

## **Task Order 116 – eZ-Audit**

### **User Acceptance Testing Results UAT Session #1**

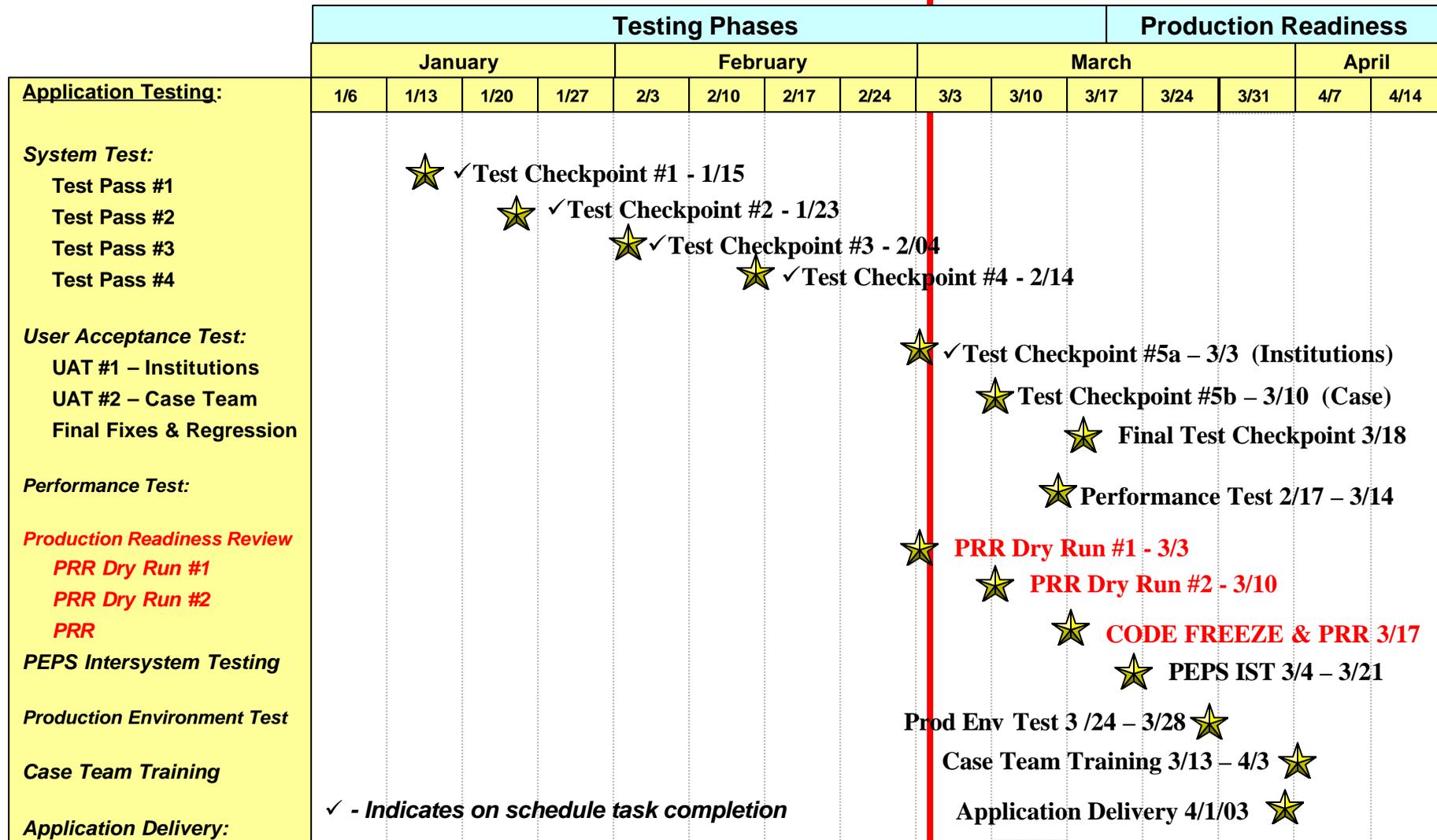
March 3, 2003

# Agenda



- 2003 eZ-Audit Timeline
- Pre-UAT Regression Test Status
- UAT Session #1 Status & Results
- UAT Session #2 Expectations and Goals
- Upcoming Events & Key Milestone Dates
- Production Readiness Timeline
- FSA Required Actions

