page for incomplete submissions. The system will provide a link in the header of the page titled "Link to Incomplete letter." Once the link is selected, the system will return a view-only version of the incomplete letter that is associated with that institution's submission.	Untested	Failed	Failed	Pass	Pass	Pass
INC	INC10	R20200	Incomplete letter/Correspondence log	A user of any type will have the ability to make an incomplete submission. When an institution has made an incomplete submission of any type, the system will present them with an Incomplete Letter Report. When the user views this letter, the system will record when this occurrence happened in the correspondence log.	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC11	R20193	Case User/ Search	Submissions that are incomplete can be retrieved using the "Search" functionality	Failed	Pass	Pass	Pass	Pass	Pass
INC	INC12	R20194	Co-Team Leader User/ Search	Incomplete submissions found using "Search" will be view-only	Untested	Failed	Failed	Pass	Pass	Pass
INC	INC13	R20194	Co-Team Leader User/ Search	Incomplete submissions found using "Search" will NOT be assignable	Untested	Failed	Failed	Pass	Pass	Pass
INC	INC14	R20195	Search Results Page Display/Search	On the Search Results screen, Incomplete Submissions will be marked with an "Incomplete" Status in the Submission Status column	Untested	Failed	Failed	Pass	Pass	Pass
INC	INC15	R20195	Submission Summary Page Display/System Functionality	On the Submission Summary Page, the Submission Status field at the top of the page will read "INCOMPLETE"	Untested	Failed	Failed	Pass	Pass	Pass
INC	INC16	R20196	QC/System Functionality	If a submission is marked "Incomplete," the system will create an "Incomplete Letter Report"	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC17	R20196	QC/System Functionality	If selected as a reason for Incomplete, "Audited Financial Statement is not viewable" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC18	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Other is not viewable" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC19	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Audited Financial Statement is missing" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC20	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report is missing" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC21	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Report on Compliance and Internal Controls is missing" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC22	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Other is missing" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC23	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report is not titled" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC24	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report is not signed" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC25	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report has an improper signature" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC26	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report is not dated" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC27	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report is not on letterhead" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC28	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report does not specify Government Auditing Standards" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC29	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report does not refer to all Financial Statements" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC30	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statement Independent Auditors Report does not refer to GAAP" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC31	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls is not titled" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC32	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls is not signed" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC33	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls is not dated" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
INC	INC34	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls is not on letterhead" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC35	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls does not specify Government Auditing Standards" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC36	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls does not refer to all Financial Statements" will appear on the "Incomplete Letter Report" under Financial Statement heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC37	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Compliance Audit is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC38	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Financial Statements Report On Compliance and Internal Controls is not dated/is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC39	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Servicer Information Sheet is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC40	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with Specified Requirements Applicable to the FSA Programs is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC41	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Schedule of Findings and Questioned Costs is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC42	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Summary Schedule is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC43	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Auditor's Comments on Resolution Matters Related to Prior Audit Findings is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC44	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Corrective Action Plan is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC45	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Other is missing" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC46	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Compliance Audit is not viewable" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC47	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Corrective Action Plan is not viewable" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC48	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Other is not viewable" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Failed	Pass	Pass	Pass	Pass
INC	INC49	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Auditor Information Sheet is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC50	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Auditor Information Sheet does not properly address enrollment percentages" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC51	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Servicer Information Sheet is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC52	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC53	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs was not signed" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC54	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs has improper signature" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC55	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs was not dated" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC56	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs not on letterhead" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC57	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs did not list all required Management Assertions" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC58	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs did not clearly identify the periods examined" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC59	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs did not disclose use of Government Auditing Standards" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC60	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs did not disclose use of attestation standards established by AICPA" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC61	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Report on Compliance with specified Requirements Applicable to the FSA programs did not disclose use of appropriate Audit Guide" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC62	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Schedule of Findings and Questioned Costs is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC63	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Summary Schedules is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC64	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Summary Schedules do not represent the findings" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC65	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Auditor's Comments on Resolution Matters related to prior audit findings is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC66	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Corrective Action Plan is incomplete" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC67	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Corrective Action Plan is not signed" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC68	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Corrective Action Plan has an improper signature" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC69	R20196	QC User/ QC review	If selected as a reason for Incomplete, "Corrective Action Plan is not on school letterhead" will appear on the "Incomplete Letter Report" under Compliance Audit heading	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC71	R20199	Correspondence Log Page Display/System Functionality	After a submission has been marked Incomplete, the system will post an entry for the Incomplete Submission Letter in the Correspondence Log	Untested	Untested	Pass	Pass	Failed	Pass
INC	INC72	R20201	QC User/ QC review	After a QC user has marked all Incomplete fields and selects "Submit," he will be taken to the Incomplete Letter Submission Preview Page	Failed	Untested	Failed	Failed	Pass	Pass
INC	INC73	R20201	QC User/ QC review	The QC user will be able to review an Incomplete Submission Letter on the Incomplete Letter Submission Preview Page	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC74	R20204	Incomplete Letter Submission Preview/Review Page/System Functionality	When viewed, the Incomplete Submission Letter will have a link to a "Printer Friendly Version"	Untested	Pass	Pass	Pass	Pass	Pass

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
INC	INC75	R20206	QC Page Display/ System Functionality	On the QC page for Financial Statements, there will be a field that allows a user to indicate if any attached PDFs are not viewable	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC76	R20206	QC Page Display/ System Functionality	On the QC page for Compliance Audits, there will be a field that allows a user to indicate if any attached PDFs are not viewable	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC77	R20207	QC Page Display/ System Functionality	On the QC page for Financial Statements, there will be a field that allows a user to enter any additional comments he would like to display on the Incomplete Submission Letter under the Financial Statements section	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC78	R20207	QC Page Display/ System Functionality	On the QC page for Compliance Audit, there will be a field that allows a user to enter any additional comments he would like to display on the Incomplete Submission Letter under the Compliance Audit section	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC79	R20208	QC Page Display/ System Functionality	On the QC page for Financial Statements, the following values will be selectable under the Listbox for the question "Are all required documents attached?": - Audited Financial Statement is missing. - Financial Statement Independent Auditors Report is missing. - Financial Statement Report on Compliance and Internal Controls is missing. - Other is missing.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC80	R20208	QC Page Display/ System Functionality	On the QC page for Financial Statements, the following values will be selectable under the Listbox for the question "Are all required attachments properly presented?": - Financial Statement Independent Auditors Report is not titled. - Financial Statement Independent Auditors Report is not signed. - Financial Statement Independent Auditors Report has an improper signature. - Financial Statement Independent Auditors Report is not dated. - Financial Statement Independent Auditors Report is not on letterhead. - Financial Statement Independent Auditors Report does not specify GAGAS. - Financial Statement Independent Auditors Report does not refer to all Financial Statements. - Financial Statement Independent Auditors Report does not refer to GAAP. - Financial Statements Report On Compliance and Internal Controls is not titled. - Financial Statements Report On Compliance and Internal Controls is not signed. - Financial Statements Report On Compliance and Internal Controls is not dated. - Financial Statements Report On Compliance and Internal Controls is not on letterhead. - Financial Statements Report On Compliance and Internal Controls does not specify GAGAS. - Financial Statements Report On Compliance and Internal Controls does not refer to all Financial Statements.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC81	R20208	QC Page Display/ System Functionality	On the QC page for Financial Statements, the following values will be selectable under the Listbox for the question "Are all required documents attached?": - Compliance Audit is missing. - Financial Statements Report On Compliance and Internal Controls is not dated is missing. - Servicer Information Sheet is missing. - Report on Compliance with Specified Requirements Applicable to the FSA Programs is missing. - Schedule of Findings and Questioned Costs is missing. - Summary Schedules is missing. - Auditor's Comments on Resolution Matters Related to Prior Audit Findings is missing. - Corrective Action Plan is missing. - Other is missing.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC82	R20208	QC Page Display/ System Functionality	On the QC page for Compliance Audit, the following values will be selectable under the Listbox for the question "Are all required attachments properly presented?": - Auditor Information Sheet is incomplete. - Auditor Information Sheet does not properly address enrollment percentages. - Servicer Information Sheet is incomplete. - Report on Compliance with specified Requirements Applicable to the FSA Programs is incomplete. - Report on Compliance with Specified Requirements Applicable to the FSA Programs was not signed. - Report on Compliance with Specified Requirements Applicable to the FSA Programs has an improper signature. - Report on Compliance with specified Requirements Applicable to the FSA Programs was not dated. - Report on Compliance with specified Requirements Applicable to the FSA Programs not on letterhead. - Report on Compliance with specified Requirements Applicable to the FSA Programs did not list all required Management Assertions. - Report on Compliance with specified Requirements Applicable to the FSA Programs did not clearly identify the periods examined.	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC83	R20210	QC User/ QC review	Once a QC User has reviewed and submitted an Incomplete Submission Letter, an email will be sent to an Institution User notifying them of the letter	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC84	R20199	System functionality/ Incomplete Submissions	The system will display an automatic entry in the correspondence log reading "incomplete letter posted/first incomplete notification email sent" after a submission is marked incomplete and the incomplete letter has been posted	Untested	Failed	Failed	Failed	Failed	Pass
INC	INC85	R20639	System functionality/ Incomplete Submissions	The system will carbon copy the appropriate Co-Team Leader, based on the team of the Institution with the Incomplete submission, when a third Incomplete submission e-mail notification is sent	Untested	Untested	Untested	Untested	Untested	Pass
INC	INC86	R20680	System functionality/ Incomplete Submissions	If any part of an Annual Submission is marked Incomplete, the system will send an e-mail notification to the Institution's President to notify them of the Submission's status	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC87	R20680	System functionality/ Incomplete Submissions	If any part of an Annual Submission is marked Incomplete, the system will send an e-mail notification to the Institution's FAA to notify them of the Submission's status	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC88	R20680	System functionality/ Incomplete Submissions	If any part of an Annual Submission is marked Incomplete, the system will send an e-mail notification to the Financial Statements contact e-mail address supplied on the Completeness Checklist to notify them of the Submission's status	Untested	Failed	Failed	Failed	Failed	Failed
INC	INC89	R20680	System functionality/ Incomplete Submissions	If any part of an Annual Submission is marked Incomplete, the system will send an e-mail notification to the Compliance Audit contact e-mail address supplied on the Completeness Checklist to notify them of the Submission's status	Untested	Failed	Failed	Failed	Failed	Failed
INC	INC90	R20680	System functionality/ Incomplete Submissions	If any part of a Non-Annual Submission (except New Institution or Merger/Change in Ownership) is marked Incomplete, the system will send an e-mail notification to the Institution's President to notify them of the Submission's status	Untested	Untested	Failed	Failed	Failed	Pass
INC	INC91	R20680	System functionality/ Incomplete Submissions	If any part of a Non-Annual Submission (except New Institution or Merger/Change in Ownership) is marked Incomplete, the system will send an e-mail notification to the Institution's FAA to notify them of the Submission's status	Untested	Untested	Failed	Failed	Failed	Pass
INC	INC92	R20680	System functionality/ Incomplete Submissions	If any part of a Non-Annual Submission is marked Incomplete, the system will send an e-mail notification to the appropriate e-mail address contact (either FS or CA) provided with the submission to notify them of the Submission's status	Untested	Untested	Failed	Failed	Failed	Pass
INC	INC93	R20681	System functionality/ Incomplete Submissions	For a school group member submission marked Incomplete, the system will send e-mail notifications to the individual institution contact e-mail addresses	Untested	Untested	Untested	Failed	Failed	Pass
INC	INC94	R20681	System functionality/ Incomplete Submissions	For a school group member submission marked Incomplete, the system will send e-mail notifications to the Locator school's Financial Statements contact e-mail address	Untested	Untested	Untested	Failed	Failed	Pass
INC	INC95	R20683	System functionality/ Incomplete Submissions	All Incomplete notification e-mails sent by the system will be carbon copied to the eZ-Audit mailbox	Untested	Failed	Failed	Failed	Failed	Pass

Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
INC	INC96	R20638	System functionality/ Incomplete Submissions	If a submission is marked incomplete and an incomplete letter is posted, the system will send an email notification to the appropriate Institution contacts	Untested	Failed	Failed	Failed	Failed	Failed
INC	INC97	R20638	System functionality/ Incomplete Submissions	If a submission is marked incomplete and an incomplete letter is posted AND a resubmission has not been sent to ED after 15 days of the Incomplete letter being posted, the system will send a second email notification to the appropriate Institution contacts	Untested	Untested	Untested	Untested	Untested	Pass
INC	INC98	R20638	System functionality/ Incomplete Submissions	If a submission is marked incomplete and an incomplete letter is posted AND a resubmission has not been sent to ED after 30 days of the Incomplete letter being posted, the system will send a third and FINAL email notification to the appropriate Institution contacts	Untested	Untested	Untested	Untested	Untested	Pass
INC	INC99	R20692	System functionality/ Incomplete Submissions	If any portion of a school group submission is marked Incomplete, a notification that the submission is Incomplete will be displayed to all Schools in the group in Notifications on each home page.	Untested	Untested	Failed	Failed	Pass	Pass
INC	INC100	R20693	System functionality/ Incomplete Submissions	For school group submissions, the system will display an Incomplete grid showing which Institutions have submitted and which need to resubmit as a result of being marked Incomplete, after all submissions have been submitted and QC'd	Untested	Untested	Failed	Failed	Failed	Pass
INC	INC101	R20696	System functionality/ Incomplete Submissions	The Incomplete Letter will display text stating that a resubmission is due within "15 calendar" days from the date of the Letter	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC102	R20697	System functionality/ Incomplete Submissions	The Incomplete Letter will contain text referencing the "Submission Type" of the Incomplete Submission relating to the letter	Untested	Failed	Failed	Failed	Pass	Pass
INC	INC103	R20697	System functionality/ Incomplete Submissions	The Incomplete Letter will contain text referencing the "FYE" of the Incomplete Submission relating to the letter WHEN APPLICABLE	Untested	Pass	Pass	Pass	Pass	Pass
INC	INC104	R20697	System functionality/ Incomplete Submissions	The Incomplete Letter will contain text referencing the "Submit Date/Time" of the Incomplete Submission relating to the letter	Untested	Failed	Failed	Failed	Failed	Failed
INC	INC105	R20210	System functionality/ Incomplete Submissions	The first e-mail notification will contain text informing the recipient that the notification is the first notice sent and to view the full Incomplete Letter in eZ-Audit	Untested	Failed	Failed	Failed	Failed	Pass
INC	INC106	R20210	System functionality/ Incomplete Submissions	The second e-mail notification will contain text informing the recipient that the notification is the second notice sent and to view the full Incomplete Letter in eZ-Audit	Untested	Untested	Untested	Untested	Untested	Pass
INC	INC107	R20210	System functionality/ Incomplete Submissions	The third e-mail notification will contain text informing the recipient that the notification is the final notice sent and to view the full Incomplete Letter in eZ-Audit	Untested	Untested	Untested	Untested	Untested	Pass
INC	INC108	R20210	System functionality/ Incomplete Submissions	The third e-mail notification will contain text informing the recipient that failure to resubmit will cause referral to case for further review	Untested	Untested	Untested	Untested	Untested	Pass
INC	INC109	R20724	System functionality/ Incomplete Submissions	System will display a "Contact Information grid" on the Incomplete Letter.	Untested	Failed	Failed	Failed	Failed	Pass
NAV	NAV01	R20282	Left navigation link	The user has the ability to access other Resolution options when viewing the Manage Auditor Info page. This will be done via a left navigation which should provide links that return the webpage of the selected Resolution option.	Pass	Pass	Pass	Pass	Pass	Pass
NONANN	NONAN01	R20283	Initial Submission Page Display System Functionality	The Initial Submission Page will contain a required field to indicate an Institution's Fiscal Year End	Failed	Failed	Failed	Pass	Pass	Pass
NONANN	NONAN02	R20283	Reinstatement Submission Page Display / System Functionality	The Reinstatement Submission Page will contain a required field to indicate an Institution's Fiscal Year End	Pass	Failed	Failed	Pass	Pass	Pass
NONANN	NONAN03	R20284	Initial Submission / School User	The system will update a School FYE when entered on Initial Submission page and Initial Submission has been resolved	Untested	Failed	Failed	Failed	Failed	Pass
NONANN	NONAN04	R20284	Reinstatement Submission / School User	The system will update a School FYE when entered on Reinstatement Submission page and Reinstatement Submission has been resolved	Untested	Failed	Failed	Failed	Failed	Pass
QC	QC01	R20285	QC Queue Page Display/ System Functionality	On the QC Queue Page Display, submissions to be QC'd will be separated into submissions that must be completed and submissions where the QC must be submitted by an approver	Pass	Pass	Pass	Pass	Pass	Pass
QC	QC02	R20623	QC User / QC Submission	A QC User may submit a QC form without answering all required field if the answer to "Are all attached PDFs viewable?" is no	Untested	Pass	Pass	Pass	Pass	Pass
QC	QC03	R20623	QC User / QC Submission	A QC User must complete all required fields if the answer to "Are all attached PDFs viewable?" is Yes before submitting	Untested	Pass	Pass	Pass	Pass	Pass
QC	QC04	R20337	QC Queue Page Display/ System Functionality	For a C/UC Annual Submission, only one Financial Statement will appear in the QC Queue	Untested	Untested	Pass	Pass	Pass	Pass
QC	QC05	R20337	QC Queue Page Display/ System Functionality	For a C/UC Annual Submission, multiple Compliance Audits may appear in the QC Queue	Untested	Untested	Pass	Pass	Pass	Pass
RES	RES01	R20286	Submission Summary Page Display/ Resubmission	On the Submission Summary Page, if the Submission is a Resubmission, a column labeled "Resubmission Date" will appear stating the date of the Resubmission	Untested	Failed	Failed	Failed	Failed	Pass
RES	RES02	R20286	Submission Summary Page Display/ Resubmission	On the Submission Summary Page, if the Submission is NOT a Resubmission, a column labeled "Resubmission Date" will NOT appear	Untested	Untested	Untested	Pass	Pass	Pass
SG	SG01	R20287	QC/ System Functionality	After all records of a C/UC Group Submission have been QC'd, if any records are marked "Incomplete," the entire submission will be set to Incomplete	Untested	Untested	Pass	Failed	Pass	Pass
SG	SG02	R20289	School Group/System Functionality	The system will appropriate calculate Due Dates for School Groups marked Two Year	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG03	R20288	Case User/ Submission Review	Case Users have access to all related attachments when reviewing any portion of a C/UC Submission	Untested	Untested	Pass	Failed	Failed	Pass
SG	SG04	R20290	School Group User/ Financial Statement Page Display	For C/C Proprietary School Groups, the Financial Statement Data Page will include required 90/10 Revenue Attestation fields for each School in the Group	Untested	Failed	Pass	Pass	Pass	Pass
SG	SG05	R20290	School Group User/ Financial Statement Page Display	As per SG04, the schools will be organized by OPEID	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG06	R20290	School Group User/ Financial Statement Page Display	For C/UC Proprietary School Groups, the Financial Statement Data Page will include required 90/10 Revenue Attestation fields for each School in the Group	Untested	Failed	Pass	Pass	Pass	Pass
SG	SG07	R20290	School Group User/ Financial Statement Page Display	As per SG06, the schools will be organized by OPEID	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG08	R20291	School Group User/ Financial Statement Page Display	For C/C School Groups, text will be displayed on Financial Statement Submission Pages instructing schools to send an e-mail to the eZ-Audit Help Desk if school group information is incorrect	Pass	Pass	Pass	Pass	Pass	Pass
SG	SG09	R20291	School Group User/ Financial Statement Page Display	For C/UC School Groups, text will be displayed on Financial Statement Submission Pages instructing schools to send an e-mail to the eZ-Audit Help Desk if school group information is incorrect	Pass	Pass	Pass	Pass	Pass	Pass
SG	SG10	R20291	School Group User/ Compliance Audit Page Display	For C/C School Groups, text will be displayed on Compliance Audit Submission Pages instructing schools to send an e-mail to the eZ-Audit Help Desk if school group information is incorrect	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG11	R20291	School Group User/ Compliance Audit Page Display	For C/UC School Groups, text will be displayed on Compliance Audit Submission Pages instructing schools to send an e-mail to the eZ-Audit Help Desk if school group information is incorrect	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG12	R20292	School Group User/ Annual Submission Entry	A Data Entry User for the Locator School of a C/UC School Group will have write-access to the Financial Statements page	Pass	Pass	Pass	Pass	Pass	Pass
SG	SG13	R20292	School Group User/ Annual Submission Entry	A Data Entry User for the Member School of a C/UC School Group will NOT have write-access to the Financial Statements page	Pass	Pass	Failed	Failed	Pass	Pass
SG	SG14	R20293	School Group User/ Annual Submission Entry	A Data Entry User for the Locator School of a C/UC School Group will have write-access to their Compliance Audit page to complete their portion for the Annual Submission	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG15	R20293	School Group User/ Annual Submission Entry	A Data Entry User for the Member School of a C/UC School Group will have write-access to their Compliance Audit page to complete their portion for the Annual Submission	Untested	Untested	Pass	Pass	Pass	Pass

