

Appendix C_eZ-Audit R 1.2 SIR Report 03_04_2004.xls

SIR ID	SIR Title	SIR Description	Severity	State	Test Pass	Script No
EZAUD00001048	Create Annual Submission	User logged in as Proprietary User. User attempted to select "Create Annual Submission" link from left navigation bar on home page. System displayed error message "Could not display page." User attempted log in as public and non-profit users, but experienced the same result. EXPECTED RESULT: System should display the Financial Statements portion of the Annual Submission when link is selected.	1-High	Validated	A-133 Deployment Pass 1	1-Proprietary (applies to all)
EZAUD00001071	Unable to display Statement of Financial Position page with non-profit user	Non-profit user<nonprof08> with OPE ID<20000008> was unable to access the Financial Position page. From the Financial Statement...	1-High	Validated	A-133 Deployment Pass 1	2-Non-Prof
EZAUD00001070	Prop Annual Submission does not display Checklist page from Compliance Audit Page	If user attempts to "save and proceed" from Compliance Audit page, page displays following error message : "Error 500: Server cau..."	1-High	Validated	A-133 Deployment Pass 1	1/4 - Proprietary, 2- Non-prof
EZAUD00001073	Unable to display Statement of Activities page with non-profit user	Non-profit user<nonprof08> with OPE ID<20000008> was unable to access the Statement of Activities page. From the Financial State...	1-High	Validated	A-133 Deployment Pass 1	2-Non-prof
EZAUD00001103	Ins type Public Checklist page does not allow Save and Proceed	When selecting 'Yes' radio button for the Statement of Financial Position section of checklist page, the system still considers ...	1-High	Validated	A-133 Deployment Pass 2	3-Public
EZAUD00001116	Statement of Activities page does not display data on initial load for non profit user	Statement of Activities page does not display data on initial load for non profit user. The data cells are blank until the save...	1-High	Validated	A-133 Deployment Pass 2	5 - NP
EZAUD00001122	Validation change for Compliance Audit page	The validation for compliance audit data entered by the user needs to be edited to reflect the following requirements: 1. If qu...	1-High	Validated	A-133 Deployment Pass 2	5 - Non Profit
EZAUD00001129	Attempt to create Resubmission causes error	User marked both sections of a non-profit annual submission as incomplete. User logged back into the system as a data entry user for the non-profit institution (nonprof06, 20000006). The resubmit link appeared in left nav bar of home page. When user selected link, page displayed "Error 500: 'Unexpected exception caught". User cannot complete resubmission.	1-High	Validated	A-133 Deployment Pass 2	7
EZAUD00001118	Remove system check for Cash Flow Statement Information so annual submission for a Non-profit user can be submitted to Ed	Non-profit user<nonprof08> OPE ID<20000008> accessed the Submit page by completing an annual submission. From the submit page, the user selected the ?Submit to Ed? button, but the system did not allow the submission to be forwarded to the Case Team of the Department of Education. The system displayed an error in red text at the top of the page, ?You have not corrected errors on the Cash Flow Statement page.? Since this page has been removed from the annual submission process for a Non-profit user, the system should not check/require that this page be completed without errors before the record is allowed to be forwarded to QC.	1-High	Validated	A-133 Deployment Pass 2	2-Non-prof
EZAUD00001115	Statement of Activities uses old data when calculate button is clicked	When the calculate button was clicked, the system overwrote the data in the text boxes with data previously saved. Institution...	1-High	Validated	A-133 Deployment Pass 2	5 - NP
EZAUD00001114	Statement of Activities page for a non-profit institution proceeds to cash Flow	When the save and proceed button is clicked, the cash flow page is displayed for a non profit user. The cash flow page is not s...	1-High	Validated	A-133 Deployment Pass 2	5 - NP
EZAUD00001155	Question 7a&b on Compliance Audit Pages do not save correctly/pre-populate with "no"	Questions 7a&b on CA page pre-populate with "no". Also, if user attempts to click "yes," and clicks "save and proceed" and retu...	1-High	Validated	A-133 Deployment Pass 4	2,3