# 2003 eZ-Audit Timeline & Key Milestones

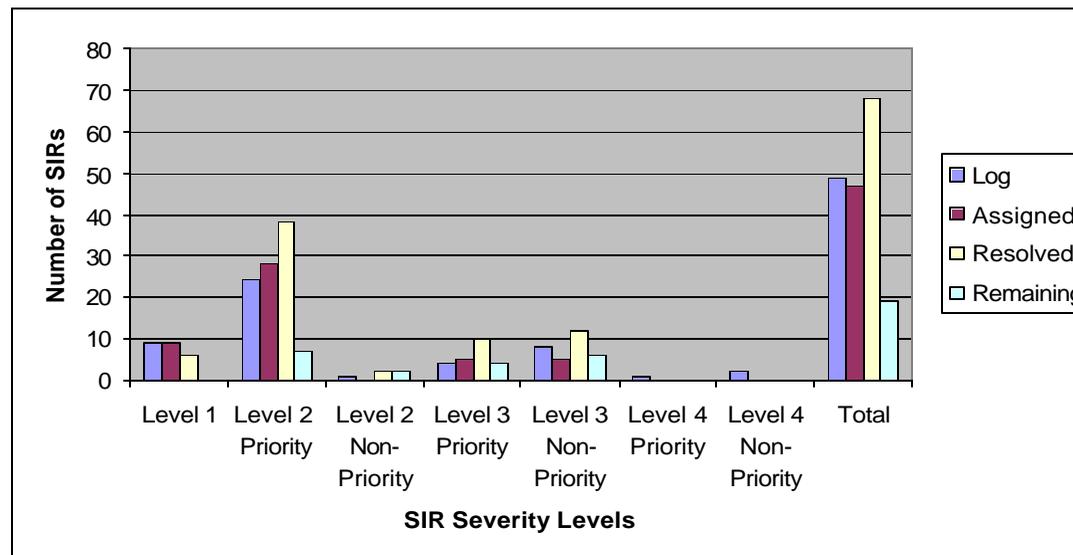




# Pre-UAT Regression Test Status (as of February 25, 2003)

These tables show the snapshot of the number of SIRs at the various states between Feb 11 and 25.

	Pre-UAT Regression Test (2/11 - 2/25)			
	Log	Assigned	Resolved	Remaining
Level 1	9	9	6	0
Level 2 Priority	24	28	38	7
Level 2 Non-Priority	1	0	2	2
Level 3 Priority	4	5	10	4
Level 3 Non-Priority	8	5	12	6
Level 4 Priority	1	0	0	0
Level 4 Non-Priority	2	0	0	0
<b>Total</b>	<b>49</b>	<b>47</b>	<b>68</b>	<b>19</b>



- During the Pre-UAT regression test, for level 1, 2 and 3 priority SIRs, on average we:
  - Logged 4 SIRs per day
  - Fixed 6 SIRs per day
- Three Level 1 SIRs were rejected due to non-application specific issues.
- As of Feb 25, there were only **13** Level 2 and level 3 priority SIRs remaining to be fixed. We plan on fixing these remaining SIRs by March 7 as we anticipate a very low number of SIRs to be logged between UAT1 and UAT2.
- We also plan on fixing the OGC screen modifications related SIRs (8 Level 3 priorities)

# UAT Session #1 Results



SIR Severity:	SIR Totals:
Level 1 – High	0
Level 2 – Medium	0
Level 3 – Low	1
Level 4 - Enhancement	13
Total SIRs Logged:	14

- There was only 1 SIR logged as a “defect” during UAT #1. It is a Level 3 (NP) SIR. The other SIRS are enhancement requests – one of which is a priority for the CCB.