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
SG	SG16	R20294	School Group User/ Annual Submission Entry	A Data Entry User for the Locator School of a C/UC School Group will have write-access to the Compliance Audit page to complete Compliance Audits for all schools in the Group	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG17	R20295	School Group User/ Annual Submission Entry	A C/UC Locator School will be required to complete Checklist items for the Consolidated Financial Statements	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG18	R20295	School Group User/ Annual Submission Entry	A C/UC Member School will be required to complete Checklist items for the Consolidated Financial Statements	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG19	R20296	School Group User/ Annual Submission Entry	Each school in a C/UC School Group will have the ability to complete checklist items for their portion of the Compliance Audit	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG20	R20297	School Group User/ Annual Submission Entry	A Locator school in a C/UC School Group will have the ability to complete Compliance Audit checklist items for all schools	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG21	R20298	School Group User/ Annual Submission Entry	Only a Locator school in a C/UC School Group may upload a Consolidated Financial Statement	Untested	Untested	Failed	Failed	Failed	Pass
SG	SG22	R20299	School Group User/ Annual Submission Entry	A Locator school in a C/UC School Group will have the ability to upload a Compliance Audit for itself	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG23	R20299	School Group User/ Annual Submission Entry	A Locator school in a C/UC School Group will have the ability to upload Compliance Audits for Member Schools by OPEID	Untested	Untested	Failed	Pass	Pass	Pass
SG	SG24	R20300	School Group User/ Annual Submission Entry	A Member school in a C/UC School Group will have the ability to upload its own Compliance Audit	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG25	R20301	School Group User/ Annual Submission Entry	An Annual School Group Submission for a C/UC School Group will be submitted to ED once the Consolidated Financial Statement and all Compliance Audits (Locator&Member) have been submitted	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG26	R20302	School Group User/ Annual Submission Entry	Once a C/UC Locator has submitted its Financial Statement and Compliance Audit, a notification will be posted on its Institution Home Page listing any Member Schools in the group who have not yet submitted a Compliance Audit	Untested	Untested	Failed	Failed	Failed	Pass
SG	SG27	R20303	School Group / System Functionality	A separate ACN will be assigned to each Compliance Audit in a C/UC School Group	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG28	R20304	School Group / System Functionality	The system will recognize an Institution as a Locator of a C/UC School Group upon login, and will display the appropriate submission pages	Failed	Failed	Pass	Pass	Pass	Pass
SG	SG29	R20304	School Group / System Functionality	The system will recognize an Institution as a Member of a C/UC School Group upon login, and will display the appropriate submission pages	Failed	Failed	Failed	Failed	Pass	Pass
SG	SG30	R20304	School Group / System Functionality	The system will recognize an Institution as a Locator of a C/UC School Group upon login, and will display the appropriate submission pages	Failed	Failed	Pass	Pass	Pass	Pass
SG	SG31	R20305	School Group / System Functionality	C/UC Financial Statements will be routed to a Case Team/Co-Team Leader based on the Locator School's Team	Failed	Failed	Failed	Pass	Pass	Pass
SG	SG32	R20306	School Group / System Functionality	C/UC Compliance Audits will be routed to Case Teams/Co-Team Leader based on the School	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG33	R20307	School Group / System Functionality	There will be no reference to School Groups on any Financial Statement Submission Pages for non-School Group users	Failed	Pass	Pass	Pass	Pass	Pass
SG	SG34	R20307	School Group / System Functionality	There will be no reference to School Groups on any Compliance Audit Submission Pages for non-School Group users	Failed	Failed	Failed	Failed	Failed	Pass
SG	SG35	R20308	School Group / System Functionality	For School Groups, Financial Statement Submission Pages will contain text that states: "Our records indicate that this group contains the following OPEIDs?"	Pass	Pass	Pass	Pass	Pass	Pass
SG	SG36	R20308	School Group / System Functionality	For School Groups, Compliance Audit Submission Pages will contain text that states: "Our records indicate that this group contains the following OPEIDs?"	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG37	R20309	School Group / System Functionality	A Locator School will have an indicator on its Institution Home Page indicating that it is a Locator School	Failed	Failed	Failed	Pass	Pass	Pass
SG	SG38	R20310	School Group / System Functionality	For a Locator School, a grid will be displayed on the Submit Page containing all schools in the group, so that the Locator School may indicate which schools it is submitting for	Untested	Failed	Pass	Pass	Pass	Pass
SG	SG39	R20311	School Group / System Functionality	The system will display a notification on the Compliance Audit Info Page to inform a Locator School user which Compliance Audit record is active	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG40	R20312	School Group / System Functionality	On the Compliance Audit Info Page, a table grid will be displayed containing all Schools in Group and will include such information as City and State	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG41	R20312	School Group / System Functionality	On the Financial Statement Info Page, a table grid will be displayed containing all Schools in a Group and will include such information as City and State	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG42	R20313	School Group / System Functionality	On the Submit Page for School Groups, the grid that is displayed for a Locator School will include a "Select All" option	Untested	Failed	Pass	Pass	Pass	Pass
SG	SG43	R20314	School Group / System Functionality	Once a C/UC Member School has submitted its Compliance Audit, a notification will be posted on its Institution Home Page stating that their portion of the Annual Submission has been "completed"	Untested	Untested	Failed	Failed	Pass	Pass
SG	SG44	R20315	School Group / System Functionality	For a Locator School, the Annual Submission link will continue to be displayed on its Home Page until all Member School and Locator School Submissions have been submitted	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG45	R20624	School Group / System Functionality	For Locator Schools, the following text will be displayed on the Submit Page: "If your institution is part of a school group, please note that the record is not transmitted to ED until all the members of the school group have completed their portion of the submission. For further explanation, refer to the "Step-By-Step Guide to Using eZ-Audit."	Untested	Failed	Pass	Pass	Pass	Pass
SG	SG46	R20624	School Group / System Functionality	For Member Schools, the following text will be displayed on the Submit Page: "If your institution is part of a school group, please note that the record is not transmitted to ED until all the members of the school group have completed their portion of the submission. For further explanation, refer to the "Step-By-Step Guide to Using eZ-Audit."	Untested	Failed	Failed	Failed	Failed	Pass
SG	SG47	R20462	System functionality / School Group Submission Resolution	A read-only field "School Group Name" will appear on the submission pages (Submission Summary, Financial Statements, Compliance Audit, Completeness Checklist, Upload, FS QC, FS CA, etc. WHERE APPLICABLE) of a School Group submission when viewed by a Case User in Resolution view	Untested	Untested	Failed	Failed	Failed	Pass
SG	SG48	R20462	System functionality / School Group Submission Resolution	"School Group Name" will appear at the top of the page, below the "OPEID" field and next to the "FYE" field	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG49	R20632	System functionality / School Group Submission Summary Page Display	A link labeled "School Group Assignments" will appear on the Submission Summary Page of a School Group Submission	Untested	Untested	Failed	Failed	Failed	Pass
SG	SG50	R20632	System functionality / School Group Submission Summary Page Display	The "School Group Assignments" link will link to the School Group Assignments page	Untested	Untested	Untested	Untested	Untested	Pass
SG	SG51	R20632	System functionality / School Group Assignments Page Display	The School Group Assignments page will contain a grid showing all the Institutions in the Group, listing School Name, OPEID, location, the name of the Case User assigned to the submission, and the Case Team of the Case User assigned	Untested	Untested	Untested	Untested	Untested	Pass
SG	SG52	R20635	System functionality / School Group Reports Page Display	All School Group Reports will contain a column labeled "School Group Name"	Untested	Untested	Untested	Untested	Untested	Untested
SG	SG53	R20635	System functionality / School Group Reports Page Display	"School Group Name" will replace "School Group #" on reports	Failed	Untested	Untested	Untested	Untested	Untested
SG	SG54	R20635	System functionality / School Group Reports Page Display	"School Group #" will no longer appear on School Group Reports	Failed	Untested	Untested	Untested	Untested	Untested
SG	SG55	R20635	System functionality / School Group Reports Page Display	"School Group Name" data will be pulled from PEPS	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG56	R20457	System functionality/ School Group Submission Quality Control	If a School Group submission is marked Incomplete, the system will only require the Institutions with Incomplete submissions to resubmit	Untested	Untested	Pass	Pass	Pass	Pass
SG	SG57	R20457	System functionality/ School Group Submission Quality Control	If a School Group submission is marked Incomplete, the system will not require other Institutions with complete submissions to resubmit	Untested	Untested	Pass	Pass	Pass	Pass