EZAUD00001163	Non-profit user cannot submit an annual submission to ED if q6 on Compliance Audit page does not have a value	A Non-profit user cannot submit an annual submission to ED if q6 does not have a value. User was logged in as nonprof05, compl...	1-High	Validated	A-133 Deployment Pass 5	2
EZAUD00001164	Internal Server Error	System displays "Internal Server Error" when attempting to user system. User only able to use the system if he continues to cli...	1-High	Validated	A-133 Deployment Pass 5	all
EZAUD00001057	No HyperLink for school name on Financial Statement, Compliance Audit, Checklist, Upload, and Submit pages for all user types	The Institution's name, as displayed underneath the banner gif on the Financial Statement Compliance Audit, Checklist, Upload, an...	2-Medium	Validated	A-133 Deployment Pass 1	6-Jan

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EZAUD00001097	NonProfit/Public Compliance Audit Information Page changes	For NonProfit and Public Schools on the Compliance Audit Information Page of the Annual Submission, if the answer to question 1B...	2-Medium	Validated	A-133 Deployment Pass 1	2,3,5,6 NonProfit and Public
EZAUD00001092	Non-Profit Statement of Financial Position Data New Field	The Statement of Financial Position Data Entry Page will have a new field "Capital Lease Obligation - Current Portion." The field will appear between "Current Portion of Long Term Debt" and "Capital Lease Obligation." The field's value will be added to "Total Liabilities." All fields below the new entry will have to be renumbered. See attached screen shots.	2-Medium	Validated	A-133 Deployment Pass 1	2, 5 Non-Profit
EZAUD00001091	Non-Profit/Public Annual Submission Compliance Audit Page School Group changes	For Non-Profit and Public School Annual Submissions, on the Compliance Audit Page, School Group references should be added back to the screen, as per pre-Release 1.2. A field is added at top of page, "Does the Compliance Audit cover more than one institution?" This field does not need a number. Also, Question 8 has the following amended text: "Were there audit findings in the previous year's A-133 report?(Select Yes if any school covered in the Compliance Audit has a finding(s))" This is illustrated in the attached screen shot.	2-Medium	Validated	A-133 Deployment Pass 1	2,3,5,6
EZAUD00001090	Re-enter School Group questions for Non-Profit/Public Financial Statement Page	Non-Profit/Public Schools Annual Submission Financial Statement Page should have a question at the top of the page asking "Do the financial statements cover more than one institution?" The question should appear before question one and below "All fields are required." The answer to the question will auto-populate based on the school profile. The question is similar to pre-A133 changes, but no number needs to be attached to the question. See attached screen shot.	2-Medium	Validated	A-133 Deployment Pass 1	2,3
EZAUD00001075	System cannot find path for attachments on upload attachments page	System displayed error message of "An exception has occurred: the system cannot find the path specified." when user attempted to...	2-Medium	Validated	A-133 Deployment Pass 1	1/4 - proprietary, 2- Pass 1 Non-profit
EZAUD00001063	Proprietary Annual Submission missing Financial Statements Information	User logged in as wsmith01 (Proprietary School Data Entry User, for Bay State College, 00396500). User selected Create Annual Submission link. Financial Statements Information Page displays, but with no available fields for data entry or links to Balance Sheet, Income Statement, or Cash Flows Statement. User should be able to enter information for all of the above.	2-Medium	Validated	A-133 Deployment Pass 1	1/4 Proprietary
EZAUD00001067	Browser error message displays when Prop user first enters Annual Submission	Browser error message displays when Prop user first enters Annual Submission: "a runtime error occurred do you wish to debug? do..."	2-Medium	Validated	A-133 Deployment Pass 1	1/4 - Proprietary