- ***Quotes from the participants:***

“This system is going to make my life easier.” – Not-for-Profit Institution

“You mean to tell me that when I complete my annual submission this June 30<sup>th</sup>, that is it; I am finished. This system is way cool!” – FSA Institution

# Upcoming Events & Key Milestone Dates



Activities	Dates
<b>✓ System Test (4 Runs)</b>	
✓ Test Pass 1	January 2 – January 10
✓ Checkpoint #1	January 15
✓ Test Pass 2	January 14 – January 20
✓ Checkpoint #2	January 23
✓ Test Pass 3	January 23 – January 29
✓ Checkpoint #3	February 4
✓ Test Pass 4	February 3 – February 7
✓ Checkpoint #4	February 14
✓ SIR Fixes from System Test	January 2 – February 10
✓ Pre-UAT Regression Test	February 11 – February 25
<b>User Acceptance Test (UAT) – 2 Sessions</b>	
✓ Institution Users	February 26 – February 27
✓ UAT Checkpoint #5a	<b>March 3</b>
Case Team Members	March 5 – March 6
UAT Checkpoint #5b	March 10

# Production Readiness Timeline



Activities	Original Dates	Modified Dates
UAT 1 (Institution Users)	Feb 18 - 19	Feb 25 - 26
UAT 2 (Case Team Users)	Feb 25 - 27	March 5 - 6
Final Fixes and Regression Testing	March 3 – 14	March 10 – 14
Production Readiness Review (PRR) Dry Run #1	March 3	No change
PEPS Integration System Test	Feb 13 - March 7	March 4 - 21
MicroStrategy version 7i Production Environment Readiness	Feb 23	March 16
Performance Test and Fixes	March 3 – March 14	No change
PRR Dry Run #2	March 10	No change
Obtain Agreement on PEPS/eZ-Audit TPA	March 10	No change
Operation Readiness Review (ORR)	March 11	No change
Code and Database Migration to Production Environment	March 16	No change
Production Readiness Review (PRR)	March 17	No change
Production Environment Test (with real PEPS data)	March 10 - 17	March 24 - 28
Code Freeze/ Production Data Load	March 17 – March 31	No change
Stabilization Support - 8 weeks	April 1 – May 27	No change

## FSA Required Actions



- Status of Dear Colleague Letter (1/01/03)
- Outreach effort to School Groups (1/23/03)
- Case Team users and role definitions (2/28/03)
  - Case Team user registration
- Questions regarding Remaining SIRs
- UAT Session #1 sign-off
- UAT Session #2 scope agreement



# Appendix

UAT Session #2 – Case Team users

## UAT Session #2 Expectations & Goals



- Each participant will perform functions based on their user roles in the eZ-Audit application.
- Test the eZ-Audit system to ensure the system functions as intended (per the approved design).
- Execute test scripts without any Level 1 SIRs reported.
- Feedback will be solicited for potential enhancements to the eZ-Audit application and/or business processes.

# User Acceptance Testing (UAT) Objectives



- What User Acceptance Testing is...
  - It is the execution of test scripts by end-users of the application.
  - The purpose of UAT is to test the eZ-Audit system to ensure that the application functions as intended - per the approved design.
  
- What User Acceptance Testing is not ...
  - UAT is not training ( user manuals will be delivered as part of the application deployment )
  - It is not intended as a vehicle to identify new requirements or suggest changes to the approved design of the eZ-Audit application ( mechanics for this too will be provided at the time of application deployment )



## UAT Scenarios – Case Team Users

- Each participant (depending on your Case Team role) will execute one or more of the following scenarios:
  - Code Findings
  - Assign Submissions
  - Create Resolution documents (e.g., ACD & DDIF)
  - Approve Resolution documents
  - Create Determination for Financial Statements
  - Send to Co-Team Lead for Approval
  - Manage Auditor Information
  - Query/Search
  - Waiver Resolution
  - Reports
  
- The facilitators will work with you in smaller groups to identify the scenarios you will execute.
  
- Testing will be executed by running a series of *pre-defined* test scripts to test the system functionality.