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
WORKFLOW	WF01	R20338	Workflow/Compliance Audit	As soon as a Compliance Audit record is marked "Complete" in OC then the system will immediately move that record from the QC queue to the Screener queue. This action will take place regardless of whether the corresponding Financial Statements have been QC'd.	Untested	Failed	Failed	Pass	Pass	Pass
WORKFLOW	WF02	R20339	Workflow/Financial Statement	The system will place Financial Statements into a holding area after being QC'd until the corresponding Compliance Audit(s) has had its findings coded.	Untested	Failed	Failed	Pass	Pass	Pass
WORKFLOW	WF03	R20339	Workflow/Co-Team Leader	The system will send a package containing the corresponding Financial Statement and Compliance Audit(s) to the Co-Team Leader. This submission will only occur after both the Compliance Audit(s) and Financial Statements have passed through Quality control.	Untested	Failed	Failed	Pass	Pass	Pass
Admin Stay	ADMIN01	R20678	Case User Search	The system will allow individual unconsolidated school group member submission to be placed on admin stay	Untested	Untested	Pass	Pass	Pass	Pass
Admin Stay	ADMIN02	R20678	Case User Search	An individual unconsolidated school group member submission that is placed on admin stay will not result automatically result in other submissions of the school group being placed on admin stay	Untested	Untested	Pass	Pass	Pass	Pass
New INS	NewINS01	R20376	New Institution/Link	An institutional user will have the ability to select a "New Institution Submission" from the navigation provided on the "Institution Home" page.	Pass	Pass	Pass	Pass	Pass	Pass
New INS	NewINS02	R20376	New Institution/Submission	An institutional user will have the ability to complete and submit a "New Institution" record through the eZ-Audit system.	Failed	Pass	Pass	Pass	Pass	Pass
New INS	NewINS03	R20376	New Institution/Case Recognition	A Case Management user will have the ability to access "New Institution" submissions. These submissions will NOT be referred to as "Initial Application Submissions" at any stage of the Case Management workflow.	Pass	Pass	Pass	Pass	Pass	Pass
New INS	NewINS04	R20395	Annual Submission Due Date	The system will capture a Fiscal Year End (FYE) date for a school from "New Institution" submissions or "Reinstatement" submissions if such a date does not currently exist for the institution.	Failed	Failed	Failed	Failed	Failed	Pass
New INS	NewINS05	R20395	Annual Submission Due Date	The system will be able to determine the due date of Annual Submissions from calculating the amount of time the school has existed in the eZ-Audit system. The FYE date and the PPA execution date will determine the amount of time an institution has existed in the system.	Pass	Pass	Pass	Pass	Pass	Pass
New INS	NewINS06	R20395	Annual Submission Due Date	The system will provide an Annual Submission link to institutions after their first FYE date has past since the PPA execution date.	Pass	Pass	Pass	Pass	Pass	Pass
New INS	NewINS07	R20625	Required Info/First Annual Submission	The system will require schools existing in the system for more than (one or six) months to submit Financial Statement and Compliance Audit information with their first Annual Submission.	Failed	Untested	Untested	Untested	Pass	Pass
New INS	NewINS08	R20626	Required Info/First Annual Submission	The system will require schools existing in the system for less than (one or six) months to submit only Financial Statement information with their first Annual Submission.	Untested	Untested	Untested	Untested	Pass	Pass
New INS	NewINS09	R20626	A-133 Annual Submission Due Date/Less than 6 months	The system will establish a due date for all Non-profit and Public institution Annual Submissions. The due date will be 9 months after the Fiscal Year End date.	Untested	Untested	Untested	Untested	Pass	Pass
New INS	NewINS10	R20625	A-133 Annual Submission Due Date/More than 6 months	The system will establish a due date for all Non-profit and Public institution Annual Submissions. The due date will be 9 months after the Fiscal Year End date.	Untested	Untested	Untested	Untested	Pass	Pass
New INS	NewINS11	R20626	Proprietary Annual Submission Due Date/Less than 6 months	The system will establish a due date for all Proprietary institution Annual Submissions. The due date will be 9 months after the Fiscal Year End date.	Untested	Untested	Untested	Untested	Pass	Pass
New INS	NewINS12	R20625	Proprietary Annual Submission Due Date/More than 6 months	The system will establish a due date for all Proprietary institution Annual Submissions. The due date will be 9 months after the Fiscal Year End date.	Untested	Untested	Untested	Untested	Pass	Pass
INS	INS01	R20647	Submit page	The system will provide the following language on the Submit page for all institution and submission types: "If you do not have the Submit To ED button, Submitter is not indicated as one of your user roles. Please see the Manage Users Section of Help".	Pass	Pass	Pass	Pass	Pass	Pass
INS	INS02	R20694	Contact Info/Merger-CIO page	An institutional user will have the ability to access and complete a Merger/Change in Ownership through the eZ-Audit system.	Failed	Pass	Pass	Pass	Pass	Pass
INS	INS03	R20694	Contact Info/Merger-CIO page	An institutional user will have the ability to enter contact information pertaining to Financial Statements when completing a Merger/CIO submission.	Failed	Pass	Pass	Pass	Pass	Pass
INS	INS04	R20694	Contact Info/Merger-CIO page	The system will require an institutional user to enter a contact "Name", "Phone Number" and a valid (entry containing '@' and '.' characters) "Email" address when completing a Merger/CIO submission.	Failed	Pass	Pass	Pass	Pass	Pass
INS	INS05	R20695	Resubmission Access/Incomplete Letter viewing	The system will NOT provide "Resubmit" links and templates for submission marked "Incomplete" to the user until the system can verify that the incomplete letter was viewed. The system should be able to verify when a user has selected the link to view the "Incomplete Letter Report".	Untested	Pass	Pass	Failed	Failed	Pass
INS	INS06	R20713	Checklist	On the "Completeness Checklist" page for Annual Submissions, the user will have the ability to enter contact information (Name, Email and Phone Number). This information will pertain to Compliance Audit and Financial Statement information.	Untested	Pass	Pass	Pass	Pass	Pass
INS	INS07	R20391	Checklist	The user will have the ability to select a "same" function in the Contact Information section of the "Completeness Checklist" page for Annual Submissions. When this function is selected, the system will copy the information provided in the Financial Statement section into the Compliance Audit section.	Untested	Failed	Failed	Pass	Pass	Pass
INS	INS08	R20391	Checklist	The user will have the ability to edit the text that was copied into the Compliance Audit Contact information section of the "Completeness Checklist" when the "same" function has been executed.	Untested	Untested	Untested	Pass	Pass	Pass
INS	INS09	R20391	Checklist	The system will require an institutional user to enter a contact "Name", "Phone Number" and a valid (entry containing '@' and '.' characters) "Email" address for all information covered in the submission.	Untested	Pass	Pass	Failed	Failed	Pass
INS	INS10	R20642	Multiple Submissions/Correspondence log	The system will have the ability to capture a "submission date" with each record submitted in the eZ-Audit system in the Correspondence log. This includes annual and non-annual submissions.	Untested	Pass	Pass	Pass	Pass	Pass
INS	INS11	R20684	Resubmissions/Correspondence log	The system will have the ability to cover multiple submissions in the event of a resubmission in the correspondence log. For resubmission Correspondence logs, all entries contained in the original incomplete submission log will be copied over. The system will display a date for both the submission and for the resubmission record.	Untested	Failed	Failed	Failed	Failed	Pass
INS	INS12	R20725	Historical Submissions/Institution	The system will have the ability to display eZ-Audit submissions in the version of the screen that they were submitted in.	Untested	Untested	Untested	Failed	Failed	Failed
INS	INS13	R20726	Historical Submissions/Case	The system will have the ability to display the appropriate QC values that corresponds to the version of screens a submission is created in.	Untested	Untested	Untested	Failed	Failed	Failed
PEPS	PEPS01	R20676	System functionality/ Systems Interface	The system will pull School Group information relating to School Name from PEPS	Untested	Untested	Pass	Pass	Pass	Pass
PEPS	PEPS02	R20676	System functionality/ Systems Interface	The system will pull School Group information relating to Two Year from PEPS	Untested	Untested	Pass	Pass	Pass	Pass
PEPS	PEPS03	R20676	System functionality/ Systems Interface	The system will pull School Group information relating to Consolidation Indicator (Group Type) from PEPS	Pass	Pass	Pass	Pass	Pass	Pass
PEPS	PEPS04	R20676	System functionality/ Systems Interface	The system will pull School Group information relating to Locator Indicator (Group Type) from PEPS	Pass	Pass	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT01	R20452	Case User / Submission Resolution Audit	The system will allow a Resolution User to create multiple ACDs for one Compliance Audit	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT02	R20453	Case User / Submission Resolution Audit	The system will allow a Resolution User to create multiple DDIFs for one Compliance Audit	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT03	R20685	Case User / Submission Resolution	The system will allow any Resolution User to create an unlimited number of ACD amendments for one Compliance Audit	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT04	R20685	Case User / Submission Resolution	The system will allow any Resolution User to create an unlimited number of DDIF amendments for one Compliance Audit	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT05	R20686	Case User / Submission Resolution	The system will allow a Resolution User to access all amended versions of an ACD	Untested	Untested	Failed	Failed	Failed	Pass

Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
RES Audit	RES AUDIT06	R20686	Case User / Submission Resolution	The system will allow a Resolution User to access the original version of an ACD	Untested	Untested	Failed	Failed	Failed	Pass
RES Audit	RES AUDIT07	R20686	Case User / Submission Resolution	The system will allow a Resolution User to access all amended versions of a DDIF	Untested	Untested	Failed	Failed	Failed	Pass
RES Audit	RES AUDIT08	R20686	Case User / Submission Resolution	The system will allow a Resolution User to access the original version of a DDIF	Untested	Untested	Failed	Failed	Failed	Pass
RES Audit	RES AUDIT09	R20687	System functionality/ Submission Resolution	The system will include creation date/time stamps for original ACDs	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT10	R20687	System functionality/ Submission Resolution	The system will include creation date/time stamps for amended ACDs	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT11	R20687	System functionality/ Submission Resolution	The system will include creation date/time stamps for original DDIFs	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT12	R20687	System functionality/ Submission Resolution	The system will include creation date/time stamps for amended DDIFs	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT13	R20688	Case User / Submission Resolution	The system will allow Case Users to create amended ACDs only after an original Audit Package has been archived	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT14	R20688	Case User / Submission Resolution	The system will allow Case Users to create amended DDIFs only after an original Audit Package has been archived	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT15	R20689	Case User / Submission Resolution	The system will require an amended audit Resolution Package to be sent to the Co-Team Lead for approval before being archived	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT16	R20689	Case User / Submission Resolution	The system will require an amended ACD and an amended DDIF to be created before the amended audit Resolution Package can be sent to the Co-Team Lead	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT17	R20686	Case User / Submission Resolution	Once amended version of an ACD has been created, the system will display an ACD History page containing all versions of the ACD	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT18	R20686	Case User / Submission Resolution	Case Users will have access to all amended and original ACD versions from the ACD History Page	Untested	Untested	Failed	Failed	Failed	Pass
RES Audit	RES AUDIT19	R20686	Case User / Submission Resolution	Once amended version of a DDIF has been created, the system will display an DDIF History page containing all versions of the ACD	Untested	Untested	Pass	Pass	Pass	Pass
RES Audit	RES AUDIT20	R20686	Case User / Submission Resolution	Case Users will have access to all amended and original DDIF versions from the DDIF History Page	Untested	Untested	Failed	Failed	Failed	Pass
RES CTL	RES CTL01	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort both Pending Assignment and Pending Approval queues alphabetically by Institution Name	Failed	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL02	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort both Pending Assignment and Pending Approval queues alphabetically by Record Type	Failed	Failed	Failed	Pass	Pass	Pass
RES CTL	RES CTL03	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort both Pending Assignment and Pending Approval queues alphabetically by Submission Type	Failed	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL04	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort both Pending Assignment and Pending Approval queues chronologically by Most Recent Submission submitted	Failed	Failed	Failed	Failed	Failed	Failed
RES CTL	RES CTL05	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort both Pending Assignment and Pending Approval queues chronologically by Oldest Submission submitted	Failed	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL06	R20649	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort the Pending Approval queue by Assignee on the Team Queue page	Failed	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL07	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort the Pending Approval queue alphabetically by Institution Name on the Team Queue Page	Pass	Pass	Pass	Pass	Pass	Pass
RES CTL	RES CTL08	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort the Pending Approval queue alphabetically by Record Type on the Team Queue Page	Untested	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL09	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort the Pending Approval queue alphabetically by Submission Type on the Team Queue Page	Untested	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL10	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort the Pending Approval queue chronologically by Most Recent Submission submitted on the Team Queue Page	Failed	Failed	Failed	Failed	Failed	Pass
RES CTL	RES CTL11	R20461	Co-Team Leader / Submission Resolution	The system will allow a Co-Team Leader to sort the Pending Approval queue chronologically by Oldest Submission submitted on the Team Queue Page	Failed	Failed	Failed	Failed	Failed	Pass
RES Waiver	RES WAIVER01	R20479	System functionality / WaiverExemption Page Display	The "Rescind" field will require a date in the format mm/dd/yyyy	Untested	Untested	Failed	Failed	Failed	Failed
RES Waiver	RES WAIVER02	R20479	System functionality / WaiverExemption Page Display	The system will provide a field on the Waiver/Exemption page labeled "Rescind"	Untested	Untested	Pass	Pass	Pass	Pass
RES Waiver	RES WAIVER03	R20478	System functionality / WaiverExemption Page Display	The Waiver page will no longer have fields for "Expired"	Untested	Untested	Pass	Pass	Pass	Pass
RES Waiver	RES WAIVER04	R20479	System functionality / WaiverExemption Resolution	If a Case User chooses to rescind a Waiver, the system will display a link on the Institution's Home page to the oldest Annual submission covered by the Waiver	Untested	Untested	Untested	Untested	Untested	Pass
RES Waiver	RES WAIVER05	R20479	System functionality / WaiverExemption Resolution	If a Case User chooses to rescind an Exemption, the system will allow an Institution to submit Compliance Audit information in the next Annual Submission due Home	Untested	Untested	Untested	Untested	Untested	Pass
RES Waiver	RES WAIVER06	R20477	System functionality / WaiverExemption Page Display	The "Rescind" field will replace the field currently labeled "Withdrawn"	Untested	Untested	Pass	Pass	Pass	Pass
Stub	Stub01	R20644	Stub Audit	An institutional user will have the ability to access and complete a Stub Audit through the eZ-Audit system.	Pass	Pass	Pass	Pass	Pass	Pass
Stub	Stub02	R20644	Stub Audit/Deletion of Financial Statements	The system will only require Compliance Audit information (including attachments) from an institutional user completing a Stub Audit. The user will NOT have access to Financial Statement templates and will NOT have the ability to upload Financial Statement information.	Pass	Pass	Pass	Pass	Pass	Pass
Stub	Stub03	R20644	Stub Audit/Deletion of Financial Statements	Submitted Stub Audit Submissions will be handled as one record, Compliance Audit, on the case side of the eZ-Audit system. A FS Stub Audit record will NOT exist in the eZ-Audit system.	Untested	Untested	Untested	Pass	Failed	Pass
Search	Search01	R20664	Search by/Completeness Indicator	A FSA user will have the ability to search the eZ-Audit system via a "Completeness Indicator" on the "Search" page.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search02	R20664	Search by/Completeness Indicator	The search criteria, "Completeness Indicator", provided on the "Search" page of the eZ-Audit system will contain the following search options: "All" (default), "Pending Screener Review", "Complete", "Incomplete -Awaiting Resubmission", and "Incomplete-Resubmitted".	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search03	R20470	Search results/Completeness Indicator	The system will display the "Completeness Indicator" in the results table on the "Search" page after the search functionality has been executed.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search04	R20470	Search results/Completeness Indicator	The system will have the capability to display all the possibly search criteria for "Completeness Indicator" in the results table on the "Search" page. The criteria options are "Pending Screener Review", "Complete", "Incomplete -Awaiting Resubmission", and "Incomplete-Resubmitted".	Failed	Failed	Failed	Failed	Failed	Failed
Search	Search05	R20662	Search by/Submission Status	A FSA user will have the ability to search the eZ-Audit system via a "Submission Status" on the "Search" page.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search06	R20662	Search by/Submission Status	The search criteria, "Submission Status", provided on the "Search" page of the eZ-Audit system will contain the following search options: "All" (default), "Screener Queue", "CTL Queue-Pending Assignment", "Analyst Queue", "CTL Queue-Pending Approval", "Analyst Queue-Post Approval", and "Archived".	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search07	R20469	Search results/Submission Status	The system will display the "Submission Status" in the results table on the "Search" page after the search functionality has been executed.	Pass	Pass	Pass	Pass	Pass	Pass