EZAUD00001058	Checklist page for public institution incorrect label on question 1 - Bryan	The first label under Question 1 for the checklist page is currently displaying the label: "Balance Sheet" when it should be di...	2-Medium	Validated	A-133 Deployment Pass 1	3
EZAUD00001133	Reasons for flagging compliance audits	When a user answers 'Yes' to certain questions about their compliance audit, the record is supposed to be flagged for case team ...	2-Medium	Validated	A-133 Deployment Pass 2	3-Public, 5- NonProfit
EZAUD00001101	Run Time error on load of Public Checklist Page	On loading the checklist page a message box appears stating a run time error has occurred and asks the user if they would like t...	2-Medium	Validated	A-133 Deployment Pass 2	3-Public
EZAUD00001112	Making Contact Information captured on the Checklist specific to the submission type	The contact information captured on the Checklist page should be specific to the type of information contained in each submissio...	2-Medium	Validated	A-133 Deployment Pass 2	6-Jan
EZAUD00001124	Additional text is required for questions on the compliance audit information page	On the compliance audit information page, questions 1B and 6 need to be modified to reflect the changes referenced in SIR 1122. ...	2-Medium	Validated	A-133 Deployment Pass 2	5 - Non Profit
EZAUD00001128	Reasons for Flagging Financial Statements	According to requirements r20241, r20242, r20244, r20246, if certain questions are checked 'yes' on the financial statements pag...	2-Medium	Validated	A-133 Deployment Pass 2	All
EZAUD00001119	Error Messages incorrectly reference data that was updated for release 1.2	The error messages were not updated to reflect the changes in question language and page descriptions: (This should be done for...	2-Medium	Validated	A-133 Deployment Pass 2	5 - Non Profit
EZAUD00001100	Error Message with the opening of the Statement of Activities page for a Non-Profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Statement of Activities page from the link provided by the system on th...	2-Medium	Validated	A-133 Deployment Pass 2	2-Non-prof

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SIR ID	SIR Title	SIR Description	Severity	State	Test Pass	Script No
EZAUD00001137	Statement of Activities Page not calculating correctly	User accessed SoA page while completing an Annual Submission for nonprof05 (20000005). User entered in data into all fields, an...	2-Medium	Validated	A-133 Deployment Pass 3	2, 5, 6
EZAUD00001139	Opinion Type Drop Down Values	Prop User <prop01> with OPE ID <10000001>. There should be 6 dropdown values for question 6. Opinion Type. Currently the four a...	2-Medium	Validated	A-133 Deployment Pass 3	3- Prop
EZAUD00001144	Compliance Audit Page for School Group	User attempted to create an annual submission for a public school that is a school group (bdole01). Financial Statement page answered first question with "yes" correctly and listed all schools in school group. Compliance Audit page answered first question "yes" correctly, but did NOT list any of the schools. Should list all schools.	2-Medium	Validated	A-133 Deployment Pass 3	8
EZAUD00001135	Non-Profit FS Page - Fields 6,7,8 are not error-checked and do not save correctly	The system does not check to see if questions 6,7,8 are left blank. User accessed page (nonprof05, 20000005), left these questi...	2-Medium	Validated	A-133 Deployment Pass 3	2,5,7
EZAUD00001153	NonProfit/Public Compliance Audit Page, question 6 does not stay "unselected" on save	For the A-133 Annual Submission Compliance Audit page, if user selects "No" on question 1b, then Question 6 should not be select...	2-Medium	Validated	A-133 Deployment Pass 4	2,3,7
EZAUD00001158	Error with a total field on the Statement of Activities page	Non-profit user<nonprof08> OPE ID<20000008> accessed the Statement of Activities page from the link provided by the system on th...	2-Medium	Validated	A-133 Deployment Pass 4	2
EZAUD00001160	Non-Profit Statement of Activities Page, fields do not calculate correctly	On SoA page for non-profit, the field "Unrestricted Net Assets at Beginning of Year as Restated" does not calculate correctly. ...	2-Medium	Validated	A-133 Deployment Pass 5	2