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
Search	Search08	R20641	Search results/Submission Status	The system will have the capability to display all the possibly search criteria for "Submission Status" in the results table on the "Search" page. The criteria options are "Screener Queue", "CTL Queue-Pending Assignment", "Analyst Queue", "CTL Queue-Pending Approval", "Analyst Queue-Post Approval", and "Archived".	Failed	Failed	Failed	Failed	Pass	Pass
Search	Search09	R20666	Search by/Deficiency Indicator	A FSA user will have the ability to search the eZ-Audit system via a "Deficiency Indicator" on the "Search" page.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search10	R20666	Search by/Deficiency Indicator	The search criteria, "Deficiency Indicator", provided on the "Search" page of the eZ-Audit system will contain the following search options: "All" (default), "No findings", "Deficient", and "Minor (Insignificant)".	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search11	R20665	Search results/Deficiency Indicator	The system will display the "Deficiency Indicator" in the results table on the "Search" page after the search functionality has been executed.	Pass	Pass	Pass	Pass	Failed	Failed
Search	Search12	R20665	Search results/Deficiency Indicator	The system will have the capability to display all the possibly search criteria for "Deficiency Indicator" in the results table on the "Search" page. The criteria options are "No findings", "Deficient", and "Minor (Insignificant)".	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search13	R20668	Search by/Submission Indicator	A FSA user will have the ability to search the eZ-Audit system via a "Submission Indicator" on the "Search" page.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search14	R20668	Search by/Submission Indicator	The search criteria, "Submission Indicator", provided on the "Search" page of the eZ-Audit system will contain the following search options: "All" (default), "Original", and "Resubmission".	Failed	Pass	Pass	Pass	Pass	Pass
Search	Search15	R20667	Search by/Submission Indicator	The system will display the "Submission Indicator" in the results table on the "Search" page after the search functionality has been executed.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search16	R20667	Search by/Submission Indicator	The system will have the capability to display all the possibly search criteria for "Submission Indicator" in the results table on the "Search" page. The criteria options are "Original", and "Resubmission".	Failed	Failed	Failed	Failed	Failed	Failed
Search	Search17	R20670	Search by/Flagged Indicator	A FSA user will have the ability to search the eZ-Audit system via a "Flagged Indicator" on the "Search" page.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search18	R20670	Search by/Flagged Indicator	The search criteria, "Flagged Indicator", provided on the "Search" page of the eZ-Audit system will contain the following search options: "All" (default), "Flagged", and "Non-Flagged".	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search19	R20669	Search results/Flagged Indicator	The system will display the "Flagged Indicator" in the results table on the "Search" page after the search functionality has been executed.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search20	R20669	Search results/Flagged Indicator	The system will have the capability to display all the possibly search criteria for "Flagged Indicator" in the results table on the "Search" page. The criteria options are "Flagged", and "Non-Flagged".	Failed	Failed	Failed	Failed	Pass	Pass
Search	Search21	R20663	Search by/Submission Date	A FSA user will have the ability to search the eZ-Audit system via a "Submission Date" on the "Search" page.	Failed	Failed	Failed	Failed	Pass	Pass
Search	Search22	R20663	Search by/Submission Date	The search criteria, "Submission Date", provided on the "Search" page of the eZ-Audit system will allow the FSA user to enter a date range. The system will provide "From" and "To" data entry fields that provide the user means to enter a month, day and year.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search23	R20663	Search by/Submission Date	The system will only allow the user to input two characters into the "Submission Date" month and day data entry fields. The system will allow the user to input four characters into the year textbox. This applies to both the "From" and "To" data entry fields that correspond to the "Submission Date" search criteria found on the "Search" page.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search24	R20663	Search by/Submission Date	The system default display for the "To" date corresponding to the "Submission Date" search criteria found on the "Search" page will be the current date. The user will have the ability to edit this date.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search25	R20468	Search results/Submission Date	The system will display the "Submission Date" in the results table on the "Search" page after the search functionality has been executed.	Pass	Pass	Pass	Pass	Pass	Pass
Search	Search26	R20662	Search results/System requirement	The system will require that at least one search criteria be utilized on the "Search" page to execute the search functionality. With one search criteria selected, a search will be performed assuming the default search value for the remaining search criteria.	Pass	Pass	Pass	Pass	Pass	Pass
Sub	SUB01	R20377	System functionality / Quality Control	"Resubmission" will no longer appear as a reason for flagging on the Case side	Untested	Untested	Untested	Pass	Pass	Pass
Sub	SUB02	R20645	System functionality / Quality Control	"Change in Auditor" flag for Financial Statements will now reflect when an Institution has changed its auditor two consecutive years in a row	Untested	Untested	Untested	Failed	Pass	Pass
Sub	SUB03	R20645	System functionality / Quality Control	"Change in Auditor" flag will remain a reason for flagging in Financial Statements	Untested	Untested	Untested	Failed	Pass	Pass
Sub	SUB04	R20645	System functionality / Quality Control	If a School changes its Auditor for Financial Statements over two consecutive Annual Submissions, then the submission will be flagged with a "Change in Auditor" flag	Untested	Untested	Untested	Failed	Pass	Pass
Sub	SUB05	R20645	System functionality / Quality Control	"Change in Auditor" flag will not occur in Compliance Audit	Untested	Pass	Pass	Pass	Pass	Pass
Sub	SUB06	R20645	System functionality / Quality Control	If a Compliance Audit submission contains a "Change in Auditor," it will not be flagged for review	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub01	R20420	Resubmission	The system will provide a resubmission template to institution users for previous submissions that were marked "Incomplete". The resubmission template will be identical to the original submission template and will be pre-populated with the original submission data. This applies to annual and non-annual submissions marked incomplete.	Untested	Failed	Failed	Failed	Pass	Pass
Resub	Resub02	R20420	Incomplete due to CA information/FS information	An institutional user will have the ability to access the Financial Statement information page while resubmitting an annual submission. The system will display previously captured data for Financial Statement information (FS page, Statement of Financial Position and Statement of Activities) if a QC user marked the original submission "Incomplete" due to Compliance Audit Information data entry. The system will display the data in a read-only format.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub03	R20420	Incomplete due to CA information/CA information	An institutional user will have the ability to access the Compliance Audit information page while resubmitting an annual submission. The system will display editable information on the CA page that was captured in the original submission.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub04	R20420	Incomplete due to CA information/Checklist	An institutional user will have the ability to access the Completeness Checklist page while resubmitting an annual submission. The system will display all items in a read-only format.	Untested	Untested	Failed	Failed	Pass	Pass
Resub	Resub05	R20420	Incomplete due to CA information/Upload	An institutional user will have the ability to access the Upload page while resubmitting an annual submission. The system will list the previously submitted attachments on this page. The user will NOT have the ability to delete these attachments. They will also NOT have the ability to upload additional attachments.	Untested	Untested	Failed	Failed	Pass	Pass
Resub	Resub06	R20420	Incomplete due to FS information/FS information	An institutional user will have the ability to access the Financial Statement information page while resubmitting an annual submission. The system will display previously captured data for Financial Statement information (FS page, Statement of Financial Position and Statement of Activities) if a QC user marked the original submission "Incomplete" due to Financial Statement Information data entry. The data displayed will be editable.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub07	R20420	Incomplete due to FS information/CA information	An institutional user will have the ability to access the Compliance Audit information page while resubmitting an annual submission. The system will display read-only information on the CA page that was captured in the original submission.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub08	R20420	Incomplete due to FS information/Checklist	An institutional user will have the ability to access the Completeness Checklist page while resubmitting an annual submission. The system will display all items in a read-only format.	Untested	Untested	Failed	Failed	Pass	Pass
Resub	Resub09	R20420	Incomplete due to FS information/Upload	An institutional user will have the ability to access the Upload page while resubmitting an annual submission. The system will list the previously submitted attachments on this page. The user will NOT have the ability to delete these attachments. They will also NOT have the ability to upload additional attachments.	Untested	Untested	Failed	Failed	Pass	Pass

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Area	Condition ID	Requirement #	Event Description	Test Condition Description	Pass 1	Pass 2	Assembly Pass	Pass 3	Pass 4	Pass 5
Resub	Resub10	R20420	Incomplete due to FS attachment/FS information	An institutional user will have the ability to access the Financial Statement information page while resubmitting an annual submission. The system will display previously captured data for Financial Statement information (FS page, Statement of Financial Position and Statement of Activities) if a QC user marked the original submission "Incomplete" due to a FS attachment (submission contained separate CA/FS attachments). The system will display the data in an editable format.	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub11	R20420	Incomplete due to FS attachment/CA information	An institutional user will have the ability to access the Compliance Audit information page while resubmitting an annual submission. The system will display read-only information of the CA page that was captured in the original submission.	Untested	Failed	Failed	Failed	Pass	Pass
Resub	Resub12	R20420	Incomplete due to FS attachment/Checklist	An institutional user will have the ability to access the Completeness Checklist page while resubmitting an annual submission. The system will display items that correspond to CA information on the Checklist page in a read-only format. The system will require data entry for items that involve FS information.	Untested	Failed	Failed	Failed	Failed	Failed
Resub	Resub13	R20420	Incomplete due to FS attachment/Upload	An institutional user will have the ability to access the Upload page while resubmitting an annual submission. The system will list the previously submitted CA attachments on this page. The user will NOT have the ability to delete these attachments. The system will NOT display the FS attachments that were previously marked "Incomplete". The user will have the ability to upload an "Audited Financial Statement" attachment. The user will NOT have the ability to upload "Compliance Audit", "Corrective Action Plan", "Other" or "All" attachments.	Untested	Failed	Failed	Failed	Pass	Pass
Resub	Resub14	R20420	Incomplete due to FS attachment/Upload	The system will require an institutional user to upload an Audited Financial Statement attachment on the Upload page during resubmission. This requirement applies to the resubmission of records that were marked incomplete due to FS attachments.	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub15	R20420	Incomplete due to CA attachment/FS information	An institutional user will have the ability to access the Financial Statement information page while resubmitting an annual submission. The system will display previously captured data for Financial Statement information (FS page, Statement of Financial Position and Statement of Activities) if a QC user marked the original submission "Incomplete" due to a CA attachment (submission contained separate CA/FS attachments). The system will display the data in a read-only format.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub16	R20420	Incomplete due to CA attachment/CA information	An institutional user will have the ability to access the Compliance Audit information page while resubmitting an annual submission. The system will display editable information on the CA page that was captured in the original submission.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub17	R20420	Incomplete due to CA attachment/Checklist	An institutional user will have the ability to access the Completeness Checklist page while resubmitting an annual submission. The system will display items that correspond to FS information on the Checklist page in a read-only format. The system will require data entry for items that involve Compliance Audit information.	Untested	Untested	Failed	Failed	Failed	Failed
Resub	Resub18	R20420	Incomplete due to CA attachment/Upload	An institutional user will have the ability to access the Upload page while resubmitting an annual submission. The system will list the previously submitted FS attachments on this page. The user will NOT have the ability to delete these attachments. The system will NOT display the CA attachments that were previously marked "Incomplete". The user will have the ability to upload a "Compliance Audit" and "Corrective Action Plan" attachment. The user will NOT have the ability to upload "Audited Financial Statement", "Other" or "All" attachments.	Untested	Untested	Failed	Failed	Pass	Pass
Resub	Resub19	R20420	Incomplete due to CA attachment/Upload	The system will require an institutional user to upload a CA attachment on the Upload page during resubmission. This requirement applies to the resubmission of records that were marked incomplete due to CA attachments.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub20	R20420	Incomplete due to "All" attachment/FS information	An institutional user will have the ability to access the Financial Statement information page while resubmitting an annual submission. The system will display previously captured data for Financial Statement information (FS page, Statement of Financial Position and Statement of Activities) if a QC user marked the original submission "Incomplete" due to an "All" attachment. The system will display the data in an editable format.	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub21	R20420	Incomplete due to "All" attachment/CA information	An institutional user will have the ability to access the Compliance Audit information page while resubmitting an annual submission. The system will display editable information on the CA page that was captured in the original submission.	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub22	R20420	Incomplete due to "All" attachment/Checklist	An institutional user will have the ability to access the Completeness Checklist page while resubmitting an annual submission. The system will require data entry for all items contained on the page.	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub23	R20420	Incomplete due to "All" attachment/Upload	An institutional user will have the ability to access the Upload page while resubmitting an annual submission. The system will NOT list previously submitted attachments on this page. The user will have the ability to upload any attachment type.	Untested	Failed	Failed	Failed	Pass	Pass
Resub	Resub24	R20420	Incomplete due to "All" attachment/Upload	The system will require an institutional user to upload an attachment on the Upload page during resubmission. This requirement applies to the resubmission of records that were marked incomplete due to an "All" attachment.	Untested	Pass	Pass	Pass	Pass	Pass
Resub	Resub25	R20421	Annual QC Workflow/Incomplete due to Data Entry	With resubmissions that are in response to submissions marked incomplete due to Data entry, the system will only send the incomplete record to Quality control. Only the editable portion of the resubmission template, either CA or FS information, will have to be QC'd. The original portion of the annual submission that was marked complete will remain in a holding state and continue with Case Management workflow once its corresponding record has been QC'd.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub26	R20421	Annual QC Workflow/Incomplete due to Specific Attachment	With resubmissions that are in response to submissions with multiple attachments marked incomplete due to an attachment, the system will only send the incomplete record to Quality control. Only the editable portion of the resubmission template, either CA or FS information, will have to be QC'd. The original portion of the annual submission that was marked complete will remain in a holding state and continue with Case Management workflow once its corresponding record has been QC'd.	Untested	Untested	Pass	Pass	Pass	Pass
Resub	Resub27	R20421	Annual QC Workflow/Incomplete due to "All" Attachment	With resubmissions that are in response to submissions with an incomplete "All" attachment, the system will send the entire record to Quality control. Both the CA and FS record will have to be QC'd again.	Untested	Untested	Untested	Pass	Pass	Pass
Resub	Resub28	R20421	Non-Annual QC Workflow	With resubmissions that are in response to non-annual submissions, the system will send the entire record to Quality control. The entire record will have to be QC'd again.	Untested	Untested	Pass	Pass	Pass	Pass

Total # of Conditions	313	313	313	313	313	313
Total Passed	40	130	205	220	247	297
% Passed	12.78%	41.53%	65.50%	70.29%	78.91%	94.89%
Total Failed	32	65	77	74	53	13
% Failed	10.22%	20.77%	24.60%	23.64%	16.93%	4.15%
Total Untested	241	118	31	19	13	3
% Untested	77.00%	37.70%	9.90%	6.07%	4.15%	0.96%

Appendix A_R 2.0 SIR_Condition Tracking 05142004 v1.xls

Script	Total Conditions	% of Total Conditions	Tested	% Tested	Untested	% Untested	Passed	% Passed	Failed	% Failed
01_NAV/Code	3	0.9585%	3	100.00%	0	0.00%	3	100.00%	0	0.00%
02_Resubmission	131	41.8530%	2	1.53%	129	98.47%	0	0.00%	2	1.53%
03_INS	13	4.1534%	8	61.54%	5	38.46%	3	23.08%	5	38.46%
04_NewINS	12	3.8339%	7	58.33%	5	41.67%	4	33.33%	3	25.00%
05_Non-Annual	4	1.2780%	2	50.00%	2	50.00%	1	25.00%	1	25.00%
06_RES Audit	20	6.3898%	0	0.00%	20	100.00%	0	0.00%	0	0.00%
07_Quality Control	18	5.7508%	10	55.56%	8	44.44%	2	11.11%	8	44.44%
08_RES Waiver	6	1.9169%	0	0.00%	6	100.00%	0	0.00%	0	0.00%
09_School Group	67	21.4058%	14	20.90%	53	79.10%	7	10.45%	7	10.45%
10_Search	27	8.6262%	26	96.30%	1	3.70%	20	74.07%	6	22.22%
11_SUB	5	1.5974%	0	0.00%	5	100.00%	0	0.00%	0	0.00%
12_WF	3	0.9585%	0	0.00%	3	100.00%	0	0.00%	0	0.00%
13_Historical Submission	2	0.6390%	0	0.00%	2	100.00%	0	0.00%	0	0.00%
14_RES	2	0.6390%	0	0.00%	2	100.00%	0	0.00%	0	0.00%
TOTAL	313	100.0000%	72	23.00%	241	77.00%	40	12.78%	32	10.22%

Appendix A_R 2.0 SIR_Condition Tracking 05142004 v1.xls

Script	Conditions Covered	Total Conditions	Tested	% Tested	Untested	% Untested	Passed	% Passed	Failed	% Failed
01_NAV/Code	<ul style="list-style-type: none"> • NAV (All) • Code (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
02_Resubmission	<ul style="list-style-type: none"> • Incomplete (1-69,71-78,83-92,95-98,101-109) • Resubmission (All) • Institution (5,10,11) 	131	103	78.63%	28	21.37%	75	57.25%	28	21.37%
03_INS	<ul style="list-style-type: none"> • School Group (33-34) • Institution (1-4,6-9) • Stub (All) 	13	11	84.62%	2	15.38%	9	69.23%	2	15.38%
04_NewINS	<ul style="list-style-type: none"> • New Institution (All) 	12	6	50.00%	6	50.00%	5	41.67%	1	8.33%
05_Non-Annual	<ul style="list-style-type: none"> • Non-Annual (All) 	4	4	100.00%	0	0.00%	0	0.00%	4	100.00%
06_RES Audit	<ul style="list-style-type: none"> • Resolution Audit (All) 	20	0	0.00%	20	100.00%	0	0.00%	0	0.00%
07_Quality Control	<ul style="list-style-type: none"> • Quality Control (1-3) • Resolution Co-Team Leader (All) • Incomplete (79-82) 	18	18	100.00%	0	0.00%	8	44.44%	10	55.56%
08_RES Waiver	<ul style="list-style-type: none"> • Resolution Waiver (All) 	6	0	0.00%	6	100.00%	0	0.00%	0	0.00%
09_School Group	<ul style="list-style-type: none"> • Quality Control (4-5) • School Group (1-32,35-57) • Admin Stay (All) • PEPS (All) • Incomplete (93-94,99-100) 	67	18	26.87%	49	73.13%	7	10.45%	11	16.42%
10_Search	<ul style="list-style-type: none"> • Search (All) • Submission (1) 	27	26	96.30%	1	3.70%	21	77.78%	5	18.52%
11_SUB	<ul style="list-style-type: none"> • Submission (2-6) 	5	2	40.00%	3	60.00%	2	40.00%	0	0.00%
12_WF	<ul style="list-style-type: none"> • Workflow (All) 	3	3	100.00%	0	0.00%	0	0.00%	3	100.00%
13_Historical Submission	<ul style="list-style-type: none"> • Institution (12-13) 	2	0	0.00%	2	100.00%	0	0.00%	0	0.00%
14_RES	<ul style="list-style-type: none"> • Resolution (All) 	2	1	50.00%	1	50.00%	0	0.00%	1	50.00%
TOTAL:	N/A	313	195	62.30%	118	37.70%	130	41.53%	65	20.77%