EZAUD00001161	Non-profit/Public Compliance Audit page, question 8 does not validate	The CA page for Non-Profit does not check to see if user enters data for question 8. User was logged in as nonprof05 completin...	2-Medium	Validated	A-133 Deployment Pass 5	2, 3
EZAUD00001162	Non-profit Compliance Audit page displays runtime error message after first save	The CA page in Non-profit displays a runtime error message of "Runtime Error, Line 25, Error: Object doesn't support this proper...	2-Medium	Validated	A-133 Deployment Pass 5	2
EZAUD00001095	NonProfit/Public Annual Submission Compliance Audit Information question 5 reword	Question 5 on the Compliance Audit Information Page for NonProfit and Public Schools should now read "Does the attached A-133 audit report indicate that this audit was conducted in accordance with:" instead of "Does the attached A-133 audit report indicate that this examination was conducted in accordance with:" see attached screen shot	3-Low	Validated	A-133 Deployment Pass 1	2,3,5,6 NonProfit and Public
EZAUD00001094	NonProfit/Public Annual Submission Compliance Audit Information Question 4 reword	Question #4 of the Compliance Audit Information page for Non-Profit and Public Annual Submissions should now read "Does the A-133 audit submission contain any findings related to the FSA Title IV programs?" instead of "Does the A-133 report contain any findings related to the FSA Title IV programs?" see attached screen shot.	3-Low	Validated	A-133 Deployment Pass 1	2,3,5,6 Non-Profit and Public
EZAUD00001093	Non-Profit Statement of Activities Field Label Change	The Statement of Activities field currently has a field labeled "Gain (Loss) from Correction of Error". The field should now read "Correction of Error". See attached screen shot.	3-Low	Validated	A-133 Deployment Pass 1	2, 5 - NonProfit
EZAUD00001089	Adjust appearance to question 7 on the Financial Statement page for Non-profit and public users	The attached document illustrates question 7 on the Financial Statement page when accessed by a non-profit or public user while creating an annual submission. The attached document also contains the expected result of question 7 on the Financial Statement. The current radio buttons could be moved farther away from the text and the "NO" and "None Reported" radio buttons need to be aligned.	3-Low	Validated	A-133 Deployment Pass 1	2,3,5,6
EZAUD00001088	Adjust appearance of question 1a. and 1b. on Compliance Audit page for Non-profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Compliance Audit page from the left navigation located on the Financial...	3-Low	Validated	A-133 Deployment Pass 1	2-Non-prof
EZAUD00001080	Reword Submit page header paragraph for non-profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Submit page from the left navigation of the Financial Statement page wh...	3-Low	Validated	A-133 Deployment Pass 1	2-Non-prof

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EZAUD00001078	Label change needed on question 7b radio button on the Compliance Audit page for non-profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Compliance Audit page via the left navigation link on the Financial Statement page while creating an annual submission. Question 7b displays two radio buttons label as follows: "Yes" and "*" None Reported" (the asterisk is red). The radio button "None Reported" should not be accompanied with a red asterisk.	3-Low	Validated	A-133 Deployment Pass 1	2-Non-prof
EZAUD00001076	Reword question 1b. on the Compliance Audit page for Non-profit user	Non-profit user<nonprof08> with OPE ID<20000008> accessed the Compliance Audit page from the left navigation on the Financial Au...	3-Low	Validated	A-133 Deployment Pass 1	2-Non-profit
EZAUD00001072	Completeness Checklist Page, e-mail address validation	On the Checklist Page, the system does not check to see if valid e-mail addresses are entered into contact e-mails for both fina...	3-Low	Validated	A-133 Deployment Pass 1	1/4 - Proprietary, 2- Non-prof, 3- Public
EZAUD00001069	Incorrect link name for Waiver Exemption Request submission for non-profit user type	When logged into the system as the user<nonprof08>, ODE ID<20000008>, school type<non-profit>, a link in the left nav of the ins...	3-Low	Validated	A-133 Deployment Pass 1	2-Non-prof