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Script	Conditions Covered	Total Conditions	Tested	% Tested	Untested	% Untested	Passed	% Passed	Failed	% Failed
01_NAV/Code	<ul style="list-style-type: none"> NAV (All) Code (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
02_Resubmission	<ul style="list-style-type: none"> Incomplete (1-69,71-78,83-92,95-98,101-109) Resubmission (All) Institution (5,10,11) 	131	124	94.66%	7	5.34%	93	70.99%	31	23.66%
03_INS	<ul style="list-style-type: none"> School Group (33-34) Institution (1-4,6-9) Stub (All) 	13	11	84.62%	2	15.38%	9	69.23%	2	15.38%
04_NewINS	<ul style="list-style-type: none"> New Institution (All) 	12	6	50.00%	6	50.00%	5	41.67%	1	8.33%
05_Non-Annual	<ul style="list-style-type: none"> Non-Annual (All) 	4	4	100.00%	0	0.00%	0	0.00%	4	100.00%
06_RES Audit	<ul style="list-style-type: none"> Resolution Audit (All) 	20	20	100.00%	0	0.00%	14	70.00%	6	30.00%
07_Quality Control	<ul style="list-style-type: none"> Quality Control (1-3) Resolution Co-Team Leader (All) Incomplete (79-82) 	18	18	100.00%	0	0.00%	8	44.44%	10	55.56%
08_RES Waiver	<ul style="list-style-type: none"> Resolution Waiver (All) 	6	4	66.67%	2	33.33%	3	50.00%	1	16.67%
09_School Group	<ul style="list-style-type: none"> Quality Control (4-5) School Group (1-32,35-57) Admin Stay (All) PEPS (All) Incomplete (93-94,99-100) 	67	60	89.55%	7	10.45%	47	70.15%	13	19.40%
10_Search	<ul style="list-style-type: none"> Search (All) Submission (1) 	27	26	96.30%	1	3.70%	21	77.78%	5	18.52%
11_SUB	<ul style="list-style-type: none"> Submission (2-6) 	5	2	40.00%	3	60.00%	2	40.00%	0	0.00%
12_WF	<ul style="list-style-type: none"> Workflow (All) 	3	3	100.00%	0	0.00%	0	0.00%	3	100.00%
13_Historical Submission	<ul style="list-style-type: none"> Institution (12-13) 	2	0	0.00%	2	100.00%	0	0.00%	0	0.00%
14_RES	<ul style="list-style-type: none"> Resolution (All) 	2	1	50.00%	1	50.00%	0	0.00%	1	50.00%
TOTAL:	N/A	313	282	90.10%	31	9.90%	205	65.50%	77	24.60%

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Script	Conditions Covered	Total Conditions	Tested	% Tested	Untested	% Untested	Passed	% Passed	Failed	% Failed
01_NAV/Code	<ul style="list-style-type: none"> NAV (All) Code (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
02_Resubmission	<ul style="list-style-type: none"> Incomplete (1-69,71-78,83-92,95-98,101-109) Resubmission (All) Institution (5,10,11) 	131	125	95.42%	6	4.58%	97	74.05%	28	21.37%
03_INS	<ul style="list-style-type: none"> School Group (33-34) Institution (1-4,6-9) Stub (All) 	13	13	100.00%	0	0.00%	11	84.62%	2	15.38%
04_NewINS	<ul style="list-style-type: none"> New Institution (All) 	12	6	50.00%	6	50.00%	5	41.67%	1	8.33%
05_Non-Annual	<ul style="list-style-type: none"> Non-Annual (All) 	4	4	100.00%	0	0.00%	2	50.00%	2	50.00%
06_RES Audit	<ul style="list-style-type: none"> Resolution Audit (All) 	20	20	100.00%	0	0.00%	14	70.00%	6	30.00%
07_Quality Control	<ul style="list-style-type: none"> Quality Control (1-3) Resolution Co-Team Leader (All) Incomplete (79-82) 	18	18	100.00%	0	0.00%	9	50.00%	9	50.00%
08_RES Waiver	<ul style="list-style-type: none"> Resolution Waiver (All) 	6	4	66.67%	2	33.33%	3	50.00%	1	16.67%
09_School Group	<ul style="list-style-type: none"> Quality Control (4-5) School Group (1-32,35-57) Admin Stay (All) PEPS (All) Incomplete (93-94,99-100) 	67	62	92.54%	5	7.46%	48	71.64%	14	20.90%
10_Search	<ul style="list-style-type: none"> Search (All) Submission (1) 	27	27	100.00%	0	0.00%	22	81.48%	5	18.52%
11_SUB	<ul style="list-style-type: none"> Submission (2-6) 	5	5	100.00%	0	0.00%	2	40.00%	3	60.00%
12_WF	<ul style="list-style-type: none"> Workflow (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
13_Historical Submission	<ul style="list-style-type: none"> Institution (12-13) 	2	2	100.00%	0	0.00%	0	0.00%	2	100.00%
14_RES	<ul style="list-style-type: none"> Resolution (All) 	2	2	100.00%	0	0.00%	1	50.00%	1	50.00%
TOTAL:	N/A	313	294	93.93%	19	6.07%	220	70.29%	74	23.64%

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Script	Conditions Covered	Total Conditions	Tested	% Tested	Untested	% Untested	Passed	% Passed	Failed	% Failed
01_NAV/Code	<ul style="list-style-type: none"> NAV (All) Code (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
02_Resubmission	<ul style="list-style-type: none"> Incomplete (1-69,71-78,83-92,95-98,101-109) Resubmission (All) Institution (5,10,11) 	131	125	95.42%	6	4.58%	109	83.21%	16	12.21%
03_INS	<ul style="list-style-type: none"> School Group (33-34) Institution (1-4,6-9) Stub (All) 	13	13	100.00%	0	0.00%	10	76.92%	3	23.08%
04_NewINS	<ul style="list-style-type: none"> New Institution (All) 	12	12	100.00%	0	0.00%	11	91.67%	1	8.33%
05_Non-Annual	<ul style="list-style-type: none"> Non-Annual (All) 	4	4	100.00%	0	0.00%	2	50.00%	2	50.00%
06_RES Audit	<ul style="list-style-type: none"> Resolution Audit (All) 	20	20	100.00%	0	0.00%	14	70.00%	6	30.00%
07_Quality Control	<ul style="list-style-type: none"> Quality Control (1-3) Resolution Co-Team Leader (All) Incomplete (79-82) 	18	18	100.00%	0	0.00%	9	50.00%	9	50.00%
08_RES Waiver	<ul style="list-style-type: none"> Resolution Waiver (All) 	6	4	66.67%	2	33.33%	3	50.00%	1	16.67%
09_School Group	<ul style="list-style-type: none"> Quality Control (4-5) School Group (1-32,35-57) Admin Stay (All) PEPS (All) Incomplete (93-94,99-100) 	67	62	92.54%	5	7.46%	53	79.10%	9	13.43%
10_Search	<ul style="list-style-type: none"> Search (All) Submission (1) 	27	27	100.00%	0	0.00%	24	88.89%	3	11.11%
11_SUB	<ul style="list-style-type: none"> Submission (2-6) 	5	5	100.00%	0	0.00%	5	100.00%	0	0.00%
12_WF	<ul style="list-style-type: none"> Workflow (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
13_Historical Submission	<ul style="list-style-type: none"> Institution (12-13) 	2	2	100.00%	0	0.00%	0	0.00%	2	100.00%
14_RES	<ul style="list-style-type: none"> Resolution (All) 	2	2	100.00%	0	0.00%	1	50.00%	1	50.00%
TOTAL:	N/A	313	300	95.85%	13	4.15%	247	78.91%	53	16.93%

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Script	Conditions Covered	Total Conditions	Tested	% Tested	Untested	% Untested	Passed	% Passed	Failed	% Failed
01_NAV/Code	<ul style="list-style-type: none"> • NAV (All) • Code (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
02_Resubmission	<ul style="list-style-type: none"> • Incomplete (1-69,71-78,83-92,95-98,101-109) • Resubmission (All) • Institution (5,10,11) 	131	131	100.00%	0	0.00%	125	95.42%	6	4.58%
03_INS	<ul style="list-style-type: none"> • School Group (33-34) • Institution (1-4,6-9) • Stub (All) 	13	13	100.00%	0	0.00%	13	100.00%	0	0.00%
04_NewINS	<ul style="list-style-type: none"> • New Institution (All) 	12	12	100.00%	0	0.00%	12	100.00%	0	0.00%
05_Non-Annual	<ul style="list-style-type: none"> • Non-Annual (All) 	4	4	100.00%	0	0.00%	4	100.00%	0	0.00%
06_RES Audit	<ul style="list-style-type: none"> • Resolution Audit (All) 	20	20	100.00%	0	0.00%	20	100.00%	0	0.00%
07_Quality Control	<ul style="list-style-type: none"> • Quality Control (1-3) • Resolution Co-Team Leader (All) • Incomplete (79-82) 	18	18	100.00%	0	0.00%	17	94.44%	1	5.56%
08_RES Waiver	<ul style="list-style-type: none"> • Resolution Waiver (All) 	6	6	100.00%	0	0.00%	5	83.33%	1	16.67%
09_School Group	<ul style="list-style-type: none"> • Quality Control (4-5) • School Group (1-32,35-57) • Admin Stay (All) • PEPS (All) • Incomplete (93-94,99-100) 	67	64	95.52%	3	4.48%	64	95.52%	0	0.00%
10_Search	<ul style="list-style-type: none"> • Search (All) • Submission (1) 	27	27	100.00%	0	0.00%	24	88.89%	3	11.11%
11_SUB	<ul style="list-style-type: none"> • Submission (2-6) 	5	5	100.00%	0	0.00%	5	100.00%	0	0.00%
12_WF	<ul style="list-style-type: none"> • Workflow (All) 	3	3	100.00%	0	0.00%	3	100.00%	0	0.00%
13_Historical Submission	<ul style="list-style-type: none"> • Institution (12-13) 	2	2	100.00%	0	0.00%	0	0.00%	2	100.00%
14_RES	<ul style="list-style-type: none"> • Resolution (All) 	2	2	100.00%	0	0.00%	2	100.00%	0	0.00%
TOTAL:	N/A	313	310	99.04%	3	0.96%	297	94.89%	13	4.15%