EZAUD00001065	Title of Annual Submission Compliance Audit Page missing word "Information"	The title of Compliance Audit Page of Annual Submission for Proprietary Users currently reads "Compliance Audit." It should rea...	3-Low	Validated	A-133 Deployment Pass 1	1/4 - Proprietary, 2- Non-prof
EZAUD00001055	Incorrect Page header for Financial Statement page for all user types	The picture file ban_a133_01 does not contain the correct page header. The page header for the Financial Statement page for all...	3-Low	Validated	A-133 Deployment Pass 1	6-Jan
EZAUD00001109	Label change needed on question 7b radio button on the Compliance Audit page for public user	Public user<vvaugh01> OPE ID<00167400> accessed the Compliance Audit page via the left navigation link on the Financial Statement...	3-Low	Validated	A-133 Deployment Pass 2	3-Public
EZAUD00001113	NonProfit Annual Submission Statement of Financial Position Data Banner Missing	When a non-profit user accessed Statement of Financial Position Page while creating an annual submission, the page showed a pict...	3-Low	Validated	A-133 Deployment Pass 2	2,5
EZAUD00001125	Format change needed to the layout of the Statement of Activities and Statement of Financial Position pages	Non-profit user<nonprof08> OPE ID<20000008> accessed the Statement of Activities and Statement of Financial Position pages throu...	3-Low	Validated	A-133 Deployment Pass 2	2,5-Non-prof
EZAUD00001110	Total field on the Statement of Activities page contains a decimal place for Non-profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Statement of Activities page from the link provided by the system on th...	3-Low	Validated	A-133 Deployment Pass 2	2-Non-prof
EZAUD00001102	Missing "Data" from the title and breadcrumbs of the Statement of Activities page for Non-profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Statement of Activities page from the link provided by the system on th...	3-Low	Validated	A-133 Deployment Pass 2	2-Non-prof
EZAUD00001145	Non-Annual Banners	For all INS types, the Non-Annual Banners in Left Nav, need to be updated. ex. user <nonprof01> OPE ID <20000001> Initial ...	3-Low	Assigned_ To_Dev_L ead	A-133 Deployment Pass 3	All
EZAUD00001152	Duplicate error message for field number 8 on Compliance Audit page	The error message pertaining to question #8 is shown twice for a proprietary user on the compliance audit page. USER: wsmith01 ...	3-Low	Validated	A-133 Deployment Pass 4	1- Proprietary
EZAUD00001157	Incorrect Error messages for the Financial Statement Information for Public user	Public user<vvaugh01> OPE ID<00167400> accessed the Financial Statement Information page while creating an annual submission. The user selected the save button without answering any questions. The attached document illustrates the error messages that were displayed to the user. The error messages corresponding to Field 2 through Field 5 should be numbered 1-4. Question 5 on the Financial Statement correctly does not have an error message for it when no value has been selected from the drop down box. An error message should exist for this Question in the following form: ?Field: 5. What type of auditor's report was issued on the Financial Statement??	3-Low	Validated	A-133 Deployment Pass 4	3-Profit
EZAUD00001159	Non-Profit/Public Financial Statement Page question4 does not have accurate error message	On a non-profit/public annual submission financial statement page, if user leaves question 4 blank, the error message user receives is "Field4: Are your Financial Statement audited in accordance with GAGAS?" It should read either "Field4: Are your Financial Statement audited in accordance with GAS?" or "Field4: Are your Financial Statement audited in accordance with Government Auditing Standards?" "GAGAS" has been removed from A-133.	3-Low	Validated	A-133 Deployment Pass 5	2,3

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EZAUD00001165	QC Page, "Validate PDF/Checklist" picture not displayed	User entered QC as pscree03. Attempted to QC an Annual Financial Statement for non-prof05, 20000005. When user entered FS QC, the banner gif for question "Validate PDF/Checklist" did not appear (displayed the "missing gif X").	3-Low	Validated	A-133 Deployment Pass 5	7
EZAUD00001170	Compliance Audit Page contains Run-Time Error	When completing a proprietary annual submission, the Compliance Audit page contains a run-time error.	3-Low	Validated	A-133 Deployment Pass 5	1
EZAUD00001146	Merger CIO Submission needs contact information	Merger CIO submissions currently has no "contact info" field. If there is something wrong with the Financial Statement, there is no current way to send an e-mail to notify.	4-Enhancement	CCB_Review	A-133 Deployment Pass 3	none

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EZAUD00001059	Checklist Page will not allow values of 'No' for the radio buttons under question#1. - Bryan	The checklist radio buttons under question 1 are not able to have the 'No' radio button selected. The selection occurs and then...	1-High	Rejected	A-133 Deployment Pass 1	3
EZAUD00001148	Reword question 1b. on the Compliance Audit page for Non-profit user	Non-profit user<nonprof08> with OPE ID<20000008> accessed the Compliance Audit page from the left navigation on the Financial Au...	1-High	Rejected	A-133 Deployment Pass 3	2-Non-profit
EZAUD00001054	Additional Questions displayed on financial Statement page for Public School Type - Bryan	A total of 9 questions display for a Public school on the financial statements page in EZAudit. A total of 7 are supposed to d...	2-Medium	Rejected	A-133 Deployment Pass 1	3
EZAUD00001087	Top Nav error: Manage Users is displayed for Public Institution	The top nav links for a Public institution include 'Manage Users'. This option is not supposed to be displayed. The other th...	2-Medium	Rejected	A-133 Deployment Pass 1	3-Public
EZAUD00001104	Incorrect Error Label on Checklist page for Public school type	The error message displayed on the checklist page when a user selects NO for the Statement of Financial Position subheading read...	2-Medium	Rejected	A-133 Deployment Pass 2	3-Public
EZAUD00001138	Submission Confirmation Notification	Prop User <prop01> with OPE ID <10000001> Public User <lwilso01> with OPE ID <00165000> Upon successful submission. Submission...	2-Medium	Rejected	A-133 Deployment Pass 3	Applies to All (1,2&3)
EZAUD00001141	QC compliance audit Proprietary school	When the page loads there is no submit button displayed and no question for Completeness Status. Since there is no button for C...	2-Medium	Rejected	A-133 Deployment Pass 3	7 - Composite Score
EZAUD00001066	Proprietary Annual Submission Compliance Audit Page contains question pertaining to consolidated audits	Proprietary Annual Submission Compliance Audit Page contains question pertaining to consolidated audits. There should be no que...	3-Low	Rejected	A-133 Deployment Pass 1	1/4 - Proprietary
EZAUD00001074	Upload Page Checklist out of order	On Proprietary Annual Submission Upload Page, the checklist for file type is out of order. Currently reads : "Audited Financial ...	3-Low	Rejected	A-133 Deployment Pass 1	1/4 - proprietary
EZAUD00001068	Proprietary Annual Submission Compliance Audit Page, Question6 missing data	Proprietary Annual Submission Compliance Audit Page, Question6 missing data. According to script and Use Case, Question 6 should...	3-Low	Rejected	A-133 Deployment Pass 1	1/4 - Proprietary
EZAUD00001105	Calculate function error with the Statement of Activities page for Non-profit user	Non-profit user<nonprof08> OPE ID<20000008> accessed the Statement of Activities page from the link provided by the system on th...	3-Low	Rejected	A-133 Deployment Pass 2	2-Non-prof
EZAUD00001123	Attempting to calculate composite score as a QC user of non-profit submission displays the "for-profit" worksheet	Attempting to calculate composite score as a QC user of non-profit submission displays the "for-profit" worksheet. Two differen...	3-Low	Rejected	A-133 Deployment Pass 2	7 - Composite Scor
EZAUD00001136	Statement of Activities Page contains invalid title header	User accessed SoA page when completing an Annual submission for nonprof05 (20000005). At top of SoA page, the phrase "Change in Unrestricted Net Assets" appears at top of page. This should not occur. Change in Unrestricted Net Assets is already a field on the SoA.	3-Low	Rejected	A-133 Deployment Pass 3	2,5